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## Image-based construction of building energy models using computer vision

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1 Image-based construction of building energy  
2 models using computer vision  
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20 **Abstract (100-150 words)**

21 Improving existing buildings' energy performance requires energy models that accurately represent the  
22 building. Computer vision methods, particularly image-based 3D reconstruction, can effectively support  
23 the creation of 3D building models. In this paper, we present an image-based 3D reconstruction pipeline  
24 that supports the semi-automated modeling of existing buildings. We developed two methods for the  
25 robust estimation of the building planes from a 3D point cloud that (i) independently estimate each plane  
26 and (ii) impose a perpendicularity constraint to plane estimation. We also estimate external walls'  
27 thermal transmittance values using an infrared thermography-based method, with the surface  
28 temperatures measured by a thermal camera. We validate our approach (i) by testing the pipeline's ability  
29 in constructing accurate surface models subject to different image sets with varying sizes and levels of  
30 image quality, and (ii) through a comparative analysis between the calculated energy performance  
31 metrics of a ground truth and calculated energy simulation model.

32 **Keywords:** 3D modeling; building energy modeling; computer vision; 3D reconstruction; infrared  
33 imaging

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## 41 1 Introduction

42 Existing buildings are increasingly being placed into focus in the Architecture, Engineering,  
43 Construction, and Facility Management (AEC/FM) industry, due to their great potential for performance  
44 improvement and meaningful environmental impact. AEC/FM applications on existing buildings  
45 typically require 3D models that represent the precise, as-is conditions. Such models can support  
46 activities regarding a wide range of areas including safety / health assessment, space planning,  
47 procurement, cost estimation, life cycle assessment, sustainability assessment, performance monitoring,  
48 operations and maintenance, scheduling and retrofit / refurbishment / renovation planning [1]. In the  
49 literature, the benefits of rapid assessment of as-built building conditions are reported to enhance the  
50 efficiency of building and maintenance operations [2]. The effective and timely execution of AEC/FM  
51 tasks, specifically those that target increased energy performance, call for approaches that precisely  
52 model the existing buildings and quantify building performance through simulation tools. Energy  
53 simulation has the potential to reduce buildings' environmental impact, improve occupant comfort and  
54 indoor environmental quality and facilitate innovation in AEC [3]. For existing buildings, energy  
55 simulations can also complement real monitored building data for operational optimization or retrofit.  
56 A simulation-based virtual model has the capacity to analyze the building's past behavior to calibrate  
57 the program for improved predictive potency, or predict the building's response to alternative scenarios  
58 [4]. Dynamic energy simulation tools adopt a forward-modeling approach that begins with a description  
59 of the building and components, providing a physical description of the building (design geometry,  
60 thermal characteristics of the building envelope, internal heat gains, infiltration and occupancy profiles),  
61 its systems (system types and sizes, control schedules, outdoor air requirements) and components  
62 (HVAC components) [5]. Amongst these, the former is the most fundamental category that the other  
63 categories are based upon. Therefore, a correct description of the building form and envelope thermal  
64 properties is critical for the reliability of simulation-based performance assessment.

65 Despite the key role of simulations in performance assessment, the difficulties in the construction of  
66 energy models has been a major obstacle against their widespread use. Manual modeling based on  
67 building documentation (i.e. drawings, specifications, schedules) and walk-through audits have been  
68 proven to be labor-intensive and difficult, mainly due to missing or outdated building documentation  
69 (i.e. drawings or models), and the process's proneness to imprecision and error [6]. This is also due to  
70 buildings undergoing undocumented major changes and the degradation of materials and building  
71 systems over time. As a result, the rapid acquisition of spatial information and the automation of  
72 modeling appear as key factors in the effective and timely execution of AEC/FM tasks.

73 ICT techniques can help automate building modelling. Remote sensing technologies such as Light  
74 Detection and Ranging (LiDAR) are widely used to obtain 3D point clouds of target geometries based  
75 on distance measurements. LiDAR can be used for planning retrofit, spatial planning, resource and  
76 construction progress tracking [7–13]. Despite the volume of existing research on automated as-built  
77 generation, these steps still largely remain as semi-automated and labor-intensive processes that involve  
78 human labor to various degrees [14]. Moreover, LiDAR-based approaches' high cost, high level of  
79 operational expertise and complexity prevent their widespread use.

80 Computer vision methods, especially 3D reconstruction techniques, offer potentials in the data  
81 acquisition and modeling of building or component geometry in unstructured physical environments. 3D  
82 reconstruction from multiple views mainly relies on finding the “projections” (or occurrences) of 3D  
83 scene points in 2D multiple views [15]. Given its 2D projections in different views, the source 3D scene  
84 point can be estimated by simple geometric calculations. In buildings, image-based reconstruction  
85 techniques are reported to be advantageous over LiDAR for their low cost of technology implementation  
86 and data collection, but at the same time are expensive in data processing for 3D point cloud generation  
87 [16]. Another difference between the two methods is the sparsity of the generated point cloud models in  
88 image-based techniques. However, image-based reconstruction techniques have proven to be a robust  
89 alternative to LiDAR, and recently resulted in models that are comparable to LiDAR in their accuracy

90 [17].

91 The AEC/FM applications that use computer vision methods primarily focus on the data capturing and  
92 modeling of existing built forms, monitoring and progress tracking. Dimitrov and Goldparvar-Fard [18]  
93 developed a vision-based approach based on structure-from-motion, multi-view stereo, together with a  
94 voxel coloring algorithm to generate a volumetric reconstruction of a construction site and detect  
95 progress using 4D as-planned BIM models. Kim et al. [19] apply a data-driven scene-parsing method  
96 that recognizes construction objects classes in images. Park et al. [20] develop a content-based image  
97 retrieval approach for the automated registration of photos to 4D BIM and identification of BIM objects  
98 for construction project management. Tang et al. [21] propose an automatic method for reconstructing  
99 semantically rich indoor 3D building models including components of indoor environments such as  
100 space, wall, floor, ceilings, windows, and doors from low-quality RGB-D sequences. Brillakis et al. [22]  
101 propose a videogrammetric framework for acquiring spatial data of infrastructure using low-cost high  
102 resolution video cameras that are traversed around a scene to produce a dense 3D point cloud.

103 Infrared thermal imaging is a viable, non-destructive technique for fast and accurate building diagnostics  
104 and material characterization. Typical building thermal performance assessment practices make use of  
105 IR testing to detect problems of heat losses, thermal bridges, air leakage and moisture sources and  
106 missing / damaged thermal insulation [23]. Since the identification of problems requires the manual and  
107 simultaneous interpretation of infrared (IR) images and RGB images, this process is limited in  
108 applicability due to its dependence on human expertise in combining IR and RGB images [24]. Image  
109 fusion, therefore, is used to combine multiple input images of the same object into a composite image  
110 that contains critical thermal information. The fusion of thermal and visual images for building 3D  
111 modelling has been addressed in the previous literature in AEC/FM. Yang et al. [25] propose a method  
112 for thermal model reconstruction from thermal and RGB images, which builds a 3D mesh model with  
113 surface temperature values. González-Aguilera et al. [26] develop an approach for the automatic  
114 registration of infrared (IR) images and 3D-laser scanner models and the combination of thermographic

115 and geometric data in a thermographic 3D-model to locate thermal defects and quantify heat losses.  
116 Ribaric et al. [24] propose a knowledge-based system that uses the fusion of information extracted from  
117 low-resolution IR images and high-resolution visual RGB images for non-destructive testing and façade  
118 diagnostics. Merchán et al. [27] improve the existing modelling techniques that generate colored 3D  
119 models of outdoor scenes by decoupling the color integration and geometry reconstruction stages. The  
120 development of non-destructive, non-contact techniques for architectural heritage conservation is  
121 addressed by Costanzo et al. through the fusion of terrestrial laser scanning and the infrared thermal  
122 images [28]. Lagüela et al. [29] combine geometric information with thermal data using a new procedure  
123 that builds thermographic 3D models by the fusion of infrared mosaics and visible images. Adan et al.  
124 [30] improve the accuracy and soundness of existing approaches in a system that fuses information from  
125 3D laser scanners, RGB cameras and thermal cameras to generate dense thermal 3D point clouds.  
126 Schramm et al. [31] reduce the impact of correspondence problems when scanning objects with few  
127 geometric features by developing an imaging system consisting of an IR camera and a near-infrared  
128 (NIR) depth sensor. Ham and Golparvar-Fard [32] develop a vision-based approach for 3D spatio-  
129 thermal modeling by automatically generating and superimposing the 3D building and thermal point  
130 clouds to build 3D energy performance models.

131 The automated recognition of relevant objects in a 3D scene and extracting useful semantic information  
132 using machine learning methods is also an important research direction. The image-based detection and  
133 classification of building materials has been addressed previously using various methods including  
134 Support Vector Machine classifiers [12], Multilayer Perceptron (MLP), Radial Basis Function (RBF),  
135 and Support Vector Machines (SVM) [33] and Neuro-Fuzzy systems (NFS) [34]. Similarly, the  
136 automated detection of building components can greatly ease the generation of as-is models of existing  
137 buildings. Xiong et al. develop a method to automatically convert raw 3D point data, which can also  
138 identify and model the main structural components of an indoor environment (i.e. walls, floors,  
139 windows) by point cloud voxelization, planar patch extraction and the labeling of patches as building

140 elements [8]. Wang et al. follow a similar approach to plane segmentation, an edge and boundary  
141 detection algorithm, followed by a rule-based building envelope component classification system [35].  
142 The resulting data is converted to gbXML to process further in energy simulation applications. Valero  
143 et al. propose a Terrestrial Laser Scanning data-processing pipeline that builds semantic 3D models of  
144 building interiors using Boundary Representation (B-Rep) models, which recognizes openings by  
145 detecting the moldings around empty areas in 3D points [36]. Liu et al. propose a method for the remote  
146 monitoring of external cladding using classical operators and fuzzy logic algorithms [37]. The inspection  
147 of steel frame manufacturing is addressed by Martinez et al. using a vision-based framework and a  
148 knowledge-based decision-making system [38]. Automating the acquisition, updating and management  
149 of knowledge in historic / heritage buildings is also an active research area that is in need of novel  
150 methods to capture historic building elements in a high level of detail [39]. Automated methods for  
151 construction project monitoring and the detection of construction equipment / workers is addressed using  
152 various methods including deep learning and virtual reality [40], vector-quantized histograms for  
153 material classification [33], vision-based algorithms that detect partition components [41], 2D  
154 Continuous Wavelet Transform for the automatic segmentation of stones in walls [42], convolutional  
155 neural networks to detect workers and heavy equipment [43], vision-based algorithms using as-built  
156 video data to recognize activity states of construction activities [44], and convolutional networks and  
157 transfer learning to detect construction equipment [45]. Adan et al. focus on the recognition of non-  
158 structural components such as MEP components using a consensus strategy for depth-based and color-  
159 based recognitions [46].

160 Critical factors for the successful implementation of image-based 3D reconstruction include usability,  
161 reliability, and ease-of-use [47]. While 3D point cloud data extraction from an image collection has been  
162 previously addressed widely, obtaining a useful model from point cloud data using fit-to-purpose plane  
163 segmentation approaches is still an active research area in AEC/FM. The extraction of a 3D model  
164 (surface or wireframe model, BIM or energy model) demands either cumbersome manual work or



165 computational approaches to streamline the modeling process that require different degrees of  
166 complexity. Despite the success of the existing work in the automation of modeling, executing tasks on  
167 huge, unstructured point clouds require a high level of expertise and experience on software tools to be  
168 able to operate on raw geometries, which can hinder the effectiveness of the task. During the generation  
169 and processing of large 3D point clouds, approaches tailored for specific purposes are needed to  
170 efficiently integrate, update, manage, analyze, and visualize 3D points [48]. Moreover, imaged based  
171 methods demonstrate reduced robustness when the scenes do not have sufficiently textured areas, contain  
172 excessively repetitive textures, and when lighting varies dramatically across the views [49]. The lack of  
173 distinguishable features in indoors (especially on walls or ceilings) needed for image registration is also  
174 an obstacle against the reliable convergence of feature-based methods. Another problem encountered in  
175 interior spaces is occlusion due to furniture, curtains or other indoor objects. This makes it difficult to  
176 clearly identify and extract objects of interest, and reduces the reliability of model construction [50].  
177 Therefore, high levels of tolerance to missing data is required for indoor modeling, as compared to  
178 outdoor modeling.

179 In this paper, we present an image-based 3D reconstruction pipeline that supports the semi-automated  
180 energy modeling of existing buildings. The main motivation behind the proposed approach is the  
181 pressing necessity for the fast, easy and low-cost method for reliable and precise building energy  
182 modeling. As an alternative to high-cost LiDAR data, our approach makes use of unstructured visible  
183 (optical) and thermal images of a room captured using readily available cameras. The developed pipeline  
184 can generate different models during its successive stages, including point clouds, planar surface models  
185 and building energy models. For the latter two models, we developed two methods for the robust  
186 estimation of the building planes from the initially generated 3D point cloud. The first method  
187 independently estimates each plane using RANdom SAmple Consensus (RANSAC) and singular value  
188 decomposition (SVD). The second method builds upon the same method by imposing a plane  
189 perpendicularity (or any angular, in general) constraint to plane estimation step to improve geometric

190 precision. Surface planarity, minimum surface complexity and the reduction of unnecessarily intricate  
191 geometries are desirable qualities the surface models.

192 The thermal characterization of opaque external building surfaces is also of key importance for energy  
193 simulations in the calculation of conductive heat transfer between the indoor and outdoor environments.

194 As discussed previously, IR imaging can be used for the quantitative characterization of building  
195 constructions using the surface temperatures measured by a thermal camera. In our pipeline, we calculate  
196 external walls' thermal transmittance using an existing IR thermography-based procedure [51]. In the  
197 construction 3D thermal point clouds, we follow a similar approach to Ham and Golparvar-Fard [32],  
198 who calculated actual thermal resistance of building assemblies at the level of 3D points and converted  
199 it into a single value for each building element. Our pipeline differs in the following: (i) Ham and  
200 Golparvar-Fard perform separate 3D reconstruction from the thermal images and visible band images,  
201 which, however, is inefficient and unnecessary given that the visible band and thermal cameras are  
202 calibrated. In our approach, we perform 3D reconstruction only from the visible band images, and the  
203 thermal information is transferred using the transformation between the cameras. (ii) We propose a novel  
204 mechanism for integrating structural priors into the pipeline to get more accurate reconstructions even  
205 with small number of images.

206 The main practical advantage of our approach is that users' involvement required for the extraction of  
207 architectural elements of an indoor space (e.g., walls, windows, floors and ceilings) is based on simple  
208 interaction tasks on 2D images. Specifically, after the construction of a point cloud, architecturally  
209 significant elements are identified by the users by partially or fully marking these elements on 2D  
210 images. This eliminates the need for complex software tools and human expertise for point cloud editing,  
211 and makes our approach a viable, rapid, low-cost and easy-to-use alternative to the existing approaches  
212 in the literature.

213 The rest of this paper is structured as follows. Section 2 presents the development of the proposed  
214 pipeline, and the phases of calibration, 3D point cloud generation, 3D room modeling, fusion with  
215 thermal data and thermal transmittance value calculation. Section 3 presents and discusses the results of  
216 the two experiments conducted for the validation of the developed pipeline. Finally, Section 5 concludes  
217 this work and points to some future research directions.

## 218 2 Material and methods

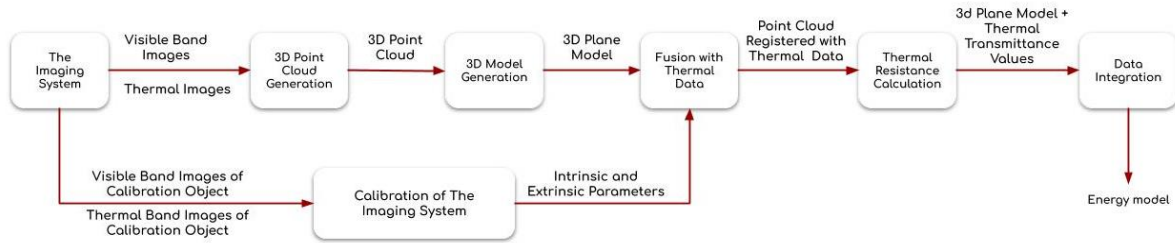
219 In this paper, we propose a methodology for the semi-automated modeling of existing buildings using  
220 3D reconstruction. Specifically, we develop a pipeline that merges digital 2D visible (optical) images  
221 and thermal images of a room into a single 3D building model with thermal transmittance values  
222 assigned to the external walls. The developed pipeline generates different models including point clouds,  
223 planar surface models and energy models. The pipeline uses visible band images registered with the  
224 corresponding thermal images as input data. Visible band images are utilized for 3D model generation  
225 whereas thermal images are used for obtaining thermal transmittance values of external walls.

226 Our pipeline is composed of the following main steps (Figure 1):

- 227 - The calibration of the imaging system: This step estimates the parameters of the cameras (e.g.  
228 focal length, scaling factor or distortion) and the rotation & translation between the two cameras.
- 229 - 3D point cloud generation: This step uses multiple views of a room to estimate a set of 3D points  
230 of the room. For this, a structure-from-motion technique and a multi-view stereo method are  
231 employed.
- 232 - 3D model generation: From the sparse set of 3D points generated in the previous step, the walls  
233 of the room are calculated in 3D.
- 234 - Fusion with thermal data, and thermal resistance calculation: The 3D model of the room is  
235 populated with the temperature values from the thermal camera, and with this, the external walls'

236 thermal resistance values are calculated.

237



238

239 *Figure 1 An overview of the proposed pipeline for constructing an energy model of a room from visible band and thermal*  
240 *images.*

## 241 2.1 The Calibration of the Imaging System

242 Physical properties of a camera are defined by its *intrinsic* parameters, which mainly describe the focal  
243 length and optical center. The *extrinsic* parameters, on the other hand, describe the physical location  
244 (rotation and translation) of a camera with respect to a reference coordinate system. Using two cameras  
245 together, such that information can be shared between them, requires knowing both the intrinsic and the  
246 extrinsic parameters of the cameras. The process of computing the intrinsic and extrinsic (rotation and  
247 translation) parameters of a camera at the same time is known as *camera calibration* in computer vision.  
248 We calibrated our visible band and thermal cameras using MATLAB's camera calibration toolbox as  
249 follows:

250 *Visible Band Calibration: We manufactured a checkerboard calibration object using a piece of cardboard, whose images*  
251 *were taken by the visible band camera (*

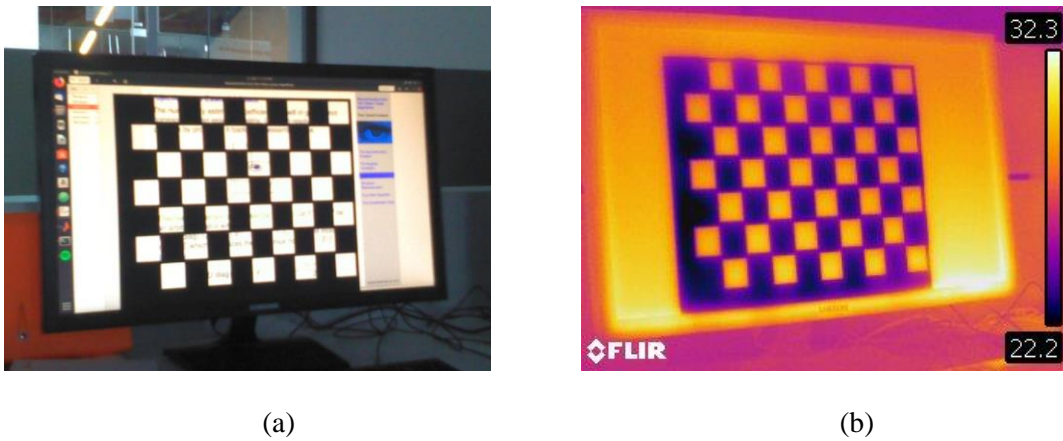
252 a. Figure 2-a). We calculated the intrinsic and extrinsic parameters of the visible band camera with 20  
253 pictures of the calibration object captured by the camera.

254 *Thermal Calibration: The same checkerboard pattern is used for the calibration of the thermal camera since the pattern is*  
255 *observable in the thermal image (*

256 b. Figure 2-b). We similarly calculate the intrinsic and extrinsic parameters of the thermal camera  
257 using 20 images of the calibration object captured by the thermal camera.

258 c. Calculation of rotation and translation between the two cameras: The visible band camera and the  
259 thermal camera are mounted on the same camera body (Figure 3), which makes calculating the  
260 transformation (translation and rotation) between them easy and practical. The extrinsic parameters  
261 were obtained with respect to the same calibration object, which is visible for both visible band and  
262 thermal cameras. We exploit this fact to calculate the relative translation and rotation between the  
263 two cameras.

264



265

266

Figure 2 The checkerboards used for calibrating the visible band and thermal cameras.



267

268

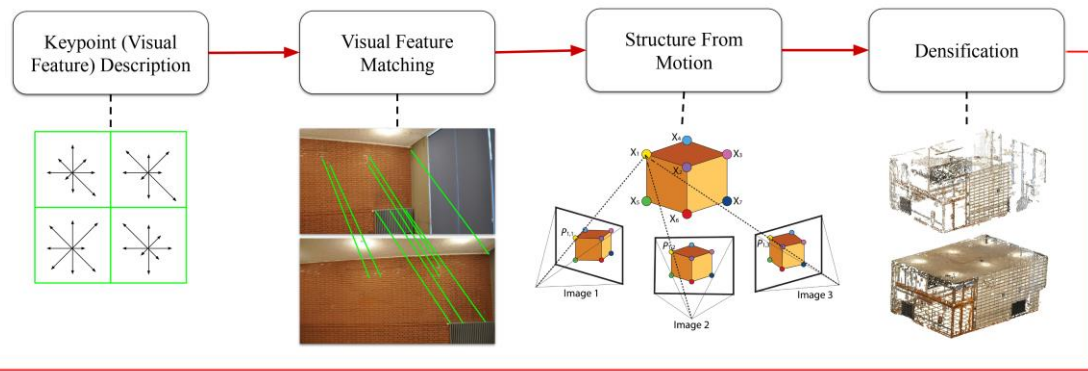
*Figure 3 A view of FLIR E60.*

## 269 2.2 3D Point Cloud Reconstruction

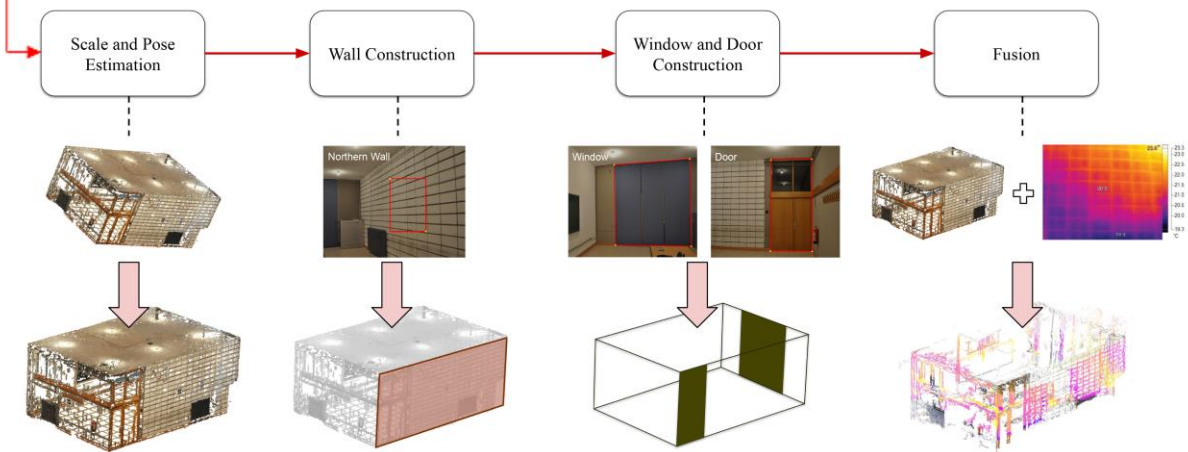
270 For generating a high-quality 3D point cloud of the room, we mainly rely on Structure from Motion  
271 (SfM), a widely-used technique in computer vision (Figure 4). In SfM, a set of images of an environment  
272 is used to obtain 3D information about the environment. These images are assumed to be captured at  
273 different positions (and possibly with different cameras) and to contain overlapping views of the  
274 environment. From these overlapping views, or more technically, the visual information that corresponds  
275 to the same 3D entities in the environment, the positions of the cameras and the 3D coordinates of the  
276 pixels can be identified.

277

## i. 3D Point Cloud Reconstruction



## ii. 3D Room Model Construction



278

279 *Figure 4 An overview of the main steps of 3D point cloud generation and 3D model construction. The dense 3D point cloud*  
280 *generated by step (i) is provided as input to the 3D room model construction step (ii)*

281

282 To perform 3D reconstruction to obtain a point cloud, we developed a software tool by adapting the  
283 OpenMVG library [52]. Our tool follows the steps outlined in Figure 4 and is described in detail in the  
284 following sections.

### 285 2.2.1 Keypoint (Visual Feature) Description

286 SfM relies on finding matching pixels across the different views. However, not every pixel in an image  
287 carries visually meaningful information, and therefore, trying to find which pixels carry meaningful

288 information and how we can represent this information are very critical. To find those “useful” pixels  
289 (called keypoints) as well as to represent information at and around those keypoints, we use the Scale-  
290 Invariant Feature Transform (SIFT) method [53]. SIFT finds keypoints by looking at intensity changes  
291 in an image at multiple scales. If there is a consistent change at a pixel at different scales, then that pixel  
292 is assumed to carry useful information. For representing such a keypoint, SIFT calculates a summary of  
293 how the intensity changes around the keypoint in the form of a 128-dimensional vector.

#### 294 2.2.2 Visual Feature Matching

295 The previous step has identified in each image useful keypoints and represented them as (feature)  
296 vectors. Before estimating the 3D coordinates, the keypoints that correspond to the same 3D points  
297 should be identified. This is accomplished by comparing the feature vectors across the different views,  
298 and the closest feature vectors are identified as matching. For matching we use a cascaded method  
299 [54], which results in a set of potential matches between features in different views. Then, a post-  
300 processing step is employed to remove matches that are geometrically incorrect using AC-RANSAC  
301 (acronym for A Contrario RANdom SAmple Consensus) [55].

#### 302 2.2.3 Structure from Motion (SfM)

303 SfM essentially formulates and solves jointly (1) the 3D reconstruction of matching points and (2) the  
304 estimation of the relative 3D distance and pose between the images. For SfM, we use the method  
305 proposed by Moulon et al. [55] due to its robustness and adaptive capacity. The method constructs an  
306 initial 3D model using the best matching two images and continues reconstruction by adding the  
307 remaining images iteratively.

#### 308 2.2.4 Densification using Multi-view Stereo (MVS)

309 Since a dense 3D point cloud is needed for 3D reconstruction, it is essential to densify the sparse 3D  
310 point cloud computed by SfM. For densification, we employed an existing algorithm for multi-view  
311 stereopsis [56], which densifies a given 3D point cloud by interpolation.



312 2.2.5 Enhancement through Interaction

313 The 3D point cloud generated by the previous step requires adjustments on the scale, and selection of  
314 the planar regions on the walls, and the doors and the windows by mouse clicks.

315 2.2.5.1 Adjusting the Scale and Pose of the 3D Model

316 The 3D point cloud obtained in the previous step needs to be corrected for its scale and pose. We first  
317 select three points from the captured visual band images (Figure 5). We also identify these points' actual  
318 coordinates by manually measuring the distances to a selected origin point in the room. With this  
319 information at hand, we can calculate the transformation between the current model and the target model  
320 with correct scale and orientation. For this, we compute a *similarity transformation*:

$$\mathbf{x}' = \mathbf{A}\mathbf{x} + \mathbf{t}, \quad (1)$$

321 where  $\mathbf{x} \in \mathfrak{R}^3$  is a 3D point in the original, scale-free, arbitrarily oriented 3D model;  $\mathbf{A}$  is  $\mathfrak{R}^{3 \times 3}$   
322 orthogonal matrix with rotation and scaling elements;  $\mathbf{t} \in \mathfrak{R}^3$  is translation; and  $\mathbf{x}' \in \mathfrak{R}^3$  is the scale-  
323 corrected, orientation-corrected 3D point. The solution is obtained using a non-linear least square  
324 optimization method [57] that provides the lowest mean squares error (i.e.  $\sum_i (x'_i - x_i)^2 / n$ ). This  
325 similarity transformation is applied onto the reconstructed 3D point cloud to correct the scale, orientation  
326 and translation of the 3D point cloud. However, this process can introduce some degree of discrepancy,  
327 since it is inherently challenging to select the pixel that corresponds to a known 3D point. This  
328 imprecision amplified further with the use of low resolution images, where finding the correct match  
329 between the model and the image pixel is challenged further. Moreover, the rooms themselves are not  
330 perfect constructions (e.g. rounded corners, tilted walls), which makes the process noisier.

331

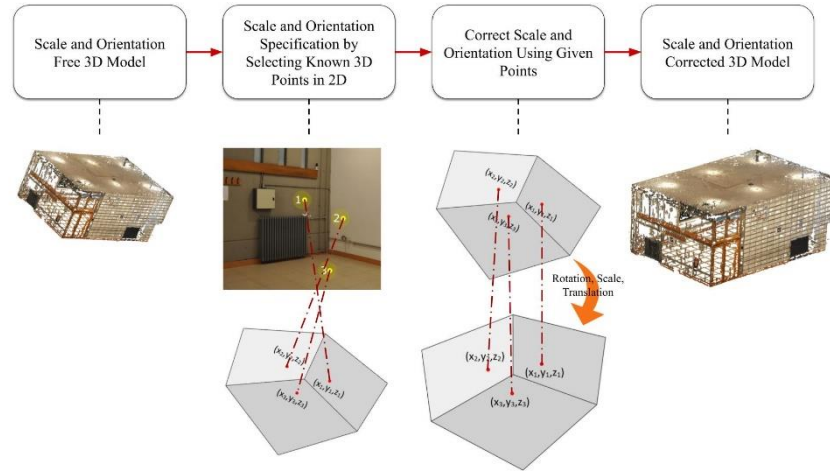


Figure 5 Scale and pose correction

332

333

### 334 2.3 3D Room Model Construction

335 The dense 3D model has a set of 3D points in 3D space. For correctly constructing a 3D model, the  
 336 surfaces need to be identified and estimated as 3D planes. The equations of a plane for each boundary  
 337 surface (walls, floor and ceiling) are computed for the points that lie within a rectangular shape that is  
 338 manually marked by the user on the images using our tool. In this process, it is important to mark only  
 339 the surface portions that are free of any possible obstructions, in order to eliminate the objects that can  
 340 misinform plane fitting. As such, the algorithm can effectively function in physical environments that  
 341 are heavily obstructed or cluttered.

342 We developed two methods for the robust estimation of the corresponding planes from the 3D point  
 343 cloud. The first method, namely the “Baseline Plane Estimation from 3D Point Cloud” (BPE) method,  
 344 is based on RANSAC and SVD, which estimates one plane corresponding to each wall, ceiling or floor  
 345 independently. The second method, namely the “Robust 3D structure estimation with geometric  
 346 constraints” (RSEC) method, is an improvement over the first method by increasing the precision of the  
 347 final model. RSEC builds upon BPE and exploits the assumption of the rectangularity of the room.

348 The baseline method in Section 2.3.1 is very similar to the method used for 3D model construction

349 from visible band images in Ham and Golparvar-Fard [32]. However, the second method, RSEC, to be  
350 presented in Section **Error! Reference source not found.** is our contribution.

### 351 2.3.1 Baseline Plane Estimation from 3D Point Cloud (BPE) Method

352 The BPE method is based on least-squares plane fitting algorithms with RANSAC. As described above,  
353 the user selects a four-corner polygon on each wall such that the points in the polygon are coplanar. The  
354 3D points that are bounded by this region are first found in the 3D point cloud. Afterwards, our algorithm  
355 tries to fit a plane to each wall to construct the geometric model of the room in 3D as follows:

#### 356 Using RANSAC for 3D plane estimation

357 Several points are randomly selected from each surface to estimate a plane. The performance of the plane  
358 fit is measured by computing the inlier ratio of our model estimation; in other words, we evaluate the  
359 success of the estimated model based on the ratio of the points in the estimated model. A distance  
360 thresholding method is utilized for inlier decision. If the distance between a point and its corresponding  
361 estimated plane is below a certain threshold (which is basically a hyperparameter), the point is an inlier  
362 point. After this process is iterated a number of times, the geometric model with the highest inlier ratio  
363 is kept as the best model.

#### 364 Plane Fitting

365 We adapted a least square error plane fitting algorithm for model estimation in the proposed pipeline.  
366 First, we have  $N$  3D points,  $\mathbf{x}_1, \dots, \mathbf{x}_N$  with  $\mathbf{x}_i \in \mathbb{R}^3$ , sampled by RANSAC that can be stacked in a  $N \times$   
367 3 matrix as  $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N]^T$  where  $T$  denotes transpose. As typically performed as a preprocessing  
368 step, the center point of the set is calculated and subtracted from all points to shift the center of the points  
369 to the origin. As a result, the new points and the new  $N \times 3$  point matrix become:

$$\bar{\mathbf{x}} = \frac{1}{N} \sum_{i=1}^N \mathbf{x}_i, \quad (2)$$

$$\mathbf{x}'_i = \mathbf{x}_i - \bar{\mathbf{x}}, \quad (3)$$

$$\mathbf{X}' = [\mathbf{x}'_1, \mathbf{x}'_2, \dots, \mathbf{x}'_N]^T. \quad (4)$$

370

371 The goal of plane fitting is to find a normal vector  $\mathbf{n} \in \mathbb{R}^3$  that minimizes the mean square error  
 372 (distance) of the 3D points that are expected to be on the wall:

$$\mathbf{n}^* \leftarrow \arg \min_{\mathbf{n}} \sum_{i=1}^N |\mathbf{n}^T \mathbf{x}_i|^2 = \arg \min_{\mathbf{n}} \mathbf{n}^T \mathbf{X}'^T \mathbf{X}' \mathbf{n}, \quad s. t. \|\mathbf{n}\|_2^2 = 1. \quad (5)$$

373

374 The Lagrange multipliers method is an appropriate choice for minimizing this cost since we need to  
 375 perform least squares minimization with the constraints. The cost function can be expressed in  
 376 Lagrangian multipliers as:

$$J(\mathbf{X}'; \mathbf{n}, \lambda) = \mathbf{n}^T \mathbf{X}'^T \mathbf{X}' \mathbf{n} + \lambda(1 - \mathbf{n}^T \mathbf{n}). \quad (6)$$

377

378 To find a solution that minimizes the function  $J$ , we need to take the derivative of  $J$  and equate to 0:

379

$$\frac{\partial J}{\partial \mathbf{n}} = 2\mathbf{X}'^T \mathbf{X}' \mathbf{n} - 2\lambda \mathbf{n} = 0, \quad (7)$$

$$\mathbf{X}'^T \mathbf{X}' \mathbf{n} = \lambda \mathbf{n}. \quad (8)$$

380

381 The vector  $\mathbf{n} \in \mathbb{R}^3$  satisfying Eq. 8 is an eigenvector of  $\mathbf{X}'^T \mathbf{X}'$ . We need to find the cost  $\mathbf{n}^T \mathbf{X}'^T \mathbf{X}' \mathbf{n}$  in  
 382 terms of the eigenvalue:

$$\mathbf{n}^T \mathbf{X}'^T \mathbf{X}' \mathbf{n} = \lambda \mathbf{n}^T \mathbf{n} = \lambda. \quad (9)$$

383

384 Therefore, to minimize the cost we need to select the eigenvector (normal) corresponding to the  
 385 minimum eigenvalue:

$$\mathbf{n}^* \leftarrow \arg \min_{\mathbf{n}} \lambda. \quad (10)$$

386

387 The plane equation is then calculated as:

$$\mathbf{n}^*(\mathbf{x} - \mathbf{x}_0) = ax + by + cz + d. \quad (11)$$

388

389 where  $\mathbf{n}^*$  is the normal vector corresponding to the plane,  $\mathbf{x}_0$  is a known fixed point on the plane and  $\mathbf{x}$   
 390 is any point on the plane. Hence, the parameters  $a$ ,  $b$ ,  $c$  and  $d$  become:

391

$$a = \mathbf{n}_x^*, \quad b = \mathbf{n}_y^*, \quad c = \mathbf{n}_z^*, \quad d = -\mathbf{n}^{*T} \mathbf{x}_0, \quad (12)$$

392

393 where  $\mathbf{n}_x$ ,  $\mathbf{n}_y$  and  $\mathbf{n}_z$  are the  $x$ ,  $y$  and  $z$  components of vector  $\mathbf{n}$ .

### 394 2.3.2 Robust 3D structure estimation with geometric constraints (RSEC)

395 We propose an improvement over the baseline method in order to exploit the 3D structure of the room.

396 To be specific, we assume that room surfaces meet at a right ( $90^\circ$ ) angle, and plane estimation is

397 performed with this as a constraint. Accordingly, RSEC requires the computation of three surface normal

398 vectors that are orthogonal to each other. In order to provide this constraint, two different cost functions

399 are required for the whole room (recall that, in BPE, each wall is handled independently); one for plane

400 fitting and a second one for the surface orthogonality constraint. The first cost function is defined as

401 follows:

$$\begin{aligned}
J_{fit}(\mathbf{X}_1, \mathbf{X}_1, \dots, \mathbf{X}_6; \mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3) \\
= \mathbf{n}_1^T \mathbf{X}_1^T \mathbf{X}_1 \mathbf{n}_1 + \mathbf{n}_2^T \mathbf{X}_2^T \mathbf{X}_2 \mathbf{n}_2 + \mathbf{n}_1^T \mathbf{X}_3^T \mathbf{X}_3 \mathbf{n}_1 + \mathbf{n}_2^T \mathbf{X}_4^T \mathbf{X}_4 \mathbf{n}_2 \\
+ \mathbf{n}_3^T \mathbf{X}_5^T \mathbf{X}_5 \mathbf{n}_3 + \mathbf{n}_3^T \mathbf{X}_6^T \mathbf{X}_6 \mathbf{n}_3,
\end{aligned} \tag{13}$$

402 which can be simplified as:

$$J_{fit}(\mathbf{X}_1, \mathbf{X}_1, \dots, \mathbf{X}_6; \mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3) = \mathbf{n}_1^T \mathbf{A} \mathbf{n}_1 + \mathbf{n}_2^T \mathbf{B} \mathbf{n}_2 + \mathbf{n}_3^T \mathbf{C} \mathbf{n}_3, \tag{14}$$

403 where  $\mathbf{A}, \mathbf{B}, \mathbf{C}$  are symmetric positive semi-definite matrices defined as  $\mathbf{A} = \mathbf{X}_1^T \mathbf{X}_1 + \mathbf{X}_3^T \mathbf{X}_3$ ;  $\mathbf{B} =$   
404  $\mathbf{X}_2^T \mathbf{X}_2 + \mathbf{X}_4^T \mathbf{X}_4$ , and  $\mathbf{C} = \mathbf{X}_5^T \mathbf{X}_5 + \mathbf{X}_6^T \mathbf{X}_6$ . The three vectors  $\mathbf{n}_1, \mathbf{n}_2$  and  $\mathbf{n}_3$  can be stacked into a  $3 \times 3$   
405 matrix as  $\mathbf{N} = [\mathbf{n}_1, \mathbf{n}_2, \mathbf{n}_3]$ . The cost then can be formulated using the  $\mathbf{N}$  matrix as:

$$J_{fit}(\mathbf{X}_1, \mathbf{X}_1, \dots, \mathbf{X}_6; \mathbf{N}) = \mathbf{i}_1^T \mathbf{N}^T \mathbf{A} \mathbf{N} \mathbf{i}_1 + \mathbf{i}_2^T \mathbf{N}^T \mathbf{B} \mathbf{N} \mathbf{i}_2 + \mathbf{i}_3^T \mathbf{N}^T \mathbf{C} \mathbf{N} \mathbf{i}_3, \tag{15}$$

406 where  $\mathbf{i}_1 = [1 \ 0 \ 0]^T$ ,  $\mathbf{i}_2 = [0 \ 1 \ 0]^T$  and  $\mathbf{i}_3 = [0 \ 0 \ 1]^T$ .

407 The second cost function which measures orthogonality can be defined by exploiting the fact that  $\mathbf{N}^T \mathbf{N}$   
408 should be equal to the identity matrix  $\mathbf{I}$  by the orthogonality principle as follows:

$$J_{ort}(\mathbf{N}) = \|\mathbf{N}^T \mathbf{N} - \mathbf{I}\|_F^2 = \text{tr}((\mathbf{N}^T \mathbf{N} - \mathbf{I})^T (\mathbf{N}^T \mathbf{N} - \mathbf{I})), \tag{16}$$

409

410 where  $\|\mathbf{D}\|_F = \sum_i \sum_j D_{ij}^2$  is the Frobenius norm.

411 As the last step, these two cost functions are merged using a penalty factor:

$$J(\mathbf{X}_1, \mathbf{X}_1, \dots, \mathbf{X}_6; \mathbf{N}, \lambda) = J_{fit}(\mathbf{X}_1, \mathbf{X}_1, \dots, \mathbf{X}_6; \mathbf{N}) + \lambda J_{ort}(\mathbf{N}). \quad (17)$$

412

413 The final cost function in Eq. 17 is minimized by using the “Nelder-Mead” method [58] with an initial  
 414  $\mathbf{N}$  matrix provided by consecutive three normal vectors provided by our baseline method. Here, optimal  
 415  $\mathbf{N}$  is computed as:

$$\mathbf{N}^* \leftarrow \arg \min_{\mathbf{N}} J(\mathbf{X}_1, \mathbf{X}_1, \dots, \mathbf{X}_6; \mathbf{N}, \lambda). \quad (18)$$

416

417 We tested different  $\lambda$  values such as 0.1, 1.0 and 10.0 with 140 images from a Nikon D90 camera and  
 418 we settled on  $\lambda$  to 1.0, which experimentally provided maximum geometric accuracy.

### 419 2.3.3 Window and Door Selection

420 To mark the windows and doors in 3D, the corners of the windows and the doors are selected by the  
 421 users on the visible images. With this, we assume that the windows and doors need to be co-planar with  
 422 the walls on which they are located. After this selection, the corresponding 3D point for each corner  
 423 point is calculated by intersecting the ray passing from selected point on the 2D plane with the  
 424 corresponding wall plane.

## 425 2.4 Fusion with Thermal Data

426 In this step, the 3D points are assigned thermal values from the thermal images to be able to calculate  
 427 thermal transmittance values for the external walls in the next step. To this end, the (intrinsic) parameters  
 428 of visible band and thermal cameras and the relative 3D rotation and 3D translation between them are  
 429 used. This is different from Ham and Golparvar-Fard [32], who performed separate reconstructions for  
 430 the visible band and thermal images and then combined them. In the case of calibrated pair of cameras,  
 431 this is inefficient and unnecessary.

432 The steps of how we fuse the 3D model with the thermal images are as follows:

- 433 • After calibration, we have  $\mathbf{R}_{RGB}$  and  $\mathbf{t}_{RGB}$ , the 3D rotation and translation of the visible (RGB)
- 434 camera with respect to the calibration object.
- 435 • We then calculate the transformation from a 3D point  $\mathbf{x}$  to the thermal camera as follows:

436

$$\mathbf{p} = \mathbf{P}_{thermal} \mathbf{x}, \quad (19)$$

$$\mathbf{P}_{thermal} = \mathbf{K}_{thermal} [\mathbf{R}_{thermal}, \mathbf{t}_{thermal}], \quad (20)$$

$$\mathbf{R}_{thermal} = \mathbf{R}_{relative} \mathbf{R}_{RGB}^T, \quad (21)$$

$$\mathbf{t}_{thermal} = \mathbf{R}_{RGB}^T \mathbf{t}_{RGB} + \mathbf{t}_{relative}, \quad (22)$$

437 where  $\mathbf{R}_{thermal}$  is the rotation matrix of the thermal camera,  $\mathbf{R}_{relative}$  is the rotation matrix of  
438 the rotation difference between the RGB and the thermal cameras (obtained via the calibration  
439 step),  $\mathbf{t}_{thermal}$  is the translation vector of the thermal camera,  $\mathbf{t}_{relative}$  is the translation vector  
440 of the translation difference between the RGB and the thermal camera,  $\mathbf{P}_{thermal}$  is the projection  
441 matrix and  $\mathbf{K}_{thermal}$  is the intrinsic matrix of the thermal camera.

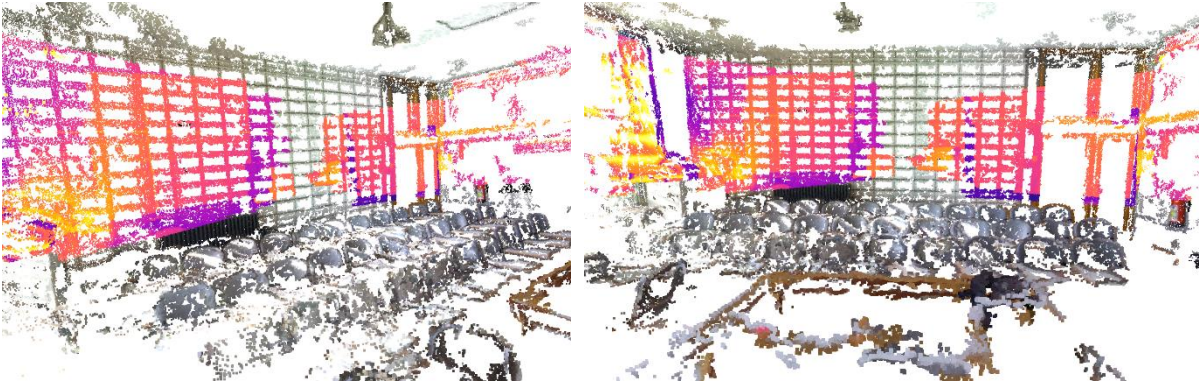
442

- 443 • Each 3D point of the point cloud is projected onto thermal images' planes using  $\mathbf{P}_{thermal}$  the
- 444 previously calculated projection matrices. Afterwards, the depth (the 3<sup>rd</sup> value that we get from
- 445 projection since we use homogenous coordinate system) and the projected coordinates are
- 446 checked. If the depth and the projected coordinates are both positive and within the image's



447 coordinates, they are accepted as point correspondences and the RGB color of the thermal image  
448 point is assigned to its corresponding 3D point (Figure 6).

449



450

451 *Figure 6 Juxtaposition of the thermal images on the point cloud.*

## 452 2.5 Calculation of thermal transmittance

453 The thermal characterization of opaque external building surfaces is of key importance for energy  
454 simulations. Particularly, surface thermal transmittance, or U-value, is mainly used in the calculation of  
455 conductive heat transfer between the indoor and outdoor environments. U-value is dependent on the  
456 thickness and type of materials, which may be unknown for existing buildings. The heat flux method  
457 (HFM) is a non-destructive method that calculates R-value by measuring the temperature gradient and  
458 the direct heat-flux through the envelope with heat flux meters. However, the results can easily be  
459 affected by thermal bridges, humidity, mold and poor adhesion of the sensors [59]. As a robust and easy  
460 alternative to HFM, IR imaging can be used to estimate U-value using surface temperature values  
461 measured by a thermal camera. Instead of partially focusing on a limited number of measurements read  
462 from heat flux meters in HFM, the IR thermography method can estimate the average temperature and  
463 the overall R-value of a surface [60]. The main assumption behind the IR-based calculations is that the  
464 total heat transfer from the surface to the thermal camera is due to thermal radiation and thermal

465 convection. Radiation heat transfer happens between two physically disconnected bodies with different  
466 temperatures. Convective heat transfer is transfer of energy between a moving gas or liquid phase and a  
467 solid phase, or, in this case, the building element and the indoor air.

468 U-values of the external walls are calculated using an existing infrared thermography method proposed  
469 by Albatici et al. [51]. This method assumes that heat passing through the element, dissipated from its  
470 surface and transferred to the IR thermal camera sensor ( $P$ ), is the sum of heat dissipated by the element  
471 for radiation ( $E$ ) and heat dissipated for convection ( $H$ ).  $E$  is calculated using the Stefan–Boltzman Law  
472 for grey body radiation as:

$$E = \sigma \epsilon T_{s,in}^4 \quad (23)$$

473 where  $\sigma$  is the Stefan-Boltzmann constant of proportionality ( $5.67 \times 10^{-8}$  [W/(m<sup>2</sup>K<sup>4</sup>)]),  $\epsilon$  is the thermal  
474 emissivity of the surface and  $T_{s,in}$  is the surface temperature (K) of the external wall measured by the  
475 thermal camera. For the specific cases wherein a gray body is completely enclosed within a closed  
476 environment (i.e. a room), Eq. 23 can be modified to account for the net radiation exchange as:

$$E = \sigma \epsilon (T_{s,in}^4 - T_{refl}^4) \quad (24)$$

477 where  $T_{refl}$  is the measured dry bulb air temperature of the environment (K).  $T_{refl}$  is measured to  
478 exclude the impact of reflected radiation in the thermal image, and to acquire the surface's correct  
479 temperature information.  $T_{refl}$  is the average reflected temperature from a reflective mirror (i.e. a  
480 crumpled aluminum coil) that is placed at a short distance from the wall and measured by a thermal  
481 camera.  $H$  can be calculated as:

$$H = \alpha_{con} |T_{s,in} - T_{air,in}| \quad (25)$$

482 where  $\alpha_{con}$  is the convective heat transfer coefficient [Wm<sup>-2</sup>K] and  $T_{air,in}$  is the measured air  
483 temperature of the indoor environment. Finally,  $U$ -value [W/m<sup>2</sup>K] of a building surface is calculated by  
484 considering that  $P$  is the sum of  $E$  and  $H$ :

$$U = P / (T_{air,in} - T_{air,out}) \quad (26)$$

$$U = \frac{\sigma \epsilon |T_{s,in}^4 - T_{refl}^4| + \alpha_{con} |T_{s,in} - T_{air,in}|}{T_{air,in} - T_{air,out}} \quad (27)$$

485

486 where  $T_{air,out}$  is the measured outdoor air temperature. The U-value can thus be calculated from the  
 487 mean surface temperature of each external wall.

### 488 2.5.1 Data integration

489 Once the previous steps are completed, the generated data (corner points of planes, U-values, window  
 490 and door points) is written in an XML file with an XML schema developed by us. This file is read by a  
 491 parser to construct a surface model for 3D model validation, and by OpenStudio SDK, an open-source  
 492 framework that provides access to EnergyPlus object attributes [61] for building energy modeling.

493 In EnergyPlus, the opaque room surfaces are instantiated as the *BuildingSurface:Detailed* object type,  
 494 which specifies the surface type (wall, floor, ceiling or roof), the thermal zone that the surface is a part  
 495 of, the outside boundary condition, sun and wind exposure, the construction name and the four  
 496 vertices that define the planar surface. The transparent surfaces are defined in a similar way using the  
 497 *FenestrationSurface:Detailed* object type. Both opaque and transparent surfaces are instantiated by  
 498 automatically reading in the vertices of the previously calculated surfaces, and manually entering the  
 499 remaining information. The external walls, for which the U-value is calculated using the IR  
 500 thermography method, need to be associated with the *Material:NoMass* object, as only the U-value of  
 501 the surface is known. When instantiating this object, it should be associated with the relevant surface,  
 502 and the thermal resistance (the reciprocal of the calculated U-value) value needs to be automatically  
 503 entered. For all the other surfaces, the standard *Material* object type can be used, which requires  
 504 manual data input for material thickness, conductivity, density and specific heat.

## 505 2.6 3D Room Reconstruction Tool

506 Together with the described pipeline, a graphical user interface (GUI) was designed to allow the users  
507 select the geometric model extraction method, normal mode or test mode (where the tool calculates the  
508 geometric errors 100 times and calculates these error's mean and standard deviation) the penalty method  
509 parameters used in robust 3D geometry estimation part, the optical and thermographic images for 3D  
510 reconstruction, manually mark the surfaces of walls on optical images for plane construction and mark  
511 the boundaries of windows and doors to define these elements in the model.

512

## 513 3 Results

514 In this section we present the results of the two experiments that aim to validate the developed pipeline.  
515 The first experiment aims to assess the performance of the pipeline and the two surface construction  
516 methods (BPE and RSEC) in constructing precise surface models subject to different input datasets. The  
517 second experiment is a comparative analysis between the calculated energy use of two energy simulation  
518 models: the first based on an existing high-precision surface model with theoretical thermal  
519 transmittance values, and the second using the proposed method that constructs an energy model with  
520 calculated thermal transmittance.

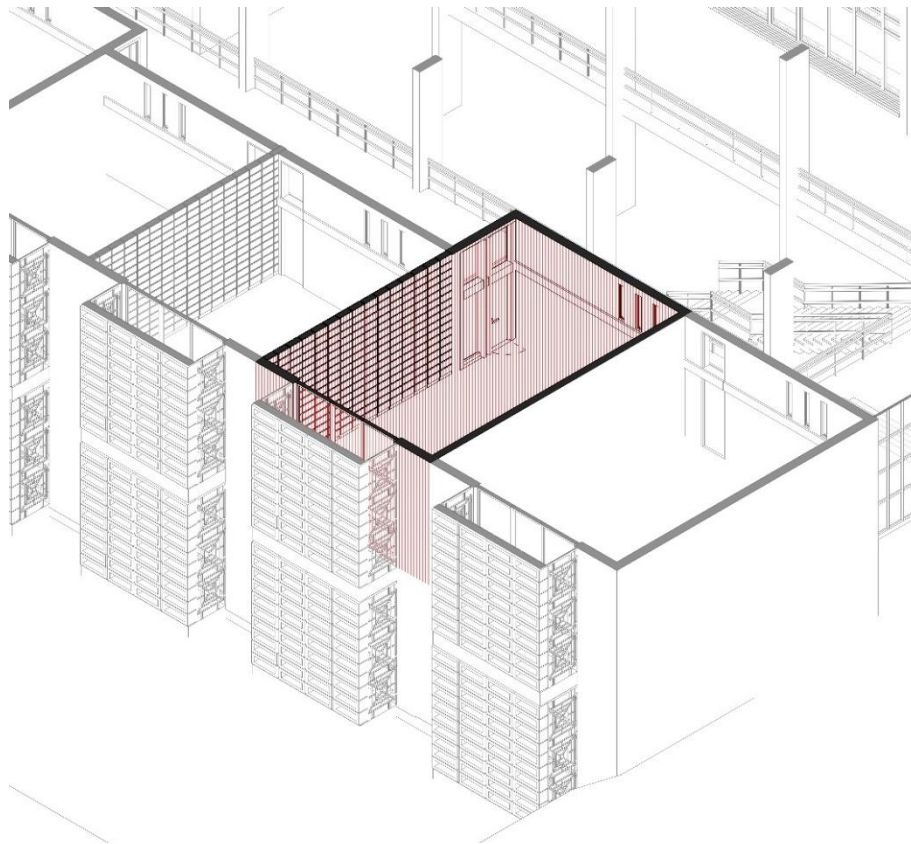
### 521 3.1 Experiment setup

522 The experiments were conducted in a classroom in an educational building (Figure 7**Error! Reference**  
523 **source not found.**). The room has an area of 46.48 m<sup>2</sup> and a volume of 171.85 m<sup>3</sup>. The external wall  
524 material is reinforced concrete with 0.25 cm thickness. Previous to this study, a ground truth model of  
525 the room had been obtained through 3D laser scanning, using a high-precision laser scanner (Faro Focus  
526 120) that is registered by FARO-Scene. The resulting 3D point cloud model was used to manually  
527 generate a surface model. The room is a rectangular prism, with a door and a window. The electro-optic

528 images of the room were captured with a digital single-lens reflex camera, Nikon D90. Nikon D90 has  
529 a 12.3-megapixel resolution and a built-in autofocus motor. To capture the thermal images of the external  
530 wall, a FLIR E60 infrared camera was used. FLIR 60 has a thermal sensitivity of  $<0.05^{\circ}\text{C}$ , with an 800-  
531 pixel resolution for infrared images ( $320 \times 240$ ).

532

533



534

535

*Figure 7 Isometric view of the selected classroom*

536 The experiments aim to demonstrate the viability and precision of the proposed pipeline and the two  
537 surface construction methods (BPE and RSEC, as described in Section 2.3) when subjected to different  
538 input dataset conditions. The input datasets are representative of dataset sizes and image qualities (Table  
539 1). The experimental setup considers a various number of images from 140 to 35, with both high- and

540 low-quality images. To generate the latter, we decreased the pixel size of the original images and applied  
541 Gaussian noise with 5.0 standard deviation to lower the image quality. Each combination of image count  
542 and image resolution is called as an experiment case in the rest of the section.

543 *Table 1 Experiment setup. Each combination of image count and resolution is used as an experimental case for evaluation in*  
544 *the rest of the paper.*

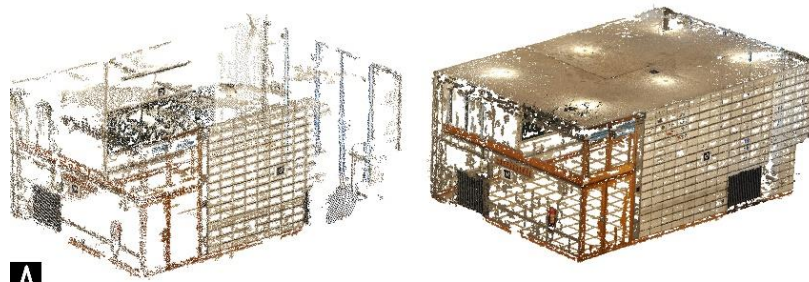
|                         |   |
|-------------------------|---|
| <b>Number of images</b> | 140p (140 photos)   |
|                         | 105p (105 photos)   |
|                         | 70p (70 photos)   |
|                         | 35p (35 photos)   |
| <b>Image resolution</b> | high resolution (4288×2848 pixel)                           |
|                         | low resolution (2144×1424 pixel, with Gaussian noise added) |

545

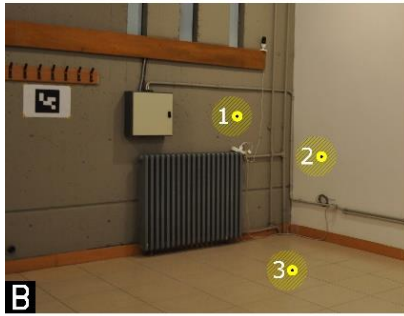
546 For each setup case, the following steps are followed:

- 547 a. 8 image datasets are considered for 3D reconstruction, with different number of images and image  
548 resolutions, to generate the corresponding 3D point clouds (Figure 8-A).
- 549 b. The scale and pose of the point cloud model are adjusted through user interaction. Three points are  
550 selected in the physical environment, and their physical distances to a selected origin point are  
551 measured manually. The same points are selected on the images by the user using the developed  
552 tool, and the measurements are manually entered to the corresponding points (Figure 8-B).
- 553 c. The user selects the images that will be used to calculate the wall surfaces and marks the walls. This  
554 step can be realized using one of the methods that we developed, BPE or RSEC. As a result, the  
555 surfaces of the room are generated for each method (Figure 8-C and D).
- 556 d. The user the selects the images that will be used to calculate the window and doors, and outlines  
557 these surfaces on the images, as described in the previous section (Figure 8-E).
- 558 e. The resulting surface model is then converted to XML format to be read into a 3D modeler or the

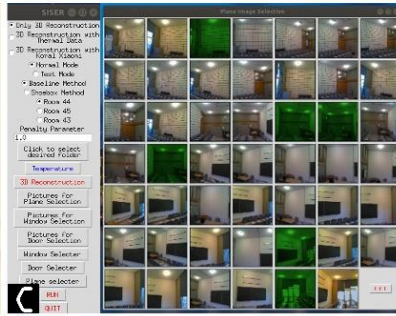
559 energy simulation tool.



A



B



C



D



E



560

561 Figure 8 A. The sparse 3D model (left) and the densified 3D point cloud (right)surfaces, B. Adjusting scale and pose of the model,

562 C. The main GUI and image selection for walls, D. Identification of the surfaces on the selected photos for each wall, E.

563 Identification of the window and door surfaces by selecting a certain area on the desired window (left) or door (right).

564

565 3.2 Evaluation measures

566 The comparative analysis between the two 3D models are based on the evaluation measures (**Error!**  
567 **Reference source not found.**). The results of these measures facilitate the benchmarking between the  
568 computed models and the ground truth model. The evaluation measures include:

569 a. The difference between room volumes:

$$d_V(G, C) = |V_G - V_C|, \quad (28)$$

570 where  $d_V(G, C)$  is the volume difference,  $V_G$  and  $V_R$  are the volume of the ground truth model  
571 and the calculated model respectively.

572 b. The cumulative Euclidean distances between surface vertices:

573

$$d_E(G, C) = \sum_{i=0}^n d(\mathbf{x}_i^g, \mathbf{x}_i^c), \quad (29)$$

574 where  $d_E(G, C)$  is the total Euclidean distance error between all the vertices in the model  
575 including the boundary surfaces, windows and doors;  $G$  is the ground truth model;  $C$  is the  
576 calculated model;  $n$  is the total number of measured points;  $\mathbf{x}_i^g \in G$  is the  $i^{th}$  point of the ground  
577 truth model;  $\mathbf{x}_i^c \in C$  is the corresponding point of the computed model where correspondence is  
578 manually determined; and  $d(\cdot, \cdot)$  is the Euclidean distance between two vectors.

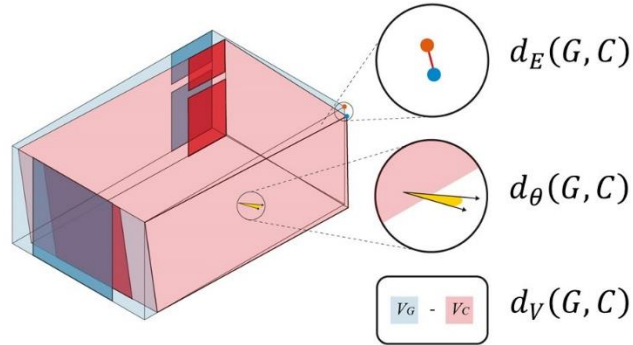
579 c. The cumulative angle differences between the surface normal vectors of the walls:

$$d_\theta(G, C) = \sum_{j=0}^n |\mathbf{n}_i^g - \mathbf{n}_i^c|, \quad (30)$$

580 where  $d_\theta(G, C)$  is the total angular distance error;  $n$  is the total number of wall surfaces;  $\mathbf{n}_i^g$  and



581  $\mathbf{n}_i^c$  respectively are the surface normal of the  $i^{th}$  ground truth surface and the corresponding  
 582 estimated surface.

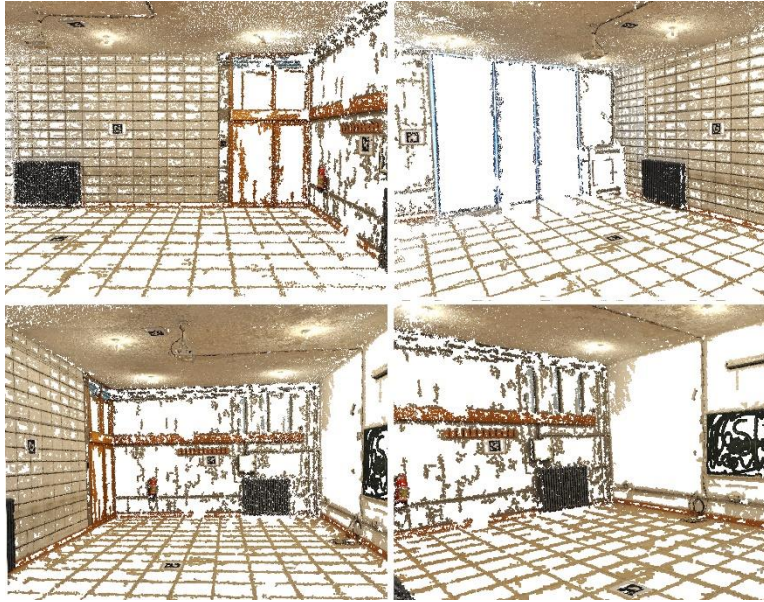


583  
 584 *Figure 9 The evaluation measures. The blue box (G) and the red box (C) represent the ground truth geometry and the*  
 585 *calculated geometry respectively.*

### 587 3.3 Comparative analysis

588 The first experiment aims to assess the performance of the proposed pipeline subject to different datasets  
 589 in constructing precise surface models, focusing particularly the two surface construction methods (BPE  
 590 and RSEC). **Error! Reference source not found.** shows the change in computation time and point cloud  
 591 density for eight point-cloud models generated for each setup case. The results depict an expected  
 592 decreasing trend in the time cost and point cloud density with decreasing dataset sizes (Figure 11). The  
 593 ratio of decrease in computational time from 140p to 35p are 73% and 67.1% for high- and low-  
 594 resolution images respectively. The ratio of decrease in point cloud density from 140p to 35p are 58.3%  
 595 and 58% for high- and low-resolution images respectively.

596



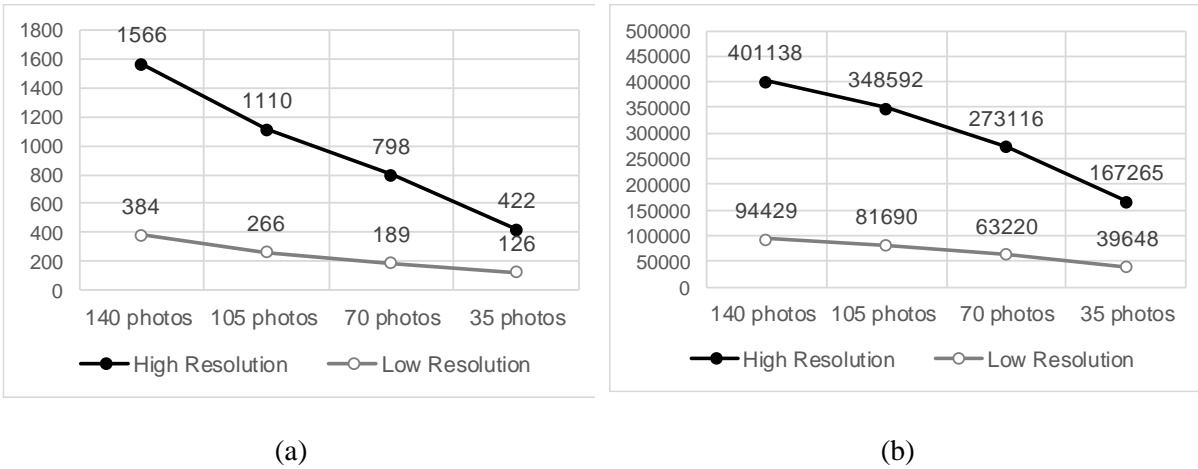
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Figure 10 High resolution point cloud, interior views

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Figure 11 (a) Computational time cost for 3D point cloud generation in seconds and (b) 3D point cloud density (the number

602

of points) for each setup. High resolution is  $4288 \times 2848$  and low resolution is  $2144 \times 1424$ . The results are obtained

603

on a PC with Intel® Core™ i7-7700 Processor (3.60GHz 8MB) with a 16 GB DDR4 RAM.

604

605 The results of the benchmark metrics can be found in **Error! Reference source not found.** As  
606 expected, dataset size (the number of images) has the most significant impact on model accuracy. In all  
607 metrics, the magnitude of error consistently increases from high to low number of images. However,  
608 the error difference between high and low number of images is more prominent in the BPE method. In  
609 other words, model precision diminishes more rapidly for BPE as compared to RSEC. For instance, the  
610 absolute difference for  $\Delta V$  amounts to 5.55 and 5.89 m<sup>2</sup> for  $BPE^{high}$  and  $BPE^{low}$ , while for  $RSEC^{high}$   
611 and  $RSEC^{low}$  these values are 2.16 and 3.35 m<sup>2</sup> (**Error! Reference source not found.**-a). Moreover,  
612 models constructed with 140p using  $RSEC^{high}$  and  $RSEC^{low}$  start off with lower precision ( $d_V = 1.43$   
613 m<sup>2</sup> and 1.35 m<sup>2</sup>) as compared to  $BPE^{high}$  and  $BPE^{low}$  ( $d_V = 0.07$  m<sup>2</sup> and 0.83 m<sup>2</sup>), but outperform  
614  $BPE^{high}$  and  $BPE^{low}$  as the number of images drop to 35. This underlines that the RSEC method can  
615 be more viably and easily used in practical settings. The volume error is most determinant for energy  
616 simulations, as the heating / cooling energy consumption is directly proportional to the room volume.  
617 This places additional emphasis on the selection of the correct method and underlines the importance  
618 of an accurate understanding of the tradeoffs between the use of different datasets.

619

620

621 Table 2 The results of the benchmark metrics. The best values for each error measure are marked boldface.

| Metric   | Method        | 140p        | 105p        | 70p         | 35p         |
|--|---------------|-------------|-------------|-------------|-------------|
| Volume difference error<br>( $d_V(\mathbf{G}, \mathbf{C})$ )     | $BPE^{high}$  | <b>0.07</b> | <b>1.08</b> | 3.16        | 5.62        |
|  | $RSEC^{high}$ | 1.43        | 1.80        | <b>2.65</b> | <b>3.59</b> |
|  | $BPE^{low}$   | 0.83        | 1.55        | 4.46        | 6.73        |
|  | $RSEC^{low}$  | 1.35        | 2.21        | 3.66        | 4.70        |
| Euclidean distance error<br>( $d_E(\mathbf{G}, \mathbf{C})$ )    | $BPE^{high}$  | 3.35        | 3.15        | 3.08        | 4.92        |
|  | $RSEC^{high}$ | <b>2.30</b> | <b>2.03</b> | <b>2.11</b> | <b>2.33</b> |
|  | $BPE^{low}$   | 3.52        | 3.64        | 4.24        | 5.79        |
|  | $RSEC^{low}$  | 2.64        | 3.04        | 3.84        | 4.46        |
| Angle difference error<br>( $d_\theta(\mathbf{G}, \mathbf{C})$ ) | $BPE^{high}$  | 6.56        | 7.52        | 9.24        | 13.56       |
|  | $RSEC^{high}$ | <b>0.09</b> | <b>0.09</b> | <b>0.09</b> | <b>0.09</b> |
|  | $BPE^{low}$   | 9.45        | 9.24        | 14.74       | 23.13       |
|  | $RSEC^{low}$  | 3.92        | 5.62        | 8.48        | 8.04        |

622

623 The results of  $d_E$  and  $d_\theta$  (**Error! Reference source not found.-b** and **Error! Reference source not**  
624 **found.-c**) show a similar trend to  $d_D$ , such that the magnitude of error increases with lower image  
625 number. The exception to this is the results of  $RSEC^{high}$ , wherein a insignificant change  $d_E$  is observed,  
626 and  $d_\theta$  is zero.  $BPE$  performs poorly in  $d_\theta$  with 140p for both high- and low-resolution images ( $d_\theta =$   
627  $6.56^\circ$  and  $9.45^\circ$  respectively), which degrades to intolerable results with 35p ( $13.56^\circ$  and  $23.13^\circ$ ). In  
628 contrast,  $d_\theta$  results with  $RSEC^{high}$  are negligible, indicating that the constraints could ensure the  
629 orthogonality of the room geometry.  $RSEC^{low}$ , on the other hand, showed poorer performance in  $d_\theta$   
630 due to the lower resolution point cloud.

631

### 632 3.4 Building energy modeling

633 In this section, we assess the viability of the use of the proposed method in building energy modeling.

634 In the previous section, we tested the geometric precision of the constructed geometries through metrics  
635 that quantify the magnitude of error between the ground truth geometry and the calculated geometries.

636 In this section, we comparatively assess the difference in building performance metrics between a  
637 theoretical energy model and the calculated energy model.

638 140 images were captured each from electro-optic and IR cameras mounted on a FLIR E60. The IR  
639 images had 76,800-pixel (320×240) and the electro-optic images had 3.1-megapixel (2048×1536)  
640 resolution. While capturing images, measurements were taken for U-value calculations, on 24 December  
641 2018 at 6:00am, to achieve a quasi-steady-state condition of heat transfer. The variables in Eq. 27 were  
642 measured as  $T_{air,in} = 23.1\text{ C}^\circ$ ,  $T_{air,out} = 1.0\text{ C}^\circ$  and  $T_{refl} = 27.0\text{ C}^\circ$ .

643 The images were processed through the pipeline shown in Figure 4. The resulting point cloud had a  
644 density of 403,861, and the calculated  $V = 164.38\text{ m}^3$ . The average U-value of the 0.25 m reinforced  
645 concrete external wall was calculated as  $2.0\text{ W/m}^2\text{-K}$ . This result is consistent with a previous study that  
646 conducts in-situ IR Thermography measurements of the same building wall from the external  
647 environment by [62], which measured the external wall average U-value as  $2.07 \pm 0.38\text{ W/m}^2\text{K}$ .

648 Following, two EnergyPlus models of the classroom was built for the ground truth (theoretical) model  
649 and the newly calculated building model. The theoretical U-value and the newly calculated U-values  
650 was used for the theoretical and calculated models respectively. The surfaces other than external surfaces  
651 were modeled as adiabatic surfaces to exclude heat transfer with other indoor spaces. Other material  
652 thermal characteristics used in both models can be found in Table 3. The windows are modeled as  
653 double-glazed windows ( $U = 2.6\text{ W/m}^2\text{K}$ ,  $SHGC = 0.75$ ,  $VT = 0.8$ ). The standard templates defined in  
654 DesignBuilder for people, lighting and equipment are used as internal loads. The heating setpoint and  
655 setback temperatures are set to  $21\text{ C}^\circ$  and  $15.5\text{ C}^\circ$  respectively. Infiltration is set to 25ACH at 50Pa. The

656 simulation run period was set to 24-30 December, which was the week that the actual measurements  
 657 were taken.

658 *Table 3 The thermal characteristics of the opaque materials in the energy models*

| Element | Material               | Thickness (mm) | Theoretical U value (W/m <sup>2</sup> -k) | Calculated U value (W/m <sup>2</sup> -k)         |
|---------|------------------------|----------------|---|--|
| Wall    | Concrete, reinforced   | 250            | <b>3.7</b>                                | <b>2.0</b>                                       |
| Roof    | Concrete, Reinforced   | 130            | 0.577                                     | - (the theoretical value is used in simulations) |
|         | Waterproofing membrane | -              |   |  |
|         | XPS - CO2 blowing      | 50             |   |  |
|         | Roofing felt           | 4              |   |  |
|         | Stone chipping         | 10             |   |  |

659

660 The performance metrics are as follows:

- 661 a. Conductive heat loss through the external wall ( $Q_C$ ) occurs as a result of temperature difference  
 662 as well as the thermal properties of the wall.
- 663 b. Operative temperature ( $OT$ ) is a metric for thermal comfort, which is defined as the average of  
 664 indoor air dry bulb temperature and mean radiant temperature in a room. In cases that a room's  
 665 boundary surfaces are different from the room temperature, significant changes to  $OT$  and  
 666 occupant discomfort can be observed.
- 667 c. Heating energy use ( $Q_H$ ) is the amount of energy to maintain the room temperature at the  
 668 determine setpoint temperature.

669 *According to the simulation results (*

670 Table 4, Figure 12), the difference in total heat loss through the external from the theoretical model to  
 671 the calculated model is -41.32 %. This decrease is due to the lower heat transfer rate through the concrete  
 672 wall in the calculated model. Because of the increased thermal performance of the external wall in the  
 673 calculated model, a decrease of -19.17 % in the heating energy use was observed. Hourly results also

674 show that the results of the theoretical model are consistently offset from the calculated model. The  
675 maximum difference in heat loss is 0.30 kW (at 02/11, 15:00), operative temperature is 0.88 C° (at 02/09,  
676 13:00), heating energy use is 1.28 kW (at 02/06, 13:00).

677

678 *Table 4.* The results of the energy simulations for the two models

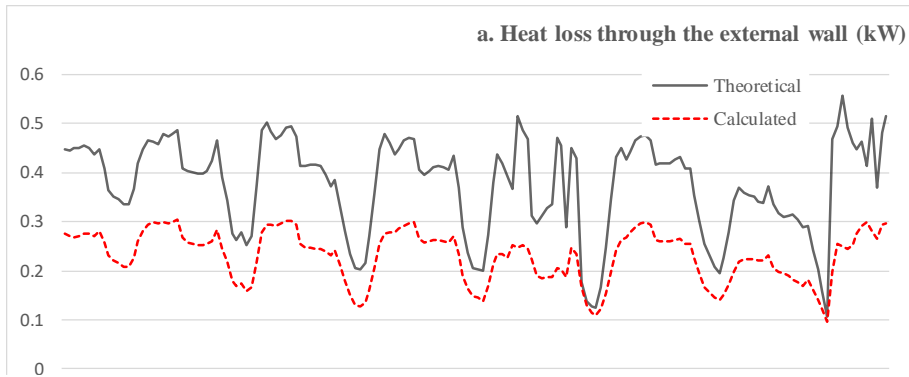
|  | <b>Total heating energy use (kW)</b> | <b>Total heat loss through external walls (kW)</b> | <b>Average Operative Temperature (C°)</b> |
|--|--------------------------------------|--|---|
| <b>Simulation with theoretical model</b> | 617.56                               | 65.51  | 18.17                                     |
| <b>Simulation with generated model</b>   | 499.18                               | 38.44  | 18.37                                     |
| <b>% change</b>                          | -19.17 %                             | -41.32 %   | 1.1 %                                     |

679

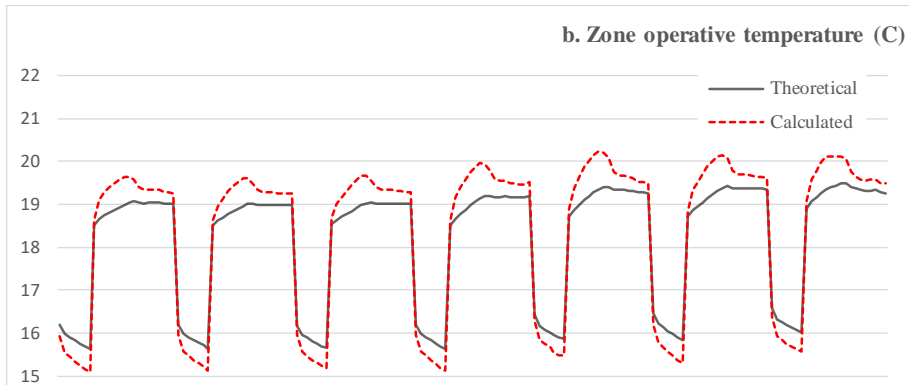
680

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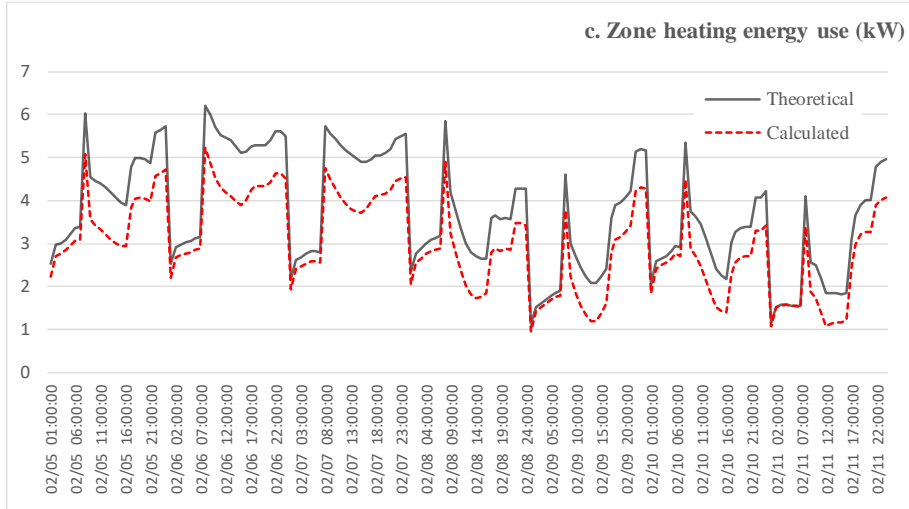
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684



685 *Figure 12 The hourly results of the energy simulations for the two models*

686



## 687 4 Conclusion

688 In this paper, we propose a 3D reconstruction pipeline that semi-automatically merges digital 2D visible  
689 images and IR images of a room into a single 3D building energy model. Our approach facilitates the  
690 fast and easy modeling of buildings by also allowing users' interaction with the 2D images. During  
691 conversion of 3D point clouds into planar surface models, two methods are proposed for the robust  
692 estimation of planes for room surfaces. The first method, BPE, is based on RANSAC and SVD, which  
693 estimates planes corresponding to each wall, ceiling or floor independently. The second method, RSEC,  
694 is an improvement over BPE, exploiting the assumption of rectangularity of the room and considering  
695 surface orthogonality as a second constraint to plane estimation. For surface U-value estimation, an  
696 existing non-destructive method based on infrared thermography [51] was used, and a similar approach  
697 to [32] was developed in the registration of U-values to the 3D point clouds.

698 The proposed pipeline was evaluated in a classroom, wherein the electro-optic images, thermal images  
699 and the environmental conditions to calculate wall U-value were first captured. Two experiments were  
700 carried out. The first experiment assessed the performance of the pipeline subject to different input  
701 datasets representing different dataset sizes and image resolutions. The second experiment aimed to  
702 assess the viability of the pipeline in building energy modeling through a comparison of simulation  
703 results. The results of the first experiment has shown a consistent change in point data cloud density as  
704 a result of different datasets. While model precision reduces from high to low number of images for all  
705 evaluation measures, this change is more prominent for BPE as compared to RSEC. For all the evaluation  
706 measures, BPE calculates more accurate models for 140p, but RSEC outperforms BPE when the dataset  
707 scale is reduced towards 35p. This indicates RSEC's robustness to low image quality and reduced sizes  
708 of input datasets. Moreover, although the experiments were conducted on a simple geometry, the RSEC  
709 method is generalizable to rooms of arbitrary complexity, provided that the angular relationships are  
710 previously known and specified. Our RSEC method jointly optimizes the parameters of the planes for

711 all surfaces at once. This aim is achieved by assuming some *a priori* angular difference between the wall  
712 normal vectors such that the overall solution satisfies these orientation constraints together. Provided  
713 that the orientation constraints are suitably adjusted, the RSEC method can be applied to any room with  
714 planar surfaces.

715 The proposed approach requires user interaction for the identification of building elements to be  
716 modeled. Different from the existing approaches, user interaction in our pipeline is realized using the  
717 2D images through simple mouse clicks. This simple interaction routine does not necessitate any  
718 expertise on complex software tools or digital models that might be difficult to manage for the users.  
719 More specifically, our approach unburdens the user of complex operations performed on 3d point clouds,  
720 and instead allows interaction with easy-to-understand images. Our approach, therefore, can be said to  
721 be advantageous due to its ease of use and practicality.

722 The extension of our pipeline to buildings requires addressing several issues. The first issue is the  
723 reduction of user interaction for e.g. the definition of doors and windows. This problem is especially  
724 relevant for large-scale settings, wherein the definition of a number of building elements in the model  
725 might exceed the capacity of user interaction. In this case, an object detector (e.g. YOLO [63], RetinaNet  
726 [64]) can be used to detect doors and windows automatically, and the user could only correct mis-  
727 detections or errors in localization. The second problem is putting together 3D models of single rooms  
728 for large-scale buildings. Currently, the proposed pipeline currently supports the accurate 3D modeling  
729 of a single room. However, practical contexts that aim to assess whole building performance usually  
730 consider multiple rooms in a building. This issue can be addressed by making use of simultaneous  
731 localization and mapping (SLAM) techniques [65]. In SLAM, a moving camera continuously captures  
732 snapshots from an environment, from adjacent frames 3D scene is reconstructed and “appended” to the  
733 current 3D model of the whole environment by considering the 3D motion of the camera. With such an  
734 approach, the 3D spatial transformation between the rooms of a building can be estimated and therefore,  
735 3D models of single rooms can be stitched together to form a single 3D model. We leave both aspects

736 as future work.

737

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