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A Simplified Method for Bearing-Capacity Analysis of Energy Piles Integrating Temperature-Dependent Model of Soil–Water Characteristic Curve

Citation for published version:

Pham, TA & Sutman, M 2023, 'A Simplified Method for Bearing-Capacity Analysis of Energy Piles Integrating Temperature-Dependent Model of Soil–Water Characteristic Curve', *Journal of Geotechnical and Geoenvironmental Engineering*, vol. 149, no. 9, 04023080. <https://doi.org/10.1061/jggefkg.gteng-11095>

Digital Object Identifier (DOI):

[10.1061/jggefkg.gteng-11095](https://doi.org/10.1061/jggefkg.gteng-11095)

Link:

[Link to publication record in Heriot-Watt Research Portal](#)

Document Version:

Peer reviewed version

Published In:

Journal of Geotechnical and Geoenvironmental Engineering

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1 **A simplified method for bearing capacity analysis of energy piles**
2 **integrating temperature-dependent model of soil-water characteristic**
3 **curve**

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32 **Abstract**

33 The bearing resistance of energy piles in the presence of temperature effect has not been thoroughly
34 investigated, preventing the perfecting of energy pile design methods. Quantifying the relationship between soil
35 suction and the temperature of unsaturated soils therefore becomes an important step in predicting the bearing
36 resistance of energy piles. A new constitutive model based on interfacial energy and thermodynamic theories is
37 therefore presented to predict the effect of temperature on soil suction as well as the soil-water characteristic
38 curve (SWCC) in this paper. The analytical model for the nonisothermal matric suction was developed by
39 combining five different temperature-dependent functions for the surface tension, air-water contact angle, void
40 ratio, and thermal expansion of solid and water density, thereby providing a more complete approach than the
41 one that considers surface tension only. The proposed formulation is expressed under a simplified form which is
42 believed as a useful and convenient tool to apply to a range of possible field situations. The temperature-
43 dependent relationship of soil suction is then used to extend existing isothermal SWCCs to nonisothermal
44 conditions that allow obtaining the SWCC at any temperature. The validity of the proposed model is verified by
45 comparison to several test data sets for five different soils: swelling clay, hard clay, clayey-silty soil, ceramic
46 material, and sand. The satisfactory agreement between predicted and measured curves proved that the proposed
47 model has a good performance in predicting the effect of temperature on the SWCCs of unsaturated soils. The
48 nonisothermal SWCC model was then coupled with the bearing resistance theory to produce a simplified method
49 for analysis of energy piles. The results show that the proposed method successfully predicted pile resistance at
50 various temperatures when compared to experimental data. The pile resistance reduces as the temperature rises
51 for a specific degree of saturation or if the soil is in an undrained condition. However, water evaporation may
52 cause a decrease in water content and an increase in matric suction as the temperature increases. Therefore, as
53 soils dry out, pile resistance may increase with increasing temperature.

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56 *Keywords:* energy pile; soil-water characteristic curve; unsaturated soil; soil suction; thermodynamics; design
57 method; bearing capacity

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64 **1. Introduction**

65 Energy piles are a relatively new and cost-effective method of obtaining underground geothermal energy
66 that has recently gained popularity ([Behbehani and McCartney 2022](#)). The design of the energy pile structure
67 needs to be not only safe but also economical. Regarding the latter aspect, less attention has yet been paid to the
68 strengthening role of interparticle capillary forces in unsaturated conditions on bearing resistance enhancement.
69 In fact, energy piles are frequently designed under the assumption of saturated soils ([Song and Pei 2022](#); [Elzeiny
70 et al. 2020](#); [Ravera et al. 2020](#); [Faizal et al. 2019](#); [Di Donna et al. 2016](#)). However, in some semiarid to arid areas,
71 groundwater tables are typically found at a depth below the ground surface, leading to unsaturated soil layers
72 along the length of the pile. Furthermore, during the summer operation of energy piles, soil temperature
73 increases due to heat injection into the ground. Temperature increases lead to a decrease in the viscosity and
74 density of the water in soil pores, resulting in the water to flow away from the heat source. The migration of the
75 water leads to the soil around the pile becoming unsaturated, causing the change in friction resistance of the
76 energy piles.

77 However, because of the air-water interaction phenomena, also known as matric suction, the challenge
78 related to bearing resistance estimation of structures in unsaturated soils becomes more complicated. The term
79 "matric suction" refers to the difference between air pore pressure and water pore pressure in soils, which is
80 determined by the amount of water in the pore structure ([Pham and Sutman 2022a](#)). The constitutive relationship
81 between the water amount in the soil and matric suction is called the soil-water characteristics curve (SWCC), or
82 soil-water retention curve (SWRC). Because the shear strength of unsaturated soils is greatly influenced by the
83 matric suction and degree of saturation, variation in SWCC causes changes in the bearing resistance of the
84 structure. Particularly, many experimental results from full-scale field tests, laboratory model tests, and
85 temperature-controlled direct shear tests showed that the SWCC varies significantly with temperature ([Pham et
86 al. 2023a](#); [Pham and Sutman 2022b](#); [Kelishadi et al. 2018](#); [She and Sleep 1998](#); [Constantz 1991](#)), which may
87 lead to uncertainty in estimating the bearing resistance of energy pile. Unfortunately, the bearing resistance of
88 energy piles in the presence of temperature effect has not been thoroughly investigated, preventing the perfecting
89 of energy pile design methods. It is therefore important to predict the effect of temperature on SWCC and to be
90 able to quantify changes in the bearing resistance of energy piles.

91 In recent years, several researchers have contributed to a better understanding of the relationship
92 between SWCC and temperature. A number of non-isothermal models have been proposed by considering only
93 surface tension as a temperature-dependent function ([Romero et al. 2001](#); [Salager et al. 2010](#); [Roshani and
94 Sedano 2016](#); [Liu et al. 2020](#)). Several other authors dedicated efforts to taking further into account the effect of
95 temperature on the air-water contact angle ([Grant and Salehzadeh 1996](#); [Bachmann et al. 2002](#); [Vahedifard et al.
96 2018](#)). However, several gaps and limitations among existing models need to be filled and improved. Firstly, it is
97 not sufficient to consider the air-water surface tension as the only temperature-dependent variable and ignore the

98 temperature influence on other parameters. Many experimental results suggest that the dependent relationship
99 between the matric suction and temperature should be considered through various factors such as surface tension,
100 contact angle, void ratio, and pore size (Pham et al. 2023a). Besides, almost all existing models use a linear form
101 to describe the relationship between surface tension and temperature. This existing drawback probably comes
102 from the fact that the temperature-dependent function of surface tension has been established eighty years ago
103 for a low-temperature range. Yet, the measured data proved that the relationship between matric suction and
104 temperature is a nonlinear function, particularly at high temperatures (Vargafik et al. 1983; Saito et al. 2006).
105 Another limitation is that not enough attention was paid to the effect of the thermal expansion of water and the
106 influence of the thermal volume change of soils on the matric suction when the temperature is increased. It is
107 obvious that when the temperature effect is not addressed thoroughly, the temperature change will continue to
108 remain a great challenge in the field of geotechnical engineering.

109 The main aim of this paper is to present an analytical model for predicting the effect of temperature on the
110 bearing resistance of energy piles. Firstly, the nonisothermal formulation for the matric suction is presented
111 under a reduced form which is believed to be a useful and comfortable tool to apply to a range of possible field
112 situations. Five temperature-dependent functions accounting for the temperature effects on (i) surface tension,
113 (ii) air-water contact angle, (iii) void ratio, (iv) thermal expansion of solids, and (v) thermal expansion of water
114 phase are incorporated in the proposed model. The temperature-dependent relationship of matric suction is then
115 extended to produce a nonisothermal SWCC equation for unsaturated soils. The validity of the proposed model
116 is verified by comparing it to several experimental data sets. Finally, the nonisothermal SWCC is extended to
117 combine with the bearing resistance theory to produce a new design method for energy piles, which is applicable
118 for piles in both saturated and unsaturated soils. The proposed approach is expected to complement current
119 energy pile design approaches and provide a useful tool for determining the thermomechanical behaviour of
120 energy piles.

121 **2. Temperature-dependent model of matric suction**

122 *2.1. Model assumptions*

123 As a starting point, the actual case is simplified by postulating a soil consisting of uniform rigid spheres
124 in regular packing, which provides convenience to apply mathematical laws, allowing precise theoretical
125 deductions to be made. The simplified arrangement was also adopted commonly in many previous studies for
126 both granular soils (Haines 1925; Fisher 1926; Erle et al. 1971; Arya and Paris 1981; Snyder and Miller 1985;
127 Lian et al. 1993; Willett et al. 2000; Soulie et al. 2006; Richefeu et al. 2008; Pham 2020a) and fine-grained soils
128 (Cho and Santamarina 2001; Likos and Lu 2004; Rojas 2008; Zhou et al. 2016; Khorshidi et al. 2017; Pham
129 2022b). However, it should be noted that spherical soils are solely used for illustrative purposes while all the
130 following formulas are obtained using the volume relationship. Moreover, this assumption is also minimized
131 through the parameter δ which reflects the effect of actual particle sizes, shapes, and orientations in a natural soil
132 sample. Finally, the proposed model is subject to predict non-isothermal SWCC based on the reference SWCC at

133 room temperature fitted based on the measured data. The effect of soil characteristics is included in the reference
 134 SWCC and is thus taken into account in the proposed model. As a result, the proposed model is suitable for both
 135 granular and fine-grained soils.

136 In this study, T_0 is assumed to represent reference temperature while T is defined as any current
 137 temperature. The reference temperature is defined as the initial temperature of the soil sample during matric
 138 suction measurement in the laboratory and is often the same as room temperature. For the present purpose,
 139 variables at reference temperature are given symbols with subscript zero.

140 2.2. Surface tension phenomenon

141 Figure 1 illustrates an ideal model with systematic packing of soil grains. In the considered model, the
 142 water is confined to annuli of a wedge-shaped cross-section around the points of contact of the spheres. A film
 143 membrane that appears between the air phase and the water phase is so-called the meniscus. Figure 2 defines the
 144 variables in the idealized model. The neck of fluid connecting the two spheres is considered to have a radius of
 145 R_n , and the meridian curve or the curvature of the surface film is considered to have a radius of R_c . According to
 146 the geometry of the water-air interface model between two soil particles, the total meniscus curvature (R)
 147 corresponding to the specific moisture content is determined by the following expression:

$$148 \quad \frac{1}{R} = \frac{1}{R_c} - \frac{1}{R_n} \quad (1)$$

149 The radius R_c and R_n can be expressed as follows, in terms of particle radius (r) and air-water contact angle (α):

$$150 \quad R_c = r \cdot \tan \alpha \cdot \tan(\alpha/2) \quad (2)$$

$$151 \quad R_n = r \cdot \tan \alpha \cdot (1 - \tan(\alpha/2)) \quad (3)$$

152 It should be noted that the surface tension causes the contractile skin, which behaves as an elastic
 153 membrane and is subjected to different pressures on each side (Pham et al. 2023a). The equilibrium state of the
 154 curvature membrane is established by air-pore pressure, water-pore pressure, and surface tension, as shown in
 155 Figure 3. Vertical pressure equilibrium along the curvature membrane gives:

$$156 \quad \psi = u_a - u_w = \frac{2\sigma_s}{R} \quad (4)$$

157 where ψ = matric suction in soils, u_a = air-pore pressure, u_w = water-pore pressure, σ_s = air-water surface
 158 tension.

159 Replacing Eqs. (1) to (3) into Eq. (4), the matric suction can be expressed as a function of air-water contact
 160 angle:

$$161 \quad \psi = \frac{\sigma_s}{r} \cdot \frac{1 - \tan(\alpha/2) - 2 \tan^2(\alpha/2)}{\tan^2(\alpha/2)} \quad (5)$$

162 2.3. Determination of wetting coefficient

163 A quadratic equation representing the relationship between air-water contact angle, matric suction, and particle
 164 radius are derived from Eq. (5) as follows:

$$165 \quad (r\psi + 2\sigma_s).t^2 + \sigma_s.t - \sigma_s = 0 \quad (6)$$

$$166 \quad t = \tan(\alpha/2) \quad (7)$$

167 The solution of the quadratic equation (6) should be a positive value. Therefore,

$$168 \quad t = \frac{-\sigma_s + \sqrt{\sigma_s^2 + 4\sigma_s(r\psi + 2\sigma_s)}}{2(r\psi + 2\sigma_s)} \quad (8)$$

169 The wetting coefficient is directly calculated by the following relations:

$$170 \quad \cos \alpha = \frac{1-t^2}{1+t^2} \quad (9)$$

171 **Figure 4** illustrates the relationship between the matric suction, air-water contact angle, and particle size.
 172 It is noted that the air-water contact angle decreases with increasing matric suction and approaches zero at a very
 173 high value of matric suction. This tendency agrees well with the Kelvin-Laplace equation that maximum air-
 174 water contact angle is obtained at minimum matric suction. It is also interesting to observe that the influence of
 175 matric suction on the air-water contact angle curve depends on particle size. As noted, the dependence of air-
 176 water contact angle on matric suction becomes more significant with a decrease in particle size.

177 **2.4. Thermodynamic behaviour of unsaturated soils.**

178 In porous media, the mechanical and thermodynamic equilibrium is often derived from the relationship
 179 between capillary potential and the free energy of soil moisture. According to **Edlefsen and Anderson (1943)**, the
 180 matric suction or capillary pressure is determined by the following relation:

$$181 \quad \frac{\psi}{g \cdot \rho_w} = \frac{2 \cdot (\sigma_{sa} - \sigma_{sw})}{g \cdot \rho_w \cdot r_i} \quad (10)$$

182 where σ_{sa} = interfacial tension along with the solid-air interface, σ_{sw} = interfacial tension along with the solid-
 183 water interface, r_i = mean pore radius, g = gravity acceleration, ρ_w = water density.

184 However, accurate measurements of the interfacial tensions σ_{sa} and σ_{sw} are quite difficult, and **Eq. (10)**
 185 thus must be recast with parameters that can be obtained experimentally. Unsaturated soils are considered as an
 186 interaction system between air-water-solid phases. Three interfacial tensions appear to correspond to three
 187 interfaces as shown in **Figure 5**. It is assumed that three solid-air-water phases intersect at point A, and the
 188 derivative of the following relation is obtained:

$$189 \quad \sigma_s \cdot \cos \alpha = \sigma_{sa} - \sigma_{sw} \quad (11)$$

190 On the other hand, with the assumption that the soil volume can be approximated as the total volume of
 191 spherical particles while the total pore volume can be approximated as the volume of cylindrical capillary tubes,
 192 [Pham et al. \(2023b\)](#) established the relationship between pore radius and particle radius as follows:

$$193 \quad r_i = r \cdot \sqrt{\frac{4e_0 n_s^{1-\delta}}{6}} \quad (12)$$

194 where e_0 = initial void ratio of soils, n_s = number of soil particles, δ = empirical constant to consider the effect of
 195 particle shape and size.

196 Combining Eqs. (10) to (12), the matric suction based on the thermodynamic approach can be obtained:

$$197 \quad \frac{\psi}{g \cdot \rho_w} = \frac{\sigma_s \cdot \cos \alpha}{g \cdot \rho_w \cdot r \cdot \sqrt{e_0 n_s^{1-\delta} / 6}} \quad (13)$$

198 Equation (13) implies that the matric suction can vary by temperature through variations in surface tension,
 199 contact angle, particle radius, void ratio, and water density as follows:

$$200 \quad \frac{\partial \psi}{\partial T} = \frac{\psi}{\sigma_s} \cdot \frac{\partial \sigma_s}{\partial T} + \frac{\psi}{\cos \alpha} \cdot \frac{\partial \cos \alpha}{\partial T} - \frac{\psi}{r} \cdot \frac{\partial r}{\partial T} - \frac{\psi}{e} \cdot \frac{\partial e}{\partial T} - \frac{\psi}{\rho_w} \cdot \frac{\partial \rho_w}{\partial T} \quad (14)$$

201 2.5. Temperature-dependent function of matric suction

202 The matric suction at two different states, namely, the reference temperature (room temperature), and the current
 203 temperature are re-written respectively as follows:

$$204 \quad \frac{\psi_0}{g \cdot \rho_w} = \frac{\sigma_{s0} \cdot \cos \alpha_0}{\rho_{w0} \cdot r_0 \cdot \sqrt{e_0 n_{s0}^{1-\delta} / 6}} \quad (15)$$

$$205 \quad \frac{\psi_T}{g \cdot \rho_w} = \frac{\sigma_{sT} \cdot \cos \alpha_T}{\rho_{wT} \cdot r_T \cdot \sqrt{e_T n_{sT}^{1-\delta} / 6}} \quad (16)$$

206 where ψ_0 = matric suction at the reference temperature, ψ_T = matric suction at the current temperature, r_0 =
 207 particle radius at the reference temperature, r_T = particle radius at the current temperature considering thermal
 208 expansion, e_0 = initial void ratio at the reference temperature, e_T = void ratio at the current temperature
 209 considering thermal volume change, n_{s0} = number of soil particles at the reference temperature, n_{sT} = number of
 210 soil particles at the current temperature, σ_{s0} = air-water surface tension at the reference temperature, σ_{sT} = air-
 211 water surface tension at the current temperature, α_0 = air-water contact angle at the reference temperature, and
 212 α_T = air-water contact angle at the current temperature, ρ_{w0} = water density at the reference temperature, ρ_{wT} =
 213 water density at the current temperature.

214 Dividing Eq. (16) by Eq. (15), the temperature-dependent function of matric suction is derived:

$$215 \quad \psi_T = \psi_0 \times f_\sigma \times f_\alpha \times f_r \times f_e \times f_\rho \quad (17)$$

216
$$f_{\sigma} = \frac{\sigma_{sT}}{\sigma_{s0}} \quad (18)$$

217
$$f_{\alpha} = \frac{\cos \alpha_T}{\cos \alpha_0} \quad (19)$$

218
$$f_r = \frac{r_0}{r_T} \quad (20)$$

219
$$f_e = \sqrt{\frac{e_0}{e_T} \cdot \left(\frac{n_{s0}}{n_{sT}}\right)^{1-\delta}} \quad (21)$$

220
$$f_{\rho} = \frac{\rho_{w0}}{\rho_{wT}} \quad (22)$$

221 where f_{σ} is a surface tension factor that represents the dependence of surface tension on temperature, f_{α} is a
 222 contact angle factor that represents the dependence of air-water contact angle on temperature, f_r is a particle size
 223 factor that represents the dependence of particle radius on temperature, f_e is a void ratio factor that represents the
 224 dependence of void ratio on temperature, f_{ρ} is a water density factor that represents the thermal expansion of the
 225 water phase.

226 Equation (17) emphasizes that an increase in temperature causes the concurrent change of surface
 227 tension, contact angle, particle radius, void ratio, water density leading to the temperature-dependent definition
 228 of matric suction. Another significance of Eq. (17) is allowing to consider the relation between the thermal
 229 expansion of particles and void ratio with matric suction.

230 ***Dependence of surface tension on temperature***

231 Surface tension is defined as the tensile force per unit length of the air-water interface. Almost all
 232 existing models concentrate on considering the relationship between matric suction and temperature through the
 233 function of surface tension. Several studies proposed empirical equations to predict the surface tension with
 234 temperature (Andelfsen and Anderson 1943; Dorse 1940; Harr et al. 1984; Romero et al. 2001; Saito et al. 2006).
 235 Because these equations are empirical in nature and were derived from a previously measured data range, two
 236 main limitations were identified in these expressions. Firstly, these equations were established based on a low
 237 range of temperature between 0°C and 40°C. However, in many geo-environmental applications, the soil may be
 238 exposed to temperatures above 40°C. For example, this is truly the case for geothermal structures with
 239 temperatures up to 60°C (Amatya et al. 2012), engineered barrier systems with temperatures up to 80°C (Imbert
 240 et al. 2005), nuclear waste storage with temperatures up to 100°C (Romero et al. 2001; Delage et al. 2013), and
 241 heat storage with temperatures up to 300°C (Zheng et al. 2015). Another drawback is that almost all available
 242 equations use a linear form to describe temperature-dependent surface tension. However, experimental data
 243 showing surface tension values up to 100°C exists in the literature (Kaye and Laby 1966). Moreover, Vargaftik
 244 et al. (1983) presented other sets of data for the surface tension up to 200°C, which presents a nonlinear form.

245 Therefore, by using the regression analysis technique, the following equation is proposed for the temperature-
 246 dependent function of surface tension:

$$247 \quad \sigma_{sT} = (96.76 - 0.0125 \times T - 0.000238 \times T^2) \times 10^{-3} \quad (\text{N/m}) \quad (23)$$

248 where, T = current absolute temperature in degree Kelvin (K).

249 The surface tension of the air-water interface at the reference temperature is expressed as follows:

$$250 \quad \sigma_{s0} = (96.76 - 0.0125 \times T_0 - 0.000238 \times T_0^2) \times 10^{-3} \quad (\text{N/m}) \quad (24)$$

251 where, T_0 = reference absolute temperature in degree Kelvin (K).

252 **Figure 6** shows a comparison between predicted and measured values of surface tension with
 253 temperature. It is observed that the proposed equation agrees better with the measured data, compared to the
 254 other equations, for the entire range of considered temperature. Other equations agree relatively well with
 255 measured data until 40°C but differ significantly as the temperature increases above this range. As mentioned
 256 earlier, these equations were established from the limited data range of temperature below 40°C, which can be
 257 considered the reason for this error.

258 Replacing **Eqs. (23) and (24)** back into **Eq. (17)**, the surface tension factor f_σ is derived as follows:

$$259 \quad f_\sigma = \frac{96.76 - 0.0125 \times T - 0.000238 \times T^2}{96.76 - 0.0125 \times T_0 - 0.000238 \times T_0^2} \quad (25)$$

260 ***Dependence of contact angle on temperature***

261 **Grant and Salehzadeh (1996)** assumed that a change in interfacial energy equals a change in interfacial
 262 tension. Based on the interfacial energy approach, the following expression is stated:

$$263 \quad -\Delta h = \sigma_{sT} \cdot \cos \alpha_T - T \frac{d(\sigma_{sT} \cos \alpha_T)}{dT} \quad (26)$$

264 where Δh = immersion enthalpy per unit area at temperature T .

265 Rearranging **Eq. (26)**, the expression for the temperature dependence of air-water contact angle can be obtained:

$$266 \quad \frac{d \cos \alpha_T}{dT} = \frac{\cos \alpha_T}{T} - \frac{\cos \alpha_T}{\sigma_{sT}} \cdot \frac{d\sigma_{sT}}{dT} + \frac{1}{T} \cdot \frac{\Delta h}{\sigma_{sT}} \quad (27)$$

267 The solution of the differential equation **(27)** allows the determination of the wetting coefficient as well as the
 268 air-water contact angle as a function of temperature. However, the immersion enthalpy (Δh) is an empirical
 269 parameter that is difficult to measure and has not been adopted widely. Furthermore, the majority of published
 270 enthalpies of immersion were for SiO_2 , which might not be appropriate for other materials (**Grant and**
 271 **Salehzadeh 1996; Bachmann et al. 2002**). It is therefore expected to simplify the calculation problem and limit
 272 the effect of the immersion enthalpy parameter using the largest boundary condition.

273 For the sake of simplicity, Eq. (23) can be re-written as follows:

$$274 \quad \sigma_{sT} = \lambda_1 T^2 + \lambda_2 T + \lambda_3 \quad (28)$$

275 where $\lambda_1, \lambda_2, \lambda_3$ are constants with $\lambda_1 = -0.000238 \times 10^{-3}$; $\lambda_2 = -0.0125 \times 10^{-3}$; $\lambda_3 = 96.76 \times 10^{-3}$

276 At the largest immersion enthalpy per unit area, $\Delta h = \Delta h_{max}$, the change in the wetting parameter approaches
277 zero:

$$278 \quad \frac{d \cos \alpha_T}{dT} = 0 \quad (29)$$

$$279 \quad \frac{d\sigma_{sT}}{dT} = 2\lambda_1 \cdot T + \lambda_2 \quad (30)$$

280 Substituting Eqs. (29) and (30) back into Eq. (26), and rearranging gives:

$$281 \quad \Delta h_{max} = \omega \cdot (\lambda_1 T^2 - \lambda_3) \cdot \cos \alpha_T \quad (31)$$

282 Replacing Eq. (31) into Eq. (27) and conducting an integration procedure for temperature range from T_0 to T , a
283 solution can be derived as follows:

$$284 \quad \cos \alpha_T = \frac{\sigma_{sT} \cdot T_0}{\sigma_{s0} \cdot T - \omega \cdot (\lambda_1 T^2 - \lambda_3) \cdot (T - T_0)} \cos \alpha_0 \quad (32)$$

285 where, ω is interface energy-related coefficient that takes into account the effects of several complicated aspects
286 in plastic clays. It should be noted that coefficient ω was also added to compensate for the above-mentioned
287 simplified assumption. The coefficient ω can be easily calculated by doing calibration with experimental data,
288 which requires at least two data sets corresponding to two different temperatures. Otherwise, ω can be assumed
289 to be 1 for the ideal assumption.

290 Using Eq. (32), the relationship between air-water contact angle and temperature is demonstrated in
291 Figure 7. It is interesting to note that the air-water contact angle increases with increasing temperature under a
292 highly nonlinear relationship. Moreover, it is observed that the increase in air-water contact angle with
293 temperature becomes more significant for the lower initial contact angle. Such an increase is estimated to be
294 from 41% at an initial contact angle of 60° to 441% at an initial contact angle of 15° when the temperature
295 increases from 15°C to 200°C .

296 The wetting coefficient $\cos \alpha_0$ at the reference, the temperature can be determined using Eq. (9). In this
297 equation, the matric suction ψ_T is replaced by the value of matric suction at the reference temperature ψ_0 which
298 is usually measured from laboratory tests.

299 Replacing Eq. (32) back into Eq. (19), the air-water contact angle factor f_α is derived:

$$300 \quad f_\alpha = \frac{(\lambda_1 T^2 + \lambda_2 T + \lambda_3) \cdot T_0}{\sigma_{s0} \cdot T - \omega \cdot (\lambda_1 T^2 - \lambda_3) \cdot (T - T_0)} \quad (33)$$

301 ***Dependence of particle radius on temperature***

302 The matric suction is known as a dependent function of the particle size. The volume of soil particles is
303 considered to expand with increasing temperature, and therefore the particle radius is also changed (Nayak and
304 Preetham 2020). The thermal expansion of a solid particle is considered by the equation below:

305
$$\frac{\Delta V}{V_{s0}} = \alpha_s \cdot \Delta T \quad (34)$$

306 where ΔV = volume increment of the solid particle due to temperature, V_{s0} = original volume of the solid particle
307 at a reference temperature, α_s = coefficient of volumetric thermal expansion of solid particle ($\alpha_s \approx 35 \mu\epsilon/^\circ\text{C}$),
308 ΔT = temperature increment.

309 Considering the soil particles to have a spherical shape, the relation between particle radius and temperature is as
310 follows:

311
$$r_T = r_0 \sqrt[3]{1 + \alpha_s \cdot \Delta T} \quad (35)$$

312 Replacing Eq. (35) into Eq. (20), the particle size factor is derived:

313
$$f_r = \frac{1}{\sqrt[3]{1 + \alpha_s \cdot \Delta T}} \quad (36)$$

314 ***Dependence of void ratio on temperature***

315 The physical properties of soils are influenced by the relative proportions of solid to void phases. The
316 overall considered volume of the soil sample (V) is equal to the sum of the solids (V_s) and void (V_v) volumes, that
317 is:

318
$$V = V_s + V_v \quad (37)$$

319 The solid and void volumes can fluctuate at any temperature, but the overall considered volume is assigned to
320 remain constant. The void volume change caused by temperature variations can therefore result in various void
321 ratios. Because the void volume changes while the considered volume is the same, the number of particles needs
322 to change to compensate for the change in solid volume (Pham et al. 2023b). As a result, the corresponding
323 number of soil particles for each different void ratio must be different. Moreover, by assuming that the pore
324 volume can be approximated by the assemblage of particles, void volume variation (density change) will require
325 the number of soil particles to change. The relationship between the number of soil particles and the void ratio at
326 reference and current temperatures is expressed as:

327
$$n_{s0} = \frac{V}{V_{si}^0} \cdot \frac{1}{1+e_0} \quad (38)$$

328
$$n_{sT} = \frac{V}{V_{si}^T} \cdot \frac{1}{1+e_T} \quad (39)$$

329 Where V_{si}^0 = volume of a soil particle at the reference temperature, V_{si}^T = volume of a soil particle at the current
 330 temperature.

331 Dividing side-by-side of Eq. (38) to (39) gives:

$$332 \quad \frac{n_{s0}}{n_{sT}} = \frac{1+e_T}{1+e_0} \cdot \frac{V_{si}^T}{V_{si}^0} = \frac{1+e_T}{1+e_0} \cdot (1 + \alpha_s \cdot \Delta T) \quad (40)$$

333 Substitute Eq. (40) back into Eq. (21), and the void ratio factor is found as follows:

$$334 \quad f_e = \sqrt{\frac{e_0}{e_T} \cdot \left(\frac{1+e_T}{1+e_0} \cdot [1 + \alpha_s \cdot \Delta T] \right)^{1-\delta}} \quad (41)$$

335 In which,

$$336 \quad e_T = e_0 \pm \Delta e_T \quad (42)$$

337 Where Δe_T = void ratio variation due to temperature, sign (+) is used if the soil is contracted while sign (-) is
 338 used if the soil is dilative by increasing temperature.

339 It should be noted that the significance of parameter δ in Eq. (41) is associated with considering the
 340 effect of actual particle shapes, sizes, and orientations in a natural soil sample. The value of δ can be determined
 341 empirically by calibration for two SWCC tests. Alternatively, Arya and Paris (1981) conducted tests on five
 342 different materials and found that δ is arranged in value from 1.3 to 1.45.

343 On the other hand, it is generally known that soils tend to undergo a volume change when subjected to
 344 temperature change. Demars and Charles (1982) found that the void ratio variation of normally consolidated
 345 soils due to temperature fluctuation is directly related to soil plasticity, in which soils of high plasticity are more
 346 susceptible to thermal volume changes than soils of low plasticity. Based on the collection of test results, Pham
 347 and Sutman (2023) have been proposed an equation that describes the relationship between change in void ratio
 348 due to temperature cycle and plasticity index (PI) as follows:

$$349 \quad \Delta e_T = [10^{-8}(PI)^2 + 3.10^{-6}(PI) + 0.0002](1 + e_0) \cdot \Delta T \quad (43)$$

350 ***Dependence of water density on temperature***

351 Water density is defined as the mass-to-volume ratio of water. The density of water under isothermal
 352 conditions is commonly estimated to be 1000 kg/m³ for most geotechnical engineering applications. However,
 353 due to water volume expansion, the density of water varies with temperature fluctuations. Using the regression
 354 analysis technique of the data from Lide (1995), the closed-form equation for the temperature-dependent
 355 component of water density is expressed as follows:

$$356 \quad \rho_{wT} = (0.6582 + 0.002509T - 0.000004606T^2) \cdot 10^3 \quad (\text{kg/m}^3) \quad (44)$$

357 The water density factor can be obtained by substituting Eq. (44) in (22) as follows:

$$358 \quad f_{\rho} = \frac{\rho_{wT}}{\rho_{w0}} = \frac{0.6582+0.002509T-0.000004606T^2}{0.6582+0.002509T_0-0.000004606T_0^2} \quad (45)$$

359 *2.6 Combination of thermodynamic and interfacial energy theories*

360 It should be noted that the temperature-dependent relationship of matric suction can be solved fully by
361 the combination of Eqs. (17), (25), (33), (36), (41), and (45). This relationship was established using the
362 interfacial energy approach and thermodynamic approach. Compared to several other models in this field, the
363 proposed model has several advantages as stated in this section. Firstly, the surface tension was considered to be
364 the main factor when analysing how temperature affects suction in the current studies (Romero et al. 2001;
365 Salager et al. 2010; Roshani and Sedano 2016). In contrast, this study developed a new nonisothermal model by
366 taking into account the surface tension, air-water contact angle, thermal void ratio, thermal expansion of particles,
367 and water density when analysing the impact of temperature on suction. Secondly, the existing models treated
368 the influence of temperature on surface tension as a linear function, whereas a nonlinear temperature-dependent
369 function of surface tension is presented in this study. Furthermore, some approaches, like the model developed
370 by Vahedifard et al. (2018), required input parameters with the initial contact angle and reference enthalpy of
371 immersion. However, due to time-consuming and sophisticated physical instruments, as argued by Grant and
372 Salehzadeh (1996), it is quite challenging to ascertain these factors. In contrast, the proposed model offered a
373 logical method for calculating the initial contact angle. By applying the solution presented in this paper, a value
374 of α_0 may now be precisely determined rather than being assumed. In particular, the proposed model also
375 demonstrated that the dependence of contact angle on temperature did not relate to the enthalpy of immersion,
376 making the model significantly easier to apply, which can be considered as the four main advantages.

377 Figure 8a demonstrates the contribution of five different components to the reduction of matric suction with
378 temperature. It is noted that the air-water contact angle factor shows the most significant contribution while the
379 particle expansion factor shows less contribution to the overall suction reduction factor. Unfortunately, the
380 majority of the current models ignored the influence of temperature on the air-water contact angle, void ratio,
381 particle size, and water density which possibly leads to an insufficient evaluation of the temperature effect on
382 matric suction. Moreover, the analytical results also indicate a highly nonlinear relationship between the overall
383 suction reduction factor and temperature. It is worth noting that the influence of temperature on matric suction
384 reduction becomes less significant for the temperature range higher than 120°C. This is because the contact
385 angle factor reduces slower with increasing temperature over 120°C. For example, when temperature increases
386 from 10°C to 120°C ($\Delta T = 110^\circ\text{C}$), the matric suction decreases by 70%, or about 0.64 %/°C. Meanwhile, the
387 matric suction only decreases by 20% when the temperature increases from 120°C to 200°C ($\Delta T = 80^\circ\text{C}$), which
388 is approximately 0.25 %/°C.

389 [Figure 8b](#) shows the variation in the void ratio factor with increasing temperature at different plasticity
390 indexes of soils. It is interesting to note that the influence of the void ratio factor is expected to be relatively
391 large with increasing the temperature and plasticity index of soils while it will become less with increasing initial
392 void ratio. The results also show that the void ratio factor changes nonlinearly with temperature, but the
393 nonlinear level depends strongly on the properties of soils. Such when temperature increases from 10°C to
394 200°C, the void ratio factor is estimated to increase by 25% for soil with a plasticity index of 40, and 90% for
395 soil with a plasticity index of 120.

396 [Figure 9](#) shows the temperature-dependent relationship of matric suction with variation in pore size for
397 three different cases considering (1) temperature-dependent surface tension only, (2) two temperature-dependent
398 functions of surface tension and contact angle, and (3) all five temperature-dependent functions of surface
399 tension, contact angle, particle expansion, void ratio, and water density. According to the results, the change in
400 matric suction with temperature is less significant for case 1 where the effects of air-water contact angle, void
401 ratio, thermal expansion of particle, and water were discarded. Accordingly, for a pore size radius of 1 mm, the
402 reduction in matric suction is estimated to be 19.6% with increasing temperature from 15°C to 100°C. In cases 2
403 and 3, where temperature dependence of air-water contact angle, void ratio, and thermal expansion of particle
404 and water is considered, the changes in matric suction with temperature are more significant as compared to case
405 1. For example, for a pore size radius of 1 mm, the reduction in matric suction is estimated to be 64.5% and
406 69.9% for cases 2 and 3, respectively, when the temperature is increased from 15°C to 100°C. The findings
407 emphasize that the effects of air-water contact angle, void ratio, and thermal expansion of particle and water on
408 the variation in matric suction with a temperature increase are more significant while this aspect was ignored in
409 the majority of existing non-isothermal models.

410 **3. Validation of the proposed model**

411 To validate the proposed model, two sets of laboratory test data are selected for comparison in this
412 section. The first data set is from [Uchaipichat and Khalili \(2009\)](#) who tested silty soil for a temperature range of
413 25°C to 60°C. The fundamental properties of the tested soils are as follows: Specific gravity $G_s = 2.65$, dry
414 density $\gamma_d = 1.53 \text{ g/cm}^3$, saturated water content $w = 27.6\%$, and void ratio $e_0 = 0.732$. The second data set is
415 from [Liu et al. \(2020\)](#) who tested clayey-silty sand by applying a temperature range between 10°C and 40°C.
416 The fundamental properties of the tested soils are as follows: Specific gravity $G_s = 2.67$, dry density $\gamma_d = 1.50$
417 g/cm^3 , saturated water content $w = 28\%$, and void ratio $e_0 = 0.75$

418 [Figure 10](#) shows the comparison between predicted and measured matric suction with different
419 temperatures under constant water content for the data set of [Uchaipichat and Khalili \(2009\)](#). It should be
420 emphasized that the proposed formula requires initial reading of matric suction at room temperature. In these
421 data sets, the initial readings of the matric suctions at the reference temperatures were reported for two soil
422 samples at 100 kPa and 300 kPa. The figure shows that the proposed model agrees well with the measured data

423 in predicting the variation in matric suction with temperature. The largest relative errors between predicted and
 424 measured values are 10.1% and 12.9% for matric suctions at reference temperatures of 100 kPa and 300 kPa,
 425 respectively. However, the average relative errors between predicted and measured results for the entire range of
 426 applied temperatures were 4.7%, which yields an excellent agreement between the proposed model and the
 427 measured data.

428 A comparison between predicted and measured values of matric suction with variation in temperature
 429 and saturation degree for the data set of Liu et al. (2020) is presented in Figure 11. This data set is particularly
 430 interesting for comparison because the unsaturated soil samples were tested under a temperature range between
 431 10°C and 40°C for three different saturation degrees ($S = 60\%$, 65%, and 70%). According to the results, the
 432 proposed model matches well with measured data for the different values of temperature and saturation degree.
 433 The average relative errors between predicted and measured values are 2%, 3.7%, and 5.3% for the saturation
 434 degrees of 60%, 65%, and 70%, respectively. It is concluded that the proposed model has a good performance in
 435 predicting the effect of temperature variations on the matric suction of soils.

436 4. Nonisothermal equation for soil-water characteristic curve

437 Several well-known isothermal equations of SWCC are available in the literature for unsaturated soils.
 438 Among these existing equations, the SWCC equation of Fredlund and Xing (1994) was found to show a high-
 439 fitting performance (Leong and Rahardjo 1997; Zapata et al. 2000; Sillers and Fredlund 2001; Pham et al.
 440 2023a). Therefore, the isothermal equation of Fredlund and Xing (1994) is selected to be extended to
 441 nonisothermal conditions. The main refinements are (i) the inclusion of correction factors and (ii) the application
 442 of the temperature-dependent model of matric suction.

443 It should be emphasized that the temperature-dependent matric suction function was established with a
 444 constant volumetric water content (no evaporation). Because of this, the volumetric water content at arbitrary
 445 temperatures is kept constant as that at the reference temperature, while the matric suction changes with
 446 temperature, in order to plot the SWCCs at various temperatures. The non-isothermal equation to predict
 447 SWCCs at different temperatures is thus proposed as follows:

$$448 \begin{cases} \theta_T = \theta_0 = \frac{C_f \theta_s}{(\ln[2.71828 + (\psi_0/a)^n])^m} \\ \psi_T = \psi_0 \times f_\sigma \times f_\alpha \times f_r \times f_e \times f_\rho \end{cases} \quad (46)$$

$$449 C_f = 1 - \frac{\ln(1 + \psi_i/\psi_r)}{\ln(1 + 10^6/\psi_r)} \quad (47)$$

$$450 a = \psi_i \quad (48)$$

$$451 m = 3.67 \ln \left(\frac{\theta_s C_i}{\theta_i} \right) \quad (49)$$

452 where θ_r = volumetric water content at the current temperature, θ_s = saturated volumetric water content, θ_i =
453 volumetric water content at an inflection point on SWCC, β_w = thermal expansion coefficient of water, C_f =
454 correction factor, ψ_i = matric suction at an inflection point on SWCC, ψ_r = matric suction corresponds to the
455 residual volumetric water content, a and m = calculation parameters, n = fitting parameter which controls the
456 slope of the SWCC.

457 The proposed model, however, mainly focused on the influence of matric suction without taking into
458 account osmotic suction because matric suction contributes significantly greater to the shear strength of soils
459 compared to osmotic suction. Additionally, the reference SWCC at room temperature must first be known in
460 order to estimate the SWCC at any temperature. To plot the reference SWCC, laboratory tests must be
461 performed at room temperature. Lastly, this study did not take into account the impact of thermally induced
462 vapour diffusion.

463 **5. Experimental validation of nonisothermal SWCC equation**

464 In this section, the validation of the proposed SWCC equation is evaluated by conducting a comparison
465 with published experimental results in the literature. The degree of curve match is considered a key criterion to
466 evaluate the accuracy of the proposed SWCC equation (Pham 2020b; Pham and Dias 2021; Pham et al. 2023).
467 The degree of curve match is defined as the agreement degree between measured and predicted results for a total
468 number of considered points. It is assessed by the normalized sum of squared error (SSE) as follows:

$$469 \quad SSE = \sum_{i=1}^N \left(\frac{\psi_{measured} - \psi_{predicted}}{\psi_{measured}} \right)^2 \quad (50)$$

470 where $\psi_{measured}$ = measured suction corresponding to the volumetric water content of i^{th} data, $\psi_{predicted}$ =
471 predicted suction of i^{th} data, N = total number of data available.

472 **5.1 Summary of experimental data sets for comparison**

473 For validation purposes, four well-documented experimental data sets corresponding to several different
474 soil types were selected in this study. Salager et al. (2010) presented interesting test results for clayey-silty sands,
475 which were compacted in order to obtain a dry density of 1.5 g/cm³. The data of SWCC was presented under two
476 different temperatures (20°C and 60°C). The second study is the experimental campaign of Romero et al. (2001)
477 applied to swelling clay. Two soil samples with different densities (loose and dense soils) were tested at
478 temperatures of 22°C and 80°C. The third experiment selected for comparison is by Laloui et al. (2013). Tests
479 were performed on hard clay specimens with a high density of 2.06 g/cm³ under temperatures of 21°C and 80°C.
480 The last one is the experimental outcomes of Roshani and Sedano (2016). They conducted tests on two different
481 soil types, namely, Unimin silica sand and superfine sand. The measured data of SWCC was reported for three
482 different temperatures (4°C, 20°C, and 49°C). A summary of tested soil properties in the selected models is
483 presented in Table 1.

484 To predict the soil-water characteristic curve at any temperature, the SWCC at the reference temperature
485 is required in advance. The procedure to draw the SWCC at the reference temperature was presented in the
486 previous section. [Table 2](#) shows the fundamental parameters used to draw the SWCC for the examined soils at a
487 reference temperature. Among all fundamental parameters, only the fitting-parameter n is varied to control the
488 shape of SWCC while other remaining parameters can be derived from the measured data or estimation
489 equations.

490 *5.2 Comparison outcomes for swelling clays*

491 [Figure 12a](#) shows a comparison between the predicted and measured SWCC of the loose swelling clay
492 sample for two different temperatures. It is observed that the proposed equation generates the SWCC that
493 matched well with measured data in describing the relationship between matric suction and volumetric water
494 content. The normalized sum of squared error (SSE) between predicted and measured values at 20°C and 80°C
495 were only 2.7% and 3%, respectively. It is also worthy to note that almost all measured points are located very
496 close to the predicted SWCC for temperatures of 22°C and 80°C. It can be concluded that the proposed equation
497 produces a good performance in predicting the SWCC with temperature for loose swelling clay.

498 A comparison between the predicted and measured SWCC of the dense swelling clay is shown in [Figure](#)
499 [12b](#). It is noted that the results obtained from the proposed SWCC equation are in good agreement with the
500 experimental data. The majority of the measured data points locate closely to the predicted SWCC. The
501 normalized sum of squared error between predicted and measured values at 22°C and 80°C were 3.2% and 4.3%,
502 respectively. It is also observed that the SWCC slope of dense soil ([Fig. 12b](#)) is significantly larger than the one
503 of loose soil ([Fig. 12a](#)). Denser soils generally producing a higher suction than loose soils at the same degree of
504 saturation can be considered as a reason behind this observation.

505 *5.3 Comparison outcomes for hard clays*

506 [Figure 13](#) shows a comparison between the predicted and measured SWCC of the hard clay. It is
507 observed that the predicted SWCC passes through the majority of measured data points, which yields an
508 excellent match between the proposed model and experimental data. At the temperature of 80°C, the measured
509 points distributed close to the predicted SWCC yield the conclusion that the proposed model shows a good
510 performance in predicting matric suction with temperature change. According to the results, the SSE between
511 predicted and measured values at 21°C and 80°C were 3.1% and 3.8%, respectively. It has been deduced from
512 the experimental data that the residual point was reached at a very high value of matric suction which is much
513 larger than the range suggested by [Fredlund and Xing \(1994\)](#). These results may derive from the fact that the
514 tested soils were hard clay with very high density which is different from normally consolidated clayey soils
515 tested previously.

516 *5.4 Comparison outcomes for clayey-silty sand*

517 [Figure 14a](#) demonstrates the measured and predicted SWCC of clayey-silty sand. An excellent
518 agreement is observed between measured data and the predicted SWCC for both temperatures. It should be noted
519 that the distribution of measured points is nearly on the predicted curves. It is therefore concluded that the
520 proposed model demonstrated a good performance in predicting the change of SWCC with the temperature. The
521 results indicate that the SSE between predicted and measured values at 20°C and 60°C were 0.89% and 1.1%,
522 respectively. It is obvious that the prediction performance of the proposed model for clayey-silty sand is better as
523 compared to swelling clays. Furthermore, the experimental results also showed that temperature has a significant
524 influence on the matric suction of soils and generally the matric suction decreases with increasing temperature.
525 A good agreement between the proposed model and measured data is also observed for the ceramic material, as
526 shown in [Figure 14b](#). The value of SSE, in this case, was only 2.25% which reveals a good prediction
527 performance of the proposed model.

528 ***5.5 Comparison outcomes for sands***

529 [Figure 15a](#) compares the measured and predicted SWCC of Unimin silica sand for three different
530 temperatures (4°C, 20°C, and 49°C). At a temperature of 4°C, the proposed best-fit curve matched well with
531 measured data when the majority of measured points are very close to the predicted curve. At temperatures of
532 20°C and 49°C, it is observed that the majority of measured points matched well with the predicted SWCC curve.
533 The proposed model, therefore, shows a good performance in predicting the effect of temperature on SWCC.
534 According to the results, the SSE between predicted and measured values are 2.7%, 6.3%, and 13.4% at 4°C,
535 20°C, and 49°C, respectively.

536 [Figure 15b](#) shows a comparison between the measured and predicted SWCC of super fine sand. The
537 results obtained from the proposed equation are in satisfactory agreement with measured data for three different
538 temperatures (4°C, 20°C, and 49°C). It is observed that most of the measured points locate close to the predicted
539 SWCC, which yields a good prediction performance of the proposed model. The comparison results indicate that
540 the SSE values between predicted and measured curves at 4°C, 20°C, and 49°C are 8.4%, 6.6%, and 6.5%,
541 respectively. It has also been found from experimental results that the temperature has a significant effect on
542 SWCC as a considerable difference in the soil suctions at 4°C and 49°C is observed. Furthermore, it is also
543 worthy to note that the residual state is not observed on the SWCC of the super-fine sand while it was readily
544 detected on the SWCC of Unimin silica sand.

545 ***5.6 Performance evaluation of proposed model***

546 A summary of the average normalized sum of squared error (SSE) values, which represents the matching
547 degree between predicted and measured curves among seven experimental cases, is presented in [Table 3](#). It is
548 observed that all selected cases demonstrated SSE values under 10% which yields a good agreement between the
549 predicted model and measured data. Another finding obtained is that the predicted results for sands are more
550 sensitive than that for clays. A significantly lower suction range for sands compared to one of the clays can be

551 considered a potential reason behind larger SSE values. It is observed from the comparison outcomes that when
 552 the suction range increased, the SSE values often decreased. Figure 16 shows a comparison between the
 553 measured and predicted volumetric water contents for 152 test points. It is interesting to note that the majority of
 554 comparison points are very close to the linear line, which indicates an excellent performance of the proposed
 555 model in predicting the SWCC with temperature variation. It is therefore concluded that the proposed model for
 556 nonisothermal SWCC remains an effective method to be considered for unsaturated soils.

557 The performance of the proposed model is verified further by conducting a comparison with three
 558 existing models. The first selected model is proposed by Grant and Salehzadeh (1996) and improved by
 559 Vahedifard et al. (2018) later. The second model is one proposed by Salager et al. (2010) and the last one is the
 560 model of Roshani and Sedano (2016). Figure 17 shows the comparison between the proposed model and three
 561 existing models for 6 different soil types. It can be observed that the three existing models underpredict
 562 significantly in almost all cases. It is noted that the model of Grant and Salehzadeh (1996) is relatively sensitive
 563 to initial contact angle value and immersion enthalpy which are often determined empirically. However, it is
 564 generally difficult, and expensive to conduct tests for measuring these parameters. Meanwhile, the effect of
 565 temperature on SWCC is quite small in the models of Salager et al. (2010), and Roshani and Sedano (2016). This
 566 can be explained because both these models considered the effect of temperature on SWCC through only surface
 567 tension function while all factors were neglected. Consequently, the effect of temperature on SWCC was
 568 significantly underpredicted in these models. The results also indicate that the proposed model has a better
 569 performance as compared to other existing models.

570 **6. Model application to the resistance analysis of energy piles**

571 *Simplified analytical approach*

572 The nonisothermal SWCC model will be extended to apply to the resistance analysis of energy piles in
 573 this section. One of the notable features of energy piles is that their temperature differs from that of the
 574 surrounding soil, causing water to migrate away from the pile. This behavior may result in unsaturated soil zones
 575 along the length of the energy pile, which must be considered in bearing resistance calculations. Due to the
 576 presence of groundwater, however, the unsaturated zone does not extend the entire length of the pile. Instead,
 577 both saturated and unsaturated zones exist in most circumstances. In this scenario, the pile body can be divided
 578 into segments according to the soil layers to compute the bearing resistance of the energy pile. Figure 18 shows a
 579 typical schematic with shear resistance distribution at various depths. It is worth noting that the soil zone above
 580 the groundwater level with length L_u is unsaturated, whilst the soil zone below the groundwater level with length
 581 L_s is saturated. The total length of the pile is $L = L_u + L_s$.

582 The ultimate bearing capacity of an energy pile at an arbitrary temperature T can be written as follows:

$$583 \quad (Q_{ult})_T = (Q_f)_T + (Q_b)_T - (W_p)_T = (Q_{fs} + Q_{fu})_T + (Q_b)_T - (W_p)_T \quad (51)$$

584 Where Q_{ult} = ultimate bearing capacity; Q_f = total skin resistance; Q_{fs} = skin resistance along saturated zone;
 585 Q_{fu} = skin resistance along unsaturated zone; Q_b = end-bearing resistance; W_p = weight of the pile. It is noted
 586 that the subscript "T" refers to the corresponding variable at the present temperature T

587 The skin resistance can be evaluated using the β -method, which is based on effective stress analysis
 588 (Pham 2022b). As a result, skin resistance is influenced by the accuracy of effective stress prediction of soils.
 589 Pham and Sutman (2022b) proposed an effective stress equation for both saturated and unsaturated soils that
 590 took into account the particle contact area ratio, which is therefore used for the analysis of energy pile in this
 591 study.

592 **Friction resistance of pile in saturated zone**

593 Because the behaviour of the soil-structure interface is quite similar to one of the fundamental soils
 594 surrounding the pile surface, it is frequently easier to calculate the shear strength of the pile-soil interface by
 595 multiplying the soil shear strength with the friction interaction coefficient that represents the effect of surface
 596 roughness. The skin resistance of the energy pile at the original temperature T_0 therefore can be predicted by:

$$597 \quad Q_{fs} = \sum_{i=1}^j C_i \cdot L_i \cdot \beta_i \cdot \sigma'_{is} = \sum_{i=1}^j C_i \cdot L_i \cdot \beta_{is} \cdot (\sigma_{is} - u_w \cdot [1 - D]) \quad (52)$$

$$598 \quad \beta_i = K \cdot \tan \delta_i = (1 - \sin \varphi') \cdot OCR^{0.5} \cdot \tan \delta_i \quad (53)$$

599 Where C_i = perimeter of pile section i , L_i = length of pile section i , β_i = interface resistance factor of soils within
 600 saturated zone, K = static earth pressure coefficient, OCR = over-consolidation ratio, u_w = pore-water pressure
 601 ($u_w = \gamma_w \cdot z_{iw}$), φ' = friction angle of soils surrounded by pile section i , δ_i = interfacial effective friction angle
 602 ($\delta_i \approx 0.8\varphi' - 0.95\varphi'$), σ_{is} and σ'_{is} = total and effective stress of soils respectively at the center of section i
 603 within the saturated zone, D = particle contact area ratio ($D \cong 0.05 - 0.15$).

604 It should be noted that the temperature of the surrounding soil varies in response to the temperature
 605 inside the pile. As a result, total skin friction at the pile-soil interface comes from interface shear stress induced
 606 by self-weight of soil and an additional stress imposed on by the pile's radial thermal expansion/contraction
 607 (σ_{rT}). The temperature variation is therefore expected to have an influence on the skin resistance of pile-soil
 608 interface through variation in friction coefficient (β_i), thermal expansion of pile material ($C_i \cdot L_i$), the pile-soil
 609 radial thermal stresses (σ_{rT}), and effective stress (σ'_{is}). Thus, the skin resistance of energy pile within saturated
 610 zones can be expressed as follows, taking into account the influence of temperature T :

$$611 \quad (Q_{fs})_T = \sum_{i=1}^j C_{iT} \cdot L_{iT} \cdot \beta_{iT} \cdot \left[(\sigma_{is})_T - u_{wT} \cdot (1 - D_T) + \frac{\sigma_{rT}}{\beta_{iT}} \tan \delta_{iT} \right] \quad (54)$$

$$612 \quad \beta_{iT} = (1 - \sin \varphi'_T) \cdot (OCR)_T^{0.5} \cdot \tan \delta_{iT} \quad (55)$$

613 Where σ_{rT} = pile-soil radial interface stress induced by radial thermal expansion/contraction of the pile

614 *Temperature dependency of friction coefficient*

615 It is noted that the friction coefficient is influenced by the friction angle (φ'_T), the roughness coefficient
 616 (δ_{iT}), and the over-consolidation ratio $(OCR)_T$. But numerous laboratory studies have demonstrated that
 617 temperature has a negligible impact on the friction angle (Yavari et al. 2016; Di Donna et al. 2016; Yazdani et al.

618 2019; Masoodi et al. 2020). While some researchers discovered that the pre-consolidation pressure (or OCR)
 619 decreases as temperature increases (Sultan et al. 2002; Laloui and Cekerevac 2004; Abuel-Naga et al. 2007). The
 620 dependence of OCR on temperature is thus taken into account in the proposed approach, and the impact of
 621 temperature on the pile-soil interface was indirectly integrated. The OCR at arbitrary temperature is defined as
 622 follows:

$$623 \quad (OCR)_T = \frac{p_{cT}}{\sigma'_z} = (OCR)_{T_0} \times \exp\left(-3 \frac{1+e_0}{\lambda-\kappa} \alpha_p \Delta T\right) \quad (56)$$

624 Where p_{cT} = pre-consolidation pressure, σ'_z = overburden effective stress, κ = swelling index, λ = compression
 625 index, e_0 = initial void ratio, α_p = is a material parameter, having the unit of a thermal dilation coefficient ($1/^\circ\text{C}$)
 626 and was determined as $\alpha_p = 10^{-4}$ by Picard (1994).

627 *Thermal expansion of pile materials*

628 Because the pile often expands or contracts due to the temperature difference ($\Delta T = T - T_0$), the
 629 perimeter and length of pile section i at the temperature T considering thermal characteristics is shown as
 630 follows:

$$631 \quad C_{iT} = C_i \cdot (1 + \alpha_c \Delta T) = \pi d_p \cdot (1 + \alpha_c \Delta T) \quad (57)$$

$$632 \quad L_{iT} = L_i \cdot (1 + \alpha_c \Delta T) \quad (58)$$

633 Where α_c = coefficient of thermal expansion/contraction of concrete ($10 \mu\text{e}/^\circ\text{C}$) and ΔT is the net change in
 634 temperature of the pile, d_p = pile diameter.

635 *Temperature dependency of additional radial thermal stress*

636 The pile–soil radial contact stresses, σ_{rT} , resulting from the radial thermal expansion/contraction of the
 637 pile could be estimated using a cavity expansion analysis as follows (Faizal et al. 2018):

$$638 \quad \sigma_{rT} = \frac{E_s \cdot \Delta r}{(1+\nu_s) \cdot r} \quad (59)$$

639 Taking into account a change in pile volume ΔV_T caused by a change in temperature ΔT as follows:

$$640 \quad \Delta V_T = \pi L_0 [r_T^2 \cdot (1 + \alpha_c \Delta T) - r_0^2] \quad (60)$$

641 Using the thermal volumetric expansion relationship, meanwhile, results in:

$$642 \quad \Delta V_T = V_T - V_0 = \pi L_0 r_0^2 [3\alpha_c \Delta T] \quad (61)$$

643 Combining Eqs. (60) and (61) gives:

$$644 \quad \Delta r = r_T - r_0 = \sqrt{\frac{1+3\alpha_c \Delta T}{1+\alpha_c \Delta T}} - 1 \quad (62)$$

645 Returning Eq. (62) to Eq. (59) results in:

$$646 \quad \sigma_{rT} = \frac{E_s}{(1+\nu_s)} \left(\sqrt{\frac{1+3\alpha_c \Delta T}{1+\alpha_c \Delta T}} - 1 \right) \quad (63)$$

647 It should be noted that there may be variations in the temperature distribution along with the pile depth. The
 648 temperature variation of the pile-soil interface can be calculated by: $\Delta T = T(z) - T_0$ where $T(z)$ = temperature
 649 of pile-soil interface at a depth z ; and T_0 = initial temperature of pile-soil interface. Based on several experiment

650 results, the temperature variation with pile depth could be described by a linear relationship (Singh et al. 2015;
 651 Wang et al. 2015; Fang et al. 2020) or nonlinear relationship (Caulk et al. 2016) although field measurement or
 652 numerical simulation are required to establish this relationship. In the absence of measurement data, it is possible
 653 to assume that the temperature distribution and pile length will remain constant (Bourne-Webb et al. 2009).

$$654 \quad (\Delta T)_z = (\Delta T)_{z=0} \cdot (pz + q) \quad \text{for linear function} \quad (64a)$$

655 or

$$656 \quad (\Delta T)_z = (\Delta T)_{z=0} \cdot (pe^z + q) \quad \text{for nonlinear function} \quad (64b)$$

657 Where $(\Delta T)_z$ = temperature variation of pile-soil interface at depth z , $(\Delta T)_{z=0}$ = temperature variation of pile-
 658 soil interface at ground surface, p and q = correlated coefficients

659 *Temperature dependency of total stress*

660 It is well known that as temperature rises, the void ratio of soil and the density of water tends to change.
 661 As a result, it was possible to recalculate the total stress $(\sigma_{is})_T$ at the specified temperature T that was applied to
 662 the center of pile section i within the saturated zone as follows:

$$663 \quad (\sigma_{is})_T = \gamma_{zw} + \gamma_{sat}(z_i - z_w) = \left(\frac{G_s + S \cdot e_T}{1 + e_T} \right) \gamma_{wT} \cdot z_w + \left(\frac{G_s + e_T}{1 + e_T} \right) (z_i - z_w) \cdot \gamma_{wT} \quad (65)$$

664 Where, G_s = specific gravity, γ = bulk unit weight of water, γ_{sat} =saturated unit weight, γ_{wT} = water unit weight
 665 at temperature T ($\gamma_{wT} = g \cdot \rho_{wT}$), g = gravity acceleration, ρ_{wT} = water density at temperature T , e_0 and e_T =
 666 void ratio at original temperature T_0 and arbitrary temperature T , respectively, z_{iw} = depth from groundwater
 667 table to center of pile section i within the saturated zone, z_i = depth from ground surface to center of pile section
 668 i . It is noted that the change of void ratio with temperature can be calculated by using Equation (42) while the
 669 change of water density (ρ_{wT}) with temperature can be determined using Eq. (44).

670 *Temperature dependency of pore-water pressure*

671 The pore-water pressure (u_{wT}) at various temperatures is consequently different because of the variation
 672 in water density with temperature, and may be expressed as follows:

$$673 \quad u_{wT} = (0.6582 + 0.002509T - 0.000004606T^2) \cdot g \cdot (z_i - z_w) \quad (66)$$

674 Furthermore, it should be noted that fine-grained soils, particularly saturated clays, may behave under
 675 undrained conditions. Due to the differential expansion of the pore water and soil particles, heating saturated
 676 clays cause the formation of excess pore water pressure. It is a crucial scenario to be considered as the generation
 677 of thermally induced excess pore water pressure frequently results in a reduction in effective stress. Using the
 678 principles of thermoelasticity, Campanella and Mitchell (1968) developed a theoretical method to calculate the
 679 generation of excess pore water pressure in a sample of saturated soil during undrained heating. The pore water
 680 pressure at an arbitrary temperature under undrained conditions is calculated by the following equation:

$$681 \quad u_{wT} = (0.6582 + 0.002509T - 0.000004606T^2) \cdot g \cdot z_{iw} + \Delta u_T \quad (67)$$

$$682 \quad \Delta u_T = \frac{n\Delta T \cdot (\alpha_s - \alpha_w) + \alpha_{st}}{m_v + n \cdot m_w} \quad (68)$$

683
$$m_v = \frac{1}{1+e_0} \cdot \frac{\kappa}{p'} = \frac{1}{E'} \quad (69)$$

684 Where, Δu_T = the change in pore water pressure due to temperature difference ΔT , n = porosity, m_v =
 685 coefficient of volume compressibility of the solid skeleton, m_w = coefficient of volume compressibility of pore
 686 water, p' = mean effective stress, E' = Young's modulus, α_s = volumetric thermal expansion coefficient of
 687 mineral solids, α_w = volumetric thermal expansion coefficient of pore water ($\approx 170 \mu\epsilon/^\circ\text{C}$), α_{st} =
 688 physicochemical coefficient of soil structure volume change, which can be determined using the empirical
 689 equation proposed by [Ghaaowd et al. \(2017\)](#) as follows:

690
$$\alpha_{st} = 10^{-4} \cdot e^{-0.014PI} \quad (70)$$

691 *Temperature dependency of particle contact area ratio*

692 On the other hand, the particle contact area ratio D_T at temperature T in Equation (54) can be calculated
 693 according to the proposed equation by [Pham and Sutman \(2022b\)](#) as follows:

694
$$D_T = D \cdot \left(\frac{1+e_0}{1+e_T} \right)^{2/3} \quad (71)$$

695 ***Friction resistance of pile in unsaturated zone***

696 For the unsaturated soil zone (L_u), the skin resistance of the energy pile at the present temperature T can
 697 be predicted by:

698
$$(Q_{fu})_T = \sum_{i=1}^j C_{iT} \cdot L_{iT} \cdot \beta_{iT} \cdot \left\{ (\sigma - u_a) + \psi_T \cdot [\theta_T + S_T \cdot (1 - \theta_T) + D_T] + \frac{\sigma_{rT}}{\beta_{iT}} \tan \delta_{iT} \right\}_i \quad (72)$$

699 To use the proposed model, the degree of saturation and the corresponding matric suction at different
 700 temperatures are determined as a first step. It should be noted that in this work, ψ_T and S_T are obtained by using
 701 [Eq. \(46\)](#).

702 *Temperature dependency of volumetric water content*

703 It is also worthy to note that in many cases, there may occur a change in water content due to
 704 evaporation or infiltration. As a first step, [Eq. \(42\)](#) is applied to plot SWCCs at various temperatures. The actual
 705 volumetric water content accounting for evaporation must then be recalculated at each chosen temperature. The
 706 corresponding matric suction is then determined using the interpolation technique. It is highlighted that
 707 evaporation-related changes in the volumetric water content of soil could be calculated using the temperature-
 708 based energy balance theory ([Milly, 1984; Qiu et al. 1999](#)). The following simple linear relationship, on the
 709 other hand, was proposed in response to the results of several other researchers' experimental programs ([She and
 710 Sleep 1998; Grifoll et al. 2005](#)):

711
$$(\theta_T)_{eva} = \theta_{T=293} - a_T \cdot (T - 293) \quad (73)$$

712 Where $(\theta_T)_{eva}$ = volumetric water content at temperature T after considering evaporation, $\theta_{T=293}$ = volumetric
 713 water content at room temperature ($T = 293 \text{ K}$), a_T = an empirical constant that can vary with specific soil under
 714 consideration ($a_T \approx 0.004 \pm 0.002$).

715 *Temperature dependency of hydraulic conductivity*

716 On the other hand, the matric suction and degree of saturation for various depths may vary due to
 717 hydraulic gradient and the impact of flow rate. For one-dimensional vertical liquid water flow in isotropic and
 718 homogenous materials, the matric suction variation with depth can be described by using Darcy's law as follows:

$$719 \quad q_s = -k_u \left(\frac{\partial \psi}{\gamma_w \cdot \partial z_{iw}} + 1 \right) \quad (74)$$

720 Where q_s = steady vertical fluid flow rate or flux density function ($q_s = 0$ for hydrostatic, $q_s > 0$ for evaporation,
 721 $q_s < 0$ for infiltration), k_u = hydraulic conductivity.

722 The hydraulic conductivity of unsaturated soils (k_u) can be related to the hydraulic conductivity of saturated soil
 723 (k_s) by following equation (Gardner 1958; Constantz 1982; Tindall et al. 1999):

$$724 \quad k_u = k_s \cdot e^{\psi_{AEV} \times \psi} \quad (75)$$

$$725 \quad k_s = \frac{k_{in} \times \gamma_{wT}}{\eta_T} \quad (76)$$

726 Where, ψ_{AEV} = air-entry value, k_{in} = intrinsic permeability that is assumed to be dependent only on pore
 727 structure and geometry, η_T = water viscosity. The water viscosity varies with temperature as follows (Lide
 728 1995):

$$729 \quad \eta_T = (0.2601 + 1.517 \times e^{-0.034688 \times (T-273)}) \times 10^{-3} \quad (77)$$

730 By extending the analytical solution provided by Lu and Griffiths (2004), the suction variation in unsaturated
 731 soil layers is derived for various flow rates as follows (Thota et al. 2021).

$$732 \quad (\psi)_{q_s} = \frac{\gamma_{wT}}{\psi_{AEV}} \ln \left[e^{-\psi_{AEV} \cdot z_i} + \frac{q_s}{k_s} \cdot (e^{-\psi_{AEV} \cdot z_i} - 1) \right] \quad (78)$$

733 The effect of flow rates ($q_s \neq 0$) on SWCC can be integrated by replacing Eq. (78) in Eq. (46), which gives:

$$734 \quad \begin{cases} \theta_T = \theta_0 = \frac{c_f \cdot \theta_s}{(\ln[2.71828 + (\psi_0/a)^n])^m} \\ \psi_T = \frac{\gamma_{wT}}{\psi_{AEV}} \ln \left[e^{-\psi_{AEV} \cdot z_i} + \frac{q_s}{k_s} \cdot (e^{-\psi_{AEV} \cdot z_i} - 1) \right] \times f_\sigma \times f_\alpha \times f_r \times f_e \times f_\rho \end{cases} \quad (79)$$

735 ***End bearing resistance of energy pile***

736 In the simplified form, the end bearing capacity is computed using the same formula as the bearing
 737 capacity of shallow footings. Equation (80) should be used if the soil at the base pile is saturated, while Equation
 738 (81) should be used if the soil at the base pile is unsaturated.

$$739 \quad (Q_b)_T = [(\sigma_{is})_T - u_{wT} \cdot (1 - D_T)] \cdot N_q \cdot A_{bT} + c_T \cdot N_c \cdot A_b \quad (80)$$

$$740 \quad (Q_b)_T = (\sigma - u_a) \cdot N_q \cdot A_{bT} + (c_T + \psi_T \cdot [\theta_T + S_T \cdot (1 - \theta_T) + D_T]) \cdot N_c \cdot A_{bT} \quad (81)$$

741 In which,

$$742 \quad N_q = e^{\pi \tan \phi'_r} \cdot \tan^2(45^\circ + \phi'_T/2) \quad (82)$$

$$743 \quad N_c = 2(N_q - 1) \cdot \cot \phi'_T \quad (83)$$

$$744 \quad A_{bT} = \frac{\pi d_p^2}{4} \cdot (1 + \alpha_c \Delta T)^2 \quad (84)$$

745 Where, A_{bT} = the cross-sectional area of the pile base considering thermal expansion/contraction, c_T = cohesion
746 of soils at temperature T , N_b and N_c = bearing capacity factors of soil at pile base.

747 ***Discussion of proposed method***

748 According to the above analysis, pile resistance will decrease if the pile is not undrained or if the water
749 content remains constant when the temperature increases (i.e., there is no evaporation). Otherwise, the pile
750 resistance can be increased by raising the temperature under different conditions.

751 [Thota et al. \(2021\)](#) recently presented a calculation method for resistance analysis of energy piles. However, the
752 main focus of their model was to estimate the energy pile resistance by taking the impact of temperature
753 variation on suction into account. This model is therefore limited to energy pile in unsaturated soils. The
754 proposed model, in contrast to the model of [Thota et al. \(2021\)](#), evaluates the energy pile resistance by
755 correlating the variation in (i) shear strength and (ii) pile expansion with temperature. Specifically, the
756 relationship between shear strength and temperature was examined using six components: Suction (ψ_T),
757 undrained pore-water pressure under heating (u_{wT}), water density (ρ_{wT}), friction coefficient (β_{iT}), hydraulic
758 conductivity (K_{wT}), and particle contact area ratio (D_T). Thus, the temperature-dependent suction was only a
759 component that effects on the resistance of pile. Meanwhile, the expansion of pile with temperature are
760 considered through the thermal expansion in both longitudinal and radial directions. As a result, the proposed
761 model is therefore applicable to energy pile in both saturated and unsaturated soils, which must be practical
762 where groundwater table may arise above the pile base. On the other hand, [Liu et al. \(2022\)](#) recently presented a
763 simple method for the analysis of a single energy pile, in which the thermal expansion theory of the pile was
764 simply employed to evaluate the impact of temperature on the pile-soil interface. The relationship between soil
765 behaviour and temperature, however, was not investigated. The proposed method clearly displays a more
766 comprehensive performance for the analysis of energy piles because it takes into account the effect of
767 temperature on interface through both soil behaviour and thermal expansion of the pile.

768 **7. Model validity for analysis of energy piles**

769 ***Summary of experimental data sets***

770 In order to validate the analytical model, the test data must include some important components, such as SWCC,
771 skin or end-bearing resistance, and the ultimate bearing capacity of the energy piles. Even though there have
772 been some reported experimental studies of energy piles in unsaturated soils ([Behbehani and McCartney 2022](#);
773 [Sani and Singh 2020](#); [Akrouch et al. 2016](#); [You et al. 2016](#)), these studies mainly concentrated on the thermal
774 response and efficiency of the energy piles without providing SWCC and bearing capacity information of piles.
775 Because there is a limitation of field test data on the ultimate bearing capacity of energy piles in unsaturated soils
776 at various temperatures, the proposed model is validated for unsaturated soils using data from several scaled-
777 model tests. Additionally, due to the suitability of the proposed method for the analysis of energy piles in both

778 saturated and unsaturated soils, a case study of a field test on the ultimate carrying capacity of energy piles in
779 saturated soil is also taken into consideration for comparison.

780 The first data set is obtained from model tests by [Gu et al. \(2014\)](#) to assess the effect of temperature on
781 the resistance of piles in clayey soil using a modified micropenetrometer. The variation in skin resistance, end-
782 bearing resistance, and total resistance at various temperatures and degrees of saturation are thoroughly
783 presented, this case is thus helpful for comparison. It is also interesting that the water content is maintained at a
784 constant level as temperature increases from 20°C to 50°C. This situation is obtained by placing a thin top cover
785 on the surface of the specimen and fixing by bars in order to prevent evaporation. As a result, the effect of
786 temperature on the resistance of the pile at different degrees of saturation was observed. It should be noted that
787 this model was built up with roughly 100 probes, each sized 3mm in diameter. In order to ensure an
788 approximation to the realistic situation, the pile dimension of the prototype is calculated by converting the size of
789 100 probes into an equivalent pile diameter by scaling the parameter in the scaled model 100 times. As a result,
790 the dimensions of 0.3 m in diameter and 10 m in length were used in the theoretical calculation. The second data
791 set selected for comparison is from centrifuge tests performed by [Goode and McCartney \(2015\)](#). The semi-
792 floating energy pile in the scaled model was 342.9 mm in length and 63.5 mm in diameter, which was embedded
793 in a layer of unsaturated Bonny silt. This is equivalent to a prototype energy pile with an 8.2 m length and 1.5 m
794 diameter when scaled by a centripetal acceleration of 24g. To examine the output of the model, the prototype
795 dimensions were employed. The initial dry unit weight and water content of the silty layer were both uniform.
796 The load-settlement curves were then measured for various temperatures of 21°C, 32°C, and 40°C. These data
797 sets are very useful when the variation in water content with temperature was measured. It should be noted that
798 the ultimate bearing capacity in both model tests is assessed under no-flow conditions ($q = 0$), as the initial
799 suction of compacted soil in the model tests is uniform with depth. The field experiment results for the case
800 study of an energy pile in saturated soils, on the other hand, were reported by [Sutman et al. \(2019\)](#). For different
801 temperatures, mobilized skin resistance along the piles was reported. Two test piles, named TP-1 and TP-3
802 selected for comparison in this study. Each pile penetrated 9 m into the clay layer, and the remaining portion
803 rested on a dense sand layer. The main difference between the two test piles is that TP-1 is free at the head and
804 TP-3 has a maintained mechanical load during induced temperature variations. As a result, the locations of the
805 null point (NP), which is defined as the location of zero thermal displacements were different for the two piles.
806 For TP-1 and TP-3, the NP location was 8.7 and 5.7 meters, respectively. It should be noticed that the mobilized
807 shaft resistance of the pile part above the NP point is frequently represented by a negative sign to indicate that
808 this pile portion is upwards and a positive sign for the pile portion beneath the NP point to indicate that this pile
809 portion is downwards. The design parameters used in calculation using the analytical method for three test
810 models are summarized in [Table 4](#).

811 ***Comparison with model test in clayey soils***

812 It should be noted that to use the proposed model for unsaturated soils, the degree of saturation at different
813 references must be reported. The influence of temperature on the SWCC of clayey soils is depicted in [Figure 19a](#).
814 As the temperature increases, the SWCC shifts significantly. It should be noted that the nature of matric suction
815 is greatly influenced by the water viscosity, which decreases as temperature rises. As a result, as the temperature
816 rises, the matric suction of soils reduces. [Figures 19b-d](#) depict the comparison between predicted and measured
817 results of skin resistance, end-bearing resistance, and total resistance, respectively. It can be observed that the
818 present method produces a good agreement with experimental data and shows a better performance than the
819 model of [Thota et al. \(2021\)](#) in predicting pile resistance with various temperatures. This is due to the fact that
820 the [Thota et al. \(2021\)](#) model only took into account the temperature dependence of suction and ignored all other
821 aspects. The pile resistance reduced with rising temperature, according to both experimental and analytical
822 results, implying that increasing the temperature decreases the structural strength of the soil. It should be noted
823 that the soil samples were controlled in the model test by [Gu et al. \(2014\)](#) to best restrict the reduction of water
824 content by water evaporation. In reality, the soils in every model were managed to maintain constant water
825 content. After the experiments, the water contents of samples taken from various depths were measured,
826 confirming the change in water content was minimal. Because water content remains constant while the matric
827 suction decreases with an increase in temperature, the shear strength of soils is decreased with a temperature
828 increase. As a result, the bearing capacity of the pile may be decreased with increasing temperature for a given
829 degree of saturation, which is in good agreement with some previous studies ([Guo et al. 2020](#); [Fuentes et al.](#)
830 [2016](#); [Zhang et al. 2012](#); [Uchaipichat, and Khalili 2009](#)). Furthermore, when the degrees of saturation were
831 smaller, the rate of decrease in resistance with increasing temperature was higher. It is also worth noting that
832 rising temperature might cause water migration, resulting in lower water content and higher matric suction.

833 ***Comparison with model test in silty soils***

834 The predicted SWCCs for Bonny silt at different temperatures are shown in [Figure 20a](#). The SWRC
835 shifts lower as the temperature is increased. This implies that the matric suction will decrease with increasing
836 temperature at a given degree of saturation, and the degree of saturation will decrease at a given matric suction.
837 However, it was also reported for this test model that there had been a change in water content with an increase
838 in temperature ([Behbehani and McCartney, 2020](#)). The corresponding matric suction is obtained by using the
839 nonisothermal SWCCs for various temperatures. The degree of saturation and matric suction relate to three
840 distinct temperatures, which are represented by point A ($S = 0.595$, $\psi = 20$ kPa) for $T = 21^\circ\text{C}$, point B ($S = 0.539$,
841 $\psi = 30$ kPa) for $T = 30.5^\circ\text{C}$, and point C ($S = 0.493$, $\psi = 45$ kPa) for $T = 38^\circ\text{C}$). The results of the resistance
842 analysis of an energy pile at various temperatures are shown in [Figure 20b](#). The measured and predicted values
843 of the ultimate bearing capacity of the pile in unsaturated Bonny silt in relation to temperature change show a
844 reasonable agreement. Additionally, it is also observed that the ultimate bearing capacity of energy piles in
845 unsaturated silt increases when the temperature at the soil-pile interface rises. Although matric suction reduces
846 with rising temperature for a given degree of saturation, the simulated decrease in water content does, however,
847 result in greater matric suction. As a result, the ultimate bearing capacity of the pile increases with increasing
848 temperature. This tendency is contrary to what was observed in the first test model in unsaturated clays. This is

849 because water content was designed to be constant in the test model by [Gu et al. \(2014\)](#) whereas the test model
850 of [Goode and McCartney \(2015\)](#) showed that water content decreased as temperature increased.

851 *Comparison with field test in clays*

852 [Figure 21\(a\)](#) and [\(b\)](#) show, respectively, the predicted and measured mobilized skin resistance over
853 different depths of TP-1 and TP-3 during the heating. It has been found that as the temperature changes, the skin
854 resistance mobilization above and below the NP depth is different. The predicted and measured results showed a
855 good agreement. The comparison of calculated and measured total skin resistance is shown in [Figure 21c](#). It has
856 been observed that the analytical method performs well in forecasting the variation of skin resistance of energy
857 piles with temperature. It is also observed that the overall skin resistance increased with increasing temperature
858 for both piles TP-1 and TP-3. However, the increase in overall skin resistance with the temperature of TP-1 is
859 higher than that of TP-3. As previously mentioned, the NP position was deeper than that of TP-3 because TP-1
860 was heated without any mechanical stress on the pile head. Additionally, while the head of TP-1 was free, the
861 mechanical load limited the thermal expansion of TP-3.

862 **7. Conclusion**

863 The discussion of a simplified method for bearing capacity analysis of energy piles integrating
864 temperature-dependent model of soil-water characteristic curve was presented in this study. Several conclusions
865 are summarized as follows:

866 An analytical model has been presented for predicting the influence of temperature on the matric suction
867 in this paper. The proposed model has been established from the combination of five temperature-dependent
868 functions: surface tension, air-water contact angle, void ratio, thermal expansion of particles, and water density
869 which were often neglected or were not solved fully in existing models. In particular, the proposed model has the
870 advantage over previous models in that it is simple and does not require the use of many empirical parameters to
871 get the solution.

872 The results obtained from the proposed models showed that the matric suction depends significantly on
873 the temperature and usually decreases with a temperature increase. It was observed that the air-water contact
874 angle has the most important and sensitive influence on the soil suction reduction with increasing temperature. It
875 is also found that the air-water contact angle increases nonlinearly with an increase in temperature, with a
876 decrease in matric suction and particle radius of the unsaturated soils.

877 A new soil-water characteristic curve equation was also presented in this paper by the extension of the
878 [Fredlund and Xing \(1994\)](#) equation to introduce a nonisothermal model. The main refinements were the
879 inclusion of a “correction” factor C_f , and the application of the temperature-dependent model of matric suction.
880 The proposed model can be integrated into analytical methods or numerical simulations to consider the thermal-
881 hydro-mechanical behaviour of unsaturated soils.

882 A comparison of the proposed model outcomes with the measured results for several published
883 experimental studies was conducted to investigate the validation of the proposed model. The statistical analysis
884 results showed a very good agreement between predicted and measured values, emphasizing a good performance
885 of the proposed model in predicting the temperature effect on matric suction variation and the soil-water
886 characteristic curve of unsaturated soils. The proposed model also shows a higher performance as compared to
887 the existing models.

888 The nonisothermal SWCC model was coupled with the bearing resistance theory to provide a simplified
889 method for analysis and design of energy pile. The proposed method is therefore applicable to energy pile in
890 both saturated and unsaturated soils. **Due to the fact that the validity of the proposed SWCC model was
891 demonstrated up to 200°C, whereas the temperature controlled in test models is still below 80°C. As a result, the
892 suggested model is promising for both in-situ test models and laboratory tests.**

893 The analytical model agrees with experimental observation that when the temperature increases for a
894 specific degree of saturation or if the soil behaves in an undrained condition, the pile resistance decreases.
895 Additionally, when the degree of saturation was smaller, the rate of resistance decreased with the temperature
896 increase was faster. However, as the temperature rises, water evaporation could cause a decrease in water
897 content and an increase in matric suction. As a result, as soils get dryer, pile resistance will increase as
898 temperature increases.

899 **Data Availability Statement**

900 All data, models, and code generated or used during the study appear in the submitted article.

901 **Acknowledgments**

902 The financial support from the research project, James Watts provided by Herriot-Watt University, UK is greatly
903 grateful and acknowledged

904 **Abbreviation**

905 AEV = Air-entry value
906 OCR = over-consolidation ratio
907 NP = null point
908 SWCC = soil-water characteristic curve
909 SWRC = soil-water retention curve
910 SSE = normalized sum of squared error
911

912 **Notation**

913 The following symbols are used in this paper:

914 a_T = evaporation-related empirical constant (dimensionless),
915 A_b = cross-sectional area of the pile base (m^2),
916 c_T = cohesion of soils at temperature T (Pa),
917 C_i = perimeter of pile section i (m),

918	C_f	= correction factor (dimensionless),
919	d_p	= pile diameter (m),
920	D	= disturbance function at reference temperature (dimensionless),
921	E'	= Young's modulus (N/m ²),
922	e_0	= initial void ratio at reference temperature (dimensionless),
923	e_T	= void ratio at the current temperature (dimensionless),
924	f_σ	= temperature-dependent surface tension factor (dimensionless),
925	f_α	= temperature-dependent contact angle factor (dimensionless),
926	f_r	= temperature-dependent particle size factor (dimensionless),
927	f_e	= temperature-dependent void ratio factor (dimensionless),
928	f_ρ	= temperature-dependent water density factor (dimensionless),
929	g	= gravity acceleration (m/s ²),
930	G_s	= specific gravity (dimensionless),
931	k_u	= hydraulic conductivity of unsaturated soil (kg.s/m ³),
932	k_s	= hydraulic conductivity of saturated soil (kg.s/m ³),
933	k_{in}	= intrinsic permeability (H/m),
934	K	= static earth pressure coefficient (dimensionless),
935	m_v	= coefficient of volume compressibility of the solid skeleton (m ² /N),
936	m_w	= coefficient of volume compressibility of pore water (m ² /N),
937	n_s	= number of soil particles (dimensionless),
938	n_{s0}	= number of soil particles at reference temperature (dimensionless),
939	n_{sT}	= number of soil particles at current temperature (dimensionless),
940	n	= porosity (dimensionless),
941	PI	= plasticity index (dimensionless),
942	N	= total number of data available (dimensionless),
943	L	= total pile length (m),
944	L_i	= length of pile section i (m),
945	L_u	= pile length of unsaturated soil zone (m),
946	L_s	= pile length of saturated soil zone (m),
947	u_a	= air-pore pressure (Pa),
948	u_w	= water-pore pressure (Pa),
949	p'	= mean effective stress (Pa),
950	q_s	= steady vertical fluid flow rate or flux density function (m ³ /s),
951	Q_{ult}	= ultimate bearing capacity (Pa),
952	Q_f	= total skin resistance (Pa),
953	Q_{fs}	= skin resistance along saturated zone (Pa),
954	Q_{fu}	= skin resistance along unsaturated zone (Pa),
955	Q_b	= end-bearing resistance (Pa),
956	R	= meniscus curvature (m),
957	r	= particle radius (m),
958	r_i	= mean pore radius (m),
959	r_0	= particle radius at reference temperature (m),
960	r_T	= particle radius at current temperature (m),
961	T_0	= reference temperature (K),
962	T	= current temperature (K),
963	z_{iw}	= depth from groundwater (m),
964	V_{si}^0	= volume of a soil particle at reference temperature (m ³),
965	V_{si}^T	= volume of a soil particle at current temperature (m ³),
966	V_s	= solid volume (m ³),
967	V_v	= void volume (m ³),
968	V	= total volume of a soil sample (m ³),
969	V_{s0}	= original volume of solid particle at reference temperature (m ³),

970	W_p	= weight of the pile (N),
971	β_i	= friction coefficient of soil-structure interface (dimensionless),
972	φ'	= friction angle of soils surrounded by pile (degree),
973	δ_i	= interfacial effective friction angle (degree),
974	σ_{is}	= total stress (Pa),
975	σ'_{is}	= effective stress (Pa),
976	η_T	= water viscosity (Pa.s)
977	α	= air-water contact angle (degree)
978	α_T	= air-water contact angle at current temperature (degree),
979	α_0	= air-water contact angle at reference temperature (degree),
980	α_c	= coefficient of thermal expansion/contraction of concrete ($\mu\epsilon/^\circ\text{C}$),
981	α_p	= a thermal dilation coefficient of soils ($\mu\epsilon/^\circ\text{C}$),
982	α_s	= coefficient of volumetric thermal expansion of solid particle ($\mu\epsilon/^\circ\text{C}$),
983	α_w	= volumetric thermal expansion coefficient of pore water ($\mu\epsilon/^\circ\text{C}$),
984	α_{st}	= physicochemical coefficient of soil structure volume change ($\mu\epsilon/^\circ\text{C}$),
985	δ	= empirical constant (dimensionless),
986	ω	= interface energy-related coefficient (dimensionless),
987	θ_T	= volumetric water content at current temperature (dimensionless),
988	θ_s	= saturated volumetric water content (dimensionless),
989	θ_i	= volumetric water content at an inflection point on SWCC (dimensionless),
990	σ_s	= air-water surface tension (N/m),
991	σ_{s0}	= air-water surface tension at reference temperature (N/m),
992	σ_{sT}	= air-water surface tension at current temperature (N/m),
993	σ_{sa}	= interfacial tension along with solid-air interface (N/m),
994	σ_{sw}	= interfacial tension along with solid-water interface (N/m),
995	γ_w	= unit weight of water (kg/m^3),
996	ρ_w	= water density (kg/m^3),
997	ρ_{w0}	= water density at reference temperature (kg/m^3),
998	ρ_{wT}	= water density at current temperature (kg/m^3),
999	λ	= compression index (dimensionless),
1000	κ	= swelling index (dimensionless),
1001	ψ	= matric suction in soils (Pa),
1002	ψ_{AEV}	= air-entry value (Pa),
1003	ψ_0	= matric suction at reference temperature (Pa),
1004	ψ_T	= matric suction at current temperature (Pa),
1005	ψ_i	= matric suction at an inflection point on SWCC (Pa),
1006	ψ_r	= matric suction corresponds to residual volumetric water content (Pa),
1007	ψ_{measured}	= measured suction (Pa),
1008	$\psi_{\text{predicted}}$	= predicted suction (Pa),
1009	Δe_T	= void ratio variation due to temperature change (dimensionless),
1010	Δh	= immersion enthalpy per unit area at temperature T (J/m^2),
1011	Δu_T	= change in pore water pressure due to temperature difference (Pa),
1012	ΔT	= temperature increment (K),
1013	ΔV	= volume increment of solid particle due to temperature (m^3),
1014	$\cos \alpha$	= wetting coefficient (dimensionless),
1015		

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