Resonant modulational instability and self-induced transmission effects in semiconductors

Citation for published version:

Digital Object Identifier (DOI):
10.1103/PhysRevB.85.075201

Link:
Link to publication record in Heriot-Watt Research Portal

Document Version:
Publisher's PDF, also known as Version of record

Published In:
Physical Review B: Condensed Matter and Materials Physics

General rights
Copyright for the publications made accessible via Heriot-Watt Research Portal is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy
Heriot-Watt University has made every reasonable effort to ensure that the content in Heriot-Watt Research Portal complies with UK legislation. If you believe that the public display of this file breaches copyright please contact open.access@hw.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.
Resonant modulational instability and self-induced transmission effects in semiconductors: Maxwell-Bloch formalism

Oleksii A. Smyrnov and Fabio Biancalana
Nonlinear Photonic Nanostructures Group, Max Planck Institute for the Science of Light, Günther-Scharowsky Straße 1/26, D-91058 Erlangen, Germany

(Received 25 October 2011; revised manuscript received 17 January 2012; published 6 February 2012)

The nonlinear optical properties of semiconductors near an excitonic resonance are investigated theoretically by using the macroscopic J model [Östreich and Knorr, Phys. Rev. B 48, 17811 (1993); 50, 5717 (1994)] based on the microscopic semiconductor Bloch equations. These nonlinear properties cause modulational instability of long light pulses with large gain and give rise to a self-induced transmission of short light pulses in a semiconductor. By an example of the latter well-studied effect, the validity of the used macroscopic model is demonstrated, and good agreement is found with both existing theoretical and experimental results.

DOI: 10.1103/PhysRevB.85.075201 PACS number(s): 42.65.Tg, 42.65.Sf, 42.50.Md, 71.35.—y

I. INTRODUCTION

The generation of coherent light at frequencies that are not easily reachable by lasers, and resonant but almost lossless soliton-like light pulse propagation in a semiconductor are remarkable and useful optical phenomena. It has been recently shown that the first effect can be, in particular, realized by using the modulational instability (MI) of a long light pulse (continuous wave) propagating in a semiconductor and resulting in the parametric growth of equidistant spectral sidebands. The second effect, the so-called self-induced transmission (SITm), has been demonstrated both numerically and experimentally for short intense light pulses. Both of these effects originate from the exchange Coulomb exciton-exciton interaction, which results in the nonlinear interplay of a semiconducting medium with a light pulse spectrally centered near the excitonic resonance.

Like most of the nonlinear optical phenomena in semiconductors, the mentioned effects can be investigated theoretically by using the microscopic semiconductor Bloch equations (SBEs), which, however, are rather complicated for both an analytical and a numerical treatment and require large computational facilities, being based on a many-body formalism. To obtain any relatively simple analytical results, either the limiting behavior of SBEs (Refs. 9 and 10) or the approximate but macroscopic models of these equations have to be considered. One of such macroscopic models, the so-called J model, was proposed in Refs. 9 and 11 where it was demonstrated that the model sufficiently well reproduced results obtained by numerical solution of the full set of microscopic SBEs for a certain range of incident light pulse intensities. Here, we apply this model to study the mentioned optical effects. Unlike a previous paper, where we have investigated MI and solitary waves formation in semiconductors by using just a single nonlinear equation for the semiconductor polarization, the present formalism also describes the dynamics of electron-hole density (or equivalently, of the so-called inversion) in a semiconductor and, thus, does not have the restrictions (i.e., weak excitation condition) of the model of Ref. 1.

The structure of the present paper is as follows. On the basis of a macroscopic analog of SBEs developed within the J model and coupled to Maxwell’s equations for the electromagnetic field of the incident pulse, we derive the system of governing equations (Sec. II). Then, we use this system to analyze the stability of a long light pulse with regard to small perturbations. As a result, we have found a strong MI of the pulse spectrum with a very large gain. Also, all the results were confirmed by a direct numerical simulation of the system of governing equations without additional approximations (Sec. III). To demonstrate the validity of the used model, we also have applied it to describe the well-studied SITm effect. After performing the slowly varying envelope approximation (SVEA), both the analysis of the governing equations and their numerical simulations revealed good agreement with known theoretical and experimental results (Sec. IV). CdSe was selected as a representative material in this paper because it was a semiconductor utilized in known experiments on SITm.

II. MACROSCOPIC SEMICONDUCTOR MAXWELL–BLOCH EQUATIONS

In Refs. 9 and 11, it has been demonstrated that, in the coherent regime and for certain conditions, the complete system of microscopic SBEs (Refs. 7 and 8) can be sufficiently well approximated by a set of equations for macroscopic variables that are much more suitable for an analytical treatment. Such macroscopic variables are the dimensionless complex envelope $p$ of the polarization field $P(z,t) = \{p(z,t)\exp[ikz - i\omega t] + \text{c.c.}\}/2$ and the dimensionless inversion $w(z,t) = 2N_{eh}(z,t) - 1$ of a semiconductor, where $N_{eh}$ is the normalized electron-hole density ($N_{eh} \in [0,1]$). Here, it is assumed that light is either guided or polarized, and $z$ is the longitudinal coordinate. In complex form, this set of equations can be given as

$$\begin{align*}
\frac{\partial p}{\partial t} &= -i[\Delta p + Jp(w + 1) + \Omega w], \\
\frac{\partial w}{\partial t} &= 2i[\Omega p^* - \Omega^* p],
\end{align*}$$

where $\Delta = \omega_s - \omega$ defines the detuning of the pulse central frequency $\omega$ from the 1s-exciton resonance $\omega_s$, $\Omega = d_{cv}E/\hbar$ is the Rabi frequency, while $E$ is the complex envelope of electric field $E(z,t) = \{E(z,t)\exp[ikz - i\omega t] + \text{c.c.}\}/2$, and $d_{cv}$ is the interband dipole matrix element. $J$ is a phenomenological model parameter, which originates from the exchange (renormalization) terms of SBEs. In the low-excitation regime,
in which $\Omega \ll \omega_0$ ($\omega_0$ is the 1s-exciton binding frequency). $J$ was obtained on a microscopic level and was defined in Ref. 9. Within the unscreened jellium model, one finds $J = 13 \omega_0/3$.

We assume that the use of this definition of $J$ in system (1) is well justified, at least, for the low-excitation regime. This is the so-called J model, and it has been shown\textsuperscript{11} that it reproduces results obtained by numerical solution of the full system of microscopic SBEs in the range of incident pulse intensities, which corresponds to the condition $\Omega \ll \omega_0$. A system similar to set (1) also was obtained from SBEs in Ref. 12. However, here, we prefer to use the formalism of the J model, in which, unlike Ref. 12, the electric field is considered separately from the exchange (renormalization) terms of SBEs, which, in turn, are included in the model parameter.\textsuperscript{9}

System (1) is more general than the nonlinear equation for the macroscopic polarization field previously used in Ref. 1 to investigate nonlinear optical properties of semiconductors. Set (1) can be reduced to the previous case without inversion\textsuperscript{1} in the low-excitation regime under the well-known approximation $w \simeq -1 + 2|p|^2$. For $J = 0$, set (1) is equivalent to the optical Bloch equations (OBEs),\textsuperscript{13} which govern nonlinear light propagation in systems of independent two-level atoms. A more detailed discussion on a limiting behavior of system (1) solutions will be given in Sec. IV.

Obviously, system (1) is coupled to the Maxwell equations for the electric and magnetic fields, $\mathcal{H}(z,t) = [\mathcal{H}(z,t) \exp(i kz - i\omega t) + c.c.]/\sqrt{2}$. Written for envelopes without any additional approximations and in a proper dimensionless form together with set (1), they are the governing equations in this paper:

$$\begin{align*}
\frac{\partial \psi}{\partial t} & = (i\omega - \delta \gamma)(\psi + \lambda p) - ik'\eta, \\
\frac{\partial \eta}{\partial t} & = (i\omega - \delta \gamma\eta - ik'\psi), \\
\frac{\partial p}{\partial t} & = -i(\Delta' - i\gamma'\eta)p + J'p(w + 1) + \psi w, \\
\frac{\partial w}{\partial t} & = 2[i(\psi p^* - \psi^* p) - \gamma'\psi^*](w + 1).
\end{align*}$$

(2)

In the literature, such systems are referred to as the semiconductor Maxwell-Bloch equations (SMBEs). Here, we have performed the next scalings and redefinitions: $\eta = \eta_0/H_0$, $\psi = \Omega_0$, $x = z/\omega_0$, $T = t/\omega_0$, $\omega = \omega_0$, $k' = k$, $z_0$, $\Delta' = \Delta_0$, $J' = J_0$, $\omega = \epsilon_0/n$, $H_0 = \hbar n/(\epsilon_0 c_0)$, $\lambda = \delta t_0$, $\gamma' = \gamma t_0$, $\gamma' = \gamma t_0$, $c$ is the velocity of light in a vacuum, $n$ is the nonresonant background refractive index. $\delta = 2\epsilon_0^2/(\pi \epsilon_0 c_0^2)$ is the photon-exciton coupling parameter, which naturally appears in Maxwell’s equations after transition to the indicated dimensionless variables, $\epsilon_0 = n^2$ is the bulk background dielectric constant, $\epsilon_0$ is the vacuum permittivity, and $\omega_0$ is the 1s-exciton Bohr radius. Parameter $\delta$ defines the width of the forbidden frequencies region in the dispersion relation of a photon-exciton coupled state.\textsuperscript{8} Here, the exciton damping $\gamma$ and relaxation $\gamma'$ parameters are introduced phenomenologically, and $t_0$ is an arbitrary time-scaling parameter, which is chosen properly in the following sections.

### III. MODULATIONAL INSTABILITIES

In analogy with Ref. 1 using SMBEs (2), we perform the MI analysis\textsuperscript{2}—we analyze the linear stability of a long light pulse propagating in a semiconductor with respect to small perturbations. For this purpose, we substitute the perturbed fields and polarization envelopes $\{\psi; p\}(x,T) = [\{\psi_0; p_0\} + \{a; b\}(x,T)] \exp[iqx]$ as well as the perturbed inversion $w(x,T) = w_0 + d(x,T)$ into system (2). After that, we define the small perturbations as $\{a; b\}(x,T) = [a_1; g_1; b_1] \exp[ikx - i\delta T] + [a_2; g_2; b_2] \exp[i\delta T - ik'x]$, while $d(x,T) = 2d_0 \exp[ikx - i\delta T]$ because the inversion is a real function. Then, requiring that system (2) is solvable, we obtain, within the first-order perturbation theory,\textsuperscript{2} the dispersion relation $\kappa(\delta)$ for perturbations:

$$\begin{vmatrix}
(\omega' + \delta)^2 - (q + \kappa)^2 & 0 & \lambda(\omega' + \delta) & 0 & 0 \\
0 & (\omega' - \delta)^2 - (q^* - \kappa)^2 & 0 & \lambda(\omega' - \delta) & \delta/2 \\
p_0^2 & -p_0 & -\psi_0^* & \psi_0 & 0 \\
w_0 & 0 & \Delta' + J'(w_0 + 1) - \delta & 0 & J'p_0 + \psi_0 \\
0 & w_0 & 0 & \Delta'' + J'(w_0 + 1) + \delta & J'p_0^* + \psi_0^*
\end{vmatrix} = 0, \quad (3)
$$

where $q = \omega'[1 - \lambda w_0/[\Delta' + J'(w_0 + 1)]]^{1/2}$, $\kappa$ is the perturbation wave number, and $\delta$ is the relative perturbation frequency. By using the relation between the steady-state amplitudes $p_0 = -\psi_0 w_0/[\Delta' + J'(w_0 + 1)]$ and a conservation law $w^2 + 4p^2 = 1$, which follows from system (1), one can obtain a fourth-order algebraic equation for $w_0$:

$$\begin{align*}
(w_0^2 - 1)[\Delta' + J'(w_0 + 1)]^2 + 4w_0^2|\psi_0|^2 = 0.
\end{align*}$$

(4)

This defines $w_0$ for given $\psi_0$ and system parameters. Among its solutions, we select the real ones (either two or four): $w_0 \in (-1; 1)$. In most of the cases, there are only two real solutions—a negative and a positive one, and they correspond to a semiconductor, which was excited relatively weakly or strongly before the incident pulse arrival. However, of course, for certain combinations of parameters, there exist four different real solutions, each of which corresponds to a different excitation regime. Therefore, setting certain incident pulse intensity and detuning and choosing one of the mentioned excitation regimes, we completely determine the dispersion relation (3) as all the other parameters are defined by a medium. It is important to note, that, as in Ref. 1, the excitonic damping is naturally taken into account in Eq. (3) by a proper final redefinition $\Delta' \rightarrow \Delta' - i\gamma'$. After this, the steady-state amplitudes and parameter $q$ also become complex.

---

**References:**

1. OLEKSII A. SMYRNOV AND FABIO BIANCALANA, PHYSICAL REVIEW B 85, 075201 (2012)
where we only demonstrate how the change in \(w\) Eq. (3) for resonant modulational instability and self-induced transparency in semiconductors.

Increase when all four solutions of Eq. (4) are real, one of them usually depending on the selected parameters, the opposite behavior of these resonant peaks, which are strongly affected by the nature of the incident pulse central frequency \(\omega\) shifted essentially farther from \(\omega\) of fourth order in \(x\), is discussed in Ref. 1. A possibility to vary \(J^*\) due to screening effects is shown in Sec. IV, whereas, here, we only demonstrate how the change in \(J^*\) can influence the MI gain spectrum [compare Figs. 1(a) and 1(b)]. Increase in \(J^*\) can lead to a shift of MI gain peaks farther from the incident pulse central frequency \(\omega'\) and to their slight gain enhancement [Fig. 1(a)]. In Figs. 1(c) and 1(d), for a fixed \(J^*\) (and other parameters), we compare the MI gain spectra in different excitation regimes. In the regime of a preexcited semiconductor [\(w_0 \leq 1\), Fig. 1(d)], the MI gain peaks are shifted essentially farther from \(\omega'\) than those in the case of the initially unexcited medium [\(w_0 \geq -1\), Fig. 1(c)]. However, depending on the selected parameters, the opposite behavior also is possible. It also is worth mentioning that, in the case when all four solutions of Eq. (4) are real, one of them usually corresponds to a regime with zero gain—the pulse is stable with respect to small perturbations.

To confirm results of the mentioned analysis, we also have performed direct numerical simulations of the full system (2) by using a fourth-order Runge-Kutta algorithm with the following initial conditions: \(\psi(x = 0, T) = \eta(x = 0, T) = \psi(x = 0, T) = 0\), and \(w(x = 0, T) = -1\), what means that the medium is not excited before the field arrival [see Fig. 2(a)]. A comparison with the respective analytical prediction on the MI gain maxima positions indicates excellent agreement [Fig. 2(b)]. The regime of a preliminarily excited medium also was realized numerically by using the initial condition \(w(x = 0, T) \lesssim 1\) and demonstrated qualitatively similar spectral evolution in accordance with the analytical prediction on the sidebands positions. At this point, for calculations, we should specify the representative material, which, in this paper, is CdSe. For this semiconductor at low temperatures (e.g., 8 K in Ref. 5 and 2 K in Ref. 14), at which excitonic effects are essential:\(^{5,14}\) \(\hbar\omega_X = 1835\), \(\hbar\omega_h = 15\), \(\hbar\tilde{a} = 1\), and \(\hbar\gamma_X = 0.265\) meV, \(\gamma_I < \gamma_X\) according to Ref. 14, \(a_0 \approx 5.32\) nm, \(d_{\text{cv}}/\varepsilon \approx 0.343\) nm, and \(\varepsilon_B = 9\). Now, we choose \(\delta_0 = 1/\tilde{a} \approx 0.67\) ps and, for simulations, remove a large number of \(\omega' \sim \omega_X/\tilde{a}\) from system (2) by multiplying its first two equations by \(\lambda/\omega'\) and performing a space rescaling \(z_0 \rightarrow z_0\lambda/\omega' \sim c/(n\omega) \approx 0.04\) \(\mu\)m. From Fig. 1, in accordance with this scaling, one can estimate, as in Ref. 1, the semiconductor MI gain \(\sim 100\) \(\mu\)m\(^{-1}\), which is huge in comparison with that of, for example, silica optical fibers (\(\sim 10^{-1}\) km\(^{-1}\)).

It is interesting to note that a MI gain spectrum qualitatively similar to the considered ones can be obtained even for \(J = 0\) (the case of OBEs),\(^{13}\) something that was impossible in the model of Ref. 1.

**IV. SELF-INDUCED TRANSMISSION SOLITONS**

To demonstrate the validity of the used macroscopic model, we now apply it to a well-studied nonlinear optical effect. The SITm effect in semiconductors\(^{3}\) is an analog of the known self-induced transparency (SIT) effect in idealized systems of two-level atoms.\(^{13,15}\) Although both of them consist in almost

![Graph](image_url)
lossless soliton-like propagation of short (subpicosecond in semiconductors) light pulses in a resonant medium, they essentially differ, what is discussed in detail, for example, in Refs. 3–6. Here, we only briefly remind that, due to strong influence of Coulomb exchange interactions between the Wannier excitons in semiconductors, which are not inherent to electrons in atomic systems, the Rabi frequency of the incident field approximately doubles\(^{16}\) in a semiconducting medium when it is about \(\Omega \approx \omega_0\).\(^{8,11}\) In particular, this results in a substantial deviation from the so-called area theorem, which is known from the theory of a light pulse propagation in systems of two-level atoms and mainly states that, only for an incident pulse of a phase \(\theta = 2 \int_{-\infty}^{\infty} \psi (dT)_{x=0}^1\) equal to \(2\pi\) the SIT effect takes place—pulse is stable during the propagation. A pulse with an area, which is an integer multiple of \(2\pi\), should undergo a breakup into \(2\pi\) pulses.\(^{13}\) Such phenomena also have been found in semiconductors but for pulses with area \(\theta \approx \pi\) and their respective multiples.\(^{5,6,17}\) Such experimental and numerical observations (based on microscopic SBEs) have never been explained in clear physical terms in the literature—from which one of the motivations of the present paper originates.

Here, we demonstrate the latter effect using system (2), changing the variable \(T = x/V\) \((V\) is the dimensionless pulse group velocity) and applying the SVEA.\(^2\) SMBEs (2) transform in this way into the system:

\[
\begin{align*}
\partial_T \psi &= -ip, \\
\partial_T \rho &= i[(\Delta' + i\gamma)\rho + J'(w + 1) + \psi \omega], \\
\partial_T \omega &= 2i(\rho^* \psi - \psi^* \rho - \gamma'(w + 1)),
\end{align*}
\] (5)

where we set \(i_0 = \sqrt{\alpha}\) and \(\alpha = 2/(2i\omega)^{-1}(1/V - 1) > 0\) as \(V < 1.5\).\(^{15}\) Following Ref. 18, where light propagation in a dense medium of interacting dipoles has been investigated by using a system analogous to set (5), we briefly reproduce an exact solution of Eqs. (5) in the coherent limit \((\gamma_s, \gamma) \approx 0\). Evidently, when using the first equation in set (5), the last one becomes integrable, and hence, \(w = -1 + 2|\psi|^{2}\). Therefore, the whole set (5) reduces to a single equation for \(\psi\) only:

\[\partial_T^2 \psi + i(\Delta' + 2J'|\psi|^3)\partial_T \psi + (2|\psi|^2 - 1)\psi = 0.\]

Looking for its solution in the form \(\psi(\tau) = \tilde{\psi}(\tau)\exp[i\phi(\tau)], \tilde{\psi} \equiv |\psi|\), we finally come to a couple of equations:

\[\begin{align*}
\partial_T^2 \tilde{\psi} + A \tilde{\psi} + B \tilde{\psi}^3 - C \tilde{\psi} &= 0, \\
\partial_T \tilde{\psi} &= -\Delta'(\tau) - J'(\tau) \int_{-\infty}^{\tau} \tilde{\psi}^2 d\tau,
\end{align*}\] (6a, b)

where \(A \equiv 3J'^2/4, B \equiv \Delta'^2 + 2,\) and \(C \equiv 1 - \Delta'^2/4\). Equation (6a) contains the so-called cubic-quintic nonlinearity; it is integrable and has a solitonic solution:\(^{19}\)

\[\tilde{\psi} = \frac{2\sqrt{C/B}}{(1 + \sqrt{1 + 16AC/(3B^2)})\cosh[2\sqrt{C\tau}]^{1/2}}.\] (7)

The parameter space for this soliton is \(|\Delta'| \approx 2\) and \(|\Delta'| \approx -2/2|J'|—it can form only if the incident pulse is centered spectrally very close to the excitonic resonance. The area of soliton (7) \(\theta = 2 \int_{-\infty}^{\infty} \tilde{\psi} d\tau\) tends to \(2\pi\) only if \(J' \rightarrow 0\) and \(\tilde{\psi} \rightarrow \sqrt{C}\text{sech}[\sqrt{C}\tau]\), otherwise, almost for the whole range of parameters \(\theta_j < 2\pi\) (see Fig. 3). This, in accordance with known theories\(^{4,17}\) and experiments,\(^{5,6}\) clearly demonstrates within the used model that exchange Coulomb interactions between excitons, from which parameter \(J'\) actually originates,\(^9\) can reduce the area of a SITm soliton in a semiconductor [see Fig. 3(d); an explanation on why \(J\) can vary is given further in this section].

To support the above-mentioned analytical conclusions, we also have performed direct numerical simulations of system (5) after returning to the \(x, T\) variables and by employing a numerical algorithm similar to that described in Sec. III. In Fig. 4(a), a propagating pulse of a doubled area of \(\theta_j \approx 2\pi\) [parameters are given in Fig. 3(a)] undergoes a breakup in two well-separated pulses of areas \(\theta_j \approx 2\pi\) and \(\approx \pi\) [see Fig. 4(b)], what is in good accordance with the prediction on a SITm soliton shape and area \(\approx 1.1\) given by Eq. (7) [compare with Figs. 3(a) and 3(d)]. Also, we should notice that the soliton shown in Fig. 3(c) \((\Delta' = -1, J' = 1)\) was unstable under the propagation.

Now, in order to perform a more detailed limiting analysis of solution (7) and to obtain some quantitative estimates, we return to the microscopic definition of model parameter \(J\), which, as we have already mentioned, is given in Ref. 9 \((J = \beta_{1/2})\), for formula 12 for the low-excitation regime \(\Omega \ll \omega_0\). In regimes of stronger excitation, for higher electron-hole densities, the screening of interparticle Coulomb interactions in a semiconductor becomes important.\(^7\) Here, we assume that the microscopic definition of \(J\) from Ref. 9 remains valid even in those regimes, but for the calculation of \(J\) within the jellium model instead of the bare Coulomb interaction potential and the respective 1s-exciton hydrogenic wave function, we use a proper screened potential and the corresponding ground-state eigenfunction of the Schrödinger equation. We utilize a natural statically screened Coulomb interaction potential—the Yukawa potential: \(v(r) = e^{-\left(4\pi\varepsilon_0\varepsilon_{\infty}\right)^{-1}r}\exp[-\kappa_sr]/r,\) where \(r\) is the radius-vector modulus and \(\kappa_s\) is the screening wave.
One of the interesting experiments on this subject is the study of the propagation of excitonic solitons in semiconductors. The excitonic solitons are non-linear excitations that represent a kind of exciton condensate. They are characterized by large spatial extent, high local density, and low energy. The propagation of these solitons is governed by the nonlinear Schrödinger equation (NLSE) which incorporates the coupling between the excitonic and the electronic degrees of freedom. The NLSE is known to support the formation of a variety of soliton solutions, including the SITm solitons in CdSe.

To summarize, a strong resonant MI effect in semiconductors has been demonstrated analytically on the basis of a known simple semiclassical macroscopic model, which originates from microscopic SBEs. New ways to shift the MI gain peaks and, therefore, the generated frequencies have been discussed. The analytical results are confirmed completely by direct numerical simulations of the governing equations. The validity of the used macroscopic model has been shown by studying the SITm effect in semiconductors, for which good agreement with both existing theoretical and experimental results has been found. Further investigation of nonlinear light-semiconductor interplay beyond the SBEs formalism (e.g., see Ref. 21) can lead to a revelation of novel aspects of the studied phenomena.

ACKNOWLEDGMENTS

This work was supported by the German Max Planck Society for the Advancement of Science (MPG).


