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# Ultralow Cycle Fatigue Tests and Fracture Prediction Models for Duplex Stainless-Steel Devices of High Seismic Performance Braced Frames

## Citation for published version:

Baiguera, M, Vasdravellis, G & Karavasilis, TL 2019, 'Ultralow Cycle Fatigue Tests and Fracture Prediction Models for Duplex Stainless-Steel Devices of High Seismic Performance Braced Frames', *Journal of Structural Engineering*, vol. 145, no. 1, 04018230. [https://doi.org/10.1061/\(ASCE\)ST.1943-541X.0002243](https://doi.org/10.1061/(ASCE)ST.1943-541X.0002243)

## Digital Object Identifier (DOI):

[10.1061/\(ASCE\)ST.1943-541X.0002243](https://doi.org/10.1061/(ASCE)ST.1943-541X.0002243)

## Link:

[Link to publication record in Heriot-Watt Research Portal](#)

## Document Version:

Peer reviewed version

## Published In:

Journal of Structural Engineering

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1     **Ultra-low cycle fatigue tests and fracture prediction models for**  
2     **duplex stainless steel devices of high seismic performance braced**  
3                     **frames**

4  
5     **Marco Baiguera<sup>1</sup>, George Vasdravellis<sup>2</sup>, and Theodore L. Karavasilis<sup>3</sup>**

6  
7     **ABSTRACT**

8     This paper presents ultra-low cycle fatigue tests and the calibration of different fracture  
9     models for duplex stainless steel devices of high seismic performance braced frames. Two  
10    different geometries of the devices were tested in full-scale under fourteen cyclic loading  
11    protocols up to fracture. The imposed protocols comprised of standard, constant amplitude,  
12    and randomly-generated loading histories. The test results show that the devices have stable  
13    hysteresis, high post-yield stiffness, and large energy dissipation and fracture capacities.

14    Following the tests, two micromechanics-based models, i.e. the Cyclic Void Growth Model  
15    and the built-in Abaqus ductile fracture model, were calibrated using monotonic and cyclic  
16    tests on circumferentially-notched coupons and complementary finite element simulations. In  
17    addition, Coffin-Manson-like relationships were fitted to the results of the constant amplitude  
18    tests of the devices and the Palmgren-Miner's rule was used to predict fracture of the devices  
19    under the randomly generated loading protocols. Comparisons of the experimental and

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20 numerical results show that the calibrated models can predict ductile fracture of the devices  
21 due to ultra-low cycle fatigue with acceptable accuracy.

## 22 **INTRODUCTION**

23 A modern seismic design philosophy is to isolate damage in steel energy dissipation devices  
24 and protect the main structural members from yielding with the aid of capacity design rules.  
25 Energy dissipation devices can be designed to be easily accessible and replaceable (if needed)  
26 so that repair costs and downtime in the aftermath of strong seismic events can be  
27 significantly reduced (Soong and Spencer 2002; Symans et al. 2008). Steel yielding devices  
28 have stable and predictable hysteretic behavior and are insensitive to ambient temperature  
29 variations. Based on the first concepts developed in New Zealand in the 1970s (Kelly et al.  
30 1972; Skinner et al. 1975), a wide range of steel yielding devices have been proposed for  
31 beam-column connections, braces, and base isolation systems. Early developments include  
32 the U-strip hysteretic dampers and devices made of multiple plates with optimized shape.  
33 Examples of the latter are the added damping and stiffness (ADAS) damper (Steimer et al.  
34 1981; Whittaker et al. 1991) and the triangular-plate added damping and stiffness (T-ADAS)  
35 damper (Tsai et al. 1993). Other examples include the honeycomb damper used as seismic  
36 isolation system in bridges (Kajima 1991), C-shaped and E-shaped hysteretic dampers for  
37 bridges (Ciampi and Marioni 1991; Marioni 1997; Tsopelas and Constantinou 1997), slit-type  
38 dampers applied to beam-column connections or brace members (Chan and Albermani 2008;  
39 Oh et al. 2009), yielding shear panels (Nakashima et al. 1994), and cast-iron yielding fuses  
40 installed in braces (Gray et al. 2010). Steel cylindrical pins with hourglass-shape bending  
41 parts were used as the energy dissipation mechanism of a steel post-tensioned beam-column  
42 connection for self-centering moment-resisting frames (Vasdravellis et al. 2013a;  
43 Vasdravellis et al. 2013b).

44 A critical failure mode of steel yielding devices is ductile fracture. Under seismic loading,  
45 fracture of metals typically occurs after a relatively small number of cycles accompanied by  
46 large-scale plasticity. This loading is often termed as ultra-low cycle fatigue (ULCF). Nip et  
47 al. (2010) conducted low-cycle fatigue and ULCF tests on different structural steel grades,  
48 i.e. carbon steel and austenitic stainless steel, and found that the ductile fracture occurs when  
49 the number of cycles is below 100. Several studies have demonstrated that the fracture  
50 mechanism for ULCF is similar to monotonic ductile fracture, since it involves cyclic growth  
51 and collapse of voids (Nip et al. 2010; Kanvinde 2017). Therefore, micromechanics-based  
52 approaches that originate from fracture models for monotonic loading have been recently  
53 proposed to predict ULCF fracture (Kanvinde and Deierlein 2007; Myers et al. 2010; Jia and  
54 Kuwamura 2015; Wen and Mahmoud 2016b; Smith et al. 2017).

55 Vasdravellis et al. (2014) investigated the ductile fracture behavior of hourglass-shaped pins  
56 made of different steel grades, i.e. high-strength steel, austenitic stainless steel, and duplex  
57 stainless steel. The results showed that duplex stainless steel pins, named as SSPs, have the  
58 most desirable behavior for seismic design purposes, as they exhibit excellent ductility, high  
59 post-yield stiffness, and large fracture capacity. The notably high post-yield stiffness of the  
60 SSPs was utilized to reduce the residual drifts in a dual concentrically-braced moment-  
61 resisting frame (CBF-MRF) proposed by Baiguera et al. (2016), where SSPs are installed in  
62 series with the braces. Nonlinear dynamic analyses of the dual CBF-MRF showed that the  
63 high post-yield stiffness of the SSPs results in negligible residual drifts under the Design  
64 Basis Earthquake (DBE, 10 % probability of exceedance in 50 years) and very small residual  
65 drifts under the Maximum Considered Earthquake (MCE, 2% probability of exceedance in 50  
66 years). In the assessment of the CBF-MRF, ductile fracture of SSPs was preliminarily  
67 evaluated based on the tests conducted in Vasdravellis et al. (2014). However, the available

68 experimental data referred to a limited number of cyclic tests conducted under one-sided  
69 loading protocols.

70 This paper presents the results of an experimental investigation on the seismic performance  
71 of full-scale SSPs under full-cycle ULCF loading protocols. This study aims to provide  
72 calibrated models for predicting ductile fracture of the SSPs, which can be implemented in  
73 seismic collapse evaluation of buildings equipped with such devices. The results of fourteen  
74 cyclic tests on two full-scale SSP geometries, selected from the prototype dual CBF-MRF  
75 proposed in Baiguera et al. (2016), are presented. The tests were conducted using a testing  
76 apparatus reproducing the SSP-brace connection, and various loading histories, i.e. standard,  
77 constant amplitude (CA), and randomly-generated protocols. Following the tests, two  
78 micromechanics-based fracture models, i.e. the CVGM and the built-in Abaqus ductile  
79 fracture model, were calibrated for SSD using tests on circumferentially-notched specimens  
80 (CNSs) and complementary simulations using the finite element method (FEM). Coffin-  
81 Manson-like relationships were fitted to the CA tests and were used to predict fracture of the  
82 SSPs under the random loading protocol tests, in combination with a Palmer-Miner linear  
83 damage accumulation rule. The ability of the models to predict fracture was assessed against  
84 the experimental tests of SSPs.

## 85 **PROTOTYPE FRAME**

86 Fig. 1(a) shows the CBF-MRF proposed by Baiguera et al. (2016). The SSPs are installed in  
87 series with the braces and pass through aligned holes between the gusset plate and a strong U-  
88 shaped plate, which is connected by either welding or bolting to the brace member [Fig.  
89 1(b)]. The SSPs dissipate energy due to inelastic bending perpendicular to their axis. The  
90 geometric properties of a SSP are shown in Fig. 2(a). The bending hourglass parts have  
91 length  $L_{SSP}$ , external diameter  $D_e$ , and mid-length diameter  $D_i$ . The hourglass shape promotes  
92 a constant curvature and a uniform distribution of plastic deformations along the length of the

93 SSP, delaying in that way fracture. The design of a SSP includes the selection of  $D_e$ ,  $D_i$  and  
94  $L_{SSP}$  to provide the required force  $F_{SSP}$  and to ensure a ductile flexural rather than a non-  
95 ductile shear failure. A detailed design procedure for SSPs is given in Vasdravellis et al.  
96 (2014) and is not repeated herein. To meet capacity design requirements and avoid  
97 undesirable column failure due to high post-yield stiffness of the SSPs, friction pads are  
98 placed between the brace members and the beam gusset plate at the top of each floor [Fig.  
99 1(a)]. The friction pads are activated at a predefined story drift level. More details on the  
100 geometry and seismic performance of the proposed dual CBF-MRF are presented in Baiguera  
101 et al. (2016).

## 102 **EXPERIMENTAL PROGRAM**

### 103 **Specimens**

104 In the dual CBF-MRF, each SSP-brace connection is made of four or more identical SSPs  
105 that work in parallel to resist the brace axial force [Fig. 1(b)]. Since all SSPs undergo the  
106 same displacement when loaded, tests were conducted on a single SSP. Two different SSP  
107 geometries were tested in full-scale, representing the devices at the third and sixth story of the  
108 prototype building, and denoted as SSP1 and SSP2, respectively. SSP1 has  $D_e = 50\text{mm}$ ,  $D_i =$   
109  $24\text{ mm}$  and  $L_{SSP} = 225\text{ mm}$ , while SSP2 has  $D_e = 40\text{mm}$ ,  $D_i = 18\text{ mm}$  and  $L_{SSP} = 225\text{ mm}$ .  
110 The two geometries are shown in Fig. 2(b).

111 Seven specimens of each geometry were manufactured by machining 740 mm long round  
112 rolled bars, having diameters equal to 65 mm and 51 mm. The material is SSD, certified as  
113 UNS S31803 F51 by the manufacturer (UGITECH, France). The specimens were fabricated  
114 with a slightly reduced maximum diameter (nominal value:  $D_e+10\text{ mm}$ ) to allow for a small  
115 clearance of 0.2 mm in the holes of the supporting plates. The rolled bars were supplied in the  
116 solution annealed condition because the material yield strength was greater than 450 MPa.

117 This type of stainless steel is much stronger (i.e. twice or more) than the common austenitic  
118 stainless steel.

### 119 **Material tests**

120 Before testing the SSPs, three uniaxial tensile tests were performed on round coupon  
121 specimens designed according to EN10002-1 (European Committee for Standardization,  
122 2001). The coupon specimens had an external diameter of 16 mm and were tapered to a  
123 reduced diameter of 12 mm. Table 1 lists the mechanical properties of the material from the  
124 coupon tests, i.e. the yield stress  $f_y$  defined using the 0.2% offset strain, the ultimate (peak)  
125 stress  $f_u$ , the fracture strain  $\varepsilon_f$ , and the Young's modulus  $E$ . The average yield stress is equal  
126 to 520 MPa, the average ultimate stress is equal to 750 MPa, and the average fracture strain is  
127 0.47, which indicate a material with large fracture capacity and high post-yield stiffness. The  
128 ratio of the post-yield stiffness to the elastic stiffness is equal 1/125.

### 129 **Testing apparatus**

130 Tests on SSPs were conducted using a self-reacting structural testing machine employing a  
131 servo-hydraulic actuator with 2000 kN force capacity and  $\pm 120$  mm stroke capacity. The test  
132 setup had a configuration that reproduces the SSP-gusset plate connection of the dual CBF-  
133 MRF. Fig. 3 shows the test setup, which consists of vertical steel plates representing the  
134 gusset plate tied to the beam-column connection and the U-shaped plate tied to the bracing  
135 member, respectively [see Fig. 1(b)]. The SSPs were inserted into aligned holes drilled on the  
136 vertical plates. The top row of holes was used for the SSP1, whereas the bottom row was  
137 used for the SSP2. The top assembly is made of a 40-mm thick vertical plate welded  
138 normally onto a 50-mm thick 300x200 mm horizontal plate. The bottom assembly is made of  
139 two vertical 60-mm thick plates welded normally onto a 700x150x50 mm horizontal plate.  
140 Two 150x300x50 mm plates welded onto the top and bottom horizontal plates are gripped by

141 the testing machine, as shown in Fig. 3. The minimum thickness of the supporting plates is  
142 based on the design rules presented by Vasdravellis et al. (2014).

143 Fig. 4 shows the SSP1 specimen installed. To prevent the unidirectional axial translation due  
144 to cyclic loading observed in Vasdravellis et al. (2014), the SSPs were axially restrained by  
145 welding a 10-mm thick steel collar at both ends of an SSP as shown in Fig. 4. Before the test,  
146 the collar was just in contact with the vertical plates. To prevent excessive bending of the  
147 vertical plates of the bottom assembly as the SSP deforms, 30 mm-thick triangular stiffeners  
148 were welded at the base of the plates.

### 149 **Instrumentation**

150 Fig. 4 shows the two linear variable differential transformers (LVDTs) that were used to  
151 measure the relative displacement between the top and bottom plate assemblies. The LVDTs  
152 have  $\pm 150$  mm travel length and were fixed to the bottom horizontal plate by magnetic bases,  
153 while their tips were attached to the top horizontal plate.

### 154 **Loading protocols**

155 Table 2 lists the loading protocols that were used for the tests. All the loading protocols were  
156 applied under displacement control at a rate ranging from 5 to 40 mm/min. The first loading  
157 protocol, denoted as AISC protocol, is the one recommended in ANSI/AISC 341-10 (AISC  
158 2010) for the seismic evaluation of buckling restrained braces. The loading history is defined  
159 by the yield displacement of the SSP,  $u_y$ , and the displacement demand in the brace expected  
160 under the DBE,  $u_{DBE}$ . The values of  $u_{DBE}$  were determined from the seismic evaluation results  
161 in Baiguera et al. (2016). Preliminary values of  $u_y$  were derived from the results of the  
162 simulations using the three-dimensional FEM sub-models of the SSPs presented in Baiguera  
163 et al. (2016). Based on the above,  $u_y$  is equal to 8 mm and  $u_{DBE}$  equal to 17 mm for SSP1,  
164 while the same quantities are equal to 5 mm and 14 mm for SSP2. The AISC protocol  
165 prescribes a loading history that consists of increasing imposed displacements with



166 amplitudes  $u_y$ ,  $0.5u_{DBE}$ ,  $u_{DBE}$ ,  $1.5u_{DBE}$ , and  $2u_{DBE}$ , each one applied for two cycles. To fully  
167 characterize the hysteretic response of each SSP up to fracture, the AISC protocol was  
168 extended to include four additional cycles at  $1.5u_{DBE}$ , followed by two cycles at  $2.5u_{DBE}$ , and  
169 then a series of cycles with an amplitude increased by  $0.5u_{DBE}$  every two cycles.  
170 Both specimens were tested under ultra-low cycle fatigue loading histories, i.e. constant  
171 amplitude (CA) and randomly-generated protocols. The imposed amplitudes are defined as  
172 multiples of the SSP yield displacement. SSP1 was tested under  $CA = 4u_y$ ,  $5u_y$ ,  $6u_y$  and  $7u_y$ ,  
173 while SSP2 was tested under  $CA = 4u_y$ ,  $5u_y$ ,  $6u_y$ ,  $7u_y$  and  $8u_y$ . Both specimens were also  
174 tested under random loading protocols, which consisted of randomly generated number of  
175 cycles and imposed displacements. These protocols were defined assuming imposed  
176 displacement values in the range of 2 to 8 times  $u_y$  and number of cycles between 1 and 9.  
177 Note that the selected range of applied displacements for the tests reflects the demand that  
178 SSPs are expected to resist in the proposed dual CBF-MRF, where larger displacements lead  
179 to the activation of the friction pads.

## 180 **EXPERIMENTAL RESULTS**

### 181 **Cyclic behavior and fracture of SSPs**

182 Fig. 5 shows the force-displacement cyclic behavior of the two specimens under the extended  
183 AISC loading protocol.  $u_{DBE}$  is shown on the graphs as a vertical line. The SSPs successfully  
184 passed the imposed protocol showing stable hysteretic behavior up to an imposed  
185 displacement equal to  $4.5u_{DBE}$ , where the tests were terminated as no signs of fracture  
186 initiation in the SSPs were observed.

187 The rest of the tests were executed up to full-section fracture of the specimens. Fig. 6 shows  
188 the hysteresis of SSP1 and SSP2 under the CA loading protocols. Table 2 reports the number  
189 of cycles sustained by each specimen until full-section fracture. The SSPs sustained many

190 inelastic cycles before fracture, showing a stable hysteretic behavior and large energy  
191 dissipation capacity.

192 Fracture typically initiated on the surface of the SSP at the middle sections of the bending  
193 parts, i.e. halfway between  $D_e$  and  $D_i$ , as shown in Fig. 7(a). These fracture locations are  
194 denoted as sections 1 and 2, where section 1 is the one closest to the lower supporting plate.

195 The number of cycles to fracture initiation were recorded for each ultra-low cycle fatigue test  
196 and are reported in Table 2. Once fracture initiation occurred, several micro-cracks were  
197 gradually formed and propagated to full section fracture after several cycles [Fig. 7(b)]. Fig.  
198 7(c) shows the cracks observed on the surface after forty-eight cycles in the SSP2 tested  
199 under  $CA = 6u_y$  and how they propagated in the successive eleven cycles, leading to the full-  
200 section fracture of the specimen at cycle fifty-nine. The optimized shape of the SSPs resulted  
201 in large plastic deformations throughout the length of their bending parts. This caused a large  
202 axial elongation of the SSPs which increased with cycles. Fig. 8 shows the noticeable axial  
203 elongation of SSP2 after thirty cycles under  $CA = 7u_y$ .

204 The force-displacement curves are characterized by a slight pinching at zero force due to the  
205 small clearance (0.2 mm) in the holes of the supporting plates that allows the pins to slip. It  
206 can be observed from Figs. 5 and 6 that the hysteretic curves exhibit a hardening behavior at  
207 large imposed displacement. This behavior is more evident in the CA protocols for  $CA > 6u_y$   
208 for SSP1 and  $CA > 5u_y$  for SSP2. This hardening response is attributed to the welded collars  
209 that at large imposed displacements bore on the vertical plates, while they were not in contact  
210 with them at small amplitudes (as shown in Fig. 8).

### 211 **Energy dissipation capacity**

212 The energy dissipated by a SSP in a cycle,  $W$ , is calculated as the area enclosed by the force-  
213 displacement curve. To have a consistent comparison,  $W$  is normalized by the product of  $u_y$   
214 and the corresponding yield force  $F_y$ . The experimental yield forces of the two specimens are

215  $F_{y,SSP1} = 150$  kN and  $F_{y,SSP2} = 75$  kN. Figs. 9(a and b) show a comparison between the energy  
216 dissipating curves of SSP1 and SSP2 under the  $7u_y$  and  $4u_y$  CA loading protocols. The energy  
217 dissipation capacity of SSP1 and SSP2 is similar during the first cycles, with SSP2  
218 experiencing a more visible drop in its energy dissipation capacity than SSP1. However,  
219 SSP2 sustained a larger number of cycles than SSP1.

220 The energy dissipation curves computed for the AISC tests are shown in Fig. 9(c). SSP1  
221 appears to have a higher energy dissipation capacity in the initial cycles. This observation is  
222 consistent to all tests and can be attributed to the fact that the clearance between the external  
223 diameter and the supporting plate holes was slightly bigger in SSP2 than in SSP1. However,  
224 SSP2 reached full-section fracture after having sustained more cycles than SSP1 under CA  
225 loading protocols.

226 Fig. 10 compares the energy dissipation capacity of the SSPs under all the CA loading  
227 protocols. The energy dissipation curves are descending until fracture with a rate that is  
228 proportional to the magnitude of the imposed displacement, i.e. the larger the CA is, the  
229 faster the energy dissipation capacity of the SSPs degrades. On the contrary, when the  
230 specimens are subjected to small amplitudes (i.e.  $CA = 4u_y$ ), the energy dissipation curve is  
231 almost horizontal until fracture.

### 232 **Prediction of strength of SSPs**

233 The strength of an SSP is predicted using the design equations presented in Vasdravellis et al.  
234 (2014) with modifications to account for the exact location of the plastic hinges. Fig. 7(a)  
235 shows that the plastic hinges form at midway between  $D_e$  and  $D_i$ . Therefore, the strength of  
236 an SSP is given by Vasdravellis et al. (2014):

$$F_{SSP} = \frac{2 D_{PH}^3}{3 L_{PH}} f_y \quad (1)$$

237 where  $L_{PH} = L_{SSP}/2$ , and  $D_{PH} = (D_e + D_i)/2$ , based on the geometric properties shown in Fig. 2.

238 Using this formula, the strength of SSP1 is 156 kN and that of SSP2 is 75 kN, which are in

239 excellent agreement with the experimental values, i.e. 150 kN and 75 kN, respectively. Note  
240 that the capacity design rules to avoid shear failure at the section of diameter  $D_i$  are satisfied  
241 according to Vasdravellis et al. (2014).

## 242 **PREDICTION OF FRACTURE OF SSPs USING THE PALMGREN-** 243 **MINER'S RULE**

244 The results of the CA tests were used to derive a relationship between the applied  
245 displacement amplitude and the number of cycles to fracture. Such correlation may be  
246 convenient for establishing a fracture criterion in phenomenological models of the SSPs for  
247 seismic collapse modeling of buildings equipped with such dampers. For instance, the  
248 ‘Fatigue material’ model available in the OpenSEES software (Mazzoni et al. 2006), which is  
249 based on the Coffin-Manson relationship and on a linear damage accumulation rule, can also  
250 be defined for spring-like elements with a force-displacement response.

251 Based on the CA test results, the points corresponding to the number of cycles to fracture ( $N_f$ )  
252 as a function of the applied amplitude ( $\Delta_f/2$ ) are plotted in Fig. 11. Then, a Coffin–Manson-  
253 like equation can be obtained, i.e.

$$\Delta_f/2 = \Delta_0 \cdot (N_f)^m \quad (2)$$

254 where  $m$  and  $\Delta_0$  are parameters with values that result in the best fit to the points in Fig. 11.  
255 The calibrated values of  $\Delta_0$  and  $m$  are 350 mm and -0.6 for SSP1, and 455 mm and -0.6 for  
256 SSP2.

257 The Palmgren-Miner linear damage accumulation rule is applied to the random tests:

$$D = \sum_{i=1}^j \frac{n_i}{N_{f,i}} \quad (3)$$

258 where  $n_i$  is the number of cycles applied at a given amplitude,  $N_{f,i}$  is the number of cycles  
259 required to reach fracture at that given amplitude, and  $D$  is the damage index, which is equal

260 to 1 when the low-cycle fatigue life is reached (Bruneau et al. 2011). Table 3 shows that the  
261 fracture prediction using Eq. (3) for the randomly generated cyclic loading protocols is in  
262 good correlation with the experimental results: SSP1 fractured at the end of phases 14 and 9  
263 for which the Miner's rule estimates a value of  $D$  equal to 1.14 and 1.08, respectively, while  
264 SSP2 fractured at the end of phase 9 for which the Miner's rule estimates a value of  $D$  equal  
265 to 0.99.

266 The calibrated parameters are only valid for the specific geometries tested in this study.  
267 Instead, the mechanics-based fracture models, presented below, can be used to estimate the  
268 fracture behavior of new geometries under ULCF, without the need for further tests.

## 269 **MICROMECHANICS-BASED FRACTURE MODELS**

### 270 **Fracture prediction under monotonic loading**

271 Under monotonic loading, the Void Growth Model (VGM) and the Stress Modified Critical  
272 Strain (SMCS) model provide good predictions of ductile fracture in metals based on prior  
273 theoretical and experimental research (McClintock 1968; Rice and Tracey 1969; Hancock  
274 and Mackenzie 1976; Mackenzie et al. 1977; Hancock and Brown 1983; Johnson and Cook  
275 1985; Marini et al. 1985; Panontin and Sheppard 1995; Bandstra et al. 2004; Anderson 2005;  
276 Kanvinde and Deierlein 2006; Kanvinde 2017). These studies have shown that ductile  
277 fracture depends on two variables, i.e. the equivalent plastic strain  $\bar{\epsilon}^{pl}$  and the stress  
278 triaxiality, which is defined as the ratio of the mean stress,  $\sigma_m$ , to the von Mises stress,  $\sigma_e$ .  
279 The VGM assumes that ductile fracture initiates when a quantity named void growth index  
280 ( $VGI_{\text{monotonic}}$ ) reaches a critical value ( $VGI_{\text{monotonic}}^{\text{critical}}$ ):

$$VGI_{\text{monotonic}} = \int_0^{\bar{\epsilon}^{pl}} \exp(1.5T) d\bar{\epsilon}^{pl} > VGI_{\text{monotonic}}^{\text{critical}} \quad (4)$$

281 Calculation of the  $VGI_{\text{monotonic}}^{\text{critical}}$ , which is considered as a material property invariant to stress  
282 and strain states, requires complementary FEM analysis up to the point of fracture initiation  
283 (Kanvinde and Deierlein 2006).

284 The SMCS model does not account for variations in triaxiality during the loading history.

285 Fracture initiation occurs when  $\bar{\varepsilon}^{pl}$  reaches the critical value  $\bar{\varepsilon}_{\text{critical}}^{pl}$ :

$$\bar{\varepsilon}_{\text{critical}}^{pl} = \alpha \exp(-1.5T) \quad (5)$$

286 where  $\alpha$  is the toughness index. The SMCS model requires complementary FEM analysis to  
287 calibrate  $\alpha$ , based on  $\bar{\varepsilon}_{\text{critical}}^{pl}$  and  $T$  values at fracture initiation. The SMCS model was  
288 recently applied to predict fracture in various steel grades and in steel beam-column  
289 connections (Chi et al. 2006; Kanvinde and Deierlein 2006). Kiran and Khandelwal (2013)  
290 calibrated the parameters of the VGM and SMCS models for the A992 steel grade.

291 The Abaqus software offers a general criterion for predicting ductile fracture initiation that is  
292 given by:

$$\omega_{\text{critical}} = \int \frac{d\bar{\varepsilon}^{pl}}{\bar{\varepsilon}_{\text{critical}}^{pl}(T)} \quad (6)$$

293 where  $\omega_{\text{critical}}$  is the fracture initiation index that increases monotonically with plastic  
294 deformations and  $\bar{\varepsilon}_{\text{critical}}^{pl}(T)$  is the equivalent plastic strain at fracture initiation, which  
295 depends on the instantaneous  $T$  value (Dassault Systèmes 2014). When  $\omega_{\text{critical}} = 1$ , it is  
296 assumed that fracture initiation occurs.

297 More recently, a fracture criterion under monotonic loading that depends on both the  
298 triaxiality and the Lode angle parameter was proposed in Wen and Mahmoud (2016a).

### 299 **Fracture prediction under ultra-low cycle fatigue**

300 In seismic applications, the initiation of ductile fracture in metals typically occurs due to  
301 ULCF, i.e. the material is subjected to a relatively small number of large inelastic cycles.

302 Under this loading condition, the fracture mechanism is more similar to monotonic ductile

303 fracture rather than low or high cycle fatigue failure that typically involves hundreds or  
 304 thousands of cycles. To predict fracture initiation in metals under ULCF, Kanvinde and  
 305 Deierlein (2007) proposed the CVGM, which is an extension of the VGM accounting for  
 306 positive and negative triaxiality that develops at the point of interest under cyclic loading:

$$VGI_{cyclic} = \int_{T \geq 0} \exp(1.5|T|) d\bar{\varepsilon}^{pl} - \int_{T < 0} \exp(1.5|T|) d\bar{\varepsilon}^{pl} \quad (7)$$

307 The model assumes that fracture initiates in the material only under positive triaxiality.  
 308 Fracture initiation occurs when  $VGI_{cyclic}$  exceeds a critical value ( $VGI_{cyclic}^{critical}$ ), which is  
 309 calculated applying an exponential decay function to its monotonic critical value  
 310  $VGI_{monotonic}^{critical}$ , i.e.

$$VGI_{cyclic}^{critical} = VGI_{monotonic}^{critical} \exp(-\lambda \bar{\varepsilon}_{acc}^{pl}) \quad (8)$$

311 where  $\bar{\varepsilon}_{acc}^{pl}$  is the cumulative plastic strain up to the start of each tensile excursion and  $\lambda$  is the  
 312 rate of cyclic deterioration, which takes values from 0 to 1 for structural steels (Kanvinde and  
 313 Deierlein 2007). A small value of  $\lambda$  results in a faster degradation. The coefficient  $\lambda$  is  
 314 experimentally determined by conducting cyclic tests on CNSs.

315 Jia and Kuwamura (2015) have recently simulated ductile fracture of specimens subjected to  
 316 cyclic loading using the Abaqus fracture initiation criterion [Eq. (6)]. To define an  $\bar{\varepsilon}_{critical}^{pl}(T)$   
 317 function appropriate for cyclic loading, they modified the SMCS model by introducing a cut-  
 318 off at  $T = -1/3$ , on the basis of experimental evidence that ductile fracture is practically  
 319 inhibited in compression (Bridgman 1964; Bao & Wierzbicki 2004). Below  $T = -1/3$ , ductile  
 320 fracture is assumed to initiate for an infinite value of  $\bar{\varepsilon}_{critical}^{pl}(T)$  and thus no damage is  
 321 accumulated. The above conditions are expressed as:

$$\bar{\varepsilon}_{critical}^{pl}(T) = \begin{cases} \alpha_{cyclic} \exp(-1.5T) & \text{if } T \geq -1/3 \\ \infty & \text{if } T < -1/3 \end{cases} \quad (9)$$

$$\omega_{\text{critical}} = \begin{cases} \int \frac{d\bar{\varepsilon}^{pl}}{\bar{\varepsilon}_{\text{critical}}^{pl}(T)} & \text{if } T \geq -1/3 \\ 0 & \text{if } T < -1/3 \end{cases} \quad (10)$$

322 This fracture criterion was previously validated by Jia & Kuwamura (2015) against the  
 323 response of specimens monotonically pulled to fracture after being subjected to few small  
 324 inelastic cycles (fewer than five). For this purpose, the cyclic fracture parameter  $\alpha_{\text{cyclic}}$  was  
 325 calibrated using monotonic tests on round specimens. However, its application to ultra-low  
 326 cycle fatigue requires the calibration of  $\alpha_{\text{cyclic}}$  based on coupon tests under cyclic loading.  
 327 All models derived from the work of McClintock (1968) and Rice and Tracey (1969) assume  
 328 that the stress state is axisymmetric. However, recent studies have demonstrated that ductile  
 329 fracture is also influenced by the Lode angle  $\theta$ , which is an additional indicator of stress state  
 330 and related to the Lode parameter,  $\xi$ , as expressed in Eq. (11):

$$\xi = \cos \theta = \frac{3\sqrt{3}}{2} \frac{J_3}{J_2^{3/2}} \quad (11)$$

331 where  $J_2$  and  $J_3$  are the second and third stress invariants of the deviatoric stress tensor.  $\xi$   
 332 varies from -1, in case of axisymmetric compression, to 1, in case of axisymmetric tension.  
 333 Smith et al. (2014) and Smith et al. (2017) recently proposed the stress-weighted damage model  
 334 (SWDM), which is an enhanced version of the CVGM accounting for the effect of the  
 335 deviatoric stress state. Wen and Mahmoud (2016b) developed a new fracture model that takes  
 336 in full consideration both stress triaxiality and the Lode angle parameter.  
 337 In this study, the effect of the Lode angle parameter is not considered since complementary  
 338 FEM simulations of the SSP tests show that the fracture locations are characterized by  
 339 axisymmetric stress state, i.e.  $\xi = \pm 1$  [see Fig. 23(c)] at the locations of fracture on the SSPs'  
 340 external surfaces. This indicates that the deviatoric stress does not influence the prediction of  
 341 ductile fracture initiation in the SSPs under ULCF.



## 342 CALIBRATION OF FRACTURE PARAMETERS FOR DUPLEX

## 343 STAINLESS STEEL

### 344 CNS tests

345 Monotonic and cyclic tests on CNSs made of duplex stainless steel were carried out to  
346 calibrate the critical parameters of the CVGM and the Abaqus ductile fracture initiation  
347 model. CNSs with three different radii were used, i.e.  $R = 2, 3, \text{ and } 4.5$  mm, to vary the  
348 severity of triaxiality at the center of the notched cross-section. The notched specimens,  
349 denoted as CNS-2, CNS-3, and CNS-4.5, were manufactured using 16-mm diameter round  
350 bars from the same material batch of the SSPs. The CNS geometries are shown in Fig. 12(a).  
351 CNS-2 is characterized by high triaxiality ( $T > 1$ ) at the center of the notch, while CNS-3 and  
352 CNS-4.5 have moderate triaxiality ( $1/3 < T < 1$ ).

353 A total of six tests, three tensile monotonic and three cyclic, were conducted for each CNS up  
354 to fracture. Two types of ultra-low cycle fatigue protocols were defined, i.e. CA, consisting  
355 of cycles between zero and a positive displacement multiple of the yield displacement  $d_y$ ;  
356 and protocols with increasing amplitude where the specimen was subjected to amplitudes  
357 increased by  $2d_y$  every four cycles. Table 4 provides a summary of the loading protocols.

358 The specimens were instrumented with a 50-mm gauge length extensometer as shown in Fig.  
359 12(b). The tests were performed under displacement control with a rate of 1 mm/min. The  
360 imposed displacement was controlled by the extensometer.

361 The monotonic force-displacement curves of CNS-2 are shown in Fig. 13(a). Fig. 13(b)  
362 shows the cyclic force-displacement response of CNS-4.5 under no. 9 protocol. The CNSs  
363 showed a stable hysteretic response under all cyclic protocols. Ductile fracture of the  
364 specimen occurred in all the tests.

### 365 FEM simulations of the coupon tests

366 Nonlinear three-dimensional FEM models of the CNSs were created in Abaqus. Fig. 12(b)  
367 shows the geometry of the FEM model of CNS-2. Only the gauge length was modelled and  
368 was discretized using C3D8R elements with reduced integration. The mesh is refined in the  
369 notch with an average element size of 0.45 mm. The displacement history measured by the  
370 extensometer was applied defining a smooth step amplitude. The model was analyzed using  
371 the explicit dynamic solver in Abaqus as the explicit direct integration procedure is  
372 computationally efficient for the simulation of highly discontinuous quasi-static problems  
373 that involve contact, damage and failure. To reduce the computational cost of quasi-static  
374 simulations, a smaller loading rate is typically applied. In addition, a variable mass scaling is  
375 used for computational efficiency by defining a minimum stable time increment target.  
376 Depending on the CNS geometry and test protocol, the loading rate was in the range of 0.06-  
377 0.45 mm/s and a value of 0.002 s was iteratively identified as a stable time increment. A  
378 smooth step amplitude was defined for the FEM simulations of the monotonic tests to ensure  
379 a stable quasi-static analysis.

380 An elastic plastic constitutive law with isotropic hardening, shown in Fig. 14, was specified  
381 for the monotonic tests, based on coupon tests on round bars performed prior to the CNS  
382 tests. To capture the cyclic behavior of duplex stainless steel, an elastic plastic material model  
383 with combined isotropic and kinematic hardening was specified. The material model is  
384 defined by the yield surface  $\varphi(\boldsymbol{\sigma})$  defined as (Dassault Systemes, 2016):

$$\varphi(\boldsymbol{\sigma}) = \sqrt{\frac{3}{2}(\boldsymbol{S} - \boldsymbol{\alpha})^t(\boldsymbol{S} - \boldsymbol{\alpha})} - \sigma^0 \quad (12)$$

385 where  $\sigma^0$  is the yield stress,  $t$  is the transposition operation,  $S$  is the stress deviator,  $\sigma$  is the  
386 stress vector and  $\alpha$  is the backstress vector. The hardening laws for each backstress are  
387 defined as:

$$\boldsymbol{\alpha} = \sum_{k=1}^B \boldsymbol{\alpha}_k \quad (13)$$

$$\dot{\boldsymbol{\alpha}}_k = \frac{C_k}{\sigma^0} (\boldsymbol{\sigma} - \boldsymbol{\alpha}) \dot{\bar{\epsilon}}^p - \gamma_k \boldsymbol{\alpha}_k \dot{\bar{\epsilon}}^p \quad (14)$$

388 where a superimposed dot indicates an incremental quantity,  $B$  is the total number of the  
 389 backstresses,  $C_k$  and  $\gamma_k$  are the constitutive material parameters to be calibrated against the  
 390 experimental results, and  $\dot{\bar{\epsilon}}^p$  is the equivalent plastic strain rate. The evolution of  $\sigma^0$   
 391 (isotropic hardening component) is defined by the following exponential law:

$$\sigma^0 = \sigma|_0 + Q_\infty(1 - e^{-b\bar{\epsilon}^p}) \quad (15)$$

392 where  $\sigma|_0$  is the yield stress at zero plastic strain,  $b$  defines the rate at which the size of  $\varphi(\sigma)$   
 393 changes for increasing plastic strains, and  $Q_\infty$  is the maximum change in the size of  $\varphi(\sigma)$ .  
 394 Several simulations were iteratively conducted to identify the values of the parameters that  
 395 define the constitutive model. A good correlation was achieved adopting the following  
 396 values:  $\sigma|_0 = 400$  MPa,  $C_1 = 6,500$  MPa,  $\gamma_1 = 30$ ,  $C_2 = 100,000$  MPa,  $\gamma_2 = 700$ ,  $b = 5$ ,  $Q_\infty =$   
 397  $200$  MPa. Fig. 13 shows the experimental-numerical agreement for CNS-2 under monotonic  
 398 loading and CNS-4.5 under no. 9 cyclic protocol. A similar agreement was found in all the  
 399 tests.

#### 400 **Calibration of the CVGM**

401 The parameters of the CVGM, i.e.  $VGI_{\text{monotonic}}^{\text{critical}}$  and  $\lambda$ , were calibrated following the  
 402 procedure described in Kanvinde & Deierlein (2007). First, the  $VGI_{\text{monotonic}}^{\text{critical}}$  was identified  
 403 based on the FEM simulations of the monotonic CNS tests. Then, the cyclic damage  
 404 parameter  $\lambda$  was identified using the FEM simulations of the cyclic CNS tests.  
 405 Stress and strain histories extracted from the fracture location of the CNSs, i.e. the center of  
 406 the notched section, were used to integrate Eq. (4) up to fracture (assumed to represent  
 407 complete failure of the specimen) as indicated in the force-displacement response of CNS-2  
 408 in Fig. 13(a). The values of  $VGI_{\text{monotonic}}^{\text{critical}}$  along with the plastic strain and triaxiality at

409 fracture for the three CNS geometries under monotonic loading are summarized in Table 5.

410  $VGI_{\text{monotonic}}^{\text{critical}}$  has a mean value of 2.88 and a small standard deviation equal to 0.29. This

411 value agrees with the results presented in Vasdravellis et al. (2014), where  $VGI_{\text{monotonic}}^{\text{critical}}$  was

412 found to have a mean value of 2.87 for the SSD material.

413 The value of the parameter  $\lambda$  was determined by deriving a relationship between the

414  $VGI_{\text{cyclic}}^{\text{critical}} / VGI_{\text{monotonic}}^{\text{critical}}$  ratio and the associated  $\bar{\epsilon}_{\text{acc}}^{pl}$  at fracture initiation. Damage initiation

415 in the cyclic tests is assumed to occur when there is a 10% drop in the force carrying capacity

416 of the specimen based on the force time history. Fig. 15(a) shows the force versus cycle

417 evolution for CNS-2 subjected to no. 3 loading protocol (Table 4). Fracture initiation is

418 indicated on the graph by the vertical shaded area and the cycle where fracture initiated is

419 denoted as  $N_0$ , i.e.  $N_0 = 18$  in this test.  $VGI_{\text{cyclic}}^{\text{critical}}$  values are calculated by integrating Eq. (7)

420 for each cyclic CNS test. By fitting an exponential function to the resulting  $VGI_{\text{cyclic}}^{\text{critical}} /$

421  $VGI_{\text{monotonic}}^{\text{critical}} - \bar{\epsilon}_{\text{acc}}^{pl}$  data, plotted in Fig. 16,  $\lambda = 0.12$ . The small value of  $\lambda$  obtained for SSD

422 is consistent with the large fracture capacity exhibited by the coupon specimens.

### 423 **Calibration of the ductile fracture initiation and evolution criterion in Abaqus**

424 The calibration of the Abaqus ductile fracture initiation criterion involves determining the

425 parameter  $\alpha_{\text{cyclic}}$  in Eq. (9) based on the cyclic CNS test results. Thus,  $\alpha_{\text{cyclic}}$  is calibrated

426 using the same stress and strain histories extracted from the FEM simulations for the

427 calibration of the CVGM. The fracture initiation index  $\omega_{\text{critical}}$  is determined by integrating

428 Eq. (10) and  $\alpha_{\text{cyclic}}$  is iteratively found imposing  $\omega_{\text{critical}} = 1$  at the start of the cycle where

429 fracture initiated. Fig. 15(b) shows the evolution of  $\omega_{\text{critical}}$  in the test no. 3 of CNS-2. To have

430  $\omega_{\text{critical}} = 1$  at the 18<sup>th</sup> cycle,  $\alpha_{\text{cyclic}}$  should be equal to 10 in this test. The same procedure of

431 determining  $\alpha_{\text{cyclic}}$  was applied to all CNS cyclic tests and the results are summarized in Table

432 6.  $\alpha_{\text{cyclic}}$  has a mean value of 10.6 and a standard deviation of 1.4. The excessively small

433 value of 5.5 resulted for specimen CNS-2 under no. 2 loading protocol was disregarded as  
 434 non-representative. Note that the specimen in the specific test sustained fewer cycles than in  
 435 test no. 3 despite being subjected to a smaller amplitude. Thus,  $\alpha_{\text{cyclic}} = 10$  is conservatively  
 436 used in the fracture simulations of the SSPs in Abaqus. Fig. 17 shows the  $\bar{\varepsilon}_{\text{critical}}^{pl}(T)$  function  
 437 expressed in Eq. (9) with  $\alpha_{\text{cyclic}} = 10$  and the cut-off at  $T = -1/3$ .

438 To simulate the progressive degradation of the material following fracture initiation, Abaqus  
 439 offers a damage evolution criterion based on the approach proposed by Hillerborg et al.  
 440 (1976). The stress-strain definition cannot accurately capture the degradation of the material  
 441 as a strain localization would introduce a strong mesh dependency. Abaqus overcomes this  
 442 issue by introducing a damaged stress-displacement response (Dassault Systèmes 2014). The  
 443 damage evolution variable is specified as a function of the equivalent plastic displacement  
 444  $\bar{u}^{pl}$ . The latter depends on the characteristic length of a finite element  $L_{\text{char}}$ , which is  
 445 expressed by:

$$\bar{u}^{\dot{pl}} = L_{\text{char}} \dot{\bar{\varepsilon}}^{pl} \quad (16)$$

446 Before fracture initiation,  $\bar{u}^{\dot{pl}} = 0$ . Since  $L_{\text{char}}$  depends on the geometry and formulation of  
 447 the finite element, the mesh dependency of the results is reduced (Dassault Systèmes 2014).  
 448 In addition, the damage evolution capability offers the removal of the elements from the  
 449 mesh when the damage evolution index  $D_{\text{evol}}$  in Eq. (17) is equal to 1:

$$D_{\text{evol}} = 1 - \frac{\sigma_{\text{dam}}}{\sigma} \quad (17)$$

450 where  $\sigma_{\text{dam}}$  is the ‘damaged’ stress of the material (Dassault Systèmes 2014). The  
 451 calibration procedure proposed in Pavlovic et al. (2013) was used in this study to define the  
 452 damage evolution law. The characteristic length of a finite element is given by the product of  
 453 the element size and a factor accounting for the element type (e.g. 3.2 for C3D8R elements in  
 454 Abaqus). The evolution of the damage variable  $D_{\text{evol}}$ , specified as a tabular function of  $\bar{u}^{pl}$ ,

455 was derived using the results of tensile coupon tests on round bars. Details of this calibration  
456 procedure can be found in Pavlovic et al. (2013) and are not repeated herein.

#### 457 **Validation of fracture parameters using the CNS tests**

458 To validate the Abaqus fracture models for ultra-low cycle fatigue loading, the cyclic CNS  
459 tests were simulated in Abaqus/Explicit using the fracture parameters described in the  
460 previous section. The experimental and numerical hysteresis and force evolutions of three  
461 cyclic tests (one for each CNS geometry) are shown in Fig. 18. The calibrated Abaqus  
462 fracture initiation and evolution model capture well the response of CNSs.

### 463 **SIMULATION OF CYCLIC BEHAVIOR AND DUCTILE FRACTURE** 464 **OF SSPs**

#### 465 **Three-dimensional FEM models of SSP tests**

466 Three-dimensional FEM models of the full-scale tests on SSPs were constructed in Abaqus.  
467 Only half of the test setup was reproduced in full detail due to its symmetric geometry. The  
468 steel collar and the triangular stiffeners were included in the model. Fig. 19 shows the mesh  
469 discretization applied to the FEM model of SSP1 along with the boundary conditions. Three-  
470 dimensional hexahedral elements with reduced integration (C3D8R) were used for all the  
471 parts of the assembly. A symmetry condition was defined to the nodes of the symmetry plane.  
472 The grip of the testing machine jaw faces was simulated by restraining all the degrees of  
473 freedom on the surface of the vertical plate welded to the bottom plate assembly. The  
474 imposed displacement history was applied to the upper supporting plate assembly as shown  
475 in Fig. 19. A relatively coarse mesh was used for the steel plate assemblies, while a more  
476 refined mesh is applied to the SSPs, where inelastic deformations and fracture were  
477 experimentally observed. To keep the computational time of analysis at reasonable levels, the  
478 average mesh size in the bending parts of the SSPs was 3 mm. It is noted that unlike fracture  
479 in existing crack tips or sudden geometric changes, the stress state at the free surface of a SSP

480 is smooth, and the fracture models are less sensitive to the mesh size (Vasdravellis et al.  
481 2014). Therefore, the adopted mesh was considered a reasonable trade-off between  
482 computational time and accuracy.

483 Surface-based tie constraints, which impose equal displacements among the nodes of two  
484 surfaces, were used for modelling the welded joints in the two steel plate assemblies, i.e.  
485 between the lower and vertical plates, the triangular stiffeners at the base of the plates, and  
486 the steel washer welded onto the SSP. The welds around the supporting plates (Fig. 3) were  
487 not included in the FEM model because preliminary analyses showed that their effect is  
488 negligible. A general contact algorithm was defined to simulate the interaction between the  
489 SSP and the holes of the supporting plates. Based on experimental measurements, a clearance  
490 of 0.1 mm and 0.3 mm was used for the SSP1 and SSP2 models, respectively. A contact  
491 property with normal and tangential behavior with a friction coefficient equal to 0.2 was  
492 defined between the SSP and the holes of the supporting plates.

493 The hysteretic behavior of duplex stainless steel was simulated by the elastic-plastic material  
494 model with combined isotropic and kinematic hardening. An elastic-plastic material model  
495 with isotropic hardening behavior was defined for the steel assemblies made of S355 grade  
496 steel. The yield stress of S355 steel was conservatively reduced to 300 MPa to account for the  
497 large thickness of the steel plates (40-60 mm) since the yield stress reduces with increasing  
498 thickness of plate sections (European Committee for Standardization 2004).

#### 499 **Explicit FEM simulations without fracture**

500 To evaluate the ability of the FEM model to capture the cyclic hardening of the SSPs and to  
501 adjust the various parameters of the explicit solver so that it can capture the quasi-static  
502 loading conditions, the cyclic tests were first simulated in Abaqus/Explicit without the  
503 definition of any ductile fracture criteria. Displacement-controlled analyses were conducted  
504 under quasi-static loading conditions in the large displacement/strain nonlinear regime. To

505 ensure that that the loading rate is relatively low and no dynamic effects influence the  
506 analysis, the time step for one cycle was set equal to 60 sec. For example, for an imposed  
507 amplitude of 49 mm, the load was applied at around 3 mm/s. To ensure a stable analysis, the  
508 density of the material was decreased by six orders of magnitude, and the displacement  
509 history was applied with a periodic amplitude. Based on the mesh size, a stable target time  
510 increment equal to 0.0001 sec was iteratively identified.

511 Fig. 20 shows the comparison of the numerical and experimental hysteresis of SSP1 under  
512  $CA = 7u_y$  and SSP2 under  $CA = 6u_y$ . The results indicate that the FEM model is capable of  
513 tracing well the cyclic behavior of the specimens prior to fracture. Similar correlations are  
514 found for the rest of the loading protocols. It can be observed that the FEM simulations  
515 capture the pinching effect at zero force, indicating that the clearance between the SSPs and  
516 the holes of the supporting plates was modelled accurately.

### 517 **CVGM fracture predictions**

518 The SSP simulations were post-processed to evaluate the accuracy of the CVGM to predict  
519 fracture in the SSPs. The stress and strain histories at the locations of fracture, i.e. at mid-  
520 distance between  $D_e$  and  $D_i$  (Fig. 3), were extracted at the end of the analyses. The results  
521 were then used to derive the  $VGI_{cyclic}$  and  $VGI_{cyclic}^{critical}$  histories.

522 Fig. 21 shows the evolutions of  $VGI_{cyclic}$  and  $VGI_{cyclic}^{critical}$  for the  $CA = 6u_y$  test of SSP2.

523  $VGI_{cyclic}$  varies with the sign of  $T$ , while  $VGI_{cyclic}^{critical}$  is a stepwise function starting at

524  $VGI_{monotonic}^{critical}$  and decreasing at the start of each cycle according to the exponential decay

525 function given by Eq. (8). The intersection of the  $VGI_{cyclic}^{critical}$  and  $VGI_{cyclic}$  curves indicates

526 fracture. As illustrated in Fig. 21, the CVGM predicts fracture at the same cycle observed in

527 the test. The CVGM fracture predictions are summarized in Table 7 for all tests. The results

528 indicate that the calibrated CVGM parameters predict with good accuracy the fracture in the

529 SSPs with a maximum error of 12%.



## 530 **Explicit simulation of SSP fracture in Abaqus**

531 Explicit fracture simulations of the SSPs were performed in Abaqus using the fracture  
532 initiation criterion shown in Fig. 16. The parameters of the damage evolution model, which  
533 depends on the mesh size (i.e.  $L_{\text{char}}$ ), were modified to account for the 3-mm average element  
534 size used in the bending parts of the SSPs.

535 Fig. 22 shows a comparison between the experimental and numerical deformed shapes at the  
536 onset of fracture initiation for both SSPs under  $CA = 7u_y$ . The contours of the fracture  
537 initiation index, i.e. the output variable DUCTCRT, are plotted on the numerical models.  
538 When DUCTRT = 1, then fracture has initiated in the model at the corresponding location. It  
539 is shown that the FEM simulations predict the exact location of fracture in the SSPs, i.e. at  
540 locations 1 and 2, which are midway between  $D_e$  and  $D_i$ .

541 The evolution of the variables governing ductile fracture, extracted at the location of fracture  
542 from the simulation of SSP2 under the random protocol, are shown in Fig. 23. In Fig. 23(a),  
543 the evolution of the damage variable  $\omega_{\text{critical}}$  during the cyclic loading is plotted. It takes the  
544 value 1 at the beginning of the 41<sup>st</sup> cycle, indicating fracture initiation. After this point,  
545 degradation initiates according to the specified damage evolution law until the element  
546 removal from the mesh. The histories of both  $\omega_{\text{critical}}$  and  $T$  over three consecutive cycles of  
547 the simulation, i.e. cycles 25 to 28, are plotted in Fig. 23(b). It is shown that triaxiality at the  
548 fracture section is characterized by alternating cycles of tension and compression with  
549 maximum absolute values in the range of 0.33-0.4. It can also be observed that, below the  
550 cut-off value of  $T = -1/3$ , no damage is accumulated. It is noted that the Lode parameter  $\zeta$  at  
551 fracture initiation is in within 0.96-1 [Fig. 23(c)]. This indicates that the fracture location in a  
552 SSP under cyclic loading is characterized by axisymmetric stress state and therefore the  
553 effect of the Lode angle is negligible on the prediction of ductile fracture.

554 The results of fracture initiation predictions for all the simulations are summarized in Table 8,  
555 where the cycle at which fracture initiates is compared with that from the experiments. The  
556 predictions are within  $\pm 10\%$  error. The latter has a mean value of 6% and standard deviation  
557 of 1.2%. Thus, it can be concluded that the calibrated model in Abaqus/Explicit can provide  
558 an accurate prediction for all the ultra-low cycle fatigue tests.

559 Following fracture initiation, the numerical force-carrying capacity of the SSPs decreases  
560 because of the deletion of elements from the mesh according to the damage evolution model.  
561 Fig. 24 compares the simulated fracture evolution with experimental photographic evidence  
562 of two representative cyclic tests on SSP1 and SSP2 (no. 2 and 11 tests in Table 2). The  
563 results show that the FEM model can simulate the progressive damage of the material due to  
564 cyclic loading after fracture initiation until complete fracture of the section occurs. However,  
565 comparison of the numerical and experimental force histories of the same tests in Fig. 25  
566 reveals that, once fracture initiates, the numerical force-carrying capacity decreases at a faster  
567 rate than in the experiments. A similar response can be seen in the numerical-experimental  
568 force evolutions of the remaining tests. This indicates that the FEM simulation tends to  
569 underestimate the numbers of cycles between fracture initiation and complete failure. For  
570 instance, simulations of  $CA = 4u_y$  tests show a premature degradation of the force-carrying  
571 capacity of SSPs. Such discrepancy can be attributed to the relatively coarse mesh applied to  
572 the SSP bending parts. For an improved accuracy in simulating fracture evolution, a refined  
573 mesh should be ideally used at fracture locations. However, this would result in a significant  
574 increase in computational time.

## 575 **CONCLUSIONS**

576 This paper presented an experimental and numerical investigation on the cyclic behavior and  
577 fracture capacity of SSPs under ULCF conditions. SSPs are devices with large post-yield  
578 stiffness ratio, which can be used in series with conventional steel braces to increase the

579 energy dissipation capacity and reduce the residual drifts of steel frames. The tests conducted  
580 on SSPs included fourteen ultra-low cycle fatigue loading protocols. Three predictive ductile  
581 fracture models were calibrated and assessed against the test results. Based on the findings of  
582 this work, the following conclusions can be drawn:

- 583 • SSPs successfully pass the AISC loading protocol, sustaining without fracture  
584 displacements up to 4.5 times the displacement demand of the Design Basis Earthquake.
- 585 • Under constant amplitude cyclic protocols, SSPs sustain many inelastic cycles without  
586 degradation before initiation of ductile fracture.
- 587 • The optimized shape of the SSPs results in large plastic deformations throughout the  
588 whole length of the bending parts. Ductile fracture initiates at the free surface, at a section  
589 half way between the maximum and minimum diameter.
- 590 • The Palmgren-Miner's rule predicts failure of SSPs under the randomly generated  
591 loading protocols with very good accuracy, and thus, the calibrated Coffin-Manson-like  
592 relationships can be reliably applied to phenomenological fracture models for seismic  
593 collapse analysis of buildings equipped with these devices. However, the parameters  
594 associated with this rule depend on the geometry of the SSPs examined in this study.
- 595 • The calibrated micromechanics-based models, i.e. the CVGM and the built-in Abaqus  
596 criterion calibrated for cyclic loading, provide accurate predictions of ductile fracture  
597 initiation for the ULCF tests of SSPs. The Cyclic Void Growth Model (CVGM) predicts  
598 ductile fracture in SSPs under all loading protocols with a maximum error of 12%, mean  
599 error of 6%, and standard deviation of 5%, while the Abaqus model predicts fracture  
600 initiation with maximum error of 9%, mean error of 4%, and standard deviation of 3%.  
601 Therefore, the calibrated fracture parameters can be used to predict the ULCF fracture  
602 initiation of SSPs having different geometries and boundary conditions, without the need for  
603 further experimental tests. Note that the parameter  $\alpha_{\text{cyclic}}$  in the modified Abaqus fracture

604 model is valid only for ULCF, while the CVGM can be used for monotonic loading and  
605 ULCF.

606 • The Abaqus explicit fracture simulations capture well the hysteretic behavior of the  
607 SSPs; however, the ability of tracing the degradation of the material following fracture  
608 initiation was less accurate due to the relatively coarse mesh applied to the bending parts of  
609 the SSP.

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**TABLE 1.** Summary of mechanical properties of duplex stainless steel

Specimen	$f_y$ (MPa)	$f_u$ (MPa)	$e_f$ (%)	$E$ (MPa)
Round bar 1	530	752.4	45.7	189,655
Round bar 1	513	750.9	47.5	181,250
Round bar 1	518	745.8	47.9	187,500
Mean	520	749.7	47.0	186,135

**TABLE 2.** Test matrix of SSP tests

Specimen	Test	Protocol	Failure mode	No. of cycles	
				Full fracture	Fracture initiation
SSP1	1	AISC	No failure	-	-
	2	$CA = 7u_y$	Ductile fracture	28	21
	3	$CA = 6u_y$	Ductile fracture	35	25
	4	$CA = 5u_y$	Ductile fracture	44	31
	5	$CA = 4u_y$	Ductile fracture	78	43
	6	Random-1	Ductile fracture	59	35
	7	Random-2	Ductile fracture	45	25
SSP2	8	AISC	No failure	-	-
	9	$CA = 8u_y$	Ductile fracture	33	30
	10	$CA = 7u_y$	Ductile fracture	43	36
	11	$CA = 6u_y$	Ductile fracture	59	41
	12	$CA = 5u_y$	Ductile fracture	76	45
	13	$CA = 4u_y$	Ductile fracture	89	54
	14	Random	Ductile fracture	48	40

**TABLE 3.** Prediction of fracture in SSPs under random loading protocols using the Palmgren-Miner rule

Specimen	Test	Phase	$\Delta_f/2$	$n$	$N_f$	$D$
SSP1	Random-1	1	$3u_y$	8	109	0.07
		2	$6u_y$	1	35	0.10
		3	$4u_y$	9	78	0.22
		4	$7u_y$	2	28	0.29
		5	$6u_y$	5	35	0.43
		6	$5u_y$	3	44	0.50
		7	$3u_y$	4	109	0.54
		8	$7u_y$	7	28	0.79
		9	$3u_y$	2	109	0.81
		10	$7u_y$	3	28	0.91
		11	$3u_y$	2	109	0.93
		12	$4u_y$	2	78	0.96
		13	$2u_y$	4	214	0.98
		14	$5u_y$	7 <sup>a</sup>	44	1.14
SSP1	Random-2	1	$6u_y$	9	35	0.26
		2	$7u_y$	8	28	0.54
		3	$2u_y$	2	214	0.55
		4	$7u_y$	6	28	0.77
		5	$5u_y$	4	44	0.86
		6	$2u_y$	6	214	0.89
		7	$3u_y$	4	109	0.92
		8	$5u_y$	5	44	1.04
		9	$7u_y$	1 <sup>a</sup>	28	1.08
SSP2	Random	1	$6u_y$	9	59	0.15
		2	$7u_y$	8	43	0.34
		3	$2u_y$	2	33	0.40
		4	$7u_y$	6	43	0.54
		5	$5u_y$	4	76	0.59
		6	$2u_y$	6	33	0.77
		7	$3u_y$	4	168	0.80
		8	$5u_y$	5	76	0.86
		9	$7u_y$	5 <sup>a</sup>	43	0.99

<sup>a</sup>Experimental fracture

**TABLE 4.** Cyclic loading protocols of CNS tests

Specimen	Test	Loading protocol
CNS-2	1	$(4)x[0;4d_y]+(4)x[0;6d_y]+(2)x[0;8d_y]+p.t.f.$
	2	$(22)x[0;5d_y]$
	3	$(24)x[0;6d_y]$
CNS-3	4	$(4)x[0;4d_y]+(4)x[0;6d_y]+(4)x[0;8d_y]+(4)x[0;10d_y]+(1)x[0;12d_y]$
	5	$(21)x[0;8d_y]$
	6	$(39)x[0;5d_y]$
CNS-4.5	7	$(41)x[0;5d_y]+p.t.f.$
	8	$(4)x[0;4d_y]+(4)x[0;6d_y]+(4)x[0;8d_y]+(4)x[0;10d_y]+(2)x[0;12d_y]$
	9	$(19)x[0;8d_y]$

Note: the number in parentheses indicates the number of cycles, followed by the prescribed amplitude in square brackets. For example,  $(22)x[0;5d_y]$  refers to a specimen subjected to twenty-two cycles between 0 and 5 times  $d_y$ ; p.t.f. = pull to fracture.

**TABLE 5.** Summary of  $VGI_{\text{monotonic}}^{\text{critical}}$ ,  $T$  and  $\bar{\epsilon}^{pl}$  values at fracture for CNS tests

Specimen	$VGI_{\text{monotonic}}^{\text{critical}}$	$T^*$	$\bar{\epsilon}^{pl*}$
CNS-2	2.66	1.02	0.77
CNS-3	3.21	0.76	1.00
CNS-4.5	2.77	0.63	1.08
Mean	2.88		
St dev	0.29		

\*Note: the values of  $T$  and  $\bar{\epsilon}^{pl}$  refer to the monotonic coupon tests.

**TABLE 6.** Summary of  $\alpha_{\text{cyclic}}$  values for the CNS tests

Specimen	Cyclic Test	$N_0$	$\alpha_{\text{cyclic}}$
CNS-2	1	p.t.f.	9.0
	2	16	5.5 <sup>a</sup>
	3	18	10.0
CNS-3	4	16	11.2
	5	16	13.4
	6	32	9.9
CNS-4.5	7	p.t.f.	11.6
	8	18	9.5
	9	18	10.2
	Mean		10.6
	Std dev		1.2

<sup>a</sup>Value ignored as not representative

**TABLE 7.** Prediction of fracture initiation in SSPs according to CVGM versus experimental tests

Specimen	Test	Protocol	Fracture initiation $N_0$			
			Test	CVGM	CVGM-test difference	
			(cycle no.)	(cycle no.)	(cycle)	(% error)
SSP1	2	$CA = 7u_y$	21	19	-2	-10%
	3	$CA = 6u_y$	25	28	+3	+12%
	4	$CA = 5u_y$	31	29	-2	-6%
	5	$CA = 4u_y$	43	42	-1	-2%
	6	Random-1	35	35	0	0%
	7	Random-2	25	28	+3	+12%
	SSP2	9	$CA = 8u_y$	30	33	+3
10		$CA = 7u_y$	36	36	0	0%
11		$CA = 6u_y$	41	41	0	0%
12		$CA = 5u_y$	45	45	0	0%
13		$CA = 4u_y$	54	48	-6	-11%
14		Random	40	42	+2	+5%
					Mean	6%
				St dev	5%	



**TABLE 8.** Prediction of fracture initiation in SSPs according to Abaqus fracture model versus experimental tests

Specimen	Test	Protocol	Fracture initiation $N_0$			
			Test	Abaqus	Abaqus-test difference	
			(cycle no.)	(cycle no.)	(cycle)	(% error)
SSP1	2	CA = $7u_y$	21	21	0	0%
	3	CA = $6u_y$	25	26	+1	+4%
	4	CA = $5u_y$	31	29	-2	-6%
	5	CA = $4u_y$	43	40	-3	-7%
	6	Random-1	35	36	+1	+3%
	7	Random-2	25	26	+1	+4%
	SSP2	9	CA = $8u_y$	30	32	+2
10		CA = $7u_y$	36	37	+1	+3%
11		CA = $6u_y$	41	42	+1	+2%
12		CA = $5u_y$	45	45	0	0%
13		CA = $4u_y$	54	49	-5	-9%
14		Random	40	41	+1	+3%
					Mean	4%
				St dev	3%	