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**Analytical models to estimate the structural behaviour of  
Fused Deposition Modelling components**

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## Analytical models to estimate the structural behaviour of Fused Deposition Modelling components

**Purpose** – Evaluate the capability and performance of analytical models to predict the structural mechanical behaviour of parts fabricated by Fused Deposition Modelling (FDM).

**Design/methodology/approach** – A total of eight existing and newly proposed analytical models, tailored to satisfy the structural behaviour of FDM parts, are evaluated in terms of their capability to predict the Ultimate Tensile Stress (UTS) and the Elastic Modulus ( $E$ ) of parts made of PLA (Polylactic acid) by the FDM process. This evaluation is made by comparing the structural properties predicted by these models with the experimental results obtained from tensile tests on FDM specimens fabricated with variable infill percentage, perimeter layers and build orientation.

**Findings** – Some analytical models are able to predict with high accuracy (prediction errors smaller than 5%) the structural behaviour of FDM and categories of similar additive manufactured parts. The most accurate model is the Gibson's & Ashby, followed by the efficiency model and the two new proposed exponential and variant Duckworth models.

**Research limitations/implications** – The study has been limited to uniaxial loading conditions along three different build orientations.

**Practical implications** – The structural properties of FDM parts can be predicted by analytical models based on the process parameters and material properties. Product engineers can use these models during the design for additive manufacturing (DfAM) process.

**Originality / Value** – Existing methods to estimate the structural properties of FDM parts are based on experimental tests; however, such methods are time consuming and costly. In

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3 this work the use of analytical models to predict the structural properties of FDM parts is  
4 proposed and evaluated.  
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9 **Keywords:** Material Extrusion; Fused Deposition Modelling (FDM); structural properties;  
10 analytical models; prediction performance.  
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### 13 14 15 16 **1. Introduction**

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18 Additive manufacturing (AM) technologies are becoming very popular to produce end-use  
19 parts due to their several advantages such as fast production cycles, high complexity and  
20 personalized parts (Domingo-Espin, *et al.*, 2015), and the production of a large range of  
21 prototypes or functional components with complex geometries, such as those obtained from  
22 a topology optimization process (Chacón *et al.*, 2017). Fused Deposition Modelling (FDM)  
23 is one of the most widely used AM techniques because of the wide range of commercially  
24 available systems and materials, whose costs range from very low to high. In the FDM  
25 process, parts are built layer by layer by heating a thermoplastic filament, usually  
26 acrylonitrile butadiene styrene (ABS) or polylactic acid (PLA), to a semi-liquid state in order  
27 to be extruded through a moving nozzle on the X-Y plane and deposited on a platform that  
28 moves along the Z direction. The FDM process parameters can be classified into two main  
29 groups (Cuan-Urquizo *et al.*, 2019): 1) manufacturing parameters and 2) structural  
30 parameters. The first group comprises the extrusion temperature, build platform temperature,  
31 extrusion rate, nozzle transverse speed, layer time, etc. The second group includes the infill  
32 density, rasters angle, part/build orientation, stacking sequence, etc.  
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52 Although the FDM process is relatively simple, it has some technical issues that must be  
53 considered when designing parts for FDM. One major issue is that the mechanical properties  
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of FDM parts are still uncertain because they are orthotropic, depend on the process parameters, and differ from the properties of the unprocessed (filament) material (Alafaghani *et al.*, 2017). To overcome this major issue many research works have focused on studying the mechanical behaviour of FDM parts and optimizing the process parameters. However, most of these works use experimental and numerical approaches, and very few have considered the development and use of analytical models. The lack of structural predictive models leads to uncertainties when selecting the process parameters; and consequently, the mechanical properties of the resultant FDM parts are uncertain. In addition, this lack of analytical models have limited the use of AM processes in the fabrication of end-use parts and the evolution of design for additive manufacturing (DfAM) techniques.

The aim of this paper is to tailor and assess existing and newly proposed analytical models to predict the structural performance of FDM components. These models use the infill percentage, part orientation and material properties process parameters to estimate the structural behaviour of FDM parts. The evaluation is based on a comparative analysis with experimental results in order to determine their prediction performance.

## 2. Literature review

### 2.1 Experimental studies

Anh *et al.* (2002) investigated the effects of several process parameters on the tensile and compressive strength of ABS-FDM. They concluded that the air gap and raster orientation greatly affect the tensile strength, which showed anisotropic characteristics. The compressive strength was found to be greater than the tensile strength. Ang *et al.* (2006) conducted a similar study using samples of ABS scaffold structures. It was found that the air gap and raster width are the most significant parameters that affect the porosity and mechanical

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3 properties. In addition, it was observed that the relationship between the mechanical  
4 properties and porosity is logarithmic.  
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7 On the other hand, Rayegani and Onwubolu (2014) presented an investigation to determine  
8 the relationship among the FDM process parameters and the tensile strength of ABS parts.  
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10 The part orientation, raster angle, raster width and air gap process parameters were  
11 considered. A hybrid Group Method for Data Handling (GMDH) tensile strength model was  
12 also developed. It was concluded that the part orientation and raster angle affect the tensile  
13 strength, and the GMDH modelling system is able to properly predict the tensile strength  
14 behaviour. Similarly, Sealy *et al.* (2017) investigated the influence of layer thickness, raster  
15 angle, shell layers and applied shot peening on the tensile strength of BS-FDM parts. It was  
16 observed that shot peening had a significant effect on decreasing the tensile strength. On the  
17 other hand, Sukindar *et al.* (2017) reported the impact of layer thickness, shell thickness and  
18 printing speed on the tensile strength of PLA-FDM samples. The results showed that the shell  
19 thickness has the most significant impact on the tensile strength.  
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35 More recently, Vanaei H. *et al.* (2020) presented an experimental investigation about the  
36 influence of various process parameters on the thermal and mechanical properties of PLA-  
37 FDM parts. The results showed that the extruder temperature has a more significant influence  
38 than the other parameters. Likewise, Cerda-Avila *et al.* (2020) presented a large set of  
39 experimental results to analyse the structural behaviour of PLA-FDM parts with variable  
40 process parameters. A new experimental methodology based on the normalization of the  
41 structural properties was also proposed. The results showed that the infill and the build  
42 orientation process parameters have a large influence on the resultant **Ultimate Tensile Stress**  
43 **(UTS)** and the elastic modulus ( $E$ ).  
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## 2.2 Numerical models

Some research works have used numerical models to analyse and evaluate the mechanical performance of parts manufactured by FDM. Zhang and Chou (2006) proposed a thermo-mechanical Finite Element Method (FEM) model to simulate the filament deposition. The results showed that a short-raster tool path leads to a higher residual stresses compared to long-raster and alternate-raster patterns, despite both have similar stress distributions and distortion features. On the other hand, Hambali *et al.* (2010) presented three FEM models of a bracket with three different build orientations and one loading condition. Experimental tests of the brackets were conducted and the results showed a non-linear trend while the FEM results followed a linear behaviour. This indicates that a nonlinear and dynamic FEM model may be more suitable. Likewise, Rezayat *et al.* (2015) presented a simplified FEM model of a tensile specimen with different raster angles and air gaps. The results showed that at the filament scale the load transfer mechanism shifted from the rasters to the contour when the air gap was changed from a negative to a positive value. Moreover, it was suggested that the mechanical properties of FDM parts could potentially improve if the raster contour patterns currently used in AM systems were redesigned.

Górski *et al.* (2015) compared a numerical FEM model versus an experimental test. Though the results were very similar under bending conditions, the prediction of internal stresses was inconclusive, and the computational time required was very large. Similarly, Somireddy and Czekanski (2017) presented a FEM model of FDM mesostructured parts. It was concluded that the differences between the numerical and the experimental results are because in the numerical model a perfect bonding among layers and roads is assumed. On the other hand, Somireddy *et al.* (2018) developed FEM models of a Representative Volume Element (RVE) subjected to tensile loading. These models capture the influence of build orientation, printing

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3 direction and layer thickness. It was observed that the material behaviour depends on the  
4 build orientation. More recently, Cerda-Avila *et al.* (2019) presented a study to predict the  
5 mechanical performance of FDM parts using numerical modelling. Simplified FEM models  
6 with variable infill values were analysed and compared with their corresponding  
7 experimental results. It was observed that although the numerical and experimental results  
8 have the same trends, the numerical models over-predicted the experimental results.  
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### 19 **2.3 Predictive models**

20 Predictive models for the mechanical behaviour of FDM parts have been also reported in the  
21 literature. Croccolo *et al.* (2013) developed an analytical model for the prediction of the  
22 elastic modulus and stiffness based on the bead width and height, raster angle, number of  
23 contours and build direction process parameters. The results showed that the proposed model  
24 was able to reproduce the rupture event of every single bead, and predict the failure of the  
25 whole part. On the other hand, Casavola *et al.* (2016) used the classical laminated theory  
26 (CLT) to describe the mechanical behaviour of FDM specimens. Although the model was  
27 able to predict with high accuracy the elastic modulus of FDM components made of PLA  
28 and ABS, it did not consider process parameters such as the extrusion temperature, feed rate,  
29 and vertical building direction.  
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44 Regarding optimization, Garg *et al.* (2014) proposed a hybrid M5'-genetic programming  
45 (M5'-GP) approach for empirical modelling of the FDM process. The proposed methodology  
46 used a GP model in parallel with an M5' model. The input parameters were the layer  
47 thickness, build orientation, raster angle, raster width and air gap, whereas the output  
48 parameter was the compressive strength. The results showed that the proposed approach fits  
49 better than those support vector regression (SVR) and adaptive neuro fuzzy inference system  
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3 (ANFIS) models. On the other hand, Costa *et al.* (2017) proposed an analytical solution to  
4 the transient heat conduction phenomenon during filament deposition. It was observed that  
5 the predicted and the experimental data for the filament surface temperature showed a good  
6 agreement. Recently, Panda *et al.* (2018) presented three numerical methods, genetic  
7 programming (GP), automated neural network search (ANS) and response surface regression  
8 (RSR), to predict the mechanical properties of honeycomb cellular structures manufactured  
9 by FDM. Different cell sizes were experimentally and analytically studied for the prediction  
10 of the yield strength and elastic modulus. It was observed that the ANS models performed  
11 better than the GP and RSR models. It was also observed that as the cell size increases the  
12 yield strength and elastic modulus decrease, whereas as the wall thickness increases the yield  
13 strength and elastic modulus increase.

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28 The previous literature review reveals that the influence of the process parameters on the  
29 mechanical properties of FDM components has been widely studied in the literature. The  
30 results have demonstrated that the air gap, build orientation, layer thickness, infill percentage  
31 and number of perimeter layers, are the most significantly influencing process parameters.  
32 However, the most of these investigations are based on experimental and numerical  
33 approaches, which have shown to be effective but costly and time consuming. Little research  
34 work has been made towards the development of analytical models able to predict more  
35 efficiently the mechanical properties of FDM parts. Currently, there are no analytical models  
36 capable to predict the macro behaviour of the FDM parts from the filament material and  
37 process parameters. Thus, the development of such analytical models will not only improve  
38 the efficiency of the DfAM process, but also assist engineers in the selection of the process  
39 parameters to satisfy the structural needs and existing manufacturing capabilities.

### 3. Analytical micromechanical models

Since AM is based on adding material to create a component using some particular process parameters such as infill pattern and infill percentage, parts created by AM can be considered as porous. Porous parts are important since they are lighter and reduce the material usage. However, the porosity affects the effective mechanical properties of the part, such as the elastic modulus and load resistance (Ang *et al.*, 2006).

Gibson and Ashby (1988) can be considered pioneers in the field of mechanical behaviour of porous materials. Christensen (2000) reported that many materials have an interconnected pore structure where even small pore sizes can form large void volumes, while in large pore sizes the pore phase can be macroscopically interconnected. According to Roberts and Garbozzi (2002), porous materials have a filtration threshold (which may be zero for some models), below which the structure is disconnected. As the threshold is reached, the elastic properties become dependent on small connections, which are increasingly difficult to solve.

Several micromechanical models have been proposed in the literature to determine the homogenized properties of a porous, laminar, composite or heterogeneous material, based on the properties of its constituent materials. Wang and Huang (2018) recently reported a review of these models. From a preliminary analysis of the overall behaviour of several micromechanical models, the following models were selected to evaluate their ability to predict the mechanical behaviour of FDM components.

#### *a) Rule of mixture model*

One of the most widely used and simple models is the rule of mixture or Voigt model (Wang and Huang, 2018). This model is expressed as:

$$E_c = E_m V_m + E_p V_p \quad (1)$$

where  $E_c$ ,  $E_m$  and  $E_p$  are the elastic modulus of the composite material, the matrix, and the particle respectively; and  $V_m$ ,  $V_p$  are the volume fractions of the matrix and the particle, respectively. In the case of a porous material, such as FDM parts, the particle is considered as a void and therefore  $E_p$  is neglected (zero). Thus, Eq. 1 becomes:

$$\frac{E_c}{E_m} = V_m \quad (2)$$

which can be used to estimate the normalized property of porous parts.

#### b) Efficiency model

A variant of the rule of mixture model or efficiency model has been also used in the literature, and it is represented as (Mouhmid *et al.*, 2006):

$$E_c = \alpha E_m V_m + \beta E_p V_p \quad (3)$$

where  $\alpha$  and  $\beta$  are known as the efficiency coefficients, which are determined from experimental data. Similarly, in the case of porous parts, the particle is considered as void and Eq. (3) is reduced to:

$$\frac{E_c}{E_m} = \alpha V_m \quad (4)$$

which represents the normalized property of a porous material.

#### c) Gibson & Ashby model

One of the most known micromechanical models for porous materials is the Gibson and Ashby model, which is expressed as follows (Gibson and Ashby, 1988):

$$\frac{E_p}{E_0} = C(1 - P)^n \quad (\text{for } 0.5 < P < 0.96) \quad (5)$$

where  $E_p$  is the effective elastic modulus of the porous material,  $E_0$  is the elastic modulus of the solid material,  $P$  is the porosity, and  $C$  and  $n$  are the model coefficients, which depend on the micro structure of the material and can be determined from experimental data.

#### d) Spriggs and Duckworth models

The Duckworth model to determine the effect of porosity on the effective strength of a porous body is (Choren and Heinrich, 2013):

$$\frac{S_p}{S_0} = \exp(-kP) \quad (6)$$

where  $k$  is a material-dependent coefficient,  $P$  is the porosity,  $S_p$  is the UTS of the porous component and  $S_0$  is the UTS of the non-porous material.

Spriggs extended the Duckworth model to the elastic modulus for aluminium oxide with porosities values up to 37%, and presented supporting data for  $m$  ranging from 2.7 to 4.3 (Choren and Heinrich, 2013). The Spriggs' model is expressed as:

$$\frac{E_p}{E_0} = \exp(-mP) \quad (7)$$

where  $m$  is a material-dependent coefficient, which can be determined from experimental data.

#### e) Rice model

For porosity values beyond the applicable range of the Spriggs' model, Rice (Choren and Heinrich, 2013) recognized that the role of the pores and matrix materials can be reversed, leading to a theoretical equation applicable to larger values of porosity:

$$\frac{E_p}{E_0} = [1 - \exp(-r\{1 - P\})] \quad (8)$$

where  $r$  is a model coefficient that can be determined from experimental data.

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5 *f) Hasselman model*  
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7 Hasselman proposed a modulus-porosity model as follows (Choren and Heinrich, 2013):  
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$$\frac{E_p}{E_0} = \left(1 + \left[\frac{AP}{1-AP-P}\right]\right) \quad (9)$$

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12 where  $P$  is the porosity and  $A$  is a model coefficient that can be determined experimentally.  
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18 **3.1 Proposed models**  
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20 Two new models to predict the mechanical behaviour of FDM parts are proposed here. These  
21 new models were derived from a preliminary analysis of the existing micromechanical  
22 models and the FDM experimental data presented in the next section. It was observed that  
23 the Duckworth and the Gibson & Ashby models reproduced better the experimental data than  
24 the other models. Consequently, these micromechanical models were modified in order to  
25 improve their prediction performance. The proposed resulting models are described below.  
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36 *g) Variant Duckworth model*  
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38 A variant of the Duckworth model is proposed by adding an efficiency coefficient, resulting  
39 in the following hybrid model:  
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$$\frac{E_p}{E_0} = \beta[\exp(-mP)] \quad (10)$$

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45 where  $\beta$  is a new proposed efficiency coefficient that can be determined from experimental  
46 data, in the same way as the  $m$  coefficient.  
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53 *h) Exponential model*  
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The proposed exponential model considers an exponential behaviour based on the Gibson & Ashby model, but comprising two parameters as follows:

$$\frac{E_p}{E_0} = C(e^{n * (1 - P)}) \quad (11)$$

where  $C$  and  $n$  are the coefficients of the model, which can be determined from experimental data.

It is important to mention that although the existing and new proposed models described before are defined in terms of the elastic behaviour (i.e. elastic modulus), they can also be used to determine the effective UTS as suggested by Choren and Heinrich, 2013; Gallegos-Nieto *et al.*, 2015; and Hernández-Rivera *et al.*, 2016.

#### 4. Experimental data

To evaluate the prediction performance of the selected micromechanical models when applied to FDM parts, experimental tensile tests were conducted according to the ASTM D638-02a standard (ASTM D638, 2014) and using a Shimadzu AG-100 kN Universal Testing Machine. The tests conditions were: test rate of 5 mm/min, room temperature (~22°C), humidity between 35 to 50% and atmospheric pressure of 827 mbar at 1876 m altitude. The tests specimens were fabricated using the infill values, build orientations and perimeter layers shown in Table 1. The build orientation parameter is represented in Figure 1. In order to have a statistically valid sample, five test specimens were manufactured for each possible combination of the process parameters shown in Table 1. Thus, a total of 396 tensile test specimens were fabricated from PLA filaments in a Creator Pro Flashforge FDM

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3 system and a Creality CR-10S Pro FDM system. Table 2 shows the characteristics of the  
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5 PLA filaments used in the fabrication of the specimens.  
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10 Table 1. FDM process parameters used in the fabrication of the test specimens.  
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15 Figure 1. Schematic representation of the build orientation FDM process parameter.  
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20 Table 2. Characteristics of the PLA filaments.  
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25 In order to provide comparable universal results, independent of the equipment and material  
26 used, a normalization process was conducted. This normalization process consisted in  
27 dividing the experimental results of each test specimen by the corresponding property of the  
28 PLA filament used in the fabrication. The complete set of experimental results has been  
29 previously reported and analysed in (Cerda-Avila *et al.*, 2020). Figure 2 shows the  
30 normalized results for the UTS and elastic modulus as a function of the infill percentage.  
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41 Figure 2. Experimental results for: a) UTS, b) elastic modulus.  
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## 45 5. Models evaluation

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47 The tailoring and evaluation of each micromechanical model was conducted in three steps:  
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49 1) calculation of the model's coefficients from the FDM experimental data, 2) estimation of  
50 the FDM structural properties using the analytical model and the process parameters values  
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used in the experimentation, and 3) computation of the model's prediction error according to the following equation:

$$Error = \left( \frac{UTS_{est} - UTS_{exp}}{UTS_{exp}} \right) \times 100 \% \quad (12)$$

where  $UTS_{est}$  is the UTS value estimated by the model and  $UTS_{exp}$  is the experimental UTS value. In the case of the elastic modulus prediction, the following equation was used:

$$Error = \left( \frac{E_{est} - E_{exp}}{E_{exp}} \right) \times 100 \% \quad (13)$$

where  $E_{est}$  and  $E_{exp}$  are the estimated and experimental values, respectively, of the elastic modulus.

The FDM experimental results were used to calculate the coefficients of each model under analysis. If the model comprises one or two coefficients, one or two experimental points are required, respectively, to calculate those coefficients. Because of the large amount of experimental data available, several coefficient values for each model were calculated and optimized to reduce the overall prediction error at all the experimental points. Moreover, different coefficients were calculated for each build orientation and structural property (UTS and  $E$ ). Notice that the volume fraction value required by some of the models corresponds to the infill FDM process parameter, whereas the porosity value required by some other models corresponds to the complement of the infill value.

### 5.1 Rule of mixture model



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3 Figure 3 compares the results obtained with the rule of mixture model and the experimental  
4 results. It is observed that the rule of mixture model predicts a linear behaviour of the UTS  
5 and elastic modulus with respect to the infill percentage of the FDM component, whereas the  
6 experimental results suggest a non-linear behaviour. Moreover, the rule of mixture model  
7 predicts the same behaviour for all build orientations. In the case of the UTS (Figure 3a), the  
8 maximum prediction errors for the flat, on-edge and up-right build orientations are 34%, 35%  
9 and 445%, respectively. On other hand, the maximum prediction errors for the elastic  
10 modulus (Figure 3b) and the flat, on-edge and up-right build orientations are 51%, 112% and  
11 310%, respectively. These results indicate that in the up-right orientation the adhesion  
12 between layers has a very important role in the mechanical properties of the FDM parts,  
13 which is not considered by the rule of mixture model.  
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31 Figure 3. Results of the rule of mixture model vs. experimental results: a) UTS and b)  
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33 elastic modulus.  
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### 37 *5.2. Efficiency model*

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39 Several values of  $\alpha$  for the UTS and elastic modulus were calculated by substituting some of  
40 the experimental results in Eq. 4. The values of  $\alpha$  with best prediction performance for each  
41 build orientation (i.e. the minimum prediction error) were selected and are shown in Table 3.  
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49 **Table 3. Values of the  $\alpha$  coefficient.**

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53 Figure 4 shows a comparison between the results predicted by the efficiency model and the  
54 experimental results. It is observed that although the efficiency model is linear, it predicts  
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3 with higher accuracy the experimental results than the rule of mixture model. In the case of  
4 the UTS property (Figure 4a), the maximum prediction errors were 15%, 21% and 78% for  
5 the flat, on-edge and the up-right build orientation, respectively. Regarding the elastic  
6 modulus, (Figure 4b), the analytical and experimental results are very close in the flat  
7 orientation (discrepancies smaller than 10%). For the on-edge and the up-right build  
8 orientations, the maximum errors were 45% and 87%, respectively. Moreover, the efficiency  
9 ( $\alpha$ ) for the UTS and elastic modulus in the up-right orientation is approximately 33% and  
10 46%, respectively. This reduced structural performance in the up-right orientation is related  
11 to the bonding strength among layers rather than to the filament property.  
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28 Figure 4. Results of the Efficiency model vs. experimental results: a) UTS and b) elastic  
29 modulus.  
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### 34 5.3. Gibson & Ashby model

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36 From the experimental data, all possible combinations of two different experimental points  
37 were substituting in Eq. 5 to determine the parameters  $C$  and  $n$  of the Gibson & Ashby model.  
38 Then, the pair of  $C$  and  $n$  values were optimized to reduce the overall prediction error. Table  
39 4 shows the resultant values for each build orientation and structural property.  
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47 **Table 4. Values of the  $C$  and  $n$  coefficients.**  
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51 Figure 5 presents a comparison of the results predicted by the Gibson & Ashby model and  
52 the experimental results. The Gibson & Ashby model shows a distinct exponential behaviour  
53 that fits more accurately the experimental data than the linear models. Regarding the UTS  
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3 (Figure 5a), the maximum prediction errors are 20%, 23% and 29% for the flat, on-edge and  
4 up-right build orientations respectively. In the case of the elastic modulus (Figure 5b), the  
5 maximum errors are 9%, 24% and 39% for the flat, on-edge and up-right build orientations,  
6 respectively. In the up-right orientation, the model and the experimental results have  
7 approximately the same behaviour.  
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15 Figure 5. Results of the Gibson & Ashby model vs. experimental results: a) UTS and b)  
16 elastic modulus.  
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#### 22 *5.4. Spriggs and Duckworth models*

23 Several values for the  $k$  and  $m$  coefficients of the Duckworth and Spriggs models were  
24 calculated from the experimental data and using Eq. 6 and Eq. 7. From these calculations,  
25 the values with the best prediction performance for each orientation and mechanical property  
26 were selected and are shown in Table 5.  
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35 Table 5. Values of the  $k$  and  $m$  coefficients.  
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39 In Figure 6 the results predicted by the Spriggs and Duckworth models and the experimental  
40 results are compared. It is observed that although the models have an exponential behaviour,  
41 they poorly match the experimental data. In the case of the Duckworth model, Figure 6a, the  
42 maximum error is 139%, whereas the Spriggs model, Figure 6b, has a maximum error of  
43 101%.  
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52 Figure 6. Results of the Duckworth and Spriggs models vs. experimental results: a) UTS  
53 (Duckworth) and b) elastic modulus (Spriggs).  
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### 5.5. Rice model

In the case of the Rice model, several values of the  $r$  coefficient were computed and optimized to reduce the overall prediction error at the experimental points. The results are shown in Table 6. Figure 7 compares the results predicted by the Rice model and the experimental results. It is observed that the Rice model has an exponential behaviour but with a convex curvature, whilst the experimental data has a concave curvature. In addition, the prediction performance for low infill values (40% to 75%) is greater than for high infill values (85% to 100%). In the case of the UTS (Figure 7a), the maximum prediction errors are 28%, 39%, and 90% for the flat, on-edge and up-right build orientations, respectively. On the other hand, the maximum prediction errors for the elastic modulus (Figure 7b) are 23%, 70%, and 106% for the flat, on-edge and up-right build orientations, respectively.

Table 6. Values of the  $r$  coefficient.

Figure 7. Results of the Rice model vs. experimental results: a) UTS and b) elastic modulus.

### 5.6. Hasselman model

In the case of the Hasselman model, several values of  $A$  (Eq. 9) for each structural property and build orientation were calculated from the experimental results. The  $A$  values with the best prediction performance were selected and are shown in Table 7.

Table 7. Values of the  $A$  coefficient.

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6 Figure 8 shows a comparison of the results predicted by the Hasselman model and the  
7 experimental results. In the case of the UTS, Figure 8a, the results show that the Hasselman  
8 model has a linear behaviour on the flat and on-edge build orientations, and an exponential  
9 effect on the up-right orientation. The maximum errors are 17%, 26% and 139% for the flat,  
10 on-edge and up-right build orientations, respectively. Regarding the elastic modulus, Figure  
11 8b, the Hasselman model showed a nonlinear behaviour in the three build orientations, and  
12 the maximum prediction errors are 32%, 38% and 101% for the flat, on-edge and up-right  
13 build orientations, respectively. In addition, the model predicts that for 100% infill the  
14 specimens should have the same properties as the raw material (filament), which is not in  
15 agreement with the experimental results.  
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32 Figure 8. Results of the Hasselman model vs. experimental results: a) UTS and b) elastic  
33 modulus.  
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### 38 5.7. Variant Duckworth model

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40 In the case of the variant Duckworth model, Table 8 presents the values of the coefficients  $\beta$   
41 and  $m$  obtained from the experimental data. Figure 9 shows a comparison of the results  
42 predicted by the Variant Duckworth model and the experimental results. In the case of the  
43 UTS, Figure 9a reveals that the proposed model better fits the experimental results than the  
44 Duckworth model. The maximum errors are 16%, 29% and 48% for the flat, on-edge and up-  
45 right build orientations, respectively. On the other hand, the elastic modulus behaviour  
46 predicted by the model (Figure 9b), is less accurate than for the UTS, having maximum  
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3 prediction errors of 24%, 55% and 56% for the flat, on-edge and up-right build orientations,  
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5 respectively.  
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10 Table 8. Values of the  $\beta$  and  $m$  coefficients.  
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14 Figure 9. Results of the Variant Duckworth model vs. experimental results: a) UTS and b)  
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16 elastic modulus.  
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### 21 5.8. Exponential model

22 Several values for the  $C$  and  $n$  coefficients of the proposed exponential model were also  
23  
24 computed and optimized to reduce the overall prediction error of the exponential model. The  
25  
26 results are shown in Table 9. The results predicted by the Exponential model and the  
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28 experimental results are compared in Figure 10. From Figure 10a, it is observed that the  
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30 proposed exponential model is able to predict with good accuracy the UTS experimental  
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32 results. The maximum prediction errors are 17%, 29% and 36% for the flat, on-edge and up-  
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34 right build orientations, respectively. It is worth mentioning that in the up-right orientation,  
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36 the exponential model has a minimum error of 0% for infill values of 41% and 79%. In the  
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38 case of the elastic modulus, Figure 10b, the maximum prediction errors are 19%, 58% and  
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40 48% for the flat, on-edge and up-right build orientations, respectively. Notice that this model  
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42 does not predict accurately the mechanical behaviour of FDM parts with low infill values.  
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51 Table 9. Results of the  $C$  and  $n$  coefficients of the proposed exponential model.  
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5 Figure 10. Results of the exponential model vs. experimental results: a) UTS and b) elastic  
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## 10 11 12 **6. Quantitative comparison and discussion**

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14 The prediction performance of the analytical models is now compared quantitatively for the  
15 three build orientations and the two mechanical properties. Table 10 summarizes the overall  
16 prediction errors and standard deviations of all models when predicting the UTS in the three  
17 build orientations. From this table it is observed that the model with the best prediction  
18 performance in the flat build orientation is the efficiency model (rank 1), with an average  
19 error of 0% and a standard deviation of 9.4%. In the on-edge and up-right build orientations,  
20 the Gibson & Ashby model has the best prediction performance (rank 1) with an average  
21 error of 0% and standard deviations of 14.1% and 14.8%, respectively. On the other hand,  
22 the rule of mixture model is the least accurate model (rank 8) in the three build orientations,  
23 with average errors of 16.4%, 11.6% and 206.3% in the flat, on-edge and up-right build  
24 orientations, respectively. This low performance is because this model assumes a linear  
25 structural behaviour proportional to the infill percentage for any build orientation, which is  
26 not appropriate for FDM parts.  
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47 **Table 10. Summary of the models' average prediction errors for UTS.**

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51 Table 11 summarizes the overall prediction errors and standard deviations of all models when  
52 predicting the elastic modulus in the three build orientations. These results show that the best  
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3 prediction performance in the flat, on-edge and up-right build orientations is obtained with  
4 the Gibson & Ashby model (rank 1), followed by the efficiency model (rank 2) and the  
5 exponential model (rank 2). The worst prediction performance in the three build orientations  
6 was also obtained with the rule of mixture model (rank 8). Thus, it can be said that the Gibson  
7 & Ashby model is able to predict with good accuracy (low values of prediction errors) the  
8 elastic modulus behaviour of FDM components in any build orientation.  
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19 Table 11. Summary of the models' average prediction errors for the elastic modulus.  
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24 Table 12 presents a ranking of the analytical models based on their combined prediction  
25 performance in the three build orientations, i.e. considering the UTS and the elastic modulus.  
26 These results show that the efficiency model has the best prediction performance in the flat  
27 orientation, followed by the proposed exponential model and the Gibson & Ashby model. In  
28 the on-edge orientation, the Gibson & Ashby model led to the best performance, followed by  
29 the efficiency model and the proposed exponential model. In the up-right orientation, the best  
30 performance was also obtained by the Gibson & Ashby model, followed by the proposed  
31 exponential and variant Duckworth models. Table 12 also presents a global ranking of the  
32 models, which considers the prediction performance for the UTS and elastic modulus in the  
33 three build orientations. It is observed that the Gibson & Ashby model has the best global  
34 prediction performance followed by the efficiency and the new proposed exponential models.  
35 On the other hand, the worst performance was obtained by the rule of mixture since it only  
36 involves the infill percentage parameter.  
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55 Table 12. Ranking according to the build orientation and global performance.  
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4 In general, it can be said that the results obtained from the analytical models are congruent  
5 with the experimental results, giving a positive contribution to the study and understanding  
6 of the mechanical properties of parts manufactured by FDM. It has been demonstrated that  
7 analytical models are capable to predict with good accuracy the structural behaviour of FDM  
8 parts. Moreover, these models could be extended to other categories of similar AM parts.  
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## 16 17 18 **7. Conclusions**

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20 An investigation to evaluate and compare several existing and new proposed analytical  
21 models tailored to predict the structural behaviour of FDM parts has been presented. The  
22 evaluation is based on comparing the ultimate tensile strength and the elastic modulus  
23 predicted by the analytical models, and the results obtained from experimental tensile tests  
24 on FDM specimens fabricated using variable process parameters. The results have revealed  
25 that some existing analytical models can be tailored to predict with good accuracy the  
26 mechanical behaviour of FDM parts. It was also observed that the most accurate model is the  
27 Gibson's & Ashby, followed by the efficiency model and the two new proposed exponential  
28 and variant Duckworth models. Thus, the use of analytical models to predict the structural  
29 behaviour of FDM parts, or categories of similar AM parts, based on the process parameters  
30 and material properties, is feasible. Consequently, analytical models can be used to assist the  
31 DfAM process, reducing the need of existing experimental and numerical approaches, which  
32 are time consuming and costly. Future work considers the evaluation of the analytical models  
33 using other materials and AM processes besides the FDM process.  
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Table 1. FDM process parameters used in the fabrication of the test specimens.

Process parameter	Values	Units
<i>Nominal infill percentage</i>	5, 10, 20, 40, 80, 100	%
<i>Perimeter layers</i>	1, 2	-
<i>Build orientation</i>	flat, on-edge, up-right	-
<i>Upper/bottom layers</i>	2, 3	-
<i>Layer thickness</i>	0.14, 0.18, 0.30	mm
<i>Raster angle</i>	±45, 0/90	°
<i>Deposition speed</i>	80	mm/s
<i>Extrusion temperature</i>	200	°C

Table 2. Characteristics of the PLA filaments.

PLA filament characteristics	Values	Units
<i>Diameter</i>	1.75	mm
<i>Tensile strength</i>	55 - 65	MPa
<i>Density</i>	1.24	g/cm <sup>3</sup>
<i>Extruder temperature</i>	190 - 220	°C
<i>Bed temperature</i>	45 - 60	°C

Table 3. Values of the  $\alpha$  coefficient.

Property	Build orientation		
	Flat	On-edge	Up-right
<b>UTS</b>	0.8592	0.8958	0.3264
<b>Elastic modulus</b>	0.7053	0.683	0.4557



Table 4. Values of the  $C$  and  $n$  coefficients.

Property	Coefficient	Build orientation		
		Flat	On-edge	Up-right
UTS	$n$	0.8951	1.072	1.6456
	$C$	0.8037	0.9272	0.4583
Elastic modulus	$n$	1.028	1.2278	1.3326
	$C$	0.7175	0.7671	0.5456

Table 5. Values of the  $k$  and  $m$  coefficients.

Coefficient (property)	Build orientation		
	Flat	On-edge	Up-right
$k$ (UTS)	1.9426	1.8605	6.5850
$m$ (elastic modulus)	2.4810	2.7690	4.6260

Table 6. Values of the  $r$  coefficient.

Property	Build orientation		
	Flat	On-edge	Up-right
UTS	1.1830	1.3405	0.3638
Elastic modulus	0.9074	0.8940	0.5354

Table 7. Values of the  $A$  coefficient.

Property	Build orientation		
	Flat	On-edge	Up-right
UTS	-1.4400	-1.3881	-14.8250
Elastic modulus	-2.2350	-2.7515	-7.4400

Table 8. Values of the  $\beta$  and  $m$  coefficients.

Property	Coefficient	Build orientation		
		Flat	On-edge	Up-right
UTS	$m$	1.9550	1.7050	2.6141
	$\beta$	1.0208	0.9512	0.4704
Elastic modulus	$m$	1.7571	1.9355	3.4445
	$\beta$	0.7610	0.7767	0.8033

Table 9. Results of the  $C$  and  $n$  coefficients of the proposed exponential model.

Property	Coefficient	Build orientation		
		Flat	On-edge	Up-right
UTS	$n$	1.9235	1.7050	2.9477
	$C$	0.1450	0.1729	0.0268
Elastic modulus	$n$	1.8774	1.8870	2.6435
	$C$	0.1221	0.1158	0.0457

Table 10. Summary of the models' average prediction errors for UTS.

Models	Build orientation								
	Flat			On-edge			Up-right		
	Average error (%)	Std. dev. (%)	Rank	Average error (%)	Std. dev. (%)	Rank	Average error (%)	Std. dev. (%)	Rank
Rule of mixture	16.4	11.0	8	11.6	16.0	8	206.3	116.4	8
Efficiency	0.0	9.4	1	0.0	14.4	2	0.0	38.0	4
Gibson & Ashby	0.0	11.8	6	0.0	14.1	1	0.0	14.8	1
Duckworth	0.0	10.5	5	0.0	17.0	4	0.0	83.8	7
Rice	0.0	20.3	7	0.0	21.0	7	0.0	43.4	5
Hasselman	-0.1	10.4	3	0.0	17.5	6	0.0	74.1	6
Variant Duckworth*	0.0	10.4	4	0.0	17.2	5	0.0	23.5	3
Exponential*	0.0	9.9	2	0.0	16.1	3	-1.4	19.3	2

\* Proposed model

Table 11. Summary of the models' average prediction errors for the elastic modulus.

Models	Build orientation								
	Flat			On-edge			Up-right		
	Average error (%)	Std. dev. (%)	Rank	Average error (%)	Std. dev. (%)	Rank	Average error (%)	Std. dev. (%)	Rank
Rule of mixture	41.8	8.2	8	46.4	28.9	8	119.4	80.4	8
Efficiency	0.0	5.8	2	0.0	19.8	2	0.0	36.6	4
Gibson & Ashby	0.0	4.9	1	0.0	14.1	1	0.0	18.7	1
Spriggs	0.0	19.4	6	0.0	29.6	7	0.0	60.8	7
Rice	0.0	15.4	5	0.0	29.4	6	0.0	44.7	5
Hasselman	0.0	22.1	7	0.0	28.8	5	0.0	55.2	6
Variant Duckworth*	0.0	11.7	4	0.0	25.4	4	0.0	35.2	3
Exponential*	-0.1	9.8	3	0.0	24.4	3	0.0	26.8	2

\* Proposed model

Table 12. Ranking according to the build orientation and global performance.

Models	Rank			
	Build orientation			Global
	Flat	On-edge	Up-right	
Gibson & Ashby	3	1	1	1
Efficiency	1	2	4	2
Exponential*	2	3	2	2
Variant Duckworth*	5	4	3	3
Hasselman	4	5	6	4
Rice	6	6	5	5
Duckworth	5	5	7	6
Rule of mixture	7	7	8	7

\* Proposed model

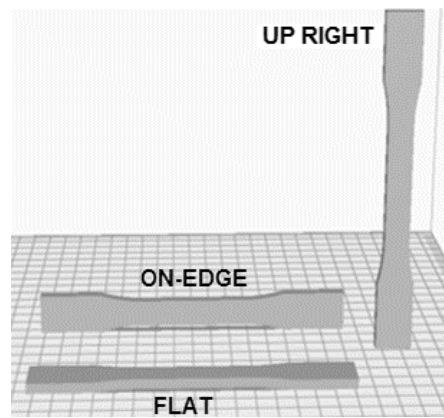
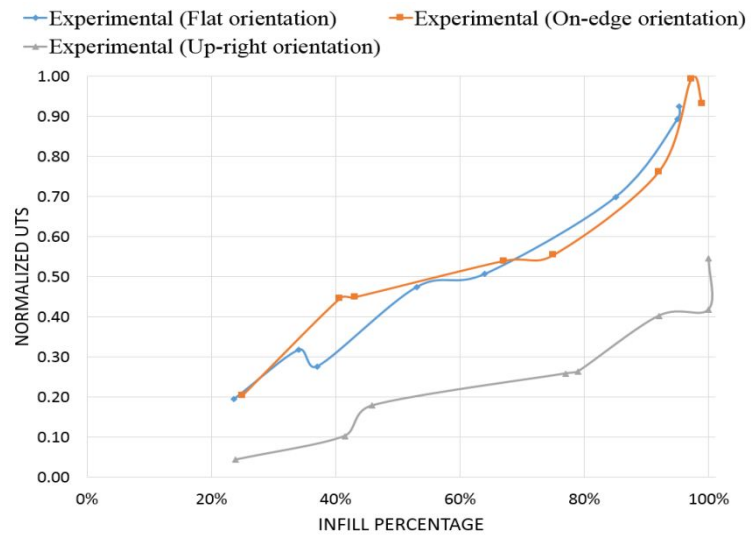


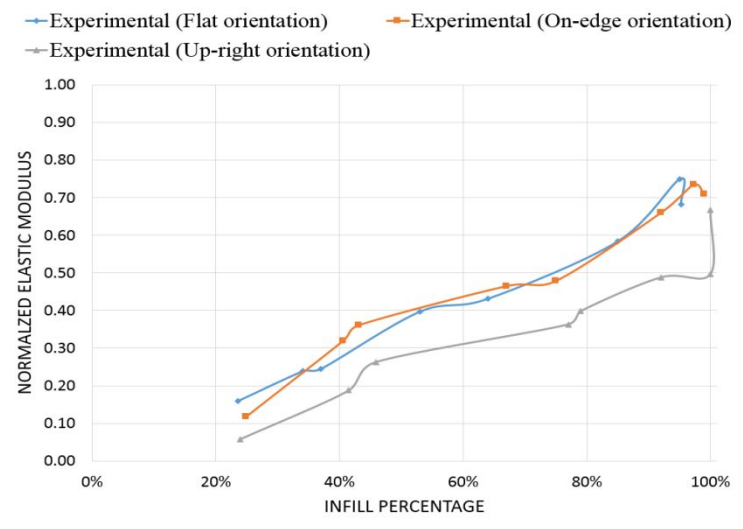
Figure 1. Schematic representation of the build orientation FDM process parameter.

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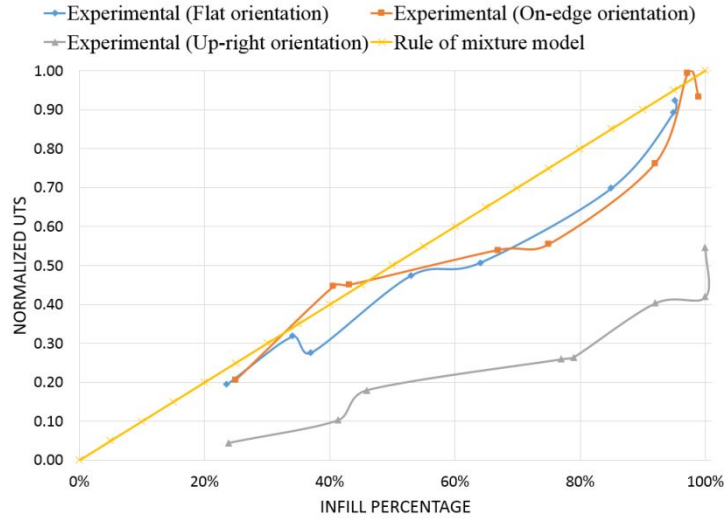


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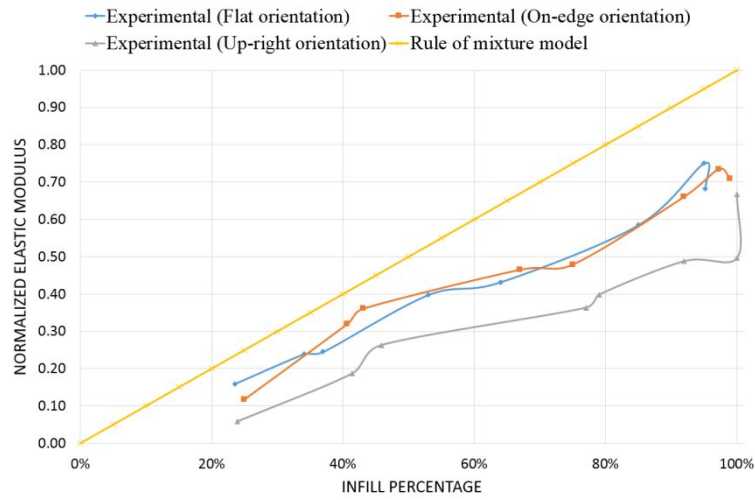


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Figure 2. Experimental results for: a) UTS, b) elastic modulus.

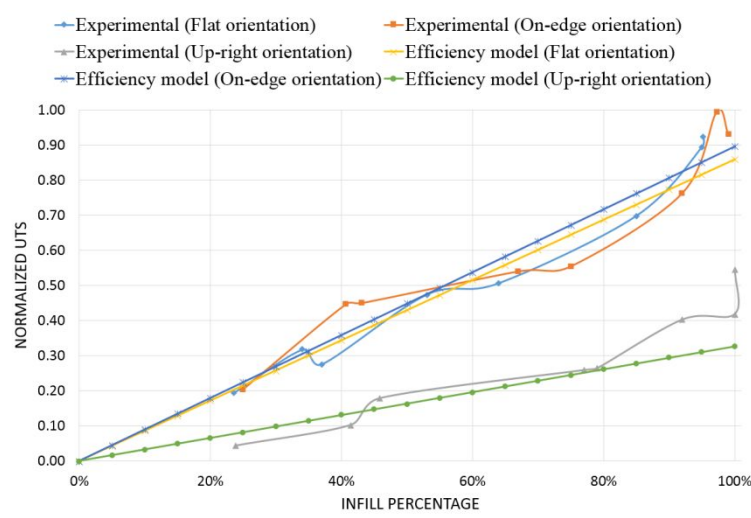


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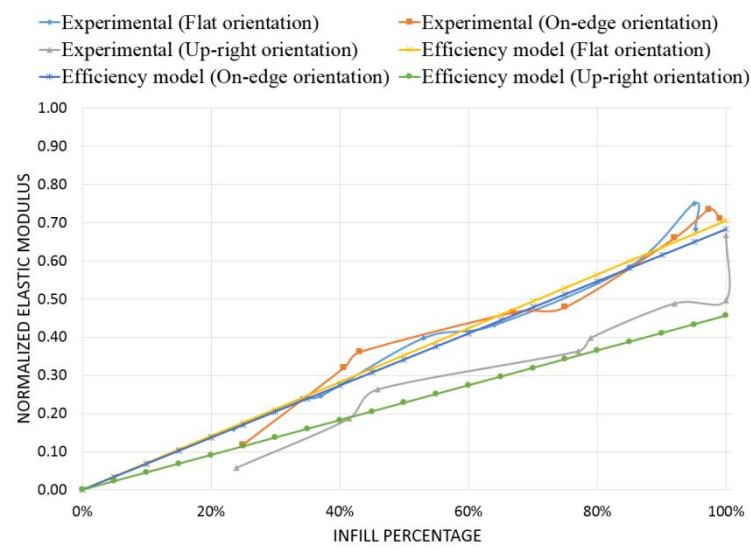


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Figure 3. Results of the rule of mixture model vs. experimental results: a) UTS and b) elastic modulus.

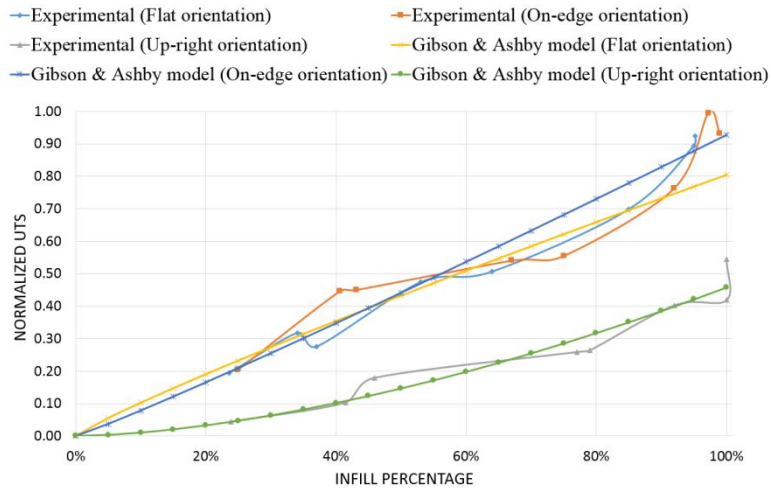


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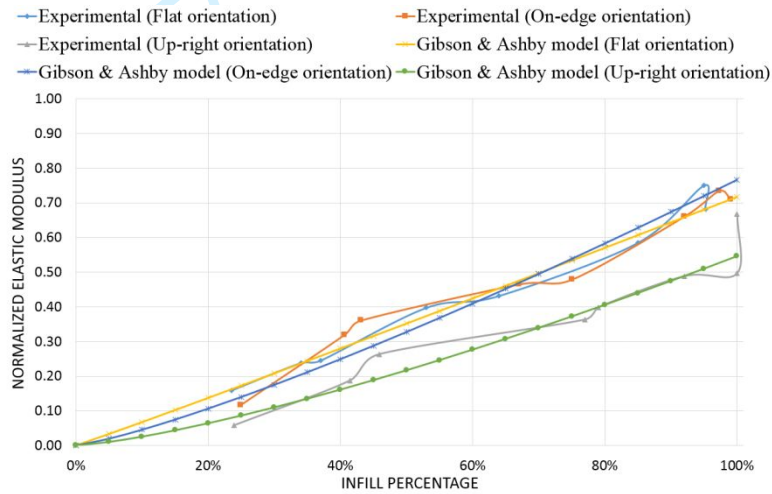


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Figure 4. Results of the Efficiency model vs. experimental results: a) UTS and b) elastic modulus.



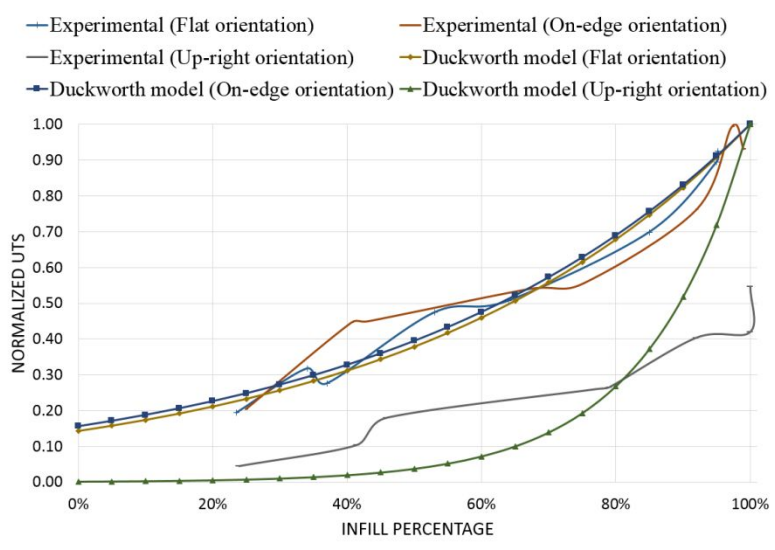
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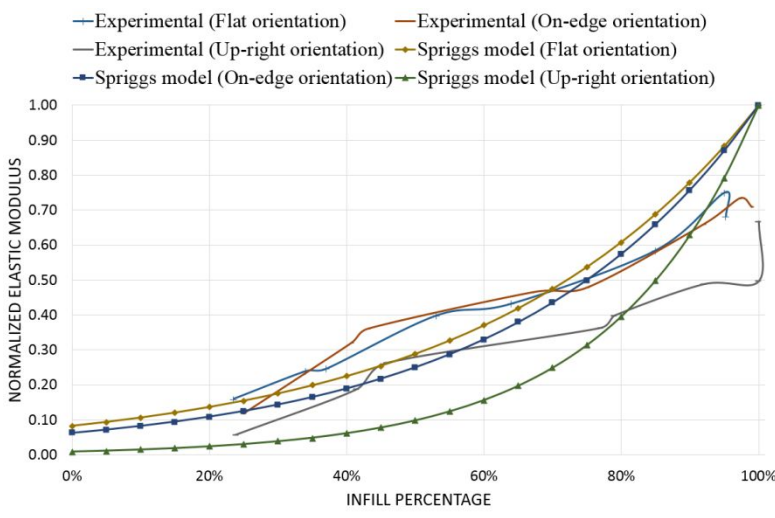
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Figure 5. Results of the Gibson & Ashby model vs. experimental results: a) UTS and b) elastic modulus.



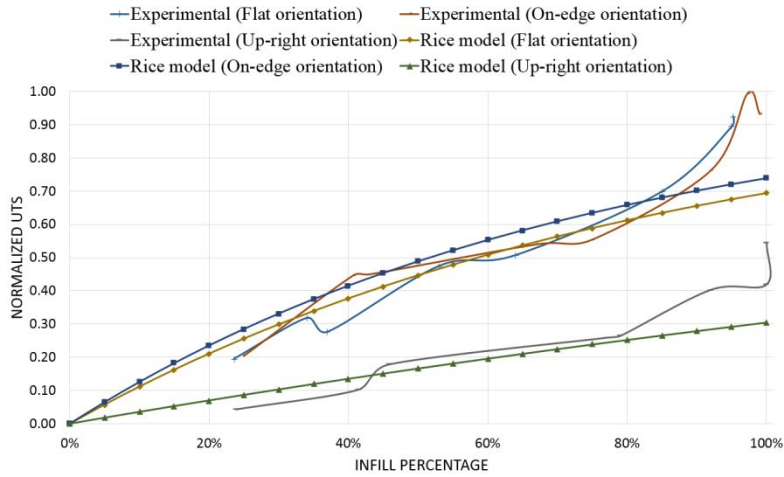


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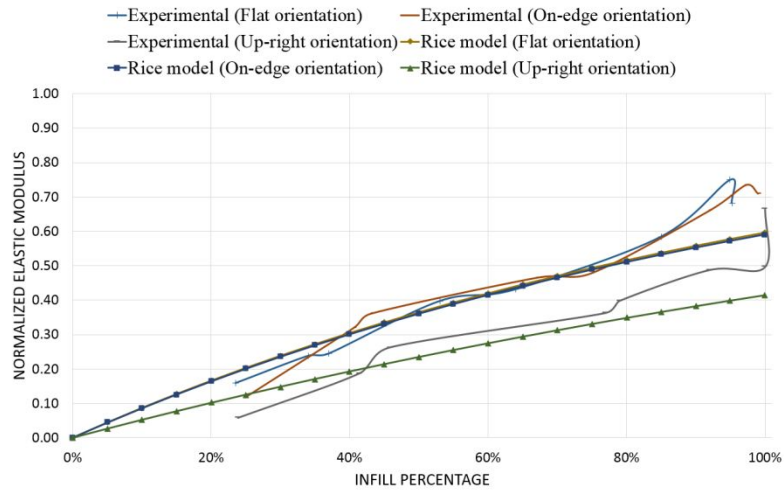


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Figure 6. Results of the Duckworth and Spriggs models vs. experimental results: a) UTS (Duckworth) and b) elastic modulus (Spriggs).

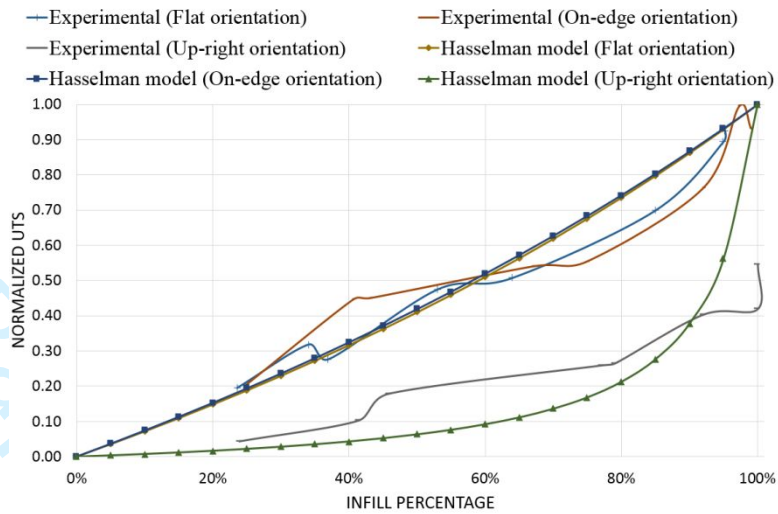


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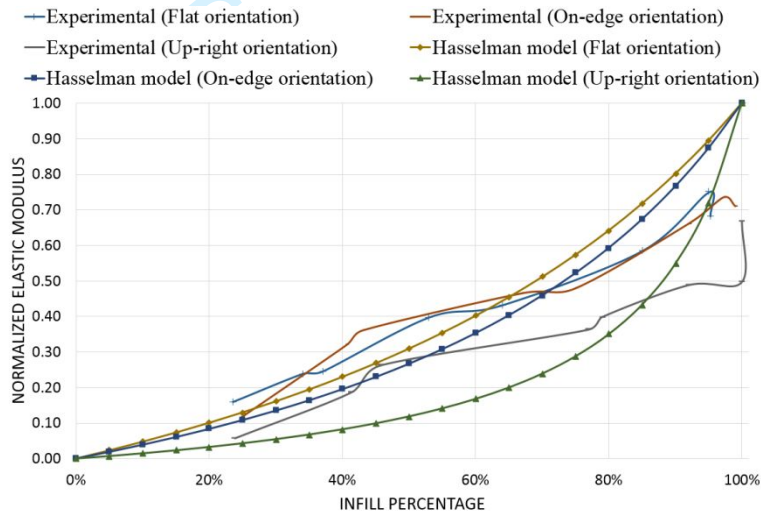


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Figure 7. Results of the Rice model vs. experimental results: a) UTS and b) elastic modulus.

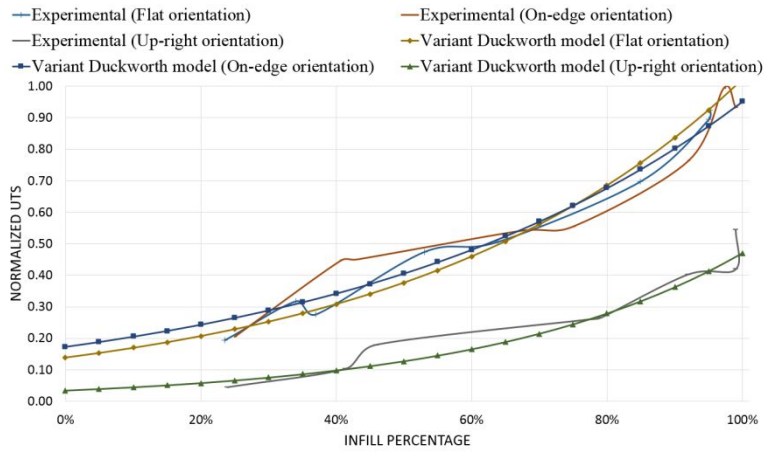


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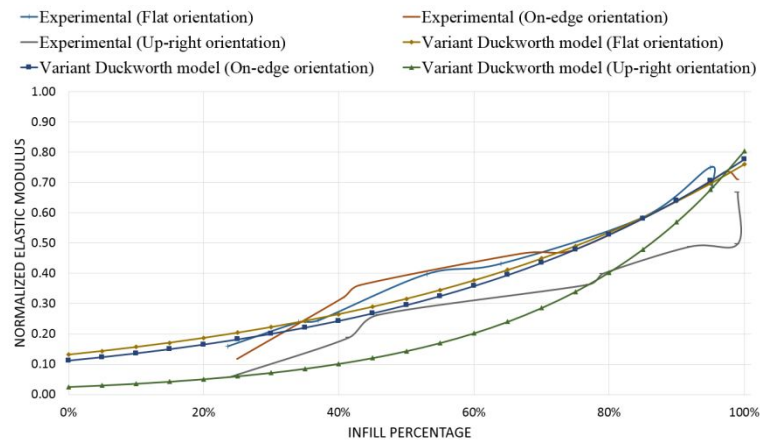


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Figure 8. Results of the Hasselman model vs. experimental results: a) UTS and b) elastic modulus.

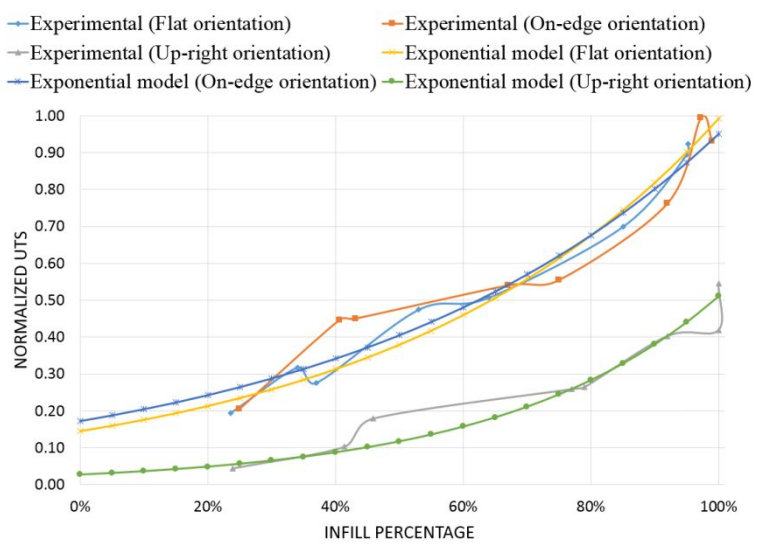


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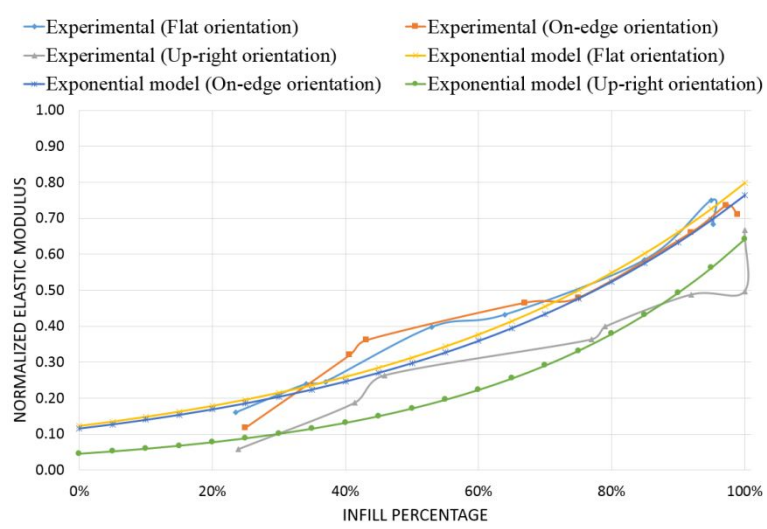


b)

Figure 9. Results of the Variant Duckworth model vs. experimental results: a) UTS and b) elastic modulus.



a)



b)

Figure 10. Results of the exponential model vs. experimental results: a) UTS and b) elastic modulus.