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Transient thermal-electrical performance modelling of solar concentrating photovoltaic (CPV) receiver

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Abstract

The cell efficiency of a solar CPV system can be enhanced by the dissipation of the thermal load from the receiver assembly. The performance of the solar cell is influenced by the incident light and cell operating temperature. In this study, a triple-junction solar cell, under a concentration ratio of 500x and cell dynamic efficiency, is considered for a wide range of operating temperatures (25-80 °C). The key purpose of this work is to simulate both transient and steady-state operating conditions, based on the temperature-dependent conversion efficiency. In this study, a transient model has been developed using COMSOL Multiphysics®. A live-link technique of COMSOL with MATLAB® is used to couple of successive thermal and electrical steady-state models for a fixed timestep. The performance behaviour of electrical parameters J_{sc} , V_{oc} , P , FF and P_{max} are investigated. The results show that a dynamical efficiency, compared with constant efficiency and integrated error, was approximately 12%. The cell cycle steady-state temperature occurs at a maximum cell temperature of 78.4 °C within 30s at the convective heat transfer coefficient $h_{conv}= 1400$ W/m² K and 500x of concentration ratio. Thus, steady-state cell temperature and time to reach it significantly dependence on the values of the environmental parameters of DNI , AM and T_{amb} . Therefore, we can determine the thermal response of h_{conv} to keep the value of $T_{cell} \leq 80$ °C.

Keyword: Transient model; Steady-state condition; Conversion efficiency; Cell temperature; Concentrating photovoltaic receiver.

1. Introduction

There has been a rapid increase in global energy demand, which has given the energy sector more motivation and has increased the attraction for investors, to study and development of renewable energy resources (Council, 2016; REGR, 2018). Currently, Photovoltaics (PV) solar energy technology is deemed to be one of the cleanest, most plentiful and least costly in contrast to other renewable energy sources. Therefore, that greatly encouraged scientists and

34 researchers to develop CPV as a renewable energy technology solution (AEO, 2019; Watts,
35 2013).

36 High-efficiency multi-junction solar cells are widely used in Concentrating Photovoltaic
37 (CPV) systems; due to their high conversion efficiency, a reduction in the cell area of
38 semiconductor materials, and utilising the low cost of concentrating materials (Philipps et al.,
39 2015; Philipps and Bett, 2014). The evolution of multi-junction solar cells has been largely
40 developed in both factories and laboratories. From this, the efficiency of such as solar cells
41 has been reported about 46% (Geisz et al., 2017; Green et al., 2019). CPV technology relies
42 on the output power increasing solar flux. Usually, CPV systems consist of a receiver
43 assembly, focusing optic and tracker, it's operating with various ranges of concentration
44 ratios (Sweet et al., 2018; Talavera et al., 2015). Efficient heat dissipation of HCPV is the
45 challenge to ensure optimal performance in real environmental conditions. Hence, the heat
46 source on the receiver assembly comes from the cells as a result of light concentration, which
47 leads to high cell temperatures (Cotal et al., 2009; Kinsey and Edmondson, 2009).

48 As a result of CPV, the solar flux heats the top surface of the solar cells; therefore, the
49 accumulation of thermal energy raises the temperature of the surface of the solar cells. In
50 turn, this leads to a reduction of the cell conversion efficiency; this can also have a negative
51 effect on the long-term operation of the cell, leading to mechanical failures (Kumar et al.,
52 2012; Maka and O'Donovan, 2020). Considering the performance behaviour under a
53 concentration ratio of 500x, if the PV module is insulated, then the temperature can reach a
54 value in excess of 1400 °C. Therefore, it is important to understand and investigate the effects
55 of cell temperature (T_{cell}) on triple-junction solar cell electrical performance parameters
56 (Araki et al., 2002; Zhangbo et al., 2009).

57 High temperatures cause a reduction in conversion efficiency as each subcell of a multi-
58 junction cell is connected in series. This results in a major debilitating effect on the maximum
59 power and open circuit's voltage (Cotal and Sherif, 2006). In CPV systems, it's important to
60 predict the solar cell's temperature, which can then be used in performance analysis and
61 characterisation. Hence, to keep a high level of performance efficiency, heat needs to be
62 effectively dissipated from the cell to the environment (Muron et al., 2011; Theristis and
63 O'Donovan, 2015).

64 The majority of electronic devices operate over a long period, and their mechanisms of
65 cooling are designed for steady-state operation conditions. Nevertheless, in some electronic

66 devices, applications do not ever operate long enough to achieve steady-state operation. In
67 these cases, it might be adequate to employ a restricted cooling procedure, such as thermal
68 storage, for a short time (buffering), or not to use one at all (Cengel, 1998).

69 Wang *et al.* performed a study of an HCPV module based on implementing a forward voltage
70 technique to measure and monitor the time-varying nature of a junction's temperature. A
71 detailed analysis of the thermal characteristic of the Finite Element Method (FEM) model
72 was established and compared with experimental data (Wang et al., 2010). Muller *et al.* had
73 proposed thermal transient measurements based on the temperature coefficient for the heating
74 and natural cooling of the CPV module. The measurement of V_{oc} from the cells was used to
75 determine the cell operating temperature. Instrument sensors are utilised to measure both the
76 back-plate temperature and the V_{oc} parameters. Consequently, the main advantage of this
77 measurement technique is that the cell temperature has a very quick response to steady-state
78 conditions (Muller et al., 2015). Torres-Lobera *et al.* simulated dynamic performance in a PV
79 module system. This model was performed on the PV solar module's string, which comprised
80 of six series-connected modules and analysed using MATLAB/ Simulink software. The
81 module temperatures, $P-V$ curves and maximum power points for the string/PV module were
82 investigated. Lastly, the measurements of environmental parameters and the electrical
83 parameters of the PV solar power plant were used for validation (Torres-Lobera and
84 Valkealahti, 2014). Migliorini *et al.* investigated the performance of a thermal-electrical
85 model of a PV solar module, that took into account dynamic performance behaviour. The
86 thermal model considered five different featured layers and the electrical model considered
87 the behaviour of five performance parameters. As a result, predictions of the electric power
88 produced with both explicit and simple relations were reported. (Migliorini et al., 2017).

89 In CPV systems, cell efficiency is an important key parameter to be considered in
90 performance characterisation (Cotal et al., 2009). However, the temperature dependence of
91 the cell efficiency is to be taken into account in any modelling or design (Cotal et al., 2009;
92 Nishioka et al., 2010). In this study, models for predicting the steady-state cell temperature of
93 CPV at 500x have been developed, and also used to determine the transient thermal and
94 electrical performance.

95 Despite the many studies related to dynamic models in estimating PV solar cell temperature,
96 which has been introduced previously in literature; in this research, a developed model of
97 CPV receiver for transient response is presented. The significance of the transient model is
98 being the link between the ideal modelling and the environmental operating conditions. A

99 thermal model based on the steady-state equation is obtained by considering the total energy
100 balance in the PV module; this builds upon the previous study model by ‘Maka and
101 Donovan’ in the references (Maka et al., 2019a, b). Consequently, the current study expands
102 in this area to consider the transient and steady-state operations. This study composed of five
103 main sections and respectively presented. Section 1 summary of introduction; Section 2
104 summarised the methodology and the approaches that have been used. Section 3 describes the
105 numerical modelling of the electrical model, thermal FEM model and receiver geometry and
106 boundary conditions. Section 4 detailed the results and discussions; hence, temperature-
107 dependent on cell efficiency, validation, heat power and thermal response analysis. Also, the
108 effects of varying irradiance intensity on steady-state cell temperature, and the effects of
109 varying ambient temperature in the steady-state cell temperature. Lastly, Section 5 draws the
110 key conclusions and the suggestion for future work.

Nomenclature

A_c	Area of the cell (m^2)	Greek symbols	
A_s	Convective area (m^2)	η_{opt}	Optical efficiency
CR	Concentration Ratio (x)	σ	Stefan–Boltzmann constant ($W/(m^2K^4)$)
DNI	Direct Normal Irradiance (W/m^2)	ε	Surface emissivity (-)
G	Solar direct irradiance ($W/m^2/nm$)	η_c	Cell efficiency
h_{conv}	Convection heat transfer coefficient ($W/m^2 K$)	λ	wavelength (nm)
J_{sc}	Short circuit current density (A/m^2)	α	Material constant (eV/K)
J_o	Dark current density (A)	β	Material constant (K)
k_b	Boltzmann constant (eV/K)	β_η	Efficiency temperature coefficient
n	Diode ideality factor (-)	γ	Constant (-)
q	Electron charge (c)	K	Constant ($A/(cm^2K^4)$)
q_{heat}	Heat power (W)	Abbreviations	
R_s	Series resistance (Ω)	AM	Air Mass
SR	spectrum response (A/W)	GaInP	Gallium Indium Phosphide
∂T	Temperature different	GaInAs	Gallium Indium Arsenide
Δt	Time different (s)	Ge	Germanium
T_{amb}	Ambient temperature ($^{\circ}C$)	EQE	External Quantum Efficiency
T_o	Temperature at standard condition ($^{\circ}C$)	FEM	Finite Element Method
T_c	Cell temperature ($^{\circ}C$)	1D	One-dimensional
V_{oc}	Open circuit voltage (V)	3D	Three-dimensional
P	Power (W)	FF	Fill Factor
$P_{max.}$	Maximum power (W)	HCPV	High Concentrating Photovoltaic
T	Time (s)	DBC	Direct Bonded Copper
		Al_2O_3	Aluminium Oxide

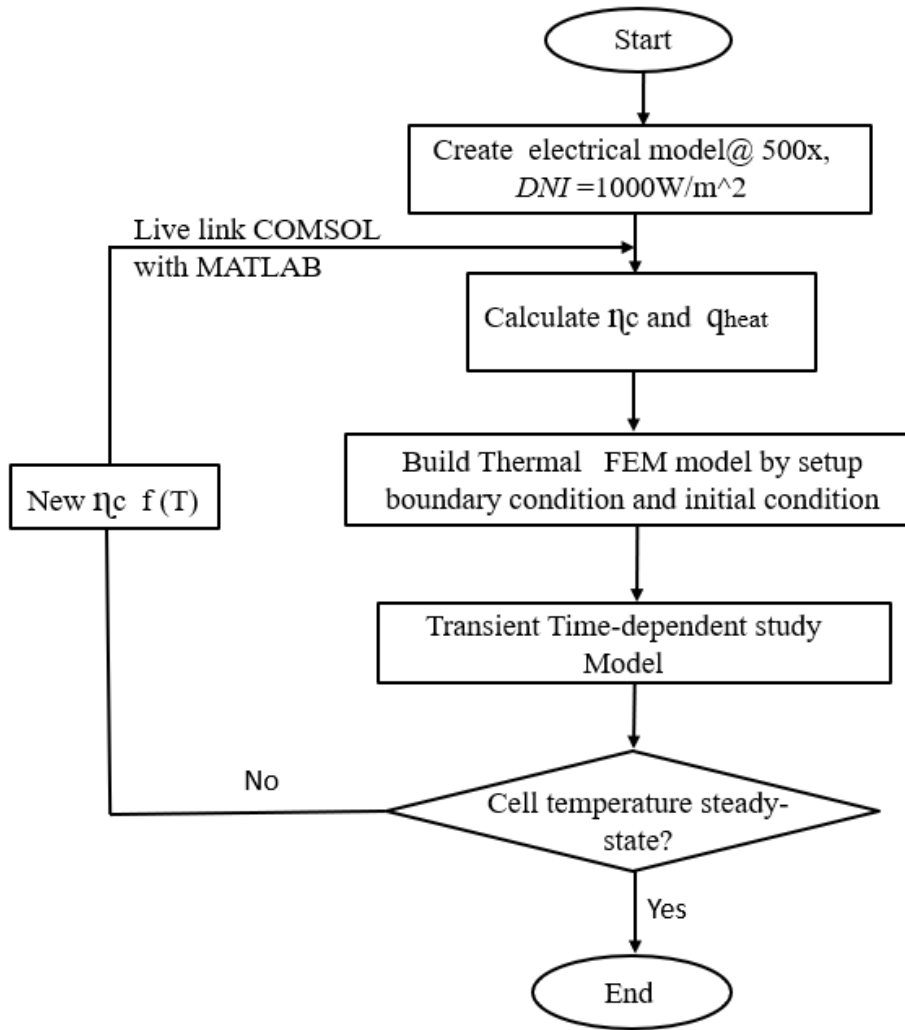
111 **2. Methodology**

112

113 Fig.1 illustrates the flowchart diagram describing the modelling approach. The electrical
114 model consists of a GaInP/GaInAs/Ge, triple-junction cell, with a standard $DNI = 1000 \text{ W/m}^2$
115 and at $CR = 500x$, which is detailed in Section 3.1. Temperature dependence has been
116 predicted for cell efficiency and heat power generation from incident solar power. The
117 modelling results are compared with a commercial CPV cell for temperature range 25-80 °C.

118 The numerical simulation of the three-dimensional study with a thermal model is coupled
119 with an electrical model, which is detailed in Section 3.2. The model was developed using
120 MATLAB live-link to couple the characteristics of the changing materials of the model for
121 each convergent and transient step. Initial cell temperature is modified after each iteration,
122 changing both the electrical conversion efficiency and the rate of heat transfer from the cell.
123 Steady-state is deemed to have been achieved when the cell temperature remains constant
124 with subsequent iterations, indicating the conservation of energy has been satiated.

125 A transient model is a model that contains dependent variables that changes over time and is
126 used here to determine the effect of a changing environment on the performance of a HCPV
127 cell. Besides, this is known as a dynamic model, time-dependent model or unsteady model
128 (Multiphysics, 2012). The model proposed here is a sequence of several steady-state
129 conditions, where the initial condition of the iterative solution is the final steady-state
130 condition of the previous timestep, as shown in Fig.1.



131

132

Fig.1. Flowchart of the modelling process.

133 3. Numerical modelling

134

135 3.1. Electrical model of triple-junction cells

136 The electrical model is the cornerstone of performance evaluation and energy generation of
 137 PV solar cells. A solar cell is a semiconductor material based on *p-n* junctions, designed to
 138 produce current by absorbing the light of energy photons. Performance evaluation of solar
 139 cells is dependent on parameters of voltage-current characteristics. Triple-junction solar cells
 140 are made of III-V materials; it consists of three subcell's, GaInP/GaInAs/Ge, monolithically
 141 stacked. It is important to determine the short current density generated by the cells, as given
 142 by Eq. (1) (Peharz et al., 2009).

143

$$J_{sc,i} = CR \cdot \int_{\lambda_1}^{\lambda_2} SR_{i(\lambda)} \cdot \eta_{opt(\lambda)} \cdot G_{(\lambda)} \cdot d\lambda \quad (1)$$

144 Where CR is a concentration ratio of 500x, and SR is the spectral response and η_{opt} is the optic
 145 efficiency. Equation (2) (known as the Shockley diode equation) characterises the current
 146 produced by the solar cell:

$$147 \quad J_i = J_{ph,i} - J_{0,i} \left(\exp \frac{q(V_i + J_i R_{s,i})}{n K_b T_c} - 1 \right) - \frac{V_i + J_i R_{s,i}}{R_{sh}} \quad (2)$$

148 Where R_s is series resistance, V is the voltage, R_{sh} is the shunt resistance, J_{ph} is the
 149 photocurrent. In the ideal case, the photocurrent is equal to short circuit density $J_{ph} = J_{sc}$. The
 150 R_{sh} is of a magnitude that can be neglected (Theristis and O'Donovan, 2015). The overall
 151 current is determined by the light-induced current from the diode dark current and is given by
 152 Eq. (3). The total current of the three subcells, in series connection, is limited by lower
 153 current density and the total voltage will be the sum of three subcells. The open-circuit
 154 voltage (V_{oc}) can be given by the relationship (4):

$$155 \quad J_i = J_{o,i} \left(\exp \frac{q(V + J_i R_s)}{n K_b T_c} - 1 \right) - J_{sc,i} \quad (3)$$

$$156 \quad V_{oc,i} = \frac{n K_b T_c}{q} \ln \left(\frac{J_{sc,i}}{J_{o,i}} + 1 \right) \quad (4)$$

157 Where n is the diode ideality factor, K_b is Boltzmann constant, T_c is temperature, q is the
 158 electron charge, R_s is a series resistance, and J_o is the reverse saturation current. The voltage
 159 output of the entire cell is the sum of the three subcells. The total current is determined by
 160 limiting the lowest photocurrent generated by three subcells. The Fill Factor (FF) is the ratio
 161 of the maximum output power, P_{max} , from the solar cell, divided by the open circuit voltage
 162 and short-circuits current density, as given in Eq. (5):

$$163 \quad FF = \frac{P_{max}}{V_{oc} \cdot J_{sc}} = \frac{J_{max} \cdot V_{max}}{V_{oc} \cdot J_{sc}} \quad (5)$$

164 The electrical efficiency of the cell (η_{el}) is quantified by dividing power output by power
 165 input as given by Eq.(6). Where P_{out} is a delivered power and P_{in} is the amount of incident
 166 power in the solar cell.

$$167 \quad \eta_{el} = \frac{P_{max}}{P_{in}} = \frac{J_{sc} \cdot V_{oc} \cdot FF}{P_{in}} \quad (6)$$

168 Cell efficiency η_c , as a function of temperature, can be calculated by Eq.(7) (Ceylan et al.,
 169 2016; Sarhaddi et al., 2010). Where η_{el} is cell electrical efficiency for the concentration ratio,
 170 β_η is efficiency temperature coefficient, and T_o is reference condition temperature.

$$171 \quad \eta_{c(T)} = \eta_{el} \left[1 - \beta_\eta (T_c^* - T_o) \right]$$

172 (7)

173 3.2. Thermal FEM model

174 Thermal FEM modelling utilises COMSOL Multiphysics, which is iteratively solved by
 175 Partial Differential Equations (PDEs). In the FEM, the simulation starts by producing the
 176 geometry and dividing it into finite elements. The ‘‘Heat Transfer in Solids’’ simulation
 177 physics is used to develop 1D and 3D dynamic thermal models.

178 The aluminium oxide interlayer offers electrical insulation between the top and bottom
 179 subcell materials. The insulation materials in the middle of the Direct Bonded Copper (DBC)
 180 sandwich between the bottom and top copper, as Al_2O_3 has excellent combined properties as
 181 an electrical insulator and thermal conductor (García et al., 2016). To simplify the model, the
 182 electrical terminals and bypass-diodes are not considered.

183 For the optimum design of a CPV system from the perspective of conversion efficiency, the
 184 level of temperatures of a CPV system should be maintained as low as possible. Therefore, to
 185 implement a heat transfer model, it is essential to design a system which can investigate the
 186 variance in temperature and system performance. The thermal design is built on our
 187 understanding of the processes of heat transfer, from assembly to unit level, by conduction,
 188 convection and radiation. Eq.(8) (Aldossary et al., 2016; Theristis et al., 2012) expresses the
 189 heat dissipation by conduction through the receiver of the solid component. Where K is
 190 thermal conductivity, $(\partial T/\partial x)$ is the temperature gradient, A is conductive area and q_{con} is
 191 conduction heat transfer (W/m^2).

$$192 \quad q_{con} = -K.A.\frac{\partial T}{\partial x} \quad (8)$$

193 The amount of heat that is dissipated by convection from the surface to the air is expressed in
 194 Eq.(9) (Theristis et al., 2012). Where q_{conv} is convection heat transfer (W/m^2), A_s is
 195 convective area, h_{conv} is a convection heat transfer coefficient.

$$196 \quad q_{conv} = h_{conv}.A_s.\frac{\partial T}{\partial x} \quad (9)$$

197 The heat loss by radiation transferring heat by electromagnetic waves to the environment is
 198 expressed by Eq.(10) (Theristis et al., 2012). q_{rad} is radiative heat flux (W/m^2), T_s is surface
 199 temperature, ε is surface emissivity, and σ is the Stefan-Boltzmann constant.

$$200 \quad q_{rad} = \varepsilon \cdot \sigma \cdot A \cdot (T_s^4 - T_{amb}^4) \quad (10)$$

201 Thermal equilibrium with the surrounding environment occurs in the electronic device when
 202 it is not under operating conditions and at the same temperature of the surrounding media.
 203 Although, when the device is active, the component's, and the solar cell device, the
 204 temperature begins to increase due to the absorption of heat, the temperature of the device
 205 stabilises at the point when the heat generated equals the heat released throughout the cooling
 206 mechanism. At that point, the device has reached steady-state operating conditions. The
 207 period during the warming-up, when the component temperature rises, is called the
 208 operational transient period (Cengel, 1998).

209 3.3. Receiver geometry and boundary condition

210 The boundary conditions in the solid domains are applied. The model geometry of a receiver
 211 assembly is attached to a Direct Bonded Copper (DBC) carrier, made of copper/ Al_2O_3
 212 ceramic/copper. The receiver configuration set at the bottom convective area is about
 213 $5.13 \times 10^{-4} m^2$, and the solar cell area is $1cm^2$. Using the multi-junction solar cell's heat source
 214 at CR = 500x, the heat power is generated by the portion that is not converted to electricity.
 215 Heat transfer in the solid-state is due to the material's thermal properties. A convective heat
 216 transfer boundary condition has been applied to the backside of the plate, $h_{conv} = 1400 W/m^2$
 217 K. The ambient temperature around the receiver was set to 25 °C. The optimum geometry
 218 mesh of normal size, free triangle type was applied. Fig.2 shows a 3D receiver structure, and
 219 the selected point at the centre of the cell for the 1D single plot. Table 1 listed the single CPV
 220 receiver assembly dimensions.

221 Table 1 Dimensions of CPV receiver assembly (Theristis and O'Donovan, 2015).

Receiver layer	Width (mm)	Thickness (mm)	Length (mm)
Solar cell	10	0.19	10
Copper	19.5	0.25	24
Al_2O_3	21	0.32	25.5
Copper	20.5	0.25	25

222

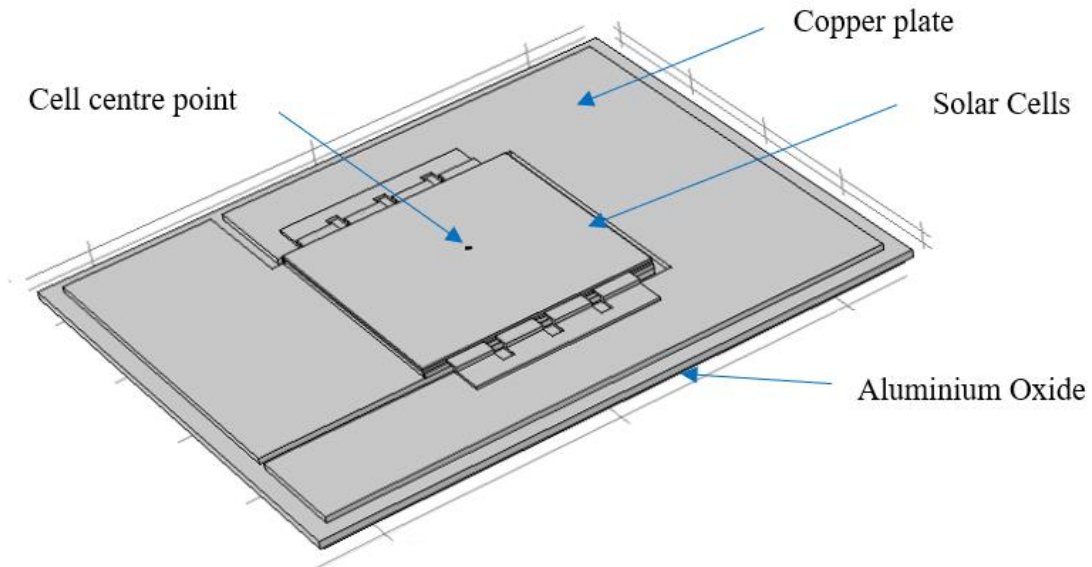


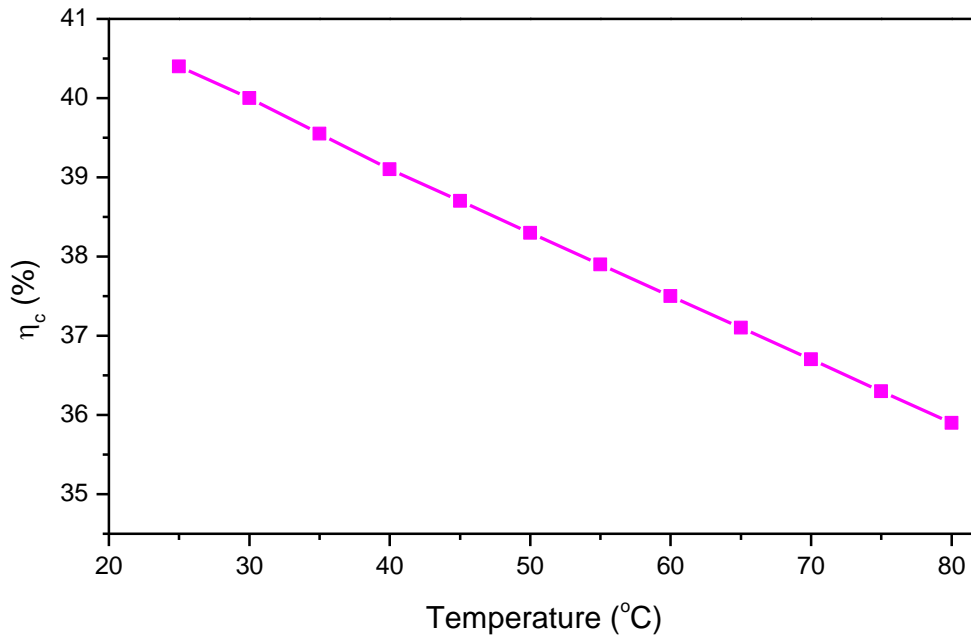
Fig.2. 3D structure of receiver assembly.

4. Results and discussions

4.1. Temperature dependent on cell efficiency

The solar cell generates heat and electrical energy at high optical concentrations. In a series connection, the PV module's efficiency is limited as its temperature increases. A solar receiver assembly usually contains a bypass diode, which will override the cell to avoid overheating, which leads to a reduction in cell/module efficiency (Broderick et al., 2015; Helmers et al., 2013).

The current model accounts for the temperature dependence of the solar cell's efficiency and the effects of temperature from 25-80 °C and CR= 500x; the trends of efficiency decrease linearly as the temperature increases. The normalised temperature coefficients of the conversion efficiency ($\Delta\eta/dT$) of the triple-junction solar cell is 0.047%/K (Azurspace, 2014). The variation of efficiency with temperature is shown as a linear relationship between temperature and efficiency, as illustrated in Fig.3.



240

241 Fig.3. Temperature-dependent on cell efficiency as a function of temperature-dependence
 242 from 25-80 °C and at CR of 500x.

243

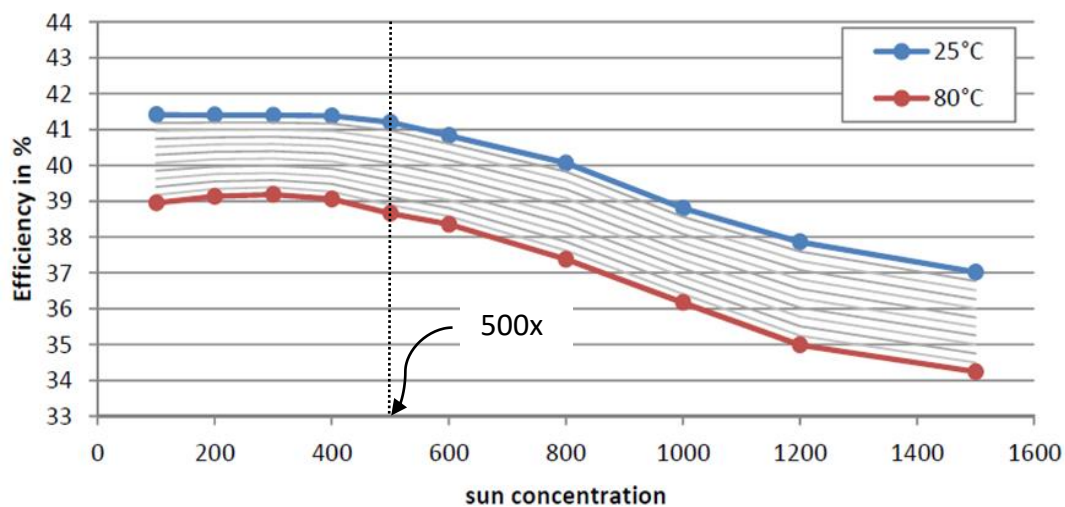
244 This study considered the transient response to a step input, from unilluminated to being
 245 subjected to concentrated (500x) sunlight. During the process of solar concentration, the
 246 uniform light of solar radiation is concentrated onto a small area. The thermal gradient is
 247 affected by the temperature difference between the edge, and centre, of the solar cell. That is
 248 due to the difference in heat dissipation from conduction and convection heat transfer (Cotal
 249 and Frost, 2010; Rey-Stolle et al., 2016).

250 Initially, as the cell temperature rises, the cell material's band gap decreases, hence a larger
 251 portion of the incident spectrum can be absorbed by the hottest region. The effect of the
 252 temperature rises is a decrease in the operating efficiency of the solar cells. The current
 253 mismatch can happen between several regions of a cell operating at different temperatures.
 254 The generation of thermal energy at every subcell in GaInP/GaInAs/Ge triple-junction solar
 255 cells is higher in the bottom subcell Ge (Sharpe et al., 2013).

256 **4.2. Validation**

257 This proposed model is verified by using data from a commercial PV cell. It is typically used
 258 in CPV, the conversion efficiency as a function of temperature from 25-80 °C versus different
 259 values of concentration ratios. Hence, the performance measurement data is presented by
 260 AZUR-SPACE Solar Power GmbH, as shown in Fig.4 (Azurspace, 2014). Table 2

261 summarises a comparison between this study, modelling and measurement at CR = 500x of
 262 efficiency at a temperature of 25 °C. The results show disparities emerging; therefore, the
 263 efficiency deviation is about 0.8%, found at 25 °C, and about 2.5% at a temperature of 80 °C.
 264 Furthermore, as depicted in Fig.4, as sunlight concentration increases, the efficiencies
 265 decrease to a certain level. Hence, the behaviour can be explained by a reduction in series
 266 resistance as temperature rises (Ghoneim et al., 2018; Helmers et al., 2013). Moreover, as the
 267 FF decreases owing to series losses, the efficiency degenerated to a certain level (Fernández et
 268 al., 2018).



269
 270 Fig.4. Measured data of performance curve for 3C42A, 2014 by AZUR-SPACE Solar Power
 271 GmbH; for concentration ratio from 100 to 1500x versus the conversion efficiency with a
 272 variable operating temperature from 25-80 °C (Azurspace, 2014).

273 Table 2 Comparison of cell efficiency resulting from this modelling and between
 274 experimental data extracted from 3C42A, AZUR-SPACE and current model at 500x.

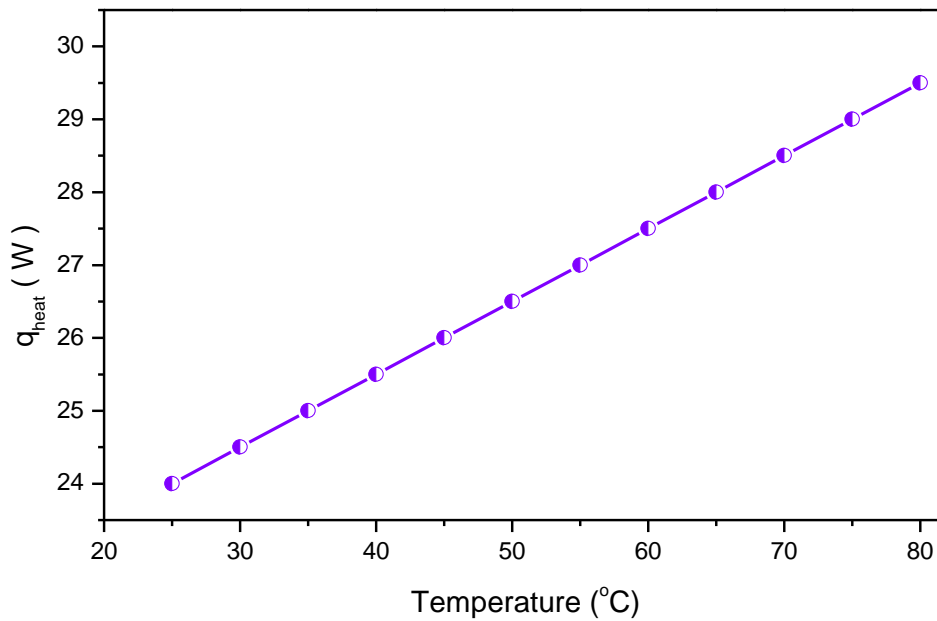
Temperature (°C)	3C42A η_c (Azurspace, 2014).	Current model η_c	Deviations (%)
25 °C	41.2%	40.4%	0.8
80 °C	38.5%	36%	2.5

275
 276 **4.3. Heat power**
 277
 278 The main reason for the decrease in cell efficiency at high concentrations is attributed to the
 279 temperature rise. The heat power (or heat flux), is the heat generated on the top of the solar
 280 cells as a result of optical concentration. The heat power, as a function of temperature, is
 281 considered in this study for a temperature range from 25-80 °C. Under concentration, the heat

282 power generated by the solar cell is quantified by the given Eq. (11) (Fernández et al., 2014;
283 Ota et al., 2013).

284
$$q_{heat(T)} = DNI.(1 - \eta_c).A_c.CR.\eta_{opt} \quad (11)$$

285 Temperature-dependence of heat power can be predicted (q_{heat}) based on the efficiency
286 temperature dependence. It indicates that a decrease in heat power due to an efficiency drop
287 increases temperature, as shown in Fig.5. The DNI is taken as a constant value of 1000 W/m^2
288 and $AM 1.5D$; the η_c is variable with cell efficiency as a function of temperature.



289
290 Fig.5. Temperature-dependence on the heat power generated by the cells of temperature from
291 25-80 °C.

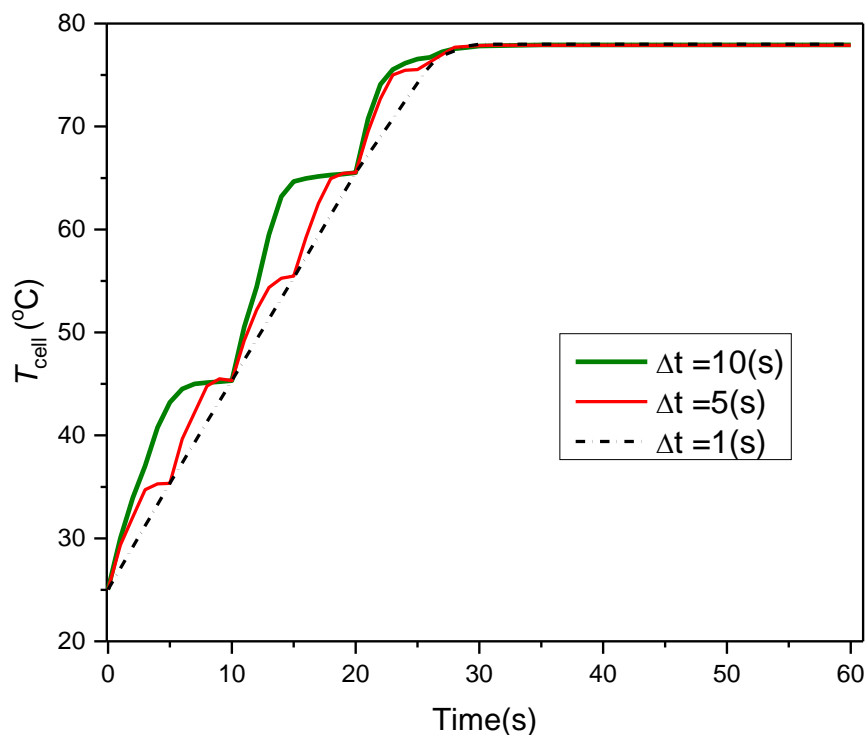
292 4. 4. Thermal response analysis

293 In this simulation, the model is run twice: once by using a stationary cell efficiency study,
294 and another by dynamic cell efficiency. The values of dynamic efficiency are variable from
295 40.4-36%. Thus, the time-dependent model is beneficial in comprehending the heating time
296 required by the cell to reach the steady-state condition. The dynamic model comprises of a
297 series of steady-state models, where the time-step between successive steady-state models
298 becomes a factor.

299 Based on the FEM model, the initial and boundary conditions are set. As a result, electrical
300 efficiency decreases as a function of temperature, which corresponds to the increase in heat
301 power generated. Therefore, this approach gives an overview of understanding the device's

302 transient performance. Most of the heat is densely focused in the centre of the solar cell and
 303 decreases gradually towards the receiver assembly edge. Fig. 6 shows a graph of steady-state
 304 temperature against time, for three values of the timestep, Δt (1,5,10s). Hence, the time-
 305 dependent study, where a timestep is set, represents the time differences between each
 306 iteration until the end of the computation. As the temperature and corresponding efficiency
 307 varies significantly within the larger timesteps, it is clear that the timestep must be
 308 maintained at or below 1s to accurately predict the performance of the cell to a step-change in
 309 solar flux.

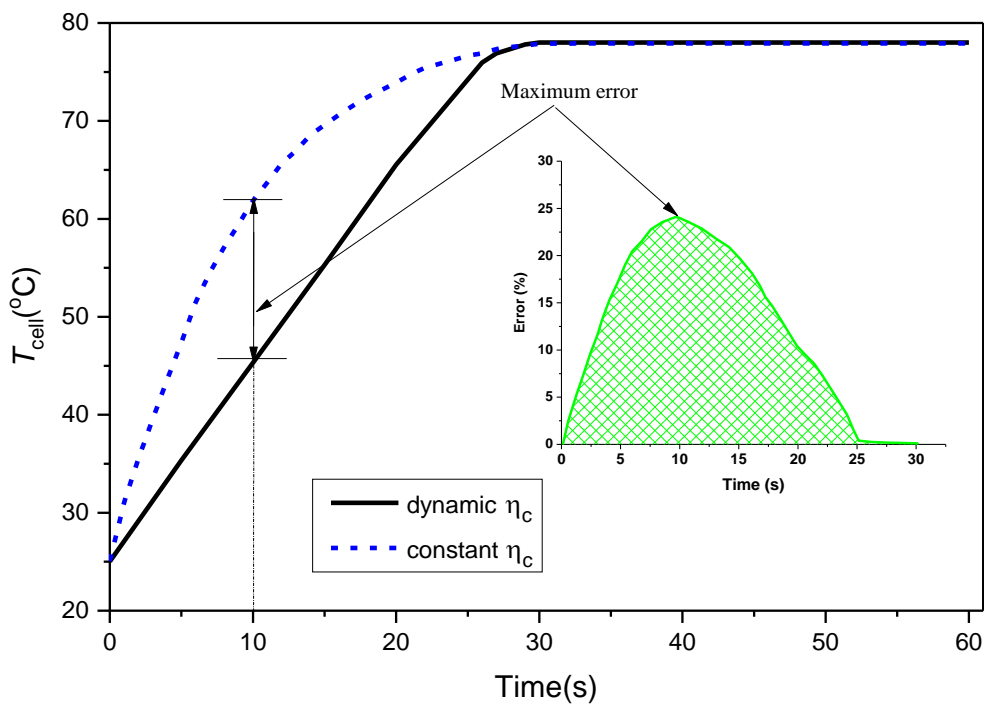
310 In this model, we consider a uniform illumination on the cell surface. The actual heat
 311 dissipation distribution is non-uniform across the receiver. Basically, the heat is transferred
 312 through the PV cell's solid layers by conduction. Thus, the heat conduction is most intense at
 313 the cell's centre, although, at the edge of the cell, conduction and radiation heat transfer does
 314 take place. Eventually, the heat is dissipated to the surrounding environment by convection
 315 and radiation heat transfer.



316
 317 Fig. 6. Transient model results and steady-state temperature against time for three values of
 318 the timestep.

319 The error ratio between the dynamic efficiency with constant efficiency is calculated.
 320 Integrated Error (IE) from (0- 60s) is about 12%, from an initial temperature of 25 °C to a

321 steady-state temperature, which occurred at around 30s; hence, the maximum cell
 322 temperature is 78.4 °C. The Maximum Error Point (MEP) is about 24% and occurs at 10s. In
 323 this model, cell temperature loops until reaching a steady-state, although that steady-state
 324 occurs when the transient cell temperature (T_{cell}) trends, and adjacent cell temperature, the
 325 trend tends to 0%, with a stationary efficiency of 36% and the initial temperature of 25 °C.
 326 Fig.7 shows the results of the comparison between the steady-state temperature for both
 327 constant and dynamic efficiency.



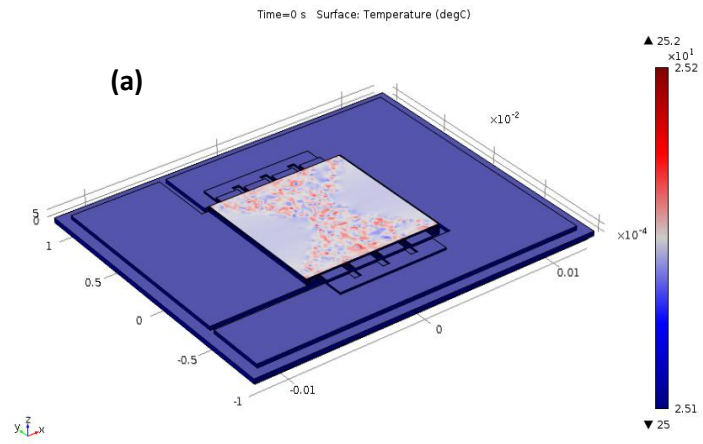
328

329 Fig.7. Transient model results in steady-state maximum temperature for both constant
 330 efficiency and dynamic efficiency.

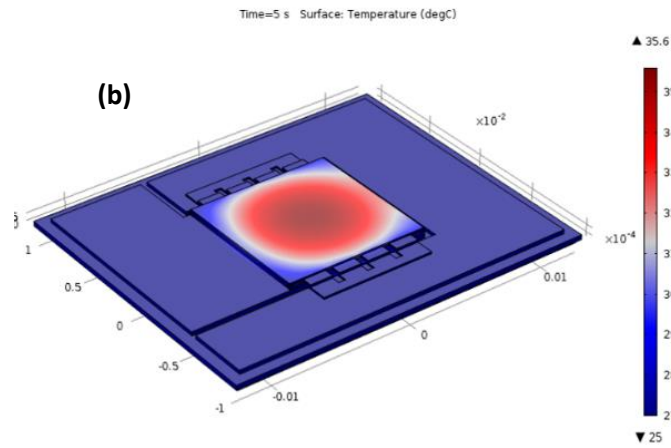
331

332 The receiver assembly is simulated for time intervals from 0-30s. Fig.8 illustrates the
 333 resulting temperature distribution across the cell and receiver assembly for t=0s to t=30s at 5
 334 second intervals ($CR = 500x$ and $h_{conv} = 1400 \text{ W/m}^2 \text{ K}$). The thermal management of the
 335 assembly is reliant on convection from the back of the receiver and the environmental
 336 conditions. Fig.8 (a) represents the initial cycle simulation when t=0s; hence, the cell
 337 temperature is about 25 °C. In Fig.8. (b), there was a little increase of cell temperature at t=5s,
 338 and the maximum cell temperature is shown densely on the centre of the cell and stagnated at
 339 35.5 °C, but the edge of the cell has the temperature about 28 °C.

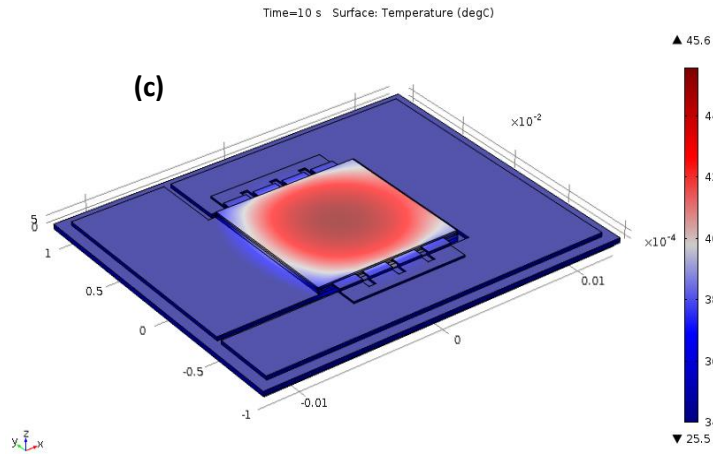
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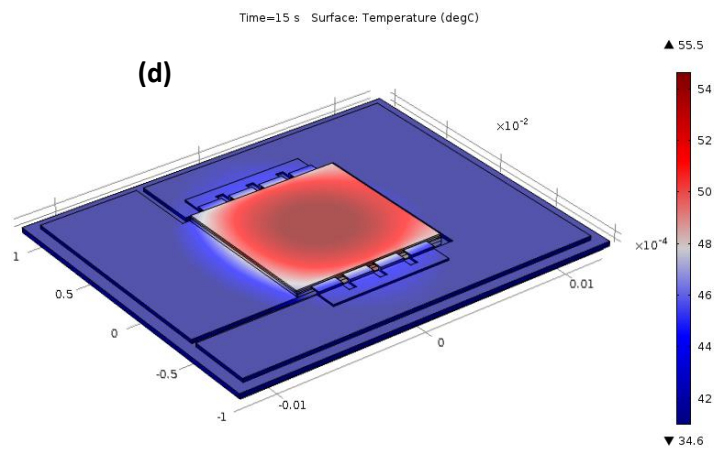
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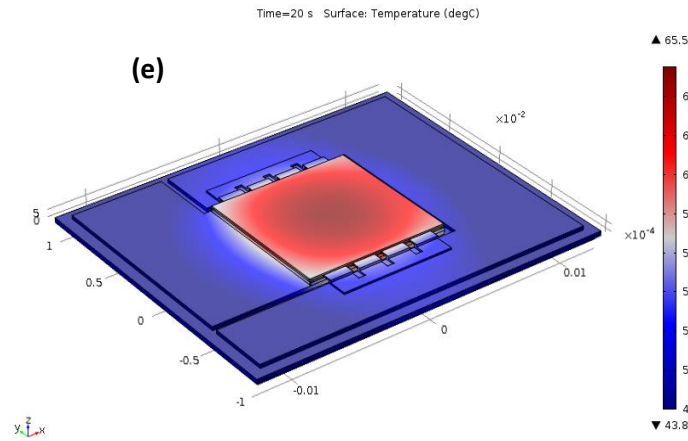
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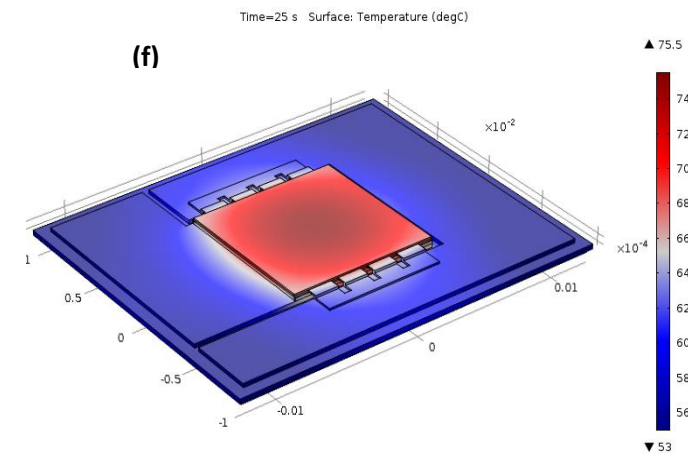
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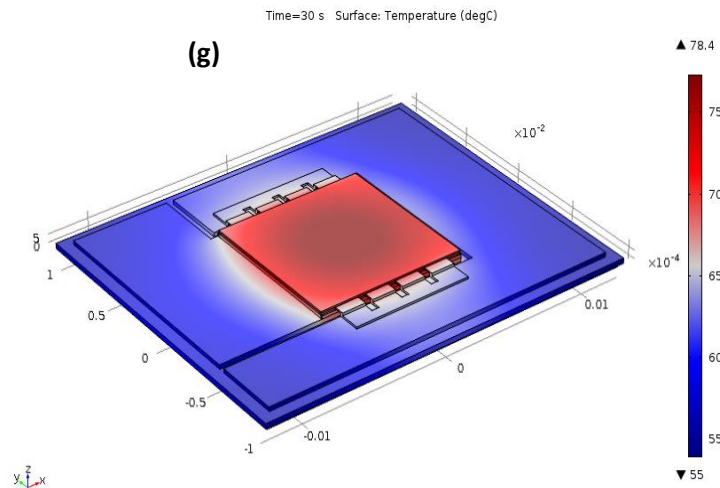
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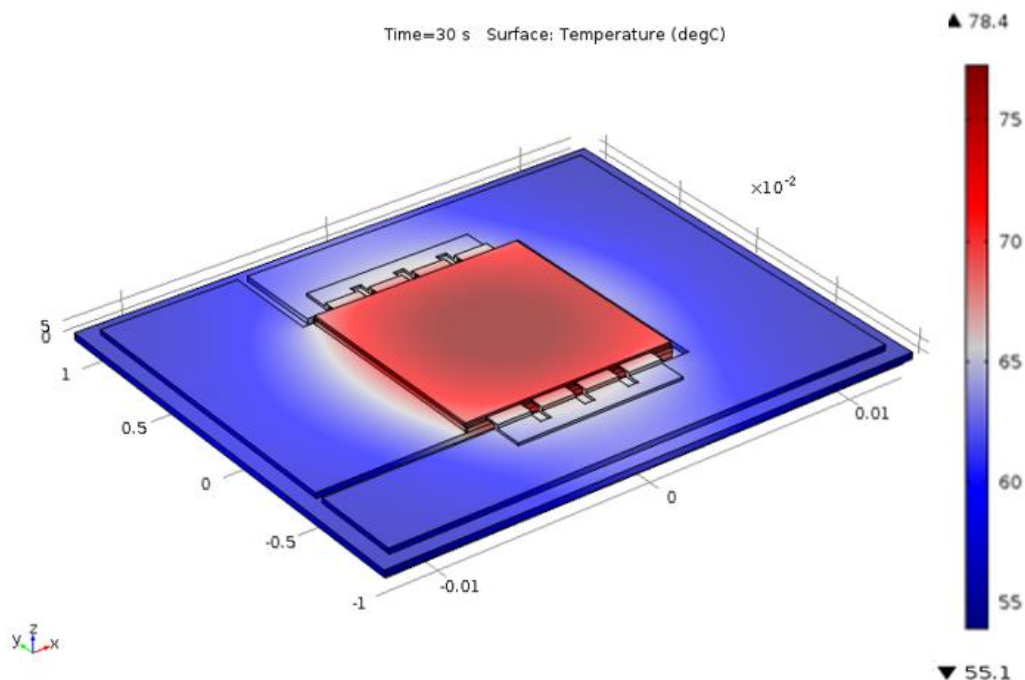
347 Fig.8. 3D Temperature profile distribution patterns and cell steady-state temperature of the
348 dynamic efficiency of receiver assembly for different intervals as listed in (a, b, c, d, e, f, g)
349 and steady-state time listed respectively (0, 5, 10, 15, 20, 25, 30 seconds).

350
351 In Fig.8 (c) the temperature is increased to reach 45.6 °C at t=10s; temperature distribution
352 pattern on the cell increased at the edge to about 40 °C. In Fig.8 (d) the temperature of the
353 cell rises to 55.5 °C at t=15s, and here the temperature of Direct Bonded Copper (DBC)
354 carrier started increasing to reach 34.6 °C. In Fig.8 (e) the cell temperature increased to reach

355 65.5 °C at t=20s, and the (DBC) carrier temperature was about 43.8 °C. In Fig.8 (f) at t=25s,
356 the cell temperature increased to reach 75.5 °C, and on the attached (DBC) carrier, the
357 temperature was approximately 53 °C. Lastly, Fig.8 (g) represents the movement from
358 transient to the steady-state condition at t=30s: the cell temperature was about 74.4 °C and the
359 pattern temperature distribution on the attached (DBC) carrier, was from 55-65 °C.

360 It is cleared that the thermal response of the cell and assembly varies significantly over the 30
361 second period. Also, if effective and cost-effective thermal management systems are to be
362 designed to optimise the electrical conversion efficiency, and consequently the electrical
363 yield of the system, then dynamic modelling is important (Migliorini et al., 2017).

364 By comparison, a three-dimensional model (3D) of temperature profile distribution on a
365 multijunction solar cells receiver, presented in Fig. 9, shows results for constant efficiency.
366 For a time period of 0- 60s, the constant efficiency used in this model is approximately 36%,
367 the cell temperature is about 78.4 °C, and occurs at 30s. The attached (DBC) carrier shows a
368 variable temperature distribution pattern ranging from 55.1-66 °C. The final maximum cell
369 temperature at dynamic efficiency was similar and therefore reached the steady-state
370 condition at the same time, within 30s, in the constant efficiency.



371

372 Fig.9. 3D temperature profile distribution, steady-state model at constant efficiency.

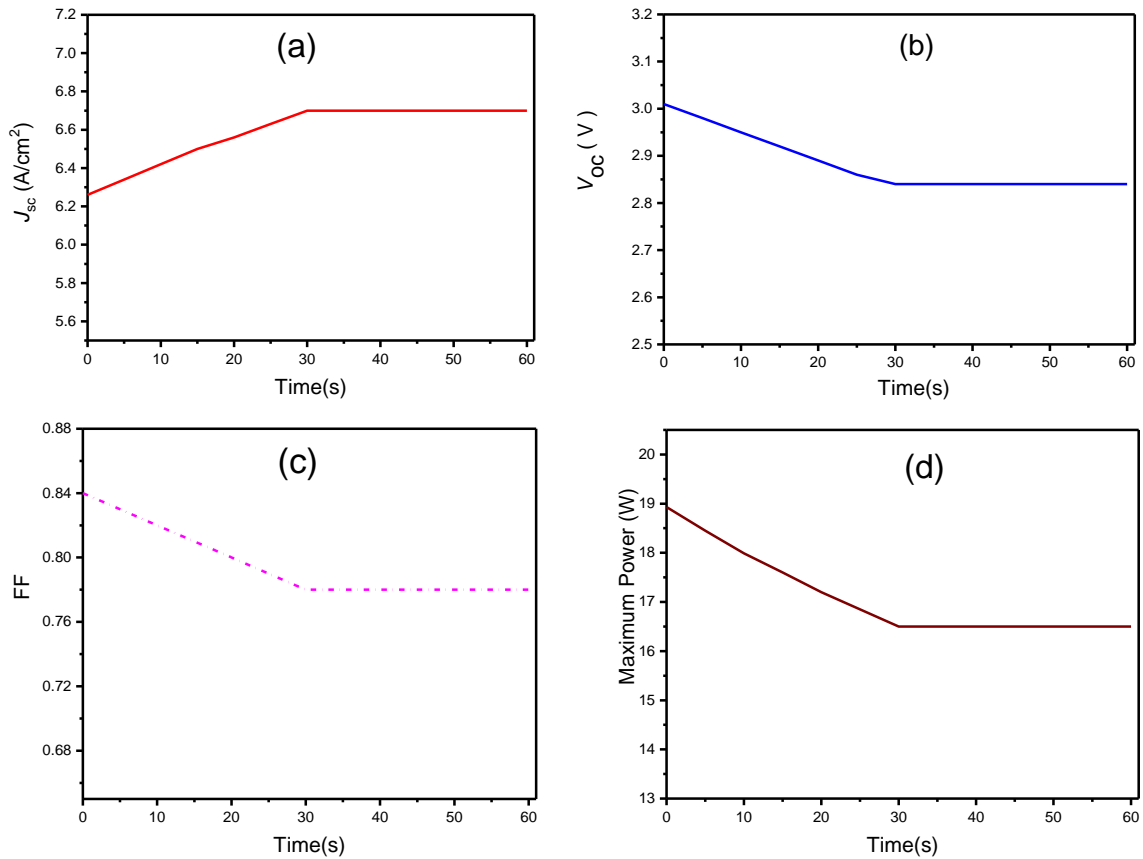
373 In the three-dimensional model, the temperature distribution of the triple-junction cell needs
374 an accurate light profile, since overall input with comprehensive information on a material
375 temperature is dependent on parameters such as energy band gap, absorption, etc. (for
376 semiconductor materials with a stack of multi-junction). Hence, the presence of the 3D model
377 will help in predicting regions of the solar cell, which have malfunctions as an effect of high
378 temperatures (Rey-Stolle et al., 2016).

379 **4.5. Analysis of electrical parameters response**

380 In steady-state conditions, the entire heat generated by the assembly is transferred to the
381 ambient environment. Thus, the optimum thermal management mechanisms reported for
382 CPV application at 500x might include a heat spreader, heat sink, micro-channel, jet
383 impingement and liquid immersion etc. Here, a rear surface convective heat transfer
384 coefficient of $1400 \text{ W/m}^2 \text{ K}$ and an ambient temperature of $25 \text{ }^\circ\text{C}$ was considered, and the
385 predicted cell temperature is approximated to be $78.92 \text{ }^\circ\text{C}$ (Jakhar et al., 2016).

386 The cell performance is very sensitive to temperature increases, which are simulated in
387 transient methods. In Fig.10, when the solar cell's performance parameters are decreased as
388 temperatures increases, the temperature dependence on the short-circuit current density (J_{sc})
389 slightly increases over time until the temperature reaches a steady-state at 30s, as shown in
390 Fig. 10 (a). This behaviour can be explained where, as the temperature increases, the energy
391 band gap decreases, as more solar spectrum photon components are absorbed. Subsequently,
392 this leads to a slight increase in short circuit current density.

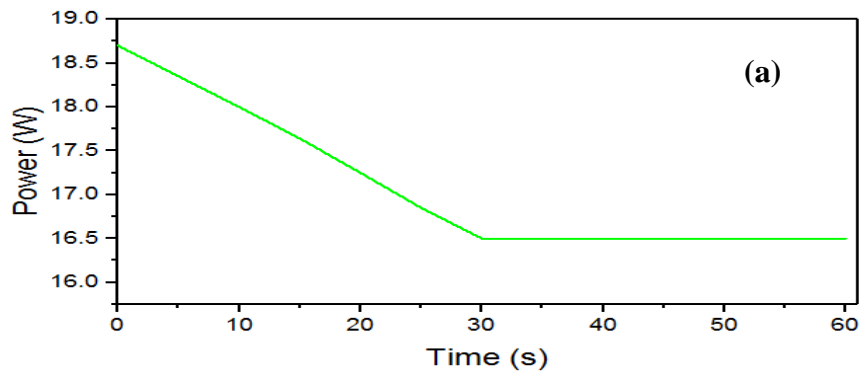
393 The temperature dependence on the open circuit's voltage (V_{oc}) decreases over time, as
394 shown in Fig.10 (b). As a result of rising cell temperature, the effects of V_{oc} gradually
395 decreased from 3.01 to 2.84 V. The fill factor, also, decreases as cell temperature increases as
396 shown in (Fig.10 (c)). The FF depends on the energy band gap of the cell materials, which is
397 affected more by temperature increases. Therefore, it steadily decreases from 0.84 to 0.78 and
398 stabilises within 30s. The maximum power point also drops as cell temperature increases with
399 time until stagnation temperature stabilises as shown in (Fig.10 (d)). The maximum power
400 (P_{max}) of the cell is gradually decreasing from 19 to 16.7 W and reaches a steady-state within
401 30s.



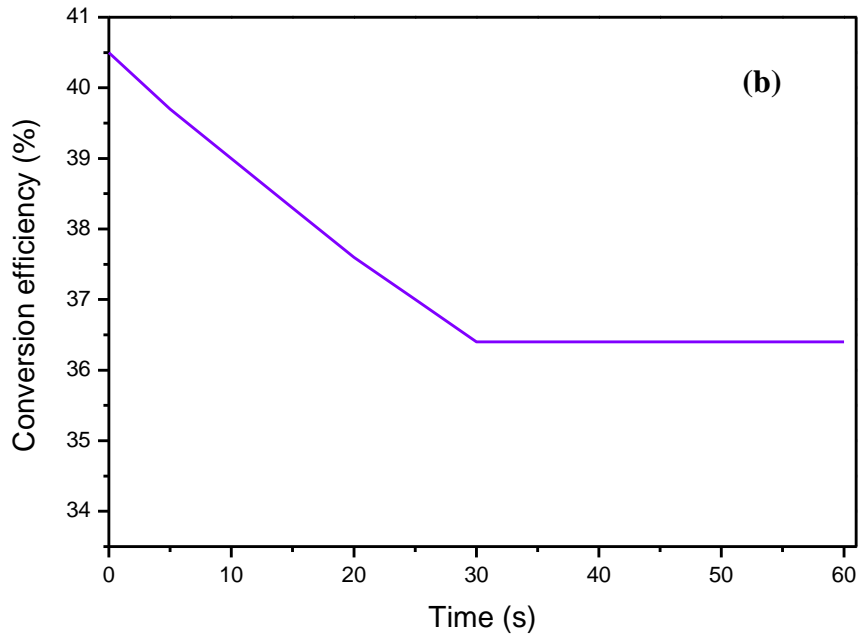
402 Fig.10. Electrical performance parameters of transient and cell steady-state condition. (a)
 403 short current density versus time, (b) open circuit voltage versus time, (c) fill factor versus
 404 time, (d) maximum power point versus time intervals.
 405

406 Fig.11(b) illustrates the overall solar cell's conversion efficiency using the time-dependent
 407 temperature, where it tends to decrease towards a steady-state. The efficiency in a time-
 408 dependent pattern drops from 40.4% (at 0s) to a steady-state efficiency of about 36.4%,
 409 which occurs within 30s. Therefore, this high temperature proves it is the cause of the
 410 decrease in the conversion efficiency; the details of that are observed on the process of
 411 dynamic losses.
 412

413 Fig.11(a) represents the power output during the transient and the steady-state condition. As a
 414 result of reductions on the parameters of V_{oc} , FF and $P_{amx.}$, when cell temperature rises, the
 415 output power also will drop to a certain level. Hence, the shown output power gradually
 416 decreases from 18.7 to 16.5 W and stabilises within 30s. Based on that, the steady-state
 417 output power is fundamental to predicting energy yield gained from the cell/module/system.



418



419

420 Fig.11. (a) Power output versus transient and steady-state conditions, (b) Conversion
 421 efficiency versus cell transient and steady-state conditions.

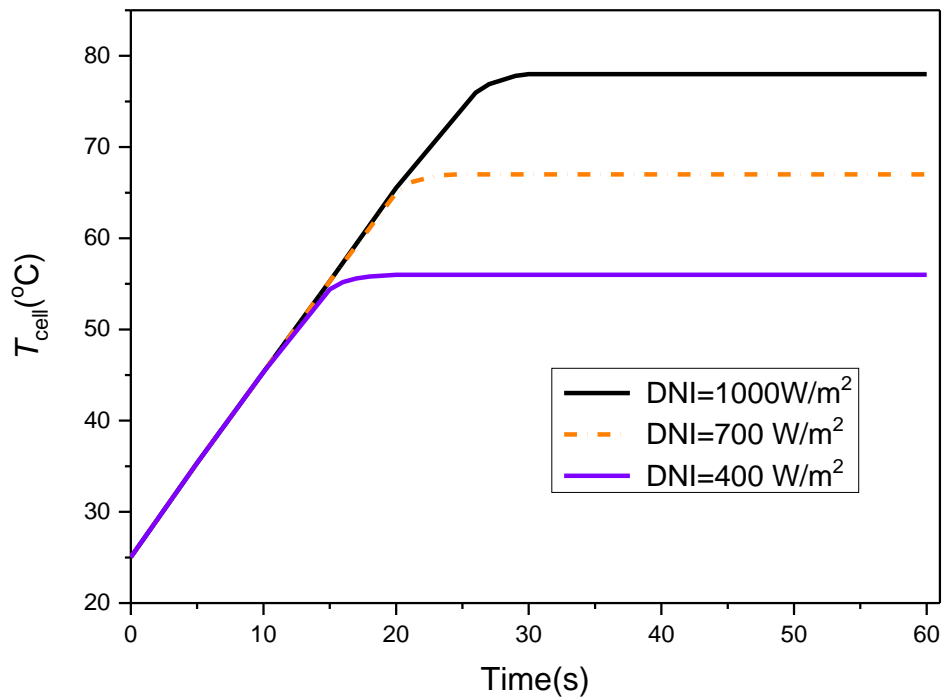
422

423 **4.6. Effects of varying irradiance intensity in steady-state cell temperature**

424 Knowing the temperature behaviour of multi-junction solar cells for various irradiances is
 425 essential for both the Earth concentrator applications and space applications (Helmert et al.,
 426 2013). Despite the impact of temperature on HCPV operating performance and system
 427 integrity, the modelling technique used predicts the range of operating temperature for
 428 various environmental conditions, including irradiance and environment temperature etc.

429 The performance of the solar cell is influenced by incident illumination and its operating
 430 temperature. Fig.12 depicts the solar cell steady-state temperature versus different values of
 431 *DNI*, the optimum time-dependent, selected at $\Delta t = 1(s)$. With the high irradiance intensity of
 432 1000 W/m^2 , the steady-state cell temperature was $78.4 \text{ }^\circ\text{C}$ at the time of 30s. Hence, at an

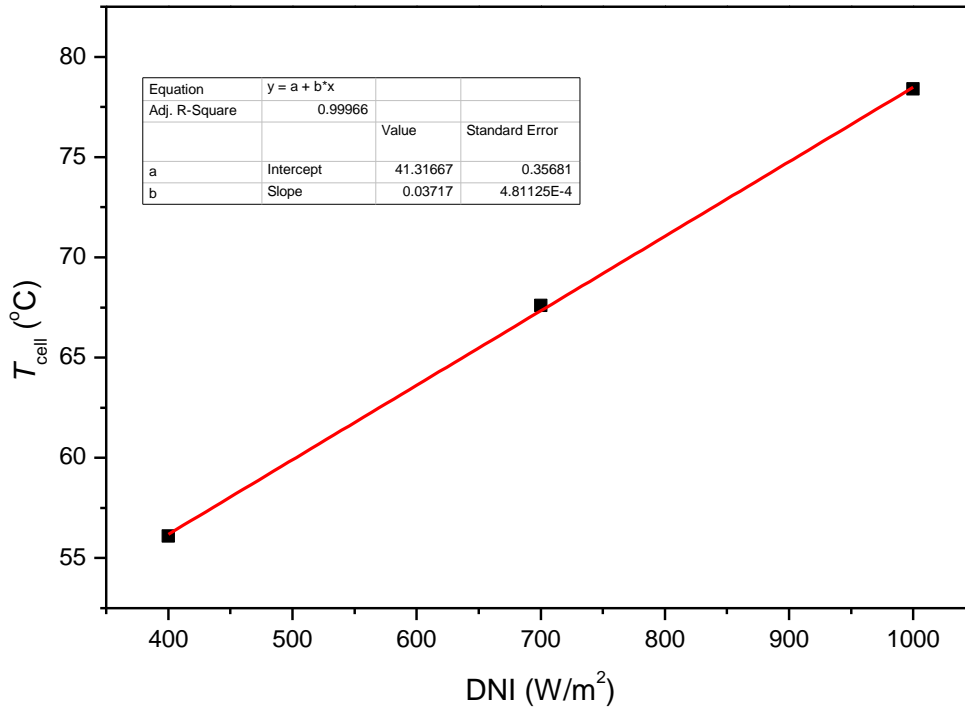
433 irradiance intensity of 700 W/m^2 , the steady-state temperature was about $67.5 \text{ }^\circ\text{C}$ at the time
 434 of 25s. Although, at low values of irradiance intensity of 400 W/m^2 , steady-state cell
 435 temperature was $56.1 \text{ }^\circ\text{C}$ at approximately 20s. This behaviour can be explained, since the
 436 slopes of the three case curves are identical, from the initial up to a certain level, as they have
 437 a fixed set-up of $T_{\text{amb}} = 25 \text{ }^\circ\text{C}$ and $h_{\text{conv}} = 1400 \text{ W/m}^2 \text{ K}$. On the other hand, the achieved values
 438 of steady-state cell temperature are different here, due to the various values of the DNI and
 439 corresponding AM .



440

441 Fig.12. Effects of changes DNI in the cell temperature at dynamic efficiency for time for
 442 steady-state temperature.

443



444

445 Fig.13. Different values of *DNI* versus cell temperature at steady-state temperatures.

446

447 The irradiance intensity has a significant influence on steady-state cell temperature. As the
 448 values of *DNI* changes at conditions of (1000 W/m², 700 W/m², 400 W/m²) the slope linearly
 449 increases; the regression was ($R^2 = 0.9996$). The predicted cell temperature was variable
 450 (between 78.4 - 56.1 °C), the cell efficiency decreased sharply from 36.4% to 32.3%, as
 451 illustrated in Fig.13. The cell steady-state temperature response remarkably shows the effect
 452 of increases and decreases of *DNI* at the steady-state cell temperature. It's important here to
 453 mention that 1000 W/m² of the *DNI* and corresponding *AM* represents the midday operating
 454 condition, which is approximately the maximum values during the operation. In contrast, 400
 455 W/m² of the *DNI* and corresponding *AM* represents the period of sunrise/sunset, which are
 456 the lowest values.

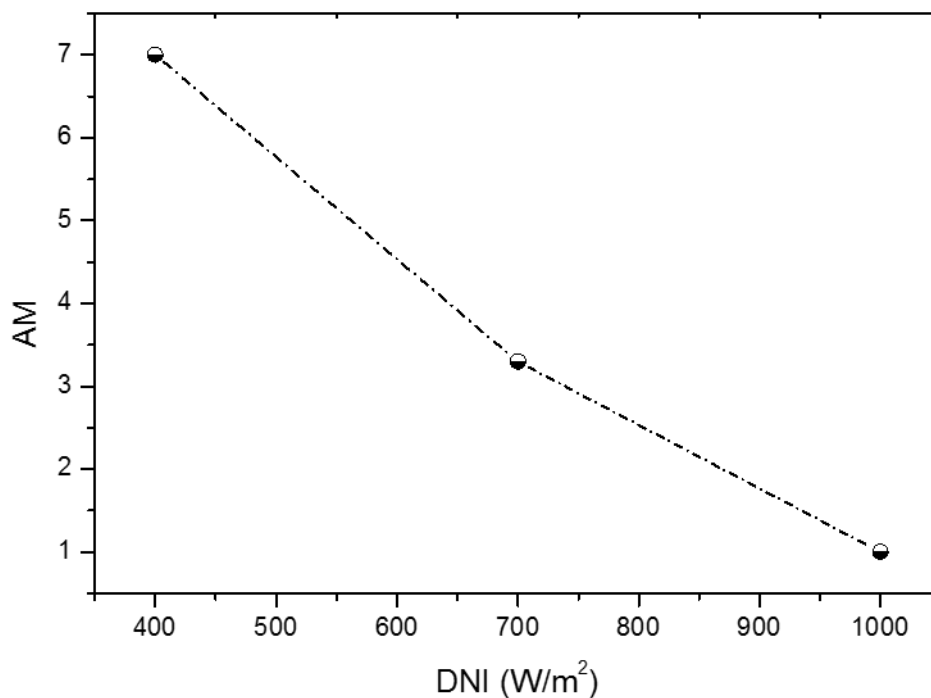
457 Table 3 Detailed variation of *DNI* for maximum cell temperature, steady-state conversion
 458 efficiency and time.

Parameters	<i>DNI</i> =1000 (W/m ²)	<i>DNI</i> =700 (W/m ²)	<i>DNI</i> = 400 (W/m ²)
Steady-state T_{cell} (°C)	78.4	67.5	56.1
Steady-state (η_c)	36.4	34.5	32
Steady-state time (s)	30	25	20

459

460 In summary, as shown, the increase of *DNI* leads to an increase in cell temperature as a result
461 of increased heat flux and a reduction in conversion efficiency. Table 3 also summarised the
462 comparison of different *DNI* steady-states values. Nonetheless, to be considered on a daily
463 basis, the *DNI* values are variable between sunrise, midday and sunset; the cell's operating
464 performance behaviour will change accordingly.

465 Fig.14 illustrates the different values of *DNI* and their corresponding values of *AM*. As is
466 significantly shown in Fig.14, there is a directly proportional relationship between these two
467 parameters. The *AM* is a measure of the atmospheric depth crossed by solar radiation. Also,
468 the *AM* had significant effects on the wavelengths of solar radiation. In the real operating
469 conditions daily, the values of *AM* and *DNI* are variable, from sunrise to sunset, and will be
470 considered in future work.



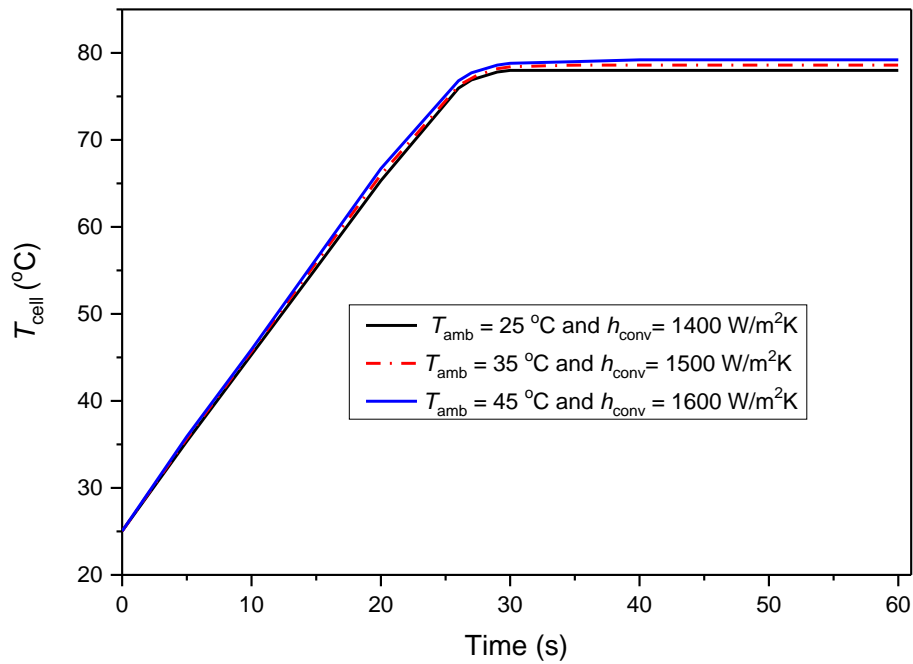
471

472 Fig.14. Different values of *DNI* various the corresponding values of *AM*.
473

474 4.7. Effects of varying ambient temperature in the steady-state cell temperature

475 The factor which is essential when considering the PV cell temperature is the ambient
476 temperature, with regards to the variability of ambient temperature and its effect on the solar
477 cell's steady-state temperature. In this scenario, when the $DNI = 1000 \text{ W/m}^2$ and the ambient
478 temperature $T_{\text{amb}} = 25 \text{ }^\circ\text{C}$, the steady-state cell temperature is $78.4 \text{ }^\circ\text{C}$ for $h_{\text{conv}} = 1400 \text{ W/m}^2$

479 K. Furthermore, for $T_{\text{amb}} = 35\text{ }^{\circ}\text{C}$, the steady-state cell temperature is about $78.8\text{ }^{\circ}\text{C}$ and the
 480 response of $h_{\text{conv}} = 1500\text{ W/m}^2$. For $T_{\text{amb}} = 45\text{ }^{\circ}\text{C}$, the steady-state cell temperature is about
 481 $79.2\text{ }^{\circ}\text{C}$ and the response of $h_{\text{conv}} = 1600\text{ W/m}^2\text{ K}$. Fig.15 illustrates the steady-state cell
 482 temperature at a variety of ambient temperatures. This behaviour can be explained by the
 483 higher heat transfer coefficient leading to lower thermal resistance, which in turn lowers the
 484 operating temperature of the CPV cell/module.



485
 486 Fig.15. Effects of different ambient temperature on the steady-state cell temperature in order
 487 to maintain the temperature below a safe level and the response of convection heat transfer
 488 coefficient.

489
 490 The predicted model can provide monitoring of the devices in order to have a better
 491 understanding of performance behaviour, which will enable proper selection of appropriate
 492 solutions for heat dissipation. Hence, transient analysis of the thermal and electrical
 493 behaviour is performed, and these collected notifications will be used to provide improved
 494 knowledge of CPV performance behaviour. The techniques to limit the influence of the
 495 operating temperature of the internal device are not assessed; it needs more advanced tools
 496 for accurate prediction.

497

498

499 5. Conclusions

500 In this work, a model was developed to characterise the transient thermal and electrical
501 performance of triple-junction solar cells. The transient model here is used to comprehend the
502 transient state during operating conditions. Consequently, it is significant to our
503 understanding of operating performance behaviour, and it is a step towards enhancing the
504 device design. The conclusions of this work are summarised below as follows:

- 505 • It has been established that a time step, Δt of 1s is required for the range of parameters
506 studied, to accurately predict cell performance. Through the dynamic model, the
507 impact of different incident solar flux values on the power output of the photovoltaic
508 device could also be determined. A dynamic efficiency compared with constant
509 efficiency, and integrated error is about 12%.
- 510 • The comparison of the cell temperatures ranging from 25-80 °C is reported. The
511 difference in the efficiency of approximately 0.8% is found for lower temperatures,
512 and this rises to approximately 2.5% for the higher temperatures within the range
513 considered.
- 514 • Cell steady-state temperature occurs at a maximum cell temperature of 78.4 °C within
515 the 30s, at convective heat transfer coefficient $h_{\text{conv}}=1400 \text{ W/m}^2 \text{ K}$ and concentration
516 ratio $CR= 500x$. Furthermore, in scenario of $T_{\text{amb}} = 35 \text{ °C}$, the steady-state cell
517 temperature is about 78.8 °C and the thermal response of $h_{\text{conv}} = 1500 \text{ W/m}^2$. For T_{amb}
518 $= 45 \text{ °C}$, the steady-state cell temperature is about 79.2 °C and the thermal response of
519 $h_{\text{conv}} = 1600 \text{ W/m}^2 \text{ K}$. Thus, as deduced at high ambient temperature, there will be a
520 requirement for high convective heat transfer coefficients to maintain a cell operating
521 temperature below 80 °C.
- 522 • The higher value of $DNI 1000 \text{ W/m}^2$ and the corresponding lower value of AM
523 represents the midday operating condition, which is approximately the maximum
524 values during the operation. The steady-state cell temperature was 78.4 °C at the time
525 of 30s. In contrast, lower values of $DNI 400 \text{ W/m}^2$ and the corresponding higher value
526 of AM represents the period of sunrise/sunset, which are the lowest values. The
527 steady-state cell temperature was 56.1 °C at approximately 20s.
- 528 • The present study contributes to the understanding of the transient state, that lies in
529 the link between the ideal modelling and environmental operating conditions.

530 Consequently, that is very significant in our comprehension of the transient nature of
531 CPV receivers.

532 Based on the approaches mentioned above and outcomes, in the future, it is recommended
533 that research studies include dynamic performance measuring/modelling for both small
534 and large-scale operations with consideration to the weather and environmental data.

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536
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