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# Tetrahedron instantons on orbifolds

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## Abstract

Given a homomorphism  $\tau$  from a suitable finite group  $\Gamma$  to  $SU(4)$  with image  $\Gamma^\tau$ , we construct a cohomological gauge theory on a non-commutative resolution of the quotient singularity  $\mathbb{C}^4/\Gamma^\tau$  whose BRST fixed points are  $\Gamma$ -invariant tetrahedron instantons on a generally non-effective orbifold. The partition function computes the expectation values of complex codimension one defect operators in rank  $r$  cohomological Donaldson–Thomas theory on a flat gerbe over the quotient stack  $[\mathbb{C}^4/\Gamma^\tau]$ . We describe the generalized ADHM parametrization of the tetrahedron instanton moduli space and evaluate the orbifold partition functions through virtual torus localization. If  $\Gamma$  is an abelian group the partition function is expressed as a combinatorial series over arrays of  $\Gamma$ -coloured plane partitions, while if  $\Gamma$  is non-abelian the partition function localizes onto a sum over torus-invariant connected components of the moduli space labelled by lower-dimensional partitions. When  $\Gamma = \mathbb{Z}_n$  is a finite abelian subgroup of  $SL(2, \mathbb{C})$ , we exhibit the reduction of Donaldson–Thomas theory on the toric Calabi–Yau four-orbifold  $\mathbb{C}^2/\Gamma \times \mathbb{C}^2$  to the cohomological field theory of tetrahedron instantons, from which we express the partition function as a closed infinite product formula. We also use the crepant resolution correspondence to derive a closed formula for the partition function on any polyhedral singularity.

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## 1 Introduction

### Background

Tetrahedron instantons [1–4] are particular solutions of generalized instanton equations in eight dimensions. They are defined by BRST fixed point equations for a generalized cohomological gauge theory on a singular stratification of spacetime which glues together different quantum field theories through real codimension two supersymmetric defects; the gluing is mediated by bifundamental matter fields on the codimension four junctions formed by intersections of the strata. These generalize the spiked instantons introduced by Nekrasov [5] as extensions of instanton configurations from four dimensions to include the most general supersymmetric local and surface defects. They can be regarded as an intermediary step between instanton solutions in six and eight dimensions, thereby linking six- and eight-dimensional cohomological gauge theories. The premise is that one can recover them from eight-dimensional field configurations through certain specializations of the moduli, analogously to how the six-dimensional theories are obtained from eight dimensions.

Similarly to spiked instantons [6, 7], tetrahedron instantons find their physical realization in type IIB string theory as bound states of D1-branes probing configurations of intersecting stacks of D-branes which wrap smooth strata of a singular threefold inside a local Calabi–Yau fourfold  $M$ , while preserving a suitable number of supersymmetries. In this paper we focus mostly (but not exclusively) on the case  $M = \mathbb{C}^4$ , where intersecting D7-branes span the four complex codimension one coordinate hyperplanes in  $\mathbb{C}^4$ , with an appropriate constant Neveu–Schwarz  $B$ -field turned on. These have a description as solutions to non-commutative instanton equations in the

presence of the most general complex codimension one supersymmetric defects (see [8] for a review of spiked and tetrahedron instantons in non-commutative field theory). Given a single stack of D7-branes, we regard its bound state with the D1-branes as a non-commutative instanton of the gauge theory. The remaining D7-branes with different spatial orientations then generate defects in its worldvolume theory. The moduli space of tetrahedron instantons is isomorphic to a Grothendieck Quot scheme which parametrizes quotients of a torsion sheaf on the possibly singular threefold formed by the union of the hyperplanes  $\mathbb{C}^3$  in the Calabi–Yau fourfold  $\mathbb{C}^4$  [1, 3, 9, 10].

Generally, BPS state counting in six and eight dimensions is related to Donaldson–Thomas theory which enumerates virtual invariants of moduli spaces of coherent sheaves; more generally, Donaldson–Thomas invariants count objects in Calabi–Yau categories, where the relevant category in the former case is the derived category of coherent sheaves. From the perspective of cohomological gauge theory, the moduli space of  $U(r)$  instantons on a toric background  $M$  is compactified by deforming the BPS equations to instanton equations in non-commutative field theory and by introducing an  $\Omega$ -deformation of  $M$ . This enables evaluation of the instanton partition function exactly through virtual toric localization by reducing the path integral of the cohomological gauge theory to an equivariant integral over the instanton moduli space. It localizes onto isolated torus fixed points of the moduli space which are in one-to-one correspondence with higher-dimensional partitions [1, 8, 11–14]. An important role in this computation is played by the definition of suitable virtual fundamental classes through an obstruction theory defined by integration over antighost fields.

In eight dimensions, the Donaldson–Thomas invariants have been studied from the perspective of generalized instanton counting and the related BPS state counting of D-branes in [4, 13, 15–24]. The compactification of the instanton moduli space in this case results in a maximal holonomy group  $SU(4)$  and sets the cohomological gauge theory on a toric Calabi–Yau fourfold. The virtual cycles for the Donaldson–Thomas invariants are constructed in gauge theory by Cao and Leung [25], in derived differential geometry by Borisov and Joyce [26], as well as in algebraic geometry by Oh and Thomas [27]. These cycles depend on a choice of local orientations of the moduli space, requiring a selection of signs that enter into the computation of the partition function. The choice is unique up to overall orientation; it was conjectured by Nekrasov and Piazzalunga [15] for instanton counting on  $\mathbb{C}^4$ , and subsequently proven by Kool and Rennemo [28] for the Donaldson–Thomas theory of  $\mathbb{C}^4$ . Related mathematical developments of Donaldson–Thomas invariants on Calabi–Yau fourfolds are found in e.g. [29–39]. An adaptation of the proof of [28] is presented by Fasola and Monavari for tetrahedron instantons in [3], where the instanton partition function computes expectation values of codimension one defect operators in the Donaldson–Thomas theory of  $\mathbb{C}^3$ .

Our current understanding of instanton counting in six and eight dimensions, as well as its relation to Donaldson–Thomas theory, is limited to abelian configurations. In both dimensionalities the matrix equations that result from non-commutative  $U(r)$  instanton equations [12, 18] contain more degrees of freedom (and equations) than what appear in the generalized ADHM equations from the D-brane picture or in the non-commutative Quot scheme construction, unless one restricts to solutions in the maximal torus  $U(1)^r \subset U(r)$  in which case stability implies that the extra

operators vanish. Thus our higher rank computations are limited to *Coulomb branch invariants*, for which the non-abelian  $U(r)$  gauge symmetry is broken to the abelian subgroup  $U(1)^r$ , mirroring the geometric property that the framed Quot schemes which are well-defined in these dimensions parametrize only split vector bundles (see [10, Corollary 1.6]).

The geometric moduli problem associated with genuine non-abelian instanton counting is not currently understood, nor how to compute invariants as the standard equivariant localization techniques no longer apply. When  $M$  is a Calabi–Yau threefold, the Coulomb branch invariants of [12] are interpreted by [40] as a degenerate central charge limit of higher rank Donaldson–Thomas invariants for pure D0–D6 bound states, which enumerate rank  $r$  torsion free sheaves on  $M$  that are locally free in codimension three. Higher rank Donaldson–Thomas invariants for  $U(r)$  gauge theory on any toric threefold  $M$  are constructed from M-theory considerations by [41].

## This paper

As a first extension of the original model of [1] beyond flat space, in this paper we provide a detailed and exhaustive analysis of tetrahedron instantons on local Calabi–Yau orbifolds of  $\mathbb{C}^4$  (when they exist). We extend the computations for spiked instantons on orbifolds in [6, 42] to evaluate partition functions for tetrahedron instantons defined on orbifolds  $\mathbb{C}^4/\Gamma$ , where  $\Gamma$  is a suitable finite group whose action on  $\mathbb{C}^4$  is defined by a homomorphism  $\tau : \Gamma \rightarrow SU(4)$  to the holonomy group  $SU(4)$ . The choice of a general homomorphic image  $\Gamma^\tau$  rather than a subgroup embedding of  $\Gamma$  in  $SU(4)$  allows for more freedom in a description of broader classes of stable ground states, and technically it enables the application of the virtual localization formula, even when  $\Gamma$  is non-abelian.

When the kernel  $K^\tau \subset \Gamma$  of  $\tau$  is non-trivial, the group  $\Gamma$  acts *non-effectively* on  $\mathbb{C}^4$ , i.e. it contains a non-trivial subgroup which acts trivially on  $\mathbb{C}^4$ . Nevertheless, the subgroup  $K^\tau$  can still act non-trivially on the field content of the cohomological gauge theory. This sets the field theory on a  $K^\tau$ -gerbe over the quotient stack  $[\mathbb{C}^4/\Gamma^\tau]$  and is equivalent to a twist of the theory on a disjoint union of several copies of  $[\mathbb{C}^4/\Gamma^\tau]$ . We interpret the corresponding enumerative invariants of the quotient singularity  $\mathbb{C}^4/\Gamma^\tau$  as the orbifold Donaldson–Thomas invariants ‘twisted’ by a  $K^\tau$ -gerbe. The gerbe may be viewed as a flat  $B$ -field and the theory enumerates  $K^\tau$ -projectively  $\Gamma^\tau$ -equivariant coherent sheaves on  $\mathbb{C}^4$ , which correspond to boundary states of D-branes supporting twisted Chan–Paton gauge bundles.

Our computations produce the instanton partition function of the cohomological gauge theory on a non-commutative resolution of the quotient singularity  $\mathbb{C}^4/\Gamma^\tau$ , described by a certain non-commutative algebra  $A$ . The algebra  $A$  is the path algebra of a generalization of the bounded McKay quiver determined by the representation theory data of  $\Gamma$  together with the homomorphism  $\tau$ , whose relations provide a generalized ADHM parametrization of the orbifold non-commutative tetrahedron instanton equations. The gauge theory is then defined by projecting onto the  $\Gamma$ -invariant field configurations on  $\mathbb{C}^4$ , whose instanton moduli space is identified as a quiver variety associated with the generalized McKay quiver, or equivalently as the moduli space of stable framed representations for the bounded derived category of the McKay quiver.

This bridges the cohomological gauge theories for orbifold instantons in six and eight dimensions, considered for the case of toric Calabi–Yau orbifolds in [43] and [18], respectively.

When  $\Gamma$  is abelian, the image  $\Gamma^\tau$  of  $\tau$  commutes with the maximal torus  $T_{\bar{c}}$  of the holonomy group  $SU(4)$ . Consequently, through toric localization, the equivariant partition function localizes onto isolated fixed points of the  $T_{\bar{c}}$ -action which are also  $\Gamma$ -invariant. The orbifold partition functions in this case describe the twisted orbifold Donaldson–Thomas theory of  $\mathbb{C}^3/\Gamma^\tau$  in the presence of general codimension one defects which are invariant under the maximal toric symmetry of the  $\Omega$ -deformation.

The case where  $\Gamma$  is non-abelian presents some technical complications, as  $\Gamma^\tau$  does not commute with  $T_{\bar{c}}$  and it is necessary to work with the centralizer of  $\Gamma^\tau$  in  $T_{\bar{c}}$  in order to apply torus localization. The gauge theory is then equivariant with respect to a smaller torus, and torus localization only reduces the partition function to a sum over contributions from the connected components of the moduli space of torus-invariant tetrahedron instantons, which generally admit continuous deformations, i.e. the torus fixed points are no longer isolated. We demonstrate that the partition function is still well-defined in these instances by proving that these components are compact in their natural complex analytic topology inherited from the ADHM parametrization, and we describe how to compute it. The orbifold partition functions in these cases again describe the twisted orbifold Donaldson–Thomas theory of  $\mathbb{C}^3/\Gamma^\tau$ , with or without a single codimension one defect and with reduced toric symmetry.

In both abelian and non-abelian cases, in addition to the generalizations to twisted orbifold Donaldson–Thomas invariants, another novelty of our approach that it is general enough to deal with orbifolds by arbitrary finite subgroups  $\Gamma \subset U(3)$ , and hence it computes the (twisted) Donaldson–Thomas theory of general local Kähler three-orbifolds.

## Outline and summary of results

In the following sections, we shall begin with a review and extension of the pertinent cohomological gauge theories in six dimensions, which are then naturally extended to the field theories whose BPS states are tetrahedron instantons in eight dimensions. The structure of the remainder of this paper and its main results are summarized as follows:

- In [Sect. 2](#), we review the construction of a six-dimensional cohomological gauge theory for the holonomy group  $U(3)$ , following [12] (see also [44]). We study the generalized instanton equations and we evaluate the equivariant instanton partition function from the tangent-obstruction deformation complex of the instanton moduli space. It is expressed as a combinatorial expansion in plane partitions which can be summed to a closed form in terms of the MacMahon function.
- In [Sect. 3](#), we analyse instanton configurations on orbifolds  $\mathbb{C}^3/\Gamma$ , where  $\Gamma$  is a finite group acting on  $\mathbb{C}^3$  by a homomorphism  $\tau : \Gamma \rightarrow U(3)$  to the holonomy group  $U(3)$ , vastly generalizing the treatment for toric Calabi–Yau three-orbifolds considered in [43] (see also [44, 45]). We describe the instanton moduli space as a quiver variety through an ADHM-type parametrization. In the case where  $\Gamma$  is an

abelian group, we evaluate the equivariant instanton partition functions explicitly as combinatorial series over  $\Gamma$ -coloured plane partitions.

- In Sect. 4, we study tetrahedron instantons. We construct their ADHM equations in analogy with the six-dimensional case. We evaluate the instanton partition function from both a quiver matrix model for the ADHM data and from the tangent-obstruction deformation complex of the instanton moduli space. Using the ADHM matrix model we further recover the partition function for tetrahedron instantons from the equivariant partition function for instantons on  $\mathbb{C}^4$ , considered in [18], after a suitable specialization of variables. Using this relation we derive a closed formula for the tetrahedron instanton partition function in terms of the MacMahon function which agrees with the generating functions computed by [2, 3].
- In Sect. 5, we generalize our discussion to tetrahedron instantons on orbifolds  $\mathbb{C}^4/\Gamma$  with a homomorphism  $\tau : \Gamma \rightarrow \mathrm{SU}(4)$ . For orbifolds of the type  $\mathbb{C}^2/\Gamma \times \mathbb{C}^2$ , where  $\Gamma = \mathbb{Z}_n$  is a finite abelian subgroup of  $\mathrm{SL}(2, \mathbb{C})$ , we extend the relation between the equivariant partition functions for tetrahedron instantons and instantons in eight dimensions, and hence derive closed formulas for the orbifold tetrahedron instanton partition functions in terms of MacMahon functions based on the results from [18] for instantons on local toric Calabi–Yau four-orbifolds. When  $\Gamma$  is a finite abelian subgroup of  $\mathrm{SL}(3, \mathbb{C})$ , we recover the instanton partition functions for local toric Calabi–Yau three-orbifolds  $\mathbb{C}^3/\Gamma$  with  $\mathrm{U}(3)$  holonomy. Finally, we consider the case of a finite non-abelian orbifold group  $\Gamma$ , with a generally non-faithful representation in  $\mathrm{SU}(4)$ . We discuss in detail the two admissible classes of  $\Gamma$ -actions which permit the application of virtual localization techniques, and show that the torus-invariant connected components of the moduli space are parametrized, respectively, by linear partitions and integer points. We compute, for each case, the equivariant orbifold partition functions for tetrahedron instantons. We explain how to explicitly unravel the formulas for Kleinian singularities in  $\mathbb{C}^4$  using geometric crepant resolution techniques, and we derive a closed formula in terms of MacMahon functions for any polyhedral singularity.
- In Sect. 6, we recapitulate our findings and comment on the physical and mathematical relevance of our results.
- Two appendices at the end of the paper contain some technical results complementing the analysis of the main text. In Appendix A we summarize the classification of the finite subgroups of  $\mathrm{SU}(3)$ , which play a prominent role throughout this paper. In Appendix B we prove that, for the smaller tori  $T' \subset T_{\bar{z}}$  which act on our theories, the  $T'$ -fixed components of the moduli space for orbifold tetrahedron instantons are compact in the natural complex analytic topology inherited from the ADHM parametrization.

## 2 Donaldson–Thomas Theory on Kähler threefolds

In this section, we review the computation of Donaldson–Thomas invariants of a Kähler threefold from the perspective of instanton counting in a six-dimensional cohomological gauge theory. This sets the stage and notations for all subsequent computations in this paper.

### 2.1 U(3)-instanton equations

Let  $(M_3, \omega)$  be a Kähler threefold. We define a cohomological gauge theory on  $M_3$  through a topological twist of the maximally supersymmetric  $\mathcal{N} = 2$  Yang–Mills theory in six dimensions. It can be obtained by dimensional reduction from ten-dimensional  $\mathcal{N} = 1$  supersymmetric Yang–Mills theory on  $M_3$  with gauge group  $U(r)$  and holonomy group  $U(3)$ . The bosonic field content is valued in the adjoint representation of  $U(r)$  and consists of a  $U(r)$  gauge connection  $\mathcal{A}$  with curvature two-form  $\mathcal{F} = \nabla_{\mathcal{A}}^2 = d\mathcal{A} + \mathcal{A} \wedge \mathcal{A}$ , which we assume has vanishing first Chern class, as well as a  $(3, 0)$ -form  $\varphi$  and a complex Higgs field  $\Phi$ . We denote the associated covariant derivatives with a subscript  $\mathcal{A}$ .

The path integral of the gauge theory localizes onto solutions of BRST fixed point equations known as generalized instanton equations. They are given by [11, 12, 46]

$$\begin{aligned} \mathcal{F}^{2,0} + \bar{\partial}_{\mathcal{A}}^{\dagger} \varphi &= 0, \\ \omega \wedge \omega \wedge \mathcal{F}^{1,1} + \varphi \wedge \bar{\varphi} &= 0, \\ \nabla_{\mathcal{A}} \Phi &= 0. \end{aligned} \tag{2.1}$$

Here  $\mathcal{F} = \mathcal{F}^{2,0} + \mathcal{F}^{1,1} + \mathcal{F}^{0,2}$  is the decomposition of the field strength in the basis of  $(1, 0)$ - and  $(0, 1)$ -forms with respect to the underlying complex structure of  $M_3$ .

When  $M_3$  is a Calabi–Yau threefold, the holonomy group is reduced to  $SU(3) \subset U(3)$  and uniqueness of the holomorphic three-form of the  $SU(3)$ -structure implies  $\varphi = 0$  in (2.1). Then, the first two instanton equations reduce to the Donaldson–Uhlenbeck–Yau equations which describe stable holomorphic vector bundles on  $M_3$  with finite characteristic classes.

The finite action solutions of (2.1) are labelled by the third Chern class

$$k = \frac{1}{48\pi^3} \int_{M_3} \text{Tr}_{u(r)} \mathcal{F} \wedge \mathcal{F} \wedge \mathcal{F}, \tag{2.2}$$

which is a topological invariant called the *instanton number*, as well as Kähler charges determined by the second Chern class which we suppress. For each charge  $k \in \mathbb{Z}_{\geq 0}$ , we define the instanton moduli space  $\mathfrak{M}_{r,k}$ . They form the connected components of the stratification of the moduli space

$$\mathfrak{M}_r = \bigsqcup_{k \geq 0} \mathfrak{M}_{r,k} \tag{2.3}$$

of solutions  $\mathcal{A}$  to the  $U(r)$  instanton equations (2.1) modulo gauge transformations. The moduli space has a global colour symmetry under  $PU(r) = U(r)/U(1)$ , where  $U(1)$  is the centre of  $U(r)$ .



### 2.2 ADHM data

The BPS equations (2.1) on the affine Kähler threefold  $M_3 = \mathbb{C}^3$  describe the low-energy interactions of  $k$  D0-branes inside  $r$  D6-branes in type IIA string theory in the limit where the D6-branes are heavy. From the perspective of the theory on the D0-branes, bound states corresponding to supersymmetric vacua are solutions to certain quadratic matrix equations, generalizing the celebrated ADHM equations [47], deformed by a Fayet–Iliopoulos coupling  $\zeta \in \mathbb{R}_{>0}$  related to a suitable large nonzero constant background  $B$ -field [48]. They arise as F-term and D-term equations. The Neveu–Schwarz  $B$ -field induces a non-commutative deformation of the gauge theory on the D6-branes obtained by Berezin–Toeplitz quantization of the constant Poisson structure  $\theta = \zeta \omega^{-1}$  [44].

#### Generalized ADHM equations

Let  $V$  and  $W$  be Hermitian vector spaces of complex dimensions  $k$  and  $r$ , respectively; from the perspective of the D0-branes,  $V$  is the Chan–Paton space while  $W$  is a flavour representation. Then, the ADHM equations are

$$\begin{aligned} \mu_{ab}^{\mathbb{C}} &:= [B_a, B_b] - \frac{1}{2} \varepsilon_{abc} [B_c^\dagger, Y] = 0, \\ \mu^{\mathbb{R}} &:= \sum_{a \in \underline{3}} [B_a, B_a^\dagger] + [Y^\dagger, Y] + I I^\dagger = \zeta \mathbb{1}_V, \\ \sigma &:= I^\dagger Y = 0, \end{aligned} \tag{2.4}$$

where  $B_a, Y \in \text{End}_{\mathbb{C}}(V)$  for

$$a \in \underline{3} := \{1, 2, 3\} \quad \text{and} \quad (a, b) \in \underline{3}^\perp := \{(1, 2), (1, 3), (2, 3)\}, \tag{2.5}$$

while  $I \in \text{Hom}_{\mathbb{C}}(W, V)$ . Here  $\varepsilon_{abc}$  is the Levi–Civita symbol in three dimensions with  $\varepsilon_{123} = +1$ , and throughout implicit summation over repeated indices is assumed unless otherwise explicitly indicated.

The ADHM equations (2.4) are invariant under the natural action by unitary automorphisms  $g \in \text{U}(V) \simeq \text{U}(k)$  of the vector space  $V$  given by

$$g \cdot (B_a, Y, I)_{a \in \underline{3}} = (g B_a g^{-1}, g Y g^{-1}, g I)_{a \in \underline{3}}. \tag{2.6}$$

The instanton moduli space  $\mathfrak{M}_{r,k}$  is then equivalently described as the quotient by this  $\text{U}(V)$ -action of the subvariety of the affine space of ADHM data cut out by the equations (2.4). There is additionally a natural action on the moduli space by unitary automorphisms  $h \in \text{U}(W) \simeq \text{U}(r)$  of the vector space  $W$  given by framing rotations

$$h \cdot (B_a, Y, I)_{a \in \underline{3}} = (B_a, Y, I h^{-1})_{a \in \underline{3}}. \tag{2.7}$$

### Stability and Quot schemes

By standard arguments the second equation of (2.4) (the D-term relation) is equivalent to the following *stability condition*: there is no proper subspace  $S \subset V$  such that  $B_a(S) \subset S$  for all  $a \in \underline{3}$ ,  $Y^\dagger(S) \subset S$  and  $\text{im}(I) \subset S$ .

We write

$$\|T\|_F^2 := \text{Tr}_{U_2}(T^\dagger T) = \text{Tr}_{U_1}(T T^\dagger) \tag{2.8}$$

for the Frobenius norm of a linear map  $T \in \text{Hom}_{\mathbb{C}}(U_1, U_2)$  between Hermitian vector spaces  $U_1$  and  $U_2$ . Then

$$\sum_{(a,b) \in \underline{3}^\perp} \|\mu_{ab}^{\mathbb{C}}\|_F^2 = \frac{1}{2} \sum_{(a,b) \in \underline{3}^\perp} \|[B_a, B_b]\|_F^2 + \frac{1}{2} \sum_{a \in \underline{3}} \|[B_a, Y^\dagger]\|_F^2. \tag{2.9}$$

This vanishes by the first equation of (2.4), which implies

$$[B_a, B_b] = 0 \quad \text{and} \quad [B_a, Y^\dagger] = 0, \tag{2.10}$$

for all  $a, b \in \underline{3}$ .

Using the relations (2.10) and the third equation of (2.4), the stability condition is thus equivalent to

$$V = \mathbb{C}[B_1, B_2, B_3] I(W). \tag{2.11}$$

This implies, by the first equation of (2.10) and the third equation of (2.4), that  $Y^\dagger = 0$ . If we denote  $\mu^{\mathbb{C}} := (\mu_{ab}^{\mathbb{C}})_{(a,b) \in \underline{3}^\perp}$ , then the instanton moduli space  $\mathfrak{M}_{r,k}$  is equivalently expressed as the non-commutative Quot scheme

$$\mathfrak{M}_{r,k} \simeq \mu^{\mathbb{C}^{-1}}(0)^{\text{stable}} / \text{GL}(V), \tag{2.12}$$

where the superscript <sup>stable</sup> indicates the stable solutions of the first equation of (2.4) with  $Y = 0$  (the F-term relations), and  $g \in \text{GL}(V) \simeq \text{GL}(k, \mathbb{C})$  acts on the ADHM data as in (2.6).

It now follows from [10] that the instanton moduli space  $\mathfrak{M}_{r,k}$  is isomorphic to the Quot scheme  $\text{Quot}_r^k(\mathbb{C}^3)$  of zero-dimensional quotients of the free sheaf  $\mathcal{O}_{\mathbb{C}^3}^{\oplus r}$  on  $\mathbb{C}^3$  with length  $k$ ,

$$\mathfrak{M}_{r,k} \simeq \text{Quot}_r^k(\mathbb{C}^3), \tag{2.13}$$

which parametrizes framed torsion free sheaves  $\mathcal{E}$  on complex projective space  $\mathbb{P}^3$  of rank  $r$  and  $\text{ch}_3(\mathcal{E}) = k$ . When  $r = 1$  the quotients are structure sheaves of closed zero-dimensional subschemes of  $\mathbb{C}^3$ , and in this case the Quot scheme is the Hilbert scheme  $\text{Hilb}^k(\mathbb{C}^3)$  of  $k$  points on  $\mathbb{C}^3$ .

### 2.3 Tangent-obstruction theory

The local geometry of the instanton moduli space  $\mathfrak{M}_{r,k}$  is described by the instanton deformation complex [46]

$$\wedge^0 T^*M_3 \otimes \mathfrak{g} \xrightarrow{C} \begin{matrix} \wedge^{0,1} T^*M_3 \otimes \mathfrak{g} \\ \oplus \\ \wedge^{0,3} T^*M_3 \otimes \mathfrak{g} \end{matrix} \xrightarrow{D_{\mathcal{A}}} \wedge^{0,2} T^*M_3 \otimes \mathfrak{g}, \tag{2.14}$$

whose differentials are defined by linearized complex gauge transformations  $C$  and the linearization  $D_{\mathcal{A}}$  of the first equation in (2.1), respectively.

We assume that the degree zero cohomology of the complex (2.14) vanishes, i.e.  $\ker(C) = 0$ , which amounts to restricting to irreducible connections  $\mathcal{A}$  with only trivial automorphisms. The first cohomology  $\ker(D_{\mathcal{A}})/\text{im}(C)$  of the complex (2.14) describes the tangent bundle  $T\mathfrak{M}_{r,k} \rightarrow \mathfrak{M}_{r,k}$  over a fixed holomorphic connection  $\mathcal{A}$ . The second cohomology  $\text{coker}(D_{\mathcal{A}})$  defines the obstruction bundle  $\text{Ob}_{r,k} \rightarrow \mathfrak{M}_{r,k}$  whose fibres are spanned by the zero modes of the antighost fields.

The *virtual tangent bundle*  $T^{\text{vir}}\mathfrak{M}_{r,k}$  is the two-term elliptic complex

$$T^{\text{vir}}\mathfrak{M}_{r,k} := \left[ T\mathfrak{M}_{r,k} \xrightarrow{D_{\mathcal{A}}} \text{Ob}_{r,k} \right], \tag{2.15}$$

where the fibrewise Kuranishi map  $D_{\mathcal{A}}$  is the linearization of the first two equations in (2.1) composed with the projector onto the subspace orthogonal to the tangent space to the gauge orbit of  $\mathcal{A}$ . Accordingly, we define the complex virtual dimension of  $\mathfrak{M}_{r,k}$  as

$$\text{vdim } \mathfrak{M}_{r,k} := \text{rk}(T\mathfrak{M}_{r,k}) - \text{rk}(\text{Ob}_{r,k}) = \dim \ker D_{\mathcal{A}} - \dim \text{coker } D_{\mathcal{A}}, \tag{2.16}$$

and the Euler class of its virtual tangent bundle as

$$e(T^{\text{vir}}\mathfrak{M}_{r,k}) := \frac{e(T\mathfrak{M}_{r,k})}{e(\text{Ob}_{r,k})}. \tag{2.17}$$

The complex (2.15) defines a virtual fundamental class  $[\mathfrak{M}_{r,k}]^{\text{vir}}$ ; roughly speaking, it can be thought of as the Poincaré dual of the Euler class  $e(\text{Ob}_{r,k})$  of the obstruction bundle. The Atiyah–Singer index theorem computes its virtual dimension (2.16) as the Euler character of the deformation complex (2.14). When  $M_3 = \mathbb{C}^3$ , the virtual dimension can also be computed from the ADHM parametrization by subtracting the number of equations and gauge symmetries from the total number of ADHM variables  $(B_a, I, Y)_{a \in \underline{3}}$ , which vanishes:

$$\text{vdim } \mathfrak{M}_{r,k} = (3k^2 + rk + k^2) - (3k^2 + rk) - k^2 = 0. \tag{2.18}$$

The ADHM parametrization of the instanton deformation complex is described by introducing two complex vector bundles over  $\mathfrak{M}_{r,k}$  whose fibres over a gauge orbit  $[\mathcal{A}]$  are, respectively, the complex vector spaces  $V$  and  $W$  introduced in Sect. 2.2: the tautological rank  $k$  vector bundle

$$\mathcal{V} = \mu^{\mathbb{C}^{-1}}(0)^{\text{stable}} \times_{\text{GL}(V)} V, \tag{2.19}$$

and the trivial rank  $r$  Chan–Paton framing bundle

$$\mathcal{W} = \mathfrak{M}_{r,k} \times W. \tag{2.20}$$

Then, the tangent-obstruction theory is equivalently described by the cochain complex of vector bundles

$$\begin{array}{ccc} & \text{Hom}(\mathcal{V}, \mathcal{V} \otimes Q_3) & \\ & \oplus & \\ \text{End}(\mathcal{V}) \xrightarrow{d_1} & \text{Hom}(\mathcal{W}, \mathcal{V}) & \xrightarrow{d_2} \text{Hom}(\mathcal{V}, \mathcal{V} \otimes \wedge^2 Q_3) \\ & \oplus & \oplus \\ & \text{Hom}(\mathcal{V}, \mathcal{V} \otimes \wedge^3 Q_3) & \text{Hom}(\mathcal{V}, \mathcal{W} \otimes \wedge^3 Q_3) \end{array}, \tag{2.21}$$

where the differentials  $d_1$  and  $d_2$  act fibrewise as an infinitesimal  $\text{GL}(V)$  gauge transformation and the linearization of the two complex ADHM equations of (2.4), respectively, while the three-dimensional Hermitian vector space  $Q_3$  is the fundamental representation of the  $U(3)$  holonomy group. The stability condition implies that the degree zero cohomology is trivial:  $\ker(d_1) = 0$ .

### 2.4 Instanton partition function

Since the virtual dimension is zero, the instanton partition function of the six-dimensional cohomological gauge theory is given by

$$Z_{\mathbb{C}^3}^{r,k} = \int_{[\mathfrak{M}_{r,k}]^{\text{vir}}} 1. \tag{2.22}$$

The integral (2.22) is understood as the  $T$ -equivariant volume of the moduli space  $\mathfrak{M}_{r,k}$ , evaluated via the virtual localization formula with respect to the action of some torus group  $T$  [49]. The  $T$ -action on the moduli space induces  $T$ -equivariant structures on the vector bundles  $\mathcal{V}$  and  $\mathcal{W}$ .

### $\Omega$ -Background

The natural choice for  $T$  is associated with defining the gauge theory on Nekrasov’s  $\Omega$ -background [50, 51]. The global symmetry group of the six-dimensional cohomological field theory is

$$G = \text{PU}(r) \times \text{U}(3) , \tag{2.23}$$

where  $\text{PU}(r)$  is the group of non-trivially acting framing rotations, and the holonomy group  $\text{U}(3)$  acts in the fundamental representation  $Q_3$  on  $B = (B_a)_{a \in \underline{3}}$ , trivially on  $I$ , and in the determinant representation  $\wedge^3 Q_3$  on  $Y$ .

After conjugating  $G$  to its maximal torus, the symmetry group acting on the theory is

$$T = T_{\vec{a}} \times T_{\vec{\epsilon}} , \tag{2.24}$$

where  $T_{\vec{a}}$  and  $T_{\vec{\epsilon}}$  are (complex) maximal tori of  $\text{PU}(r)$  and  $\text{U}(3)$  with coordinates  $\vec{a} = (a_1, \dots, a_r)$  (the vacuum expectation values of the complex Higgs field  $\Phi$  parametrizing the positions of the  $r$  D6-branes) and  $\vec{\epsilon} = (\epsilon_1, \epsilon_2, \epsilon_3)$  (the parameters of the  $\Omega$ -deformation), respectively. The Coulomb moduli are equivalence classes, identified under simultaneous shifts  $a_l \mapsto a_l + c$  by any  $c \in \mathbb{C}$  for  $l = 1, \dots, r$ .

By the virtual localization formula [49], the full equivariant instanton partition function is given as a function of the equivariant parameters  $(\vec{a}, \vec{\epsilon})$  by a sum over  $T$ -fixed points

$$Z_{\mathbb{C}^3}^r(\mathfrak{q}; \vec{a}, \vec{\epsilon}) = \sum_{k=0}^{\infty} \mathfrak{q}^k Z_{\mathbb{C}^3}^{r,k}(\vec{a}, \vec{\epsilon}) = \sum_{k=0}^{\infty} \mathfrak{q}^k \sum_{\vec{\pi} \in \mathfrak{M}_{r,k}^T} \frac{1}{e_T(T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{r,k})} , \tag{2.25}$$

where  $\mathfrak{q}$  is the Boltzmann weight parameter for instantons,  $\mathfrak{M}_{r,k}^T$  is the set of  $T$ -fixed points of the instanton moduli space, and  $e_T$  denotes the  $T$ -equivariant Euler class.

### Fixed points and plane partitions

The  $T$ -fixed points of the moduli space  $\mathfrak{M}_{r,k}$  are all isolated and in one-to-one correspondence with arrays  $\vec{\pi} = (\pi_1, \dots, \pi_r)$ , where each  $\pi_l$  for  $l = 1, \dots, r$  is a plane partition [12]. A plane partition is an ordered sequence  $\pi = (\pi_{i,j})_{i,j \geq 1}$  of non-negative integers  $\pi_{i,j} \in \mathbb{Z}_{\geq 0}$  decreasing along both directions:

$$\pi_{i,j} \geq \pi_{i+1,j} \quad \text{and} \quad \pi_{i,j} \geq \pi_{i,j+1} . \tag{2.26}$$

We may view  $\pi$  as a three-dimensional Young diagram in  $\mathbb{Z}_{\geq 0}^3$ , obtained by piling  $\pi_{i,j}$  boxes over  $(i, j) \in \mathbb{Z}_{\geq 0}^2$ . The size of  $\pi$  is the total number of boxes and is denoted  $|\pi| := \sum_{i,j \geq 1} \pi_{i,j}$ . The size  $|\vec{\pi}|$  of  $\vec{\pi}$  is defined to be the sum of the sizes of its components  $\pi_l$ . Then,  $\vec{\pi} \in \mathfrak{M}_{r,k}^T$  partitions the instanton number  $k$ :

$$|\vec{\pi}| = \sum_{l=1}^r |\pi_l| = k . \tag{2.27}$$

To explicitly compute the Euler classes in (2.25), we use the ADHM parametrization of the instanton deformation complex. The fibre of the complex of vector bundles (2.21) over the fixed point  $\vec{\pi} \in \mathfrak{M}_{r,k}^T$  reads

$$\begin{array}{ccc}
 & \text{Hom}_{\mathbb{C}}(V_{\bar{\pi}}, V_{\bar{\pi}} \otimes Q_3) & \\
 & \oplus & \text{Hom}_{\mathbb{C}}(V_{\bar{\pi}}, V_{\bar{\pi}} \otimes \wedge^2 Q_3) \\
 \text{End}_{\mathbb{C}}(V_{\bar{\pi}}) \xrightarrow{d_1} & \text{Hom}_{\mathbb{C}}(W_{\bar{\pi}}, V_{\bar{\pi}}) & \xrightarrow{d_2} \oplus, \\
 & \oplus & \text{Hom}_{\mathbb{C}}(V_{\bar{\pi}}, W_{\bar{\pi}} \otimes \wedge^3 Q_3) \\
 & \text{Hom}_{\mathbb{C}}(V_{\bar{\pi}}, V_{\bar{\pi}} \otimes \wedge^3 Q_3) & 
 \end{array} \tag{2.28}$$

where here the vector space  $Q_3 \simeq \mathbb{C}^3$  is regarded as the three-dimensional fundamental  $T_{\bar{\epsilon}}$ -module with weight decomposition

$$Q_3 = t_1^{-1} + t_2^{-1} + t_3^{-1} \tag{2.29}$$

in the representation ring of  $T_{\bar{\epsilon}}$ , where  $t_a = e^{i\epsilon_a}$ .

The equivariant character of the virtual tangent bundle is computed from the index of the complex (2.28) and is given by

$$\text{ch}_T(T_{\bar{\pi}}^{\text{vir}} \mathfrak{M}_{r,k}) = W_{\bar{\pi}}^* \otimes V_{\bar{\pi}} - \frac{V_{\bar{\pi}}^* \otimes W_{\bar{\pi}}}{t_1 t_2 t_3} + V_{\bar{\pi}}^* \otimes V_{\bar{\pi}} \frac{(1 - t_1)(1 - t_2)(1 - t_3)}{t_1 t_2 t_3}. \tag{2.30}$$

Seen as modules in the representation ring of  $T$ , it follows from the stability condition (2.11) that, after a gauge transformation, the vector spaces  $V$  and  $W$  decompose at the fixed point  $\bar{\pi} \in \mathfrak{M}_{r,k}^T$  with respect to the  $T$ -action as

$$V_{\bar{\pi}} = \sum_{l=1}^r e_l \sum_{\bar{p} \in \pi_l} t_1^{p_1-1} t_2^{p_2-1} t_3^{p_3-1} \quad \text{and} \quad W_{\bar{\pi}} = \sum_{l=1}^r e_l, \tag{2.31}$$

where  $e_l = e^{i a_l}$ . The dual involution acts on the weights as  $t_a^* = t_a^{-1}$  and  $e_l^* = e_l^{-1}$ . We can then extract the Euler classes from the top-form part of the character (2.30) through the operation

$$\widehat{e} \left[ \sum_l n_l e^{w_l} \right] = \prod_{w_l \neq 0} w_l^{n_l}. \tag{2.32}$$

### Equivariant generating function

The full equivariant instanton partition function is given by the combinatorial formula

$$\begin{aligned}
 Z'_{\mathbb{C}^3}(\mathfrak{q}; \vec{a}, \vec{\epsilon}) &= \sum_{\vec{\pi} \in \mathfrak{M}_r^{\uparrow}} \mathfrak{q}^{|\vec{\pi}|} \widehat{\text{ch}}_{\Gamma}[-\text{ch}_{\Gamma}(T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{r,k})] \\
 &= \sum_{\vec{\pi} \in \mathfrak{M}_r^{\uparrow}} \mathfrak{q}^{|\vec{\pi}|} \prod_{l=1}^r \prod_{\vec{p}_l \in \pi_l}^{\neq 0} \frac{P_r(-a_l - \vec{p}_l \cdot \vec{\epsilon} \mid \epsilon_{123} - \vec{a})}{P_r(a_l + \vec{p}_l \cdot \vec{\epsilon} \mid \vec{a})} \\
 &\quad \times \prod_{l'=1}^r \prod_{\vec{p}'_{l'} \in \pi_{l'}}^{\neq 0} R(a_l - a_{l'} + (\vec{p}_l - \vec{p}'_{l'}) \cdot \vec{\epsilon} \mid \vec{\epsilon}),
 \end{aligned} \tag{2.33}$$

where  $\vec{p} \cdot \vec{\epsilon} := \sum_{a \in \mathfrak{Z}} p_a \epsilon_a$ .

In (2.33), we introduced the polynomial and rational functions

$$P_r(x \mid \vec{w}) = \prod_{l=1}^r (x - w_l) \quad \text{and} \quad R(x \mid \vec{\epsilon}) = \frac{x(x - \epsilon_{12})(x - \epsilon_{23})(x - \epsilon_{13})}{(x - \epsilon_1)(x - \epsilon_2)(x - \epsilon_3)(x - \epsilon_{123})}, \tag{2.34}$$

along with the shorthand notation

$$\epsilon_{ab\dots} = \epsilon_a + \epsilon_b + \dots \tag{2.35}$$

The superscripts  $\neq 0$  on the products designate the omission of terms with zero numerator or denominator according to the top-form operation (2.32).

The complicated combinatorial series (2.33) can be summed to a simple closed formula [52–54].

**Theorem 2.36** *The generating function  $Z'_{\mathbb{C}^3}(\mathfrak{q}; \vec{a}, \vec{\epsilon})$  for the rank  $r$  Donaldson–Thomas invariants of  $\mathbb{C}^3$  with  $U(3)$  holonomy is independent of the Coulomb moduli  $\vec{a}$  and can be expressed as*

$$Z'_{\mathbb{C}^3}(\mathfrak{q}; \vec{\epsilon}) = M\left((-1)^r \mathfrak{q}\right)^{-r \frac{\epsilon_{12} \epsilon_{23} \epsilon_{13}}{\epsilon_1 \epsilon_2 \epsilon_3}}, \tag{2.37}$$

where  $M(q) := M(1, q)$  is the generating function which counts plane partitions, and

$$M(x, q) = \prod_{n=1}^{\infty} \frac{1}{(1 - x q^n)^n} \tag{2.38}$$

is the MacMahon function.

### 3 Cohomological gauge theory on local Kähler three-orbifolds

In this section, we turn to the study of non-commutative instantons on orbifolds of  $\mathbb{C}^3$ , i.e. on *local* three-orbifolds. We consider holomorphic actions on  $\mathbb{C}^3$  by finite orbifold groups which preserve the  $U(3)$  holonomy, and hence the Kähler form  $\omega$ . The orbifold cohomological gauge theory is constructed by allowing the fields to be equivariant and gauging the orbifold group action, followed by projection to invariant states described by equivariant decomposition of the generalized instanton equations (2.1); this can be thought of as a field theory on the corresponding orbifold resolution of the quotient singularity. The construction is motivated by considerations of D-branes on orbifolds [43], and in particular it naturally incorporates ‘twisted sectors’ corresponding to conjugacy classes of the orbifold group. The orbifold BRST fixed point equations are naturally realized in non-commutative gauge theory, along the lines of [8, 43, 44]. Here, we describe the vacuum states via equivariant decomposition of the corresponding ADHM parametrization.

We start by reviewing the construction of the generalized McKay quiver  $Q^\Gamma$  for a finite subgroup  $\Gamma$  of  $SU(3)$ . To these quivers, we associate ADHM-type equations which parametrize the moduli space of instantons on  $\mathbb{C}^3/\Gamma$ , viewed as the moduli space of  $\Gamma$ -equivariant instantons on  $\mathbb{C}^3$ , as a quiver variety, that is, as the moduli space of stable framed representations of the bounded McKay quiver. Then, we analyse the most general admissible orbifolds which allow for the definition of a torus-equivariant gauge theory, in both cases where  $\Gamma$  is an abelian and a non-abelian finite group represented in  $U(3)$ ; these considerations lead to more general classes of orbifold theories based on non-effectively acting groups  $\Gamma$ . Although the orbifold singularity is generally supersymmetric only when  $\Gamma$  embeds in  $SU(3) \subset U(3)$ , the orbifold instanton locus of the cohomological gauge theory is always stable and has a realization in terms of states of D-branes.

See Appendix A for our notational conventions for finite groups, as well as for the classification of the finite subgroups of  $SU(3)$  which we use extensively throughout this paper. The McKay quivers  $Q^\Gamma$  for finite subgroups  $\Gamma \subset SL(3, \mathbb{C})$  are described in [55], while for small finite subgroups  $\Gamma \subset GL(3, \mathbb{C})$  they are detailed in [56].

#### 3.1 Quiver varieties

Let  $\Gamma$  be a finite subgroup of  $SL(3, \mathbb{C})$  which acts on  $\mathbb{C}^3$  by the fundamental representation  $Q_3$ .

##### McKay quivers

The McKay quiver associated with  $\Gamma$  is denoted  $Q^\Gamma = (Q_0^\Gamma, Q_1^\Gamma)$ , where  $Q_0^\Gamma$  and  $Q_1^\Gamma$  denote the sets of vertices and edges, respectively, and it is constructed in the following way. As a set,  $Q_0^\Gamma \simeq \widehat{\Gamma}$  is the set of irreducible representations of  $\Gamma$ , which corresponds bijectively to the set of conjugacy classes of  $\Gamma$ . We write  $\lambda_i \in \widehat{\Gamma}$  for the irreducible representation labelled by  $i \in Q_0^\Gamma$ ; the trivial one-dimensional representation is denoted  $\lambda_0$ . The number of oriented edges (arrows) from a vertex  $i$  to a vertex  $i'$



is determined by the adjacency matrix  $A = (a_{i'i'})_{i,i' \in Q_0^\Gamma}$  of tensor product multiplicities  $a_{i'i'} = \dim \text{Hom}_\Gamma(\lambda_i, Q_3 \otimes \lambda_{i'}) \in \mathbb{Z}_{\geq 0}$  in the decomposition of  $\Gamma$ -modules

$$Q_3 \otimes \lambda_i = \bigoplus_{i' \in Q_0^\Gamma} a_{i'i'} \lambda_{i'} . \tag{3.1}$$

If  $e \in Q_1^\Gamma$  is an edge determined by (3.1), the source vertex of  $e$  is denoted by  $s(e)$  and its target vertex by  $t(e)$ ; this defines source and target maps  $Q_1^\Gamma \rightrightarrows Q_0^\Gamma$ . The quiver  $Q^\Gamma$  contains no loop edges  $e$ , i.e.  $s(e) = t(e)$ , if and only if the trivial representation  $\lambda_0$  does not appear in the decomposition of  $Q_3$  into irreducible  $\Gamma$ -modules.

**Example 3.2** Consider the non-abelian group  $\Gamma = C_3(1, 0) = (\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_3$  of type C, where the action of the groups  $\mathbb{Z}_3 \times \mathbb{Z}_3 = \{c_{i,j}\}_{i,j \in \{0,1,2\}}$  and  $\mathbb{Z}_3 = \{1, C, C^2\}$  on  $\mathbb{C}^3$  is given by the  $SU(3)$  matrices

$$c_{i,j} = \begin{pmatrix} \xi_3^i & & \\ & \xi_3^{-j} & \\ & & \xi_3^{-i+j} \end{pmatrix} \quad \text{and} \quad C = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} , \tag{3.3}$$

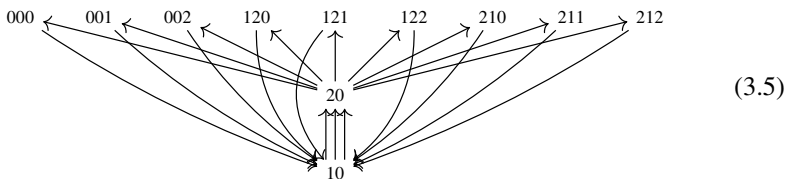
with  $\xi_3 = e^{2\pi i/3}$  a primitive third root of unity. As shown in [56], the group  $C_3(1, 0)$  has two three-dimensional irreducible representations,  $\lambda_{10} = Q_3$  and  $\lambda_{20}$ , and nine one-dimensional irreducible representations,  $\lambda_{00i}, \lambda_{12i}$  and  $\lambda_{21i}$  with  $i \in \{0, 1, 2\}$ , where  $\lambda_{000} = \lambda_0$ .

The tensor product decompositions with the fundamental representation  $Q_3$  give

$$Q_3 \otimes \lambda_{10} = 3 \lambda_{20} \quad , \quad Q_3 \otimes \lambda_{20} = \bigoplus_{i=0}^2 (\lambda_{00i} \oplus \lambda_{12i} \oplus \lambda_{21i}) , \tag{3.4}$$

$$Q_3 \otimes \lambda_{00i} = Q_3 \otimes \lambda_{12i} = Q_3 \otimes \lambda_{21i} = \lambda_{10} .$$

The generalized McKay quiver  $Q^{C_3(1,0)}$  constructed from these representation theory data is



**Enhanced framed quiver representations**

The McKay quiver  $Q^\Gamma = (Q_0^\Gamma, Q_1^\Gamma)$  serves as a powerful combinatorial device for describing the  $\Gamma$ -equivariant decomposition of the ADHM equations (2.4), which we

view as BRST fixed point equations for a cohomological field theory on the orbifold crepant resolution

$$\pi_{\text{orb}} : [\mathbb{C}^3 / \Gamma] \longrightarrow \mathbb{C}^3 / \Gamma \tag{3.6}$$

of the quotient singularity  $\mathbb{C}^3 / \Gamma$ . A field on the quotient stack  $[\mathbb{C}^3 / \Gamma]$  is the same thing as a  $\Gamma$ -equivariant field on  $\mathbb{C}^3$ ; for example, we may present the quotient stack as the action groupoid  $\Gamma \times \mathbb{C}^3 \rightrightarrows \mathbb{C}^3$  and the morphism  $\pi_{\text{orb}}$  as the quotient map to the orbit space. The nodes  $i \in Q_0^\Gamma$  specify the basis of *fractional instantons* which are stuck at the orbifold singularity. The McKay quiver will also aid in describing the corresponding moduli space of solutions.

To implement the orbifold projection, we regard the Hermitian vector spaces  $V$  and  $W$  as  $\Gamma$ -modules and decompose them into irreducible representations of the orbifold group as

$$V = \bigoplus_{i \in Q_0^\Gamma} V_i \otimes \lambda_i^* \quad \text{and} \quad W = \bigoplus_{i \in Q_0^\Gamma} W_i \otimes \lambda_i^* . \tag{3.7}$$

The multiplicity spaces  $V_i = \text{Hom}_\Gamma(\lambda_i, V)$  and  $W_i = \text{Hom}_\Gamma(\lambda_i, W)$  are Hermitian vector spaces of complex dimensions  $k_i$  and  $r_i$ , respectively, which carry a trivial  $\Gamma$ -action; the dimensions  $k_i$  are called fractional instanton charges. We assemble the dimensions into vectors  $\vec{k}, \vec{r} \in \mathbb{Z}_{\geq 0}^{Q_0}$ . The dimensions  $k = \dim V$  and  $r = \dim W$  correspondingly decompose into sums

$$k = |\vec{k}| := \sum_{i \in Q_0^\Gamma} d_i k_i \quad \text{and} \quad r = |\vec{r}| := \sum_{i \in Q_0^\Gamma} d_i r_i , \tag{3.8}$$

where  $d_i$  is the dimension of the irreducible representation  $\lambda_i$ . The special case of  $n$  freely moving instantons corresponds to taking  $k_i = n d_i$ , with total charge  $k = n \#\Gamma$ ; when  $n = 1$  this is called a *regular instanton*, as it lives in the regular representation  $\mathbb{C}[\Gamma]$  of the orbifold group  $\Gamma$ .

Next we regard the ADHM variables as  $\Gamma$ -equivariant maps

$$(B, I, Y) \in \text{Hom}_\Gamma(V, V \otimes Q_3) \oplus \text{Hom}_\Gamma(W, V) \oplus \text{Hom}_\Gamma(V, V \otimes \wedge^3 Q_3) . \tag{3.9}$$

From (3.1) and Schur’s lemma, it follows that  $B$  decomposes into linear maps associated with each edge of the McKay quiver:

$$B = \bigoplus_{e \in Q_1^\Gamma} B_e \quad \text{with} \quad B_e : V_{s(e)} \longrightarrow V_{t(e)} . \tag{3.10}$$

Thus the ADHM datum  $B$  defines a linear representation of the McKay quiver  $Q_1^\Gamma$  with dimension vector  $\vec{k}$ .

Similarly,  $I$  decomposes into linear maps associated with each vertex:

$$I = \bigoplus_{i \in Q_0^\Gamma} I_i \quad \text{with } I_i : W_i \longrightarrow V_i . \tag{3.11}$$

Thus,  $I$  defines a framing of the representation of the McKay quiver  $Q^\Gamma$  with dimension vector  $\vec{r}$ .

Finally, since  $\Gamma \subset \text{SL}(3, \mathbb{C})$ , it follows that the determinant representation  $\wedge^3 Q_3 \simeq \lambda_0$  is trivial as a  $\Gamma$ -module and hence

$$Y = \bigoplus_{i \in Q_0^\Gamma} Y_i \quad \text{with } Y_i \in \text{End}_{\mathbb{C}}(V_i) . \tag{3.12}$$

We may depict the decomposition of  $Y$  by the addition of a single edge loop at each vertex. We call this an *enhancement* of the framed quiver representation of  $Q^\Gamma$ .

### Orbifold ADHM equations

The set of maps  $(B_e, I_i, Y_i)_{e \in Q_1^\Gamma, i \in Q_0^\Gamma}$  satisfy ADHM-type equations which are derived by decomposing the equations (2.4) as  $\Gamma$ -equivariant maps

$$(\mu^\mathbb{C}, \mu^\mathbb{R}, \sigma) \in \text{Hom}_\Gamma(V, V \otimes \wedge^2 Q_3) \oplus \text{End}_\Gamma(V) \oplus \text{Hom}_\Gamma(V, W \otimes \wedge^3 Q_3) . \tag{3.13}$$

Since  $\wedge^3 Q_3 \simeq \lambda_0$ , the second and third equations have isotypical components which live at the vertices  $i \in Q_0^\Gamma$ . Writing their equivariant decompositions

$$\mu^\mathbb{R} = \bigoplus_{i \in Q_0^\Gamma} \mu_i^\mathbb{R} \quad \text{and} \quad \sigma = \bigoplus_{i \in Q_0^\Gamma} \sigma_i , \tag{3.14}$$

with  $\mu_i^\mathbb{R} \in \text{End}_{\mathbb{C}}(V_i)$  and  $\sigma_i \in \text{Hom}_{\mathbb{C}}(V_i, W_i)$ , these equations read explicitly as

$$\begin{aligned} \mu_i^\mathbb{R} &:= \sum_{e \in t^{-1}(i)} B_e B_e^\dagger - \sum_{e \in s^{-1}(i)} B_e^\dagger B_e + [Y_i^\dagger, Y_i] + I_i I_i^\dagger = \zeta_i \mathbb{1}_{V_i} , \\ \sigma_i &:= I_i^\dagger Y_i = 0 , \end{aligned} \tag{3.15}$$

for all  $i \in Q_0^\Gamma$ , where the Fayet–Iliopoulos parameters  $\zeta_i \in \mathbb{R}_{>0}$  are determined by the decomposition of the Neveu–Schwarz  $B$ -field into twisted NS–NS sectors of type IIA string theory on  $\mathbb{C}^3/\Gamma$ .

The isotypical decomposition of the equations  $\mu^{\mathbb{C}} \in \text{Hom}_{\Gamma}(V, V \otimes \wedge^2 Q_3)$  is more complicated. We start by rewriting it in a basis independent form as

$$\mu^{\mathbb{C}} = B \wedge B - \langle B^{\dagger}, Y \rangle_{Q_3} + \langle Y, B^{\dagger} \rangle_{Q_3} = 0, \tag{3.16}$$

where  $\langle \cdot, \cdot \rangle_{Q_3}$  is the Hermitian inner product on  $Q_3$ . The equations  $\mu_{ab}^{\mathbb{C}} = 0$  from (2.4) follow by expanding  $B$  in the canonical basis of  $Q_3 = \mathbb{C}^3$ .

The tensor product decomposition (3.1) together with triviality of the determinant representation imply

$$\wedge^2 Q_3 \otimes \lambda_i \simeq Q_3^* \otimes \lambda_i = \bigoplus_{i' \in Q_0^{\Gamma}} a_{i'i} \lambda_{i'}. \tag{3.17}$$

Hence, the multiplicities of linear maps from  $V_i$  to  $V_{i'}$  given by the isotypical decomposition of the equations  $\mu^{\mathbb{C}}$  is equal to the number of oriented edges connecting the vertex  $i'$  to the vertex  $i$ ; that is, the number of arrows  $i' \rightarrow i$  in the *opposite* direction. In particular, the isotypical components of  $\mu^{\mathbb{C}}$  can be labelled by the edges  $e \in Q_1^{\Gamma}$ .

Writing the equivariant decomposition  $\mu^{\mathbb{C}} = 0$  as

$$\mu^{\mathbb{C}} = \bigoplus_{e \in Q_1^{\Gamma}} \mu_e^{\mathbb{C}}, \tag{3.18}$$

the ADHM equations  $\mu_e^{\mathbb{C}} = 0$  can be inferred from unravelling (3.16) in a basis tailored to the particular  $\Gamma$ -action on  $\mathbb{C}^3$ , by multiplying matrices in the equivariant decompositions (3.10) and (3.12). In concrete examples, the equations are always independent of all choices made for a particular quiver  $Q^{\Gamma}$ .

**Example 3.19** Let  $\Gamma$  be a finite subgroup of  $SU(2)$  acting in the fundamental representation  $Q_2$  on an affine plane  $\mathbb{C}^2 \subset \mathbb{C}^3$  and trivially on the affine line  $\mathbb{C} = \mathbb{C}^3 \setminus \mathbb{C}^2$ . Then,  $\mathbb{C}^3 / \Gamma \simeq \mathbb{C}^2 / \Gamma \times \mathbb{C}$ . Since the representation  $Q_2 \simeq Q_2^*$  is self-dual, the adjacency matrix  $A$  of the McKay quiver  $Q^{\Gamma}$  is symmetric, i.e.  $a_{i'i} = a_{ii'}$ . Thus,  $Q^{\Gamma} = \overline{\text{Dynk}_{\Gamma}}$  is the *double* of a quiver  $\text{Dynk}_{\Gamma}$ , i.e. the quiver with the same set of nodes  $Q_0^{\Gamma} = \text{Dynk}_{\Gamma_0}$  and with arrow set  $Q_1^{\Gamma} = \text{Dynk}_{\Gamma_1} \sqcup \text{Dynk}_{\Gamma_1}^{\text{op}}$ , where the opposite quiver  $\text{Dynk}_{\Gamma_1}^{\text{op}}$  is obtained from  $\text{Dynk}_{\Gamma}$  by reversing the orientation of the edges. By the classical McKay correspondence [57], the quiver  $\text{Dynk}_{\Gamma}$  is associated with any choice of orientation of an affine Dynkin diagram of type ADE [58, 59], with an additional edge loop at each vertex. In this case we label the vertices of the McKay quiver as  $Q_0^{\Gamma} = \{0, 1, \dots, r_{\Gamma}\}$ , where 0 indicates the trivial representation and  $r_{\Gamma}$  is the rank of the corresponding simply laced Lie algebra  $\mathfrak{g}_{\Gamma}$ .

To each arrow  $e$  of the extended Dynkin diagram underlying  $\text{Dynk}_{\Gamma}$ , we associate two linear maps  $B_e : V_{s(e)} \rightarrow V_{t(e)}$  and  $\bar{B}_e : V_{t(e)} \rightarrow V_{s(e)}$ . To each vertex  $i$  of  $\text{Dynk}_{\Gamma}$ , we associate three maps  $L_i, I_i, Y_i \in \text{End}_{\mathbb{C}}(V_i)$ . Then, the ADHM equations

(3.15) and (3.16) can be expressed as

$$\begin{aligned}
 \mu_i^{\mathbb{C}} &= \sum_{e \in s^{-1}(i)} \bar{B}_e B_e - \sum_{e \in t^{-1}(i)} B_e \bar{B}_e + [L_i^\dagger, Y_i] = 0, \\
 \mu_e^{\mathbb{C}} &= L_{t(e)} B_e - B_e L_{s(e)} - \bar{B}_e^\dagger Y_{s(e)} + Y_{t(e)} \bar{B}_e^\dagger = 0, \\
 \bar{\mu}_e^{\mathbb{C}} &= L_{s(e)} \bar{B}_e - \bar{B}_e L_{t(e)} + B_e^\dagger Y_{s(e)} - Y_{t(e)} B_e^\dagger = 0, \\
 \mu_i^{\mathbb{R}} &= \sum_{e \in t^{-1}(i)} (B_e B_e^\dagger - \bar{B}_e^\dagger \bar{B}_e) - \sum_{e \in s^{-1}(i)} (B_e^\dagger B_e - \bar{B}_e \bar{B}_e^\dagger) \\
 &\quad + [Y_i^\dagger, Y_i] + [L_i^\dagger, L_i] + I_i I_i^\dagger = \zeta_i \mathbb{1}_{V_i}, \\
 \sigma_i &= I_i^\dagger Y_i = 0.
 \end{aligned} \tag{3.20}$$

This construction is independent of the choice of orientation of the Dynkin diagram.

### Moduli spaces of orbifold instantons

The action of  $\Gamma$  on the decompositions (3.7) is defined by group homomorphisms  $\gamma_V : \Gamma \rightarrow U(k)$  and  $\gamma_W : \Gamma \rightarrow U(r)$  with

$$\gamma_V(g)(v^i \otimes \ell_i) = v^i \otimes (\lambda_i^*(g)(\ell_i)) \quad \text{and} \quad \gamma_W(g)(w^i \otimes \ell_i) = w^i \otimes (\lambda_i^*(g)(\ell_i)), \tag{3.21}$$

for all  $g \in \Gamma$ ,  $\ell_i \in \lambda_i^*$ ,  $v^i \in V_i$  and  $w^i \in W_i$ , where  $\lambda_i^*(g) \in U(d_i)$ . These break the  $U(k)$  and  $U(r)$  symmetries to the subgroups

$$U(\vec{k}) := \prod_{i \in Q_0^\Gamma} U(k_i) \quad \text{and} \quad U(\vec{r}) := \prod_{i \in Q_0^\Gamma} U(r_i) \tag{3.22}$$

commuting with the respective  $\Gamma$ -actions in (3.21). In the type IIA picture, the isotypical components of (3.7) specify fractional D0-branes and D6-branes, respectively, whose bound states can be identified geometrically with  $\Gamma$ -equivariant sheaves on  $\mathbb{C}^3$ .

The action of a unitary automorphism

$$g = (g_i)_{i \in Q_0^\Gamma} \in U(\vec{k}) \tag{3.23}$$

on the orbifold ADHM data, given by

$$g \cdot (B_e, I_i, Y_i)_{i \in Q_0^\Gamma, e \in Q_1^\Gamma} = (g_{t(e)} B_e g_{s(e)}^{-1}, g_i I_i, g_i Y_i g_i^{-1})_{i \in Q_0^\Gamma, e \in Q_1^\Gamma}, \tag{3.24}$$

leaves the ADHM equations (3.15) and (3.16) invariant. Let

$$\vec{\mu} := (\mu^{\mathbb{C}}, \mu_i^{\mathbb{R}}, \sigma_i)_{i \in Q_0^\Gamma} \quad \text{and} \quad \vec{\zeta} := (0, \zeta_i, 0)_{i \in Q_0^\Gamma}. \tag{3.25}$$

For each pair of dimension vectors  $\vec{k}, \vec{r} \in \mathbb{Z}_{\geq 0}^{\Gamma_0}$ , we define the *quiver variety* as the quotient

$$\mathfrak{M}_{\vec{r}, \vec{k}} = \bar{\mu}^{-1}(\vec{\zeta}) / U(\vec{k}). \tag{3.26}$$

The quiver varieties form the connected components of the stratification of the moduli space

$$\mathfrak{M}_{r, k}^{\Gamma} = \bigsqcup_{|\vec{r}|=r, |\vec{k}|=k} \mathfrak{M}_{\vec{r}, \vec{k}} \tag{3.27}$$

of charge  $k$  non-commutative  $U(r)$  instantons on the Calabi–Yau orbifold  $\mathbb{C}^3/\Gamma$ . It can be regarded as a moduli space of modules over a corresponding path algebra of the quiver  $Q^{\Gamma}$ , which is Morita equivalent to the skew group algebra  $\mathbb{C}[Q_3] \rtimes \Gamma$ . We view this algebra as a non-commutative crepant resolution of the quotient singularity  $\mathbb{C}^3/\Gamma$ , and identify  $\mathfrak{M}_{r, k}^{\Gamma}$  as the moduli space for the non-commutative Donaldson–Thomas theory of  $\mathbb{C}^3/\Gamma$ .

**Remark 3.28** (Framing Symmetry) The quiver variety (3.26) is invariant under the framing rotations

$$h = (h_i)_{i \in Q_0^{\Gamma}} \in U(\vec{r}) \tag{3.29}$$

which act on the orbifold ADHM data as

$$h \cdot (B_e, I_i, Y_i)_{i \in Q_0^{\Gamma}, e \in Q_1^{\Gamma}} = (B_e, I_i h_i^{-1}, Y_i)_{i \in Q_0^{\Gamma}, e \in Q_1^{\Gamma}}. \tag{3.30}$$

The maximal torus of the global colour group  $U(\vec{r})$  is

$$T_{\vec{a}} = \prod_{i \in Q_0^{\Gamma}} T_{\vec{a}_i}, \tag{3.31}$$

where  $T_{\vec{a}_i}$  is the maximal torus of  $U(r_i)$ .

**Remark 3.32** (Trivial Orbifold) The McKay quiver associated with the action of the trivial group  $\Gamma = 1$  on  $\mathbb{C}^3$  is the three-loop quiver  $L_3$ :



$$\tag{3.33}$$

Its enhanced framed ADHM representation is

$$(3.34)$$

In this case the equations (3.15) and (3.16) reduce to the ADHM equations (2.4) of Sect. 2.2, and  $\mathfrak{M}_{r,k}^1$  is the moduli space  $\mathfrak{M}_{r,k}$  of rank  $r$  non-commutative  $k$ -instantons on  $\mathbb{C}^3$ . Note that (3.34) is identical to the framed ADHM quiver representation for  $SU(4)$ -instantons on  $\mathbb{C}^4$  [18]. This is not a coincidence; it will be explained through the introduction of tetrahedron instantons in Sect. 4.

### Stability and Quot schemes

A set of maps (3.10) and (3.11) is said to be *stable* if there are no proper  $\Gamma$ -submodules

$$S = \bigoplus_{i \in Q_0^\Gamma} S_i \otimes \rho_i^* \tag{3.35}$$

of  $V$  such that  $B_e(S_{S(e)}) \subset S_{t(e)}$ ,  $Y_i^\dagger(S_i) \subset S_i$  and  $\text{im}(I_i) \subset S_i$ , for all  $i \in Q_0^\Gamma$  and  $e \in Q_1^\Gamma$ . The stability condition is equivalent to the condition that the actions of the operators  $B_e$  and  $Y_i^\dagger$  for  $e \in Q_1^\Gamma$  and  $i \in Q_0^\Gamma$  on  $I'(W_i')$  generate the subspaces  $V_i''$ . Similarly to the proof of [5], we can show that the D-term equations  $\mu_i^{\mathbb{R}} = \zeta_i \mathbb{1}_{V_i}$  in (3.15) for generic Fayet–Iliopoulos parameters  $\zeta_i > 0$  can be traded for the stability condition.

Let  $\Pi_i$  be the orthogonal projection of  $V_i$  to the orthogonal complement  $S_i^\perp$  of the invariant subspace  $S_i \subset V_i$ , for each  $i \in Q_0^\Gamma$ . Then  $\Pi_i I_i = 0$ ,  $\Pi_{t(e)} B_e \Pi_{S(e)} = \Pi_{t(e)} B_e$  and  $\Pi_i Y_i^\dagger \Pi_i = \Pi_i Y_i^\dagger$ , so

$$\begin{aligned} 0 &\leq \sum_{i \in Q_0^\Gamma} \zeta_i \dim S_i^\perp = \sum_{i \in Q_0^\Gamma} \text{Tr}_{V_i}(\Pi_i \mu_i^{\mathbb{R}}) \\ &= \sum_{e \in Q_1^\Gamma} \text{Tr}_{V_{t(e)}}(\Pi_{t(e)} B_e B_e^\dagger - B_e \Pi_{S(e)} B_e^\dagger) + \sum_{i \in Q_0^\Gamma} \text{Tr}_{V_i}(\Pi_i Y_i^\dagger Y_i - \Pi_i Y_i Y_i^\dagger) \\ &= - \sum_{e \in Q_1^\Gamma} \|(\mathbb{1}_{V_{t(e)}} - \Pi_{t(e)}) B_e \Pi_{S(e)}\|_F^2 - \sum_{i \in Q_0^\Gamma} \|(\mathbb{1}_{V_i} - \Pi_i) Y_i^\dagger \Pi_i\|_F^2 \leq 0. \end{aligned} \tag{3.36}$$

This implies that  $S_i = V_i$  for all  $i \in Q_0^\Gamma$ .

The equations  $\mu^{\mathbb{C}} = 0$  from (3.16) arise as the complex moment map equations  $\mu_{ab}^{\mathbb{C}} = 0$  from (2.4) for the  $\Gamma$ -equivariant decomposition (3.18). Since the equations  $\mu_{ab}^{\mathbb{C}} = 0$  are equivalent to the commuting relations  $[B_a, B_b] = 0$  and  $[B_a, Y^\dagger] = 0$ , we can replace (3.16) with the equations

$$B \wedge B = 0 \quad \text{and} \quad \langle B^\dagger, Y \rangle_{Q_3} = \langle Y, B^\dagger \rangle_{Q_3} . \tag{3.37}$$

In particular, the second equation in (3.37) implies

$$B_e^\dagger Y_{t(e)} = Y_{s(e)} B_e^\dagger , \tag{3.38}$$

for all  $e \in Q_1^\Gamma$ . Then the relations (3.37) and the equations  $\sigma_i = 0$  from (3.15) enable us to restate the stability condition as the condition that the actions of the operators  $B_e$  for  $e \in Q_1^\Gamma$  on  $I^i(W_i)$  generate the subspaces  $V_j$ . As a consequence,  $Y_i^\dagger = 0$  for all  $i \in Q_0^\Gamma$ .

The quiver variety (3.26) may now be equivalently described as the non-commutative  $\Gamma$ -Quot scheme

$$\mathfrak{M}_{r, \vec{k}} \simeq \mu^{\mathbb{C}^{-1}}(0)^{\text{stable}} / G_{\vec{k}} , \tag{3.39}$$

where  $^{\text{stable}}$  designates the stable solutions of (3.15) with  $Y = 0$ , and

$$G_{\vec{k}} := \prod_{i \in Q_0^\Gamma} \text{GL}(k_i, \mathbb{C}) \tag{3.40}$$

is the complex gauge group of the  $\Gamma$ -module  $V$ , acting on the orbifold ADHM data as in (3.24). In this holomorphic description, the orbifold instanton moduli space  $\mathfrak{M}_{r, k}^\Gamma$  parametrizes zero-dimensional quotients of  $\mathcal{O}_{[\mathbb{C}^3/\Gamma]}^{\oplus r}$  with length  $k$ . When  $r = 1$  these correspond to properly supported substacks of the orbifold resolution  $[\mathbb{C}^3/\Gamma]$ , which may be regarded as zero-dimensional  $\Gamma$ -invariant closed subschemes of  $\mathbb{C}^3$ .

### 3.2 Non-effective orbifolds

The global symmetries of the cohomological gauge theory which are used to define equivariant instanton partition functions severely restrict the allowed  $\Gamma$ -actions. In order to preserve the holonomy,  $\Gamma$  must be a subgroup of  $U(3)$ , whereas to preserve the maximal torus  $T = T_{\vec{a}} \times T_{\vec{c}}$  it must commute with the action of the maximal torus  $T_{\vec{c}} \subset U(3)$ . These conditions force  $\Gamma$  to be an abelian diagonally embedded subgroup of  $U(3)$ , and if  $\Gamma \subset SU(3)$  it is of the form  $\Gamma = \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2}$  with order  $n = n_1 n_2$ . The orbifold instanton partition functions in this case have been thoroughly analysed in [43].

However, we can relax the condition that  $\Gamma$  is an embedded subgroup of the holonomy group and consider generic finite abelian groups  $\Gamma$  by defining the action of  $\Gamma$  on  $\mathbb{C}^3$  via a homomorphism  $\tau : \Gamma \longrightarrow U(3)$  whose image lies in the maximal torus  $T_{\vec{c}}$  ;



this provides a (not necessarily faithful) representation of  $\Gamma$  in the holonomy group. Even more generally, we can still define an equivariant gauge theory for any finite group  $\Gamma$  as long as the theory has a torus action commuting with the  $\Gamma$ -action, in order to enable the application of the virtual localization formula. The quotient stacks obtained from these more general quotients of  $\mathbb{C}^3$  yield ‘twisted’ orbifold resolutions, in a sense which we momentarily explain.

Let

$$\tau : \Gamma \longrightarrow \mathrm{U}(3) \tag{3.41}$$

be a homomorphism from a finite group  $\Gamma$  to the holonomy group. Although  $\Gamma$  is not necessarily a finite subgroup of  $\mathrm{U}(3)$ , the image  $\tau(\Gamma)$  is. To identify this subgroup, we note that the kernel

$$\mathrm{K}^\tau := \ker(\tau) \tag{3.42}$$

is a normal subgroup of  $\Gamma$ , and the First Isomorphism Theorem for groups implies

$$\tau(\Gamma) \simeq \Gamma / \mathrm{K}^\tau . \tag{3.43}$$

We write  $\Gamma^\tau \hookrightarrow \mathrm{U}(3)$  for the embedding of  $\Gamma / \mathrm{K}^\tau$  in the holonomy group by the isomorphism (3.43).

We can collect the finite groups introduced so far into a short exact sequence

$$1 \longrightarrow \mathrm{K}^\tau \longrightarrow \Gamma \longrightarrow \Gamma^\tau \longrightarrow 1 . \tag{3.44}$$

Whereas  $\Gamma^\tau$  acts effectively on  $\mathbb{C}^3$ , because it is represented faithfully in  $\mathrm{U}(3)$ , its extension  $\Gamma$  acts non-effectively, because the subgroup  $\mathrm{K}^\tau$  acts trivially on  $\mathbb{C}^3$  by construction. The extension (3.44) means that  $\Gamma$  acts on  $\mathbb{C}^3$  by first projecting to  $\Gamma^\tau$ , and the quotient stack  $[\mathbb{C}^3 / \Gamma]$  is a principal  $\mathrm{BK}^\tau$ -bundle, i.e. a  $\mathrm{K}^\tau$ -gerbe, over  $[\mathbb{C}^3 / \Gamma^\tau]$ ; the classifying stack

$$\mathrm{BK}^\tau = [1 / \mathrm{K}^\tau] \tag{3.45}$$

may be presented as the delooping groupoid  $\mathrm{K}^\tau \rightrightarrows 1$ . Since  $\tau(\Gamma) \subset \mathrm{U}(3)$ , it is a Kähler  $\mathrm{K}^\tau$ -gerbe.

To implement the quotient by the trivially acting kernel in (3.43), we take the semi-direct product of the groupoids presenting  $[\mathbb{C}^3 / \Gamma]$  and  $\mathrm{BK}^\tau$  [60]. Since the  $\mathrm{K}^\tau$ -action on  $\mathbb{C}^3$  is trivial, this is just the direct product  $[\mathbb{C}^3 / \Gamma] \times \mathrm{BK}^\tau$ , which has the same orbit space as the quotient stack  $[\mathbb{C}^3 / \Gamma^\tau]$ . Hence, we regard it as a ‘twisted’ orbifold resolution

$$\pi_{\mathrm{orb}}^{\mathrm{tw}} : [\mathbb{C}^3 / \Gamma] \times \mathrm{BK}^\tau \longrightarrow \mathbb{C}^3 / \Gamma^\tau \tag{3.46}$$

of the quotient singularity  $\mathbb{C}^3 / \Gamma^\tau$ .

The cohomological gauge theory on the non-effective orbifold  $[\mathbb{C}^3/\Gamma]$  is *not* the same as the theory on  $[\mathbb{C}^3/\Gamma^\tau]$ , even though the kernel  $K^\tau \subset \Gamma$  acts trivially on  $\mathbb{C}^3$ : gauging a non-effective group action is not equivalent to gauging an effective group action [61]. This will become evident in our ensuing constructions of quiver varieties below, as well as in explicit computations of orbifold instanton partition functions. If  $Z(K^\tau)$  denotes the centre of  $K^\tau$ , then the 2-group  $BZ(K^\tau)$  acts on  $BK^\tau$  and on  $[\mathbb{C}^3/\Gamma^\tau]$ . In the modern language of generalized global symmetries [62], in addition to  $\Gamma^\tau$ -equivariance, the fields of the non-effective orbifold theory are equivariant under a one-form symmetry corresponding to the action of  $BZ(K^\tau)$  by translations along the fibres of the  $K^\tau$ -gerbe  $[\mathbb{C}^3/\Gamma]$  over  $[\mathbb{C}^3/\Gamma^\tau]$ .

We interpret this theory as the orbifold Donaldson–Thomas theory of  $[\mathbb{C}^3/\Gamma^\tau]$  twisted by a  $K^\tau$ -gerbe, which computes the ordinary (untwisted) Donaldson–Thomas invariants of  $\mathbb{C}^3/\Gamma^\tau$  if and only if  $\tau$  is a monomorphism. This is supported by the general statement [63] that a sheaf on a gerbe is the same thing as a twisted sheaf on the underlying base. Whence a  $\Gamma$ -equivariant coherent sheaf on  $\mathbb{C}^3$  is a  $K^\tau$ -projectively  $\Gamma^\tau$ -equivariant coherent sheaf on  $\mathbb{C}^3$ . Similarly to [64], where the Donaldson–Thomas invariants of gerbes over projective Calabi–Yau orbifolds are studied in this setting, we shall find that the cohomological gauge theory on the  $K^\tau$ -gerbe  $[\mathbb{C}^3/\Gamma]$  is equivalent to a suitable twist of the cohomological gauge theory on a disjoint union of  $\#K^\tau$  copies of the base  $[\mathbb{C}^3/\Gamma^\tau]$ .

This picture is in agreement with the structure of boundary states of D-branes in non-effective orbifolds, which is discussed in [61, 63]. Although the subgroup  $K^\tau$  acts trivially on  $\mathbb{C}^3$ , in general  $K^\tau$  can act non-trivially on the Chan–Paton bundles, as in (3.21). This is consistent as long as one distinguishes boundary states in each of the twisted sectors corresponding to conjugacy classes in  $\widehat{K}^\tau$ . The combination of a trivial  $K^\tau$ -action on  $\mathbb{C}^3$  and a non-trivial  $K^\tau$ -action on the Chan–Paton bundles means that the worldvolume theories of D-branes on the non-effective orbifold support twisted gauge bundles, as in the more familiar cases of D-branes in flat non-trivial  $B$ -field backgrounds [65].

**Remark 3.47** (Banded Gerbes) If  $\Gamma$  is a central extension of  $\Gamma^\tau$ , then  $Z(K^\tau) = K^\tau$  and  $[\mathbb{C}^3/\Gamma] \rightarrow [\mathbb{C}^3/\Gamma^\tau]$  is a *banded*  $K^\tau$ -gerbe. It is trivial if and only if the principal  $\Gamma^\tau$ -bundle  $\mathbb{C}^3 \rightarrow [\mathbb{C}^3/\Gamma^\tau]$  has a lift to a principal  $\Gamma$ -bundle on  $[\mathbb{C}^3/\Gamma^\tau]$  [63].

**Notation 3.48** We write  $\Gamma_{\text{ab}}$  for a general finite abelian group. It takes the form

$$\Gamma_{\text{ab}} = \prod_{i=1}^p \mathbb{Z}_{n_i}, \tag{3.49}$$

with order  $n = n_1 \cdots n_p$ . The set  $\widehat{\Gamma}_{\text{ab}}$  is also an abelian group, isomorphic to  $\Gamma_{\text{ab}}$ , under the tensor product of irreducible representations.

If we use a homomorphism  $\tau$  to represent the action of a finite non-abelian group  $\Gamma$  on  $\mathbb{C}^3$ , then there are two possible classes of groups which are of the form

$$\Gamma_m = \Upsilon_m \times \Gamma_{\text{ab}}, \tag{3.50}$$

where  $\Upsilon_m$  is a finite non-abelian subgroup of  $SU(m)$  for  $m = 2, 3$ . We call the corresponding twisted orbifold resolution of the quotient singularity  $\mathbb{C}^3/\Gamma_m$  an  $SU(m) \times$  *abelian orbifold*. In contrast to the abelian orbifolds based on  $\Gamma_{ab}$  alone, neither of these orbifold actions commute with the maximal torus  $T_{\bar{e}}$ .

In general, the maximal torus is broken to the centralizer  $C^\tau$  of the image of  $\Gamma$  in  $T_{\bar{e}}$  under the homomorphism  $\tau$ . The maximal torus of the equivariant gauge theory thus becomes

$$T^\tau = T_{\bar{a}} \times C^\tau . \tag{3.51}$$

We proceed to study each of the three cases of Notation 3.48 in turn.

### Abelian orbifolds

Since the irreducible representations of an abelian group are all one-dimensional, the most general representation of  $\Gamma_{ab}$  in  $U(3)$  which commutes with the maximal torus  $T_{\bar{e}}$  is through a homomorphism  $\tau_{\vec{s}} : \Gamma_{ab} \rightarrow U(3)$  specified by a triple of weights  $\vec{s} = (s_1, s_2, s_3)$ . It is defined by

$$\tau_{\vec{s}}(\Gamma_{ab}) = \rho_{s_1}(\Gamma_{ab}) \times \rho_{s_2}(\Gamma_{ab}) \times \rho_{s_3}(\Gamma_{ab}) \subset U(1)^{\times 3} \subset U(3) , \tag{3.52}$$

where  $\rho_s : \Gamma_{ab} \rightarrow U(1)$  is the unitary irreducible representation of  $\Gamma_{ab}$  with weight  $s$ . This defines the action of  $\Gamma_{ab}$  on  $\mathbb{C}^3$  as the three-dimensional  $\Gamma_{ab}$ -module

$$Q_3^{\vec{s}} = \rho_{s_1} \oplus \rho_{s_2} \oplus \rho_{s_3} . \tag{3.53}$$

The kernel of  $\tau_{\vec{s}}$  is the subgroup of  $\Gamma_{ab}$  given by

$$K^{\vec{s}} := \ker(\tau_{\vec{s}}) = \ker(\rho_{s_1}) \cap \ker(\rho_{s_2}) \cap \ker(\rho_{s_3}) \tag{3.54}$$

The McKay quivers  $Q^{\tau_{\vec{s}}}(\Gamma_{ab})$  are built similarly to the construction in Sect. 3.1. To each irreducible representation of  $\Gamma_{ab}$ , we associate a vertex  $s \in \widehat{\Gamma}_{ab}$ . Using

$$\rho_s \otimes \rho_{s'} \simeq \rho_{s+s'} \quad \text{and} \quad \rho_s^* \simeq \rho_{-s} , \tag{3.55}$$

the number  $a_{s,s'}$  of arrows connecting vertex  $s$  to vertex  $s'$  is determined by the tensor product decomposition of  $\Gamma_{ab}$ -modules

$$Q_3^{\vec{s}} \otimes \rho_s = \rho_{s_1+s} \oplus \rho_{s_2+s} \oplus \rho_{s_3+s} \tag{3.56}$$

to be

$$a_{ss'} = \delta_{s',s+s_1} + \delta_{s',s+s_2} + \delta_{s',s+s_3} . \tag{3.57}$$

**Example 3.58** Let  $\Gamma_{\text{ab}} = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$  be represented in  $\text{SO}(3) \subset \text{U}(3)$  as

$$\tau_{\bar{s}}(\mathbb{Z}_2^{\times 3}) = \rho_{(1,0,0)}(\mathbb{Z}_2^{\times 3}) \times \rho_{(0,1,0)}(\mathbb{Z}_2^{\times 3}) \times \rho_{(1,1,0)}(\mathbb{Z}_2^{\times 3}), \tag{3.59}$$

with

$$\rho_{(l_1,l_2,l_3)}(\xi_2^{n_1}, \xi_2^{n_2}, \xi_2^{n_3}) = e^{\pi i(n_1 l_1 + n_2 l_2 + n_3 l_3)}, \tag{3.60}$$

where  $\xi_2$  is the generator of  $\mathbb{Z}_2$  and  $l_i, n_i \in \{0, 1\}$ . The kernel of  $\tau_{\bar{s}}$  is

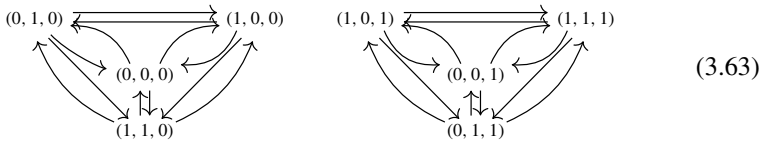
$$\mathbb{K}^{\bar{s}} = \mathbb{Z}_2, \tag{3.61}$$

generated by  $(1, 1, \xi_2) \in \mathbb{Z}_2^{\times 3}$ . It follows from (3.43) that the image of  $\tau_{\bar{s}}$

$$(\mathbb{Z}_2^{\times 3})^{\bar{s}} \simeq \mathbb{Z}_2 \times \mathbb{Z}_2 \tag{3.62}$$

is the Klein four-group in  $\text{SO}(3)$ , generated by  $(\xi_2, 1, 1)$  and  $(1, \xi_2, 1)$ .

The generalized McKay quiver  $\mathbb{Q}^{\tau_{\bar{s}}(\mathbb{Z}_2^{\times 3})}$  is the disconnected quiver



Thus,

$$\mathbb{Q}^{\tau_{\bar{s}}(\mathbb{Z}_2^{\times 3})} = \mathbb{Q}^{\mathbb{Z}_2^{\times 2}} \sqcup \mathbb{Q}^{\mathbb{Z}_2^{\times 2}}, \tag{3.64}$$

where  $\mathbb{Q}^{\mathbb{Z}_2^{\times 2}}$  is the McKay quiver for the toric Calabi–Yau three-orbifold  $\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2$  considered in e.g.[43, Sect. 6]. This represents the twisted orbifold resolution

$$\pi_{\text{orb}}^{\text{tw}} : [\mathbb{C}^3 / \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2] \times \mathbb{B}\mathbb{Z}_2 \longrightarrow \mathbb{C}^3 / \mathbb{Z}_2 \times \mathbb{Z}_2. \tag{3.65}$$

Since  $\tau_{\bar{s}}(\Gamma_{\text{ab}})$  commutes with  $T_{\bar{\epsilon}} = \text{U}(1)^{\times 3}$ , the maximal torus of the equivariant gauge theory is unbroken and is again

$$T = T_{\bar{a}} \times T_{\bar{\epsilon}}. \tag{3.66}$$

We study this gauge theory in detail in Sect. 3.3.

**SU(2) × Abelian orbifolds**

Let  $\Gamma_2 = \Upsilon_2 \times \Gamma_{ab}$ , where  $\Upsilon_2$  is a finite non-abelian subgroup of  $SU(2)$  acting on  $\mathbb{C}^2$  in the fundamental representation  $\mathcal{Q}_2$ . Let  $\Gamma_2$  act on  $\mathbb{C}^3$  via the homomorphism  $\tau_{\vec{s}} : \Gamma_2 \rightarrow U(3)$  defined by

$$\tau_{\vec{s}}(\Gamma_2) = (\Upsilon_2 \times \rho_{s_1}(\Gamma_{ab})) \times \rho_{s_2}(\Gamma_{ab}) \subset U(2) \times U(1) \subset U(3), \tag{3.67}$$

where  $\vec{s} = (s_1, s_2)$  and as before  $\rho_s : \Gamma_{ab} \rightarrow U(1)$  is the unitary irreducible representation of  $\Gamma_{ab}$  with weight  $s$ . This defines the action of  $\Gamma_2$  on  $\mathbb{C}^3$  as the three-dimensional  $\Gamma_2$ -module

$$\mathcal{Q}_3^{\vec{s}} = (\mathcal{Q}_2 \otimes \rho_{s_1}) \oplus (\lambda_0 \otimes \rho_{s_2}), \tag{3.68}$$

where  $\lambda_0$  is the trivial one-dimensional representation of  $\Upsilon_2$ . The kernel of  $\tau_{\vec{s}}$  is the normal subgroup

$$K^{\vec{s}} := \ker(\tau_{\vec{s}}) = \{(g, \xi) \in \Upsilon_2 \times \Gamma_{ab} \mid g = \rho_{-s_1}(\xi) \mathbb{1}_2 \in \Upsilon_2, \xi \in \ker(\rho_{s_2})\} \tag{3.69}$$

of  $\Gamma_2$ .

When  $\vec{s} = (0, 0)$ , the kernel is  $K^{(0,0)} = \mathbb{1}_2 \times \Gamma_{ab}$  and the McKay quiver  $Q^{\tau_{(0,0)}}(\Gamma_2) = Q^{\Upsilon_2}$  is constructed in Example 3.19 as the double of an oriented affine Dynkin diagram  $\text{Dynk}_{\Upsilon_2}$  of type ADE.

When  $\vec{s} \neq (0, 0)$ , the associated McKay quiver  $Q^{\tau_{\vec{s}}}(\Gamma_2)$  is formed from  $\#\Gamma_{ab}$  copies of the vertices of the McKay quiver  $Q^{\Upsilon_2}$ , one for each irreducible representation  $\rho_s$  of  $\Gamma_{ab}$ . An irreducible representation

$$\mathcal{R}_{(i,s)} = \lambda_i \otimes \rho_s \tag{3.70}$$

of  $\Gamma_2$  is labelled by a pair  $(i, s)$ , where  $i \in Q_0^{\Upsilon_2}$  labels an irreducible representation  $\lambda_i$  of  $\Upsilon_2$  and  $s \in \widehat{\Gamma}_{ab}$ . The number  $a_{(i,s)(i',s')}$  of arrows from the vertex  $(i, s)$  to the vertex  $(i', s')$  in  $Q^{\tau_{\vec{s}}}(\Gamma_2)$  is determined by the tensor product decomposition of  $\Gamma_2$ -modules

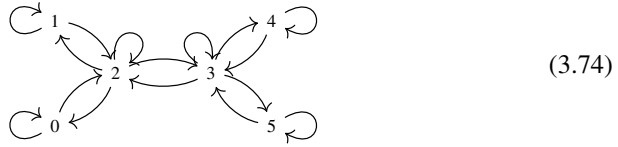
$$\mathcal{Q}_3^{\vec{s}} \otimes \mathcal{R}_{(i,s)} = \bigoplus_{i' \in Q_0^{\Upsilon_2}} a_{i'i}^{\Upsilon_2} \mathcal{R}_{(i',s_1+s)} \oplus \mathcal{R}_{(i,s_2+s)}, \tag{3.71}$$

where  $A_{\Upsilon_2} = (a_{i'i}^{\Upsilon_2})$  is the adjacency matrix of the simply laced extended Dynkin diagram corresponding to  $\Upsilon_2$ . Thus,

$$a_{(i,s)(i',s')} = a_{i'i}^{\Upsilon_2} \delta_{s',s+s_1} + \delta_{i',i} \delta_{s',s+s_2}. \tag{3.72}$$

**Example 3.73** Let  $\Upsilon_2 = \mathbb{S}_3^* \subset SU(2)$  be the generalized quaternion group of order 12; this is the binary extension of the symmetric group  $\mathbb{S}_3 \subset SO(3)$  of degree three,

which corresponds to the dihedral group  $\mathbb{D}_3 = \mathbb{Z}_3 \rtimes \mathbb{Z}_2$  of the triangle in the ADE classification. It has a pair of two-dimensional irreducible representations,  $\lambda_2 = Q_2$  and  $\lambda_3$ , and four one-dimensional irreducible representations,  $\lambda_0, \lambda_1, \lambda_4$  and  $\lambda_5$ . Given an orientation for the affine Dynkin diagram of type  $D_5$ , the McKay quiver  $Q^{\mathbb{S}_3^*}$  is



Let  $\Gamma_2 = \mathbb{S}_3^* \times \mathbb{Z}_2$ , acting on  $\mathbb{C}^3$  as in (3.67) with weights  $s_1 = 1$  and  $s_2 = 0$ . The kernel of  $\tau_{(1,0)}$  is

$$K^{(1,0)} = \mathbb{Z}_2 \times \mathbb{Z}_2, \tag{3.75}$$

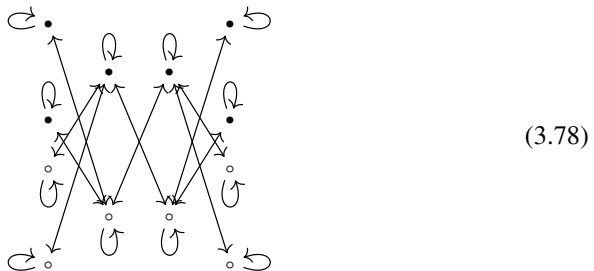
embedded as the central subgroup  $\{\pm 1_2\} \times \mathbb{Z}_2 \subset \mathbb{S}_3^* \times \mathbb{Z}_2$ . It follows from (3.43) that the image of  $\tau_{(1,0)}$  is given by

$$(\mathbb{S}_3^* \times \mathbb{Z}_2)^{(1,0)} \simeq \mathbb{S}_3, \tag{3.76}$$

where  $\mathbb{S}_3 = \mathbb{S}_3^* / \mathbb{Z}_2$  under the double covering

$$\begin{array}{ccc} \mathbb{S}_3^* & \hookrightarrow & \text{SU}(2) \\ \downarrow & & \downarrow \\ \mathbb{S}_3 & \hookrightarrow & \text{SO}(3) \end{array} \tag{3.77}$$

The McKay quiver  $Q^{\tau_{(1,0)}(\mathbb{S}_3^* \times \mathbb{Z}_2)}$  is



where the empty (filled) vertices carry the irreducible representation  $\rho_0$  ( $\rho_1$ ) of  $\mathbb{Z}_2$ . This represents the twisted orbifold resolution

$$\pi_{\text{orb}}^{\text{tw}} : [\mathbb{C}^3 / \mathbb{S}_3^* \times \mathbb{Z}_2] \times \mathbb{B} \mathbb{Z}_2^{\times 2} \longrightarrow \mathbb{C}^3 / \mathbb{S}_3 \tag{3.79}$$

of the dihedral singularity  $\mathbb{C}^3 / \mathbb{S}_3$ , whose standard non-commutative Donaldson–Thomas theory is studied in [66].

Indeed, the quiver (3.78) is a four-cover lift of the McKay quiver  $Q^{\mathbb{S}_3}$ :



which can also be obtained from (3.74) by removing representations of  $SU(2)$  which are not pullbacks of representations of  $SO(3)$  by (3.77).

The centralizer of  $\tau_{\vec{s}}(\Gamma_2)$  is

$$C^{\vec{s}} = U(1)_{\vec{\epsilon}}^{\times 2} \subset T_{\vec{\epsilon}} = U(1)^{\times 3}, \tag{3.81}$$

consisting of diagonal matrices

$$\begin{pmatrix} t_1 & & \\ & \mathbb{1}_2 & \\ & & t_2 \end{pmatrix}, \tag{3.82}$$

where  $\vec{\epsilon} = (\epsilon_1, \epsilon_2)$  are the equivariant parameters and  $t_a = e^{i\epsilon_a}$ . It follows that the maximal torus of the equivariant gauge theory is broken to

$$T^{\vec{s}} = T_{\vec{a}} \times U(1)_{\vec{\epsilon}}^{\times 2}. \tag{3.83}$$

In the notation of Example 3.19, the action of  $U(1)_{\vec{\epsilon}}^{\times 2}$  on the ADHM data  $(B, \bar{B}, L, I, Y)$  is

$$(B, \bar{B}, L, I, Y) \longmapsto (t_1^{-1} B, t_1^{-1} \bar{B}, t_2^{-1} L, I, t_1^{-2} t_2^{-1} Y). \tag{3.84}$$

**Remark 3.85 (SU(3)-Holonomy)** Restricting the holonomy to  $SU(3) \subset U(3)$  imposes the constraint

$$\rho_{2s_1}(\xi) \rho_{s_2}(\xi) = 1, \tag{3.86}$$

for all  $\xi \in \Gamma_{ab}$ . This implies  $2s_1 + s_2 = 0$ . In this sector of the equivariant gauge theory the centralizer is broken to  $U(1)_{\epsilon}$  with  $\epsilon := \epsilon_2 = -2\epsilon_1$ .

**SU(3) × Abelian orbifolds**

Let  $\Gamma_3 = \Upsilon_3 \times \Gamma_{ab}$ , where  $\Upsilon_3$  is a finite non-abelian subgroup of  $SU(3)$  acting on  $\mathbb{C}^3$  in the fundamental representation  $Q_3$ . Let  $\Gamma_3$  act on  $\mathbb{C}^3$  by the homomorphism  $\tau_{\vec{s}} : \Gamma_3 \longrightarrow U(3)$  defined by

$$\tau_{\vec{s}}(\Gamma_3) = \Upsilon_3 \times \rho_{\vec{s}}(\Gamma_{ab}) \subset U(3). \tag{3.87}$$

This defines the action of  $\Gamma_3$  on  $\mathbb{C}^3$  as the three-dimensional  $\Gamma_3$ -module

$$Q_3^{\bar{s}} = Q_3 \otimes \rho_{\bar{s}}. \tag{3.88}$$

The kernel of  $\tau_{\bar{s}}$  is the normal subgroup of  $\Gamma_3$  given by

$$K^{\bar{s}} := \ker(\tau_{\bar{s}}) = \{(g, \xi) \in \Upsilon_3 \times \Gamma_{\text{ab}} \mid g = \rho_{-\bar{s}}(\xi) \mathbb{1}_3 \in \Upsilon_3\} \tag{3.89}$$

The McKay quiver  $Q^{\tau_{\bar{s}}(\Gamma_3)}$  is constructed in a completely analogous way to the McKay quivers for the  $SU(2) \times$  abelian orbifolds above, starting from the general construction of the McKay quivers  $Q^{\Upsilon_3}$  for finite subgroups  $\Upsilon_3 \subset SU(3)$  discussed in Sect. 3.1. Again there are  $\#\Gamma_{\text{ab}}$  copies of the vertices of  $Q^{\Upsilon_3}$ , labelled by  $(i, s) \in Q_0^{\Upsilon_3} \times \widehat{\Gamma}_{\text{ab}}$ . The number of arrows  $a_{(i,s)(i',s')}$  from the vertex  $(i, s)$  to the vertex  $(i', s')$  in  $Q^{\tau_{\bar{s}}(\Gamma_3)}$  is given by

$$a_{(i,s)(i',s')} = a_{ii'}^{\Upsilon_3} \delta_{s',s+\bar{s}}, \tag{3.90}$$

where  $A\Upsilon_3 = (a_{ii'}^{\Upsilon_3})$  is the adjacency matrix of  $Q^{\Upsilon_3}$ .

The centralizer of  $\tau_{\bar{s}}(\Gamma_3)$  is

$$C^{\bar{s}} = U(1)_\epsilon \subset T_{\bar{e}}, \tag{3.91}$$

consisting of diagonal matrices  $t \mathbb{1}_3$  where  $t = e^{i\epsilon}$ . The maximal torus of the equivariant gauge theory is thereby broken to

$$T^{\bar{s}} = T_{\bar{a}} \times U(1)_\epsilon. \tag{3.92}$$

In the notation of Sect. 3.1, the torus  $U(1)_\epsilon$  acts on the ADHM data  $(B, I, Y)$  as

$$(B, I, Y) \longmapsto (t^{-1} B, I, t^{-3} Y). \tag{3.93}$$

Unlike the previous case of  $SU(2) \times$  abelian orbifolds, it is not possible to define a twisted Calabi–Yau quotient stack for the cohomological gauge theory. Indeed, if the holonomy group were reduced to  $SU(3)$ , then the centralizer would be  $\mathbb{Z}_3$ , and the only  $\mathbb{Z}_3$ -fixed point is  $B = Y = 0$ .

### 3.3 Abelian orbifold partition functions

In the remainder of this section, we define and evaluate the instanton partition functions for the twisted quotient stacks  $[\mathbb{C}^3 / \Gamma_{\text{ab}}] \times \text{BK}^{\bar{s}}$ , where  $\Gamma_{\text{ab}}$  is a generic finite abelian group acting on  $\mathbb{C}^3$  by the homomorphism  $\tau_{\bar{s}} : \Gamma_{\text{ab}} \longrightarrow U(3)$  defined in (3.52). Then, the image  $\tau_{\bar{s}}(\Gamma_{\text{ab}})$  commutes with  $T_{\bar{e}}$ , and both the holonomy group  $U(3)$  as well as the maximal torus  $T = T_{\bar{a}} \times T_{\bar{e}}$  are preserved. This generalizes the cases where  $\tau_{\bar{s}}$  is



a monomorphism ( $K^{\bar{s}} = \mathbb{1}$ ) and  $\Gamma_{\text{ab}}$  is a finite abelian subgroup of  $SL(3, \mathbb{C})$ , which were exhaustively discussed in [43].

We do not treat the non-abelian  $SU(m) \times$  abelian orbifolds in this section. They will appear in Sect. 5 as special cases in our treatment of tetrahedron instantons on orbifolds.

### Moduli spaces

We follow closely the construction of quiver varieties from Sect. 3.1, except that now we carefully relax the Calabi–Yau condition of  $SU(3)$  holonomy by using the isomorphisms of  $\Gamma_{\text{ab}}$ -modules

$$\wedge^2 Q_{\bar{3}} \simeq \rho_{s_{12}} \oplus \rho_{s_{13}} \oplus \rho_{s_{23}} \quad \text{and} \quad \wedge^3 Q_{\bar{3}} \simeq \rho_{s_{123}}, \tag{3.94}$$

where we introduced the shorthand notation

$$s_{ab\dots} = s_a + s_b + \dots \tag{3.95}$$

The vertices of the McKay quiver  $Q^{\tau_{\bar{s}}}(\Gamma_{\text{ab}})$  are labelled by the weights  $s \in \widehat{\Gamma}_{\text{ab}}$  of irreducible representations  $\rho_s$  of  $\Gamma_{\text{ab}}$ , while the edge structure is given by (3.57).

It follows that the isotypical components of the ADHM data  $(B, I, Y)$  are linear maps

$$B_a^s : V_s \longrightarrow V_{s+s_a}, \quad I^s : W_s \longrightarrow V_s \quad \text{and} \quad Y^s : V_s \longrightarrow V_{s+s_{123}}, \tag{3.96}$$

for  $a \in \underline{3}$ . In the quiver picture, the maps  $(B_a^s, Y^s, I^s)_{s \in \widehat{\Gamma}_{\text{ab}}, a \in \underline{3}}$  constitute the field content of the quiver variety  $\mathfrak{M}_{\vec{\tau}, \vec{k}}$ . They are required to satisfy the  $\Gamma_{\text{ab}}$ -equivariant version of the ADHM equations (2.4), where the isotypical components of  $(\mu^{\mathbb{C}}, \mu^{\mathbb{R}}, \sigma)$  are linear maps

$$\mu_{ab}^{\mathbb{C}S} : V_s \longrightarrow V_{s+s_{ab}}, \quad \mu^{\mathbb{R}S} : V_s \longrightarrow V_s \quad \text{and} \quad \sigma^S : V_s \longrightarrow W_{s+s_{123}}, \tag{3.97}$$

for  $(a, b) \in \underline{3}^{\perp}$ .

The component equations then read

$$\begin{aligned} \mu_{ab}^{\mathbb{C}S} &= B_a^{s+s_b} B_b^s - B_b^{s+s_a} B_a^s - \frac{1}{2} \varepsilon_{abc} (B_c^{s+s_{ab}^\dagger} Y^s - Y^{s-s_c} B_c^{s-s_c^\dagger}) = 0, \\ \mu^{\mathbb{R}S} &= \sum_{a \in \underline{3}} (B_a^{s-s_a} B_a^{s-s_a^\dagger} - B_a^{s^\dagger} B_a^s) + Y^{s^\dagger} Y^s - Y^{s-s_{123}} Y^{s-s_{123}^\dagger} + I^s I^{s^\dagger} = \zeta_s \mathbb{1}_{V_s}, \\ \sigma^S &= I^{s+s_{123}^\dagger} Y^s = 0. \end{aligned} \tag{3.98}$$

These equations are invariant under the action of unitary automorphisms  $g \in U(\vec{k})$  given by

$$g \cdot (B_a^s, I^s, Y^s)_{s \in \widehat{\Gamma}_{ab}, a \in \underline{3}} = (g_{s+s_a} B_a^s g_s^{-1}, g_s I^s, g_{s+s_{123}} Y^s g_s^{-1})_{s \in \widehat{\Gamma}_{ab}, a \in \underline{3}}. \tag{3.99}$$

As in Sect. 3.1, the D-term relation can be traded for a stability condition.

The maximal torus  $T_{\vec{a}}$  of the usual framing symmetry from Remark 3.28 gives rise to an equivariant decomposition of the Coulomb moduli  $\vec{a} = (a_1, \dots, a_r) = (\vec{a}_s)_{s \in \widehat{\Gamma}_{ab}}$ , which associates  $r_s = \dim W_s$  parameters  $\vec{a}_s$  to the irreducible representation  $\rho_s$ . This defines a map

$$s : \{1, \dots, r\} \longrightarrow \widehat{\Gamma}_{ab}, \quad l \longmapsto s(l). \tag{3.100}$$

### Fixed points and coloured plane partitions

Since the actions of  $\Gamma_{ab}$  and  $T$  commute, the  $T$ -fixed points of the moduli space  $\mathfrak{M}_{r,k}^{\Gamma_{ab}}$  are all isolated and are in one-to-one correspondence with arrays of plane partitions  $\vec{\pi} = (\pi_1, \dots, \pi_r)$  of size  $k$ . Each plane partition  $\pi_l$  is coloured according to the  $\Gamma_{ab}$ -colouring defined through the homomorphism  $\tau_{\vec{s}}$  and the isomorphism  $\widehat{\Gamma}_{ab} \simeq \Gamma_{ab}$  of finite abelian groups by

$$\mathbb{Z}_{\geq 0}^{\oplus 3} \longrightarrow \widehat{\Gamma}_{ab}, \quad \vec{n} = (n_1, n_2, n_3) \longmapsto \rho_{s_1}^{\otimes n_1} \otimes \rho_{s_2}^{\otimes n_2} \otimes \rho_{s_3}^{\otimes n_3}, \tag{3.101}$$

where the box of  $\pi_l$  situated at  $\vec{p} \in \mathbb{Z}_{>0}^3$  carries an irreducible representation of the orbifold group  $\Gamma_{ab}$  given by

$$\rho_l; \vec{p} := \rho_{s(l)} \otimes \rho_{s_1}^{\otimes (p_1-1)} \otimes \rho_{s_2}^{\otimes (p_2-1)} \otimes \rho_{s_3}^{\otimes (p_3-1)}. \tag{3.102}$$

When  $\vec{\pi} \in \mathfrak{M}_{r,k}^T$ , the total number of boxes of colour  $\rho_s$  in  $\vec{\pi}$  for each  $s \in \widehat{\Gamma}_{ab}$  is the fractional instanton number  $|\vec{\pi}|_s = k_s$ .

The instanton deformation complex for the quiver variety  $\mathfrak{M}_{r,k}$  at a fixed point  $\vec{\pi} \in \mathfrak{M}_{r,k}^T$  is the  $\Gamma_{ab}$ -equivariant version of the complex (2.28) given by

$$\begin{array}{ccc} \text{Hom}_{\Gamma_{ab}}(V_{\vec{\pi}}, V_{\vec{\pi}} \otimes Q_3^{\vec{s}}) & & \\ \oplus & & \\ \text{End}_{\Gamma_{ab}}(V_{\vec{\pi}}) \xrightarrow{d_1^{\Gamma_{ab}}} \text{Hom}_{\Gamma_{ab}}(W_{\vec{\pi}}, V_{\vec{\pi}}) & \xrightarrow{d_2^{\Gamma_{ab}}} & \text{Hom}_{\Gamma_{ab}}(V_{\vec{\pi}}, V_{\vec{\pi}} \otimes \wedge^2 Q_3^{\vec{s}}) \\ \oplus & & \oplus \\ \text{Hom}_{\Gamma_{ab}}(V_{\vec{\pi}}, V_{\vec{\pi}} \otimes \wedge^3 Q_3^{\vec{s}}) & & \text{Hom}_{\Gamma_{ab}}(V_{\vec{\pi}}, W_{\vec{\pi}} \otimes \wedge^3 Q_3^{\vec{s}}) \end{array}, \tag{3.103}$$

where the map  $d_1^{\Gamma_{ab}}$  is an infinitesimal  $G_{\vec{k}}$  gauge transformation, and  $d_2^{\Gamma_{ab}}$  is the linearization of the complex ADHM equations in (3.98).

The instanton partition function is obtained by computing the character of the complex (3.103). Since  $\tau_{\vec{s}}(\Gamma_{\text{ab}}) \subset T_{\vec{e}}$ , this may be calculated by taking the  $\Gamma_{\text{ab}}$ -invariant part of the character (2.30), with the weight decomposition

$$Q_{\vec{s}}^{\vec{e}} = t_1^{-1} \rho_{s_1} + t_2^{-1} \rho_{s_2} + t_3^{-1} \rho_{s_3} \tag{3.104}$$

in the representation ring of  $T_{\vec{e}} \times \Gamma_{\text{ab}}$ . It reads as

$$\begin{aligned} \text{ch}_{\mathbb{T}}^{\Gamma_{\text{ab}}}(T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{\vec{r}, \vec{k}}) &= \left[ W_{\vec{\pi}}^* \otimes V_{\vec{\pi}} - \frac{V_{\vec{\pi}}^* \otimes W_{\vec{\pi}}}{t_1 t_2 t_3} \rho_{s_{123}} \right. \\ &\quad \left. + V_{\vec{\pi}}^* \otimes V_{\vec{\pi}} \frac{(1 - t_1 \rho_{s_1}^*) (1 - t_2 \rho_{s_2}^*) (1 - t_3 \rho_{s_3}^*)}{t_1 t_2 t_3} \rho_{s_{123}} \right]^{\Gamma_{\text{ab}}} . \end{aligned} \tag{3.105}$$

The decompositions of the vector spaces  $V$  and  $W$  at the fixed point  $\vec{\pi} \in \mathfrak{M}_{\vec{r}, \vec{k}}^{\mathbb{T}}$  are given by

$$V_{\vec{\pi}} = \sum_{l=1}^r e_l \sum_{\vec{p} \in \pi_l} t_1^{p_1-1} t_2^{p_2-1} t_3^{p_3-1} \otimes \rho_{l; \vec{p}}^* \quad \text{and} \quad W_{\vec{\pi}} = \sum_{l=1}^r e_l \otimes \rho_{s(l)}^* , \tag{3.106}$$

as elements of the representation ring of the group  $\mathbb{T} \times \Gamma_{\text{ab}}$ . The character (3.105) is evaluated by projecting onto the trivial representation  $\rho_0$ , leaving an element in the representation ring of  $\mathbb{T}$ .

### Equivariant generating functions

The full instanton partition function is defined as

$$Z_{[\mathbb{C}^3/\Gamma_{\text{ab}}] \times \text{BK}^{\vec{s}}}^{\vec{e}}(\vec{q}; \vec{a}, \vec{\epsilon}) = \sum_{\vec{k} \in \mathbb{Z}_{\geq 0}^{\# \Gamma_{\text{ab}}}} \vec{q}^{\vec{k}} Z_{[\mathbb{C}^3/\Gamma_{\text{ab}}] \times \text{BK}^{\vec{s}}}^{\vec{r}, \vec{k}}(\vec{a}, \vec{\epsilon}) , \tag{3.107}$$

where  $\vec{q} = (q_s)_{s \in \widehat{\Gamma}_{\text{ab}}}$  is a set of fugacities for the fractional instanton sectors  $\vec{k} = (k_s)_{s \in \widehat{\Gamma}_{\text{ab}}}$  with

$$\vec{q}^{\vec{k}} := \prod_{s \in \widehat{\Gamma}_{\text{ab}}} q_s^{k_s} . \tag{3.108}$$

The equivariant partition function for the quiver variety  $\mathfrak{M}_{\vec{r}, \vec{k}}$  is given by

$$\begin{aligned}
 Z_{[\mathbb{C}^3/\Gamma_{\text{ab}}] \times \text{BK}^{\vec{s}}}^{\vec{r}, \vec{k}}(\vec{a}, \vec{\epsilon}) &:= \sum_{\vec{\pi} \in \mathfrak{M}_{\vec{r}, \vec{k}}^{\Gamma}} \widehat{\text{ch}}[-\text{ch}_{\Gamma}^{\Gamma_{\text{ab}}}(T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{\vec{r}, \vec{k}})] \\
 &= \sum_{\vec{\pi} \in \mathfrak{M}_{\vec{r}, \vec{k}}^{\Gamma}} \prod_{l=1}^r \prod_{\vec{p}_l \in \pi_l}^{\neq 0} \frac{P_r \circ \delta_0^{\Gamma_{\text{ab}}}(-a_l - \vec{p}_l \cdot \vec{\epsilon} | \epsilon_{123} - \vec{a})}{P_r \circ \delta_0^{\Gamma_{\text{ab}}}(a_l + \vec{p}_l \cdot \vec{\epsilon} | \vec{a})} \\
 &\quad \times \prod_{l'=1}^r \prod_{\vec{p}'_{l'} \in \pi_{l'}}^{\neq 0} R \circ \delta_0^{\Gamma_{\text{ab}}}(a_l - a_{l'} + (\vec{p}_l - \vec{p}'_{l'}) \cdot \vec{\epsilon} | \vec{\epsilon}),
 \end{aligned}
 \tag{3.109}$$

where the operation  $\delta_0^{\Gamma_{\text{ab}}}$  acts on a combination of equivariant parameters  $x$  as the identity if  $x$  is associated with the trivial representation  $\rho_0$  and returns 1 otherwise; for example

$$\delta_0^{\Gamma_{\text{ab}}}(a_l - a_{l'} + (\vec{p}_l - \vec{p}'_{l'}) \cdot \vec{\epsilon}) = \begin{cases} a_l - a_{l'} + (\vec{p}_l - \vec{p}'_{l'}) \cdot \vec{\epsilon} & \text{if } \rho_l; \vec{p} \otimes \rho_{l'}^*; \vec{p}' \simeq \rho_0, \\ 1 & \text{otherwise.} \end{cases}
 \tag{3.110}$$

**Example 3.111** Let  $\Gamma_{\text{ab}} = \mathbb{Z}_2 \times \mathbb{Z}_2 = \{1, g_1, g_2, g_3\}$  be the Klein four-group represented faithfully in  $\text{SO}(3) \subset \text{U}(3)$  by the matrices

$$g_1 = \begin{pmatrix} -1 & & \\ & -1 & \\ & & 1 \end{pmatrix} \quad \text{and} \quad g_2 = \begin{pmatrix} -1 & & \\ & 1 & \\ & & -1 \end{pmatrix},
 \tag{3.112}$$

together with  $g_3 = g_1 g_2$ . The four irreducible representations  $\widehat{\Gamma}_{\text{ab}} = \{\rho_0, \rho_1, \rho_2, \rho_3\}$  have weights  $s_0 = (0, 0, 0), s_1 = (1, 1, 0), s_2 = (1, 0, 1)$  and  $s_3 = s_1 + s_2 = (0, 1, 1)$ , respectively. The McKay quiver  $\mathbb{Q}_{\mathbb{Z}_2^2}$  is displayed in Example 3.58.

Consider the  $\text{U}(1)$  theory on  $[\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2]$  with dimension vector  $\vec{r} = (1, 0, 0, 0)$ . Then,  $s(1) = 0$  in (3.106), and the equivariant instanton partition function in this case gives the generating function for rank one non-commutative Donaldson–Thomas invariants of the toric orbifold  $\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2$  with holonomy group  $\text{U}(3)$ . It evaluates to the closed formula [18, Proposition 5.12]

$$\begin{aligned}
 Z_{[\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2]}^{\vec{r}=(1,0,0,0)}(\vec{a}; \vec{\epsilon}) &= \frac{M(-Q)^{\frac{\epsilon_1 \epsilon_2 \epsilon_3 - \epsilon_1^2 \epsilon_2 - \epsilon_1^2 \epsilon_3 - \epsilon_2^2 \epsilon_3 - \epsilon_1 \epsilon_2^2 - \epsilon_1 \epsilon_3^2 - \epsilon_2 \epsilon_3^2}{\epsilon_1 \epsilon_2 \epsilon_3}}}{\widetilde{M}(a_1, -Q) \widetilde{M}(a_2, -Q) \widetilde{M}(a_3, -Q) \widetilde{M}(a_1 a_2 a_3, -Q)} \\
 &\quad \times \prod_{1 \leq p < s \leq 3} \widetilde{M}(a_p a_s, -Q)^{\frac{\epsilon_{(ps)} - \epsilon_{ps}}{2\epsilon_{(ps)}}},
 \end{aligned}
 \tag{3.113}$$

where

$$\tilde{M}(x, q) = M(x, q) M(x^{-1}, q) \tag{3.114}$$

is the MacMahon tilde function, and we introduced the notation

$$\mathcal{Q} = \mathcal{Q}_0 \mathcal{Q}_1 \mathcal{Q}_2 \mathcal{Q}_3, \tag{3.115}$$

along with  $(ps)^- = \underline{3} \setminus \{p, s\}$ .

**Example 3.116** Let  $\tau_{\underline{3}}$  be the representation of the group  $\Gamma_{ab} = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$  in  $SO(3) \subset U(3)$  from Example 3.58, and consider the cohomological  $U(2)$  gauge theory on  $[\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2] \times B\mathbb{Z}_2$ . We focus on the contributions to the generating function from the array of  $\mathbb{Z}_2^{\times 3}$ -coloured plane partitions

$$\vec{\pi} = ( \quad , \quad ) \tag{3.117}$$

with  $|\vec{k}| = 2$  boxes and the following  $\mathbb{Z}_2^{\times 3}$ -colourings:

$$Z_{[\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2] \times B\mathbb{Z}_2}^{\vec{r}, \vec{k}}(\vec{a}, \vec{\epsilon}) = \begin{cases} \frac{a^2 - \epsilon_{12}^2}{a^2 - \epsilon_1^2}, & r_{(0,0,0)} = r_{(1,0,0)} = 1, k_{(0,0,0)} = k_{(1,0,0)} = 1, \\ 1, & r_{(0,0,0)} = r_{(0,0,1)} = 1, k_{(0,0,0)} = k_{(0,0,1)} = 1, \end{cases} \tag{3.118}$$

where  $a := a_1 - a_2$ . In the first case, the partition function (3.118) coincides with the contribution to the partition function  $Z_{[\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2]}^{\vec{r}, \vec{k}}(\vec{a}, \vec{\epsilon})$  of Example 3.111 from the  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -colouring with  $r_{(0,0,0)} = r_{(1,1,0)} = 1$  and  $k_{(0,0,0)} = k_{(1,1,0)} = 1$ , whereas in the second case there is no correspondence.

This example serves to demonstrate that the non-effective orbifold theory is not generally equivalent to the theory defined solely by the action of a finite subgroup of  $U(3)$ . On the other hand, there is an equivalence of partition functions

$$Z_{[\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2] \times B\mathbb{Z}_2}^{\vec{r}}(\vec{q}; \vec{a}, \vec{\epsilon}) = Z_{[\mathbb{C}^3/\mathbb{Z}_2 \times \mathbb{Z}_2]}^{\vec{r}}(\vec{q}; \vec{a}, \vec{\epsilon}) \tag{3.119}$$

for all dimension vectors  $\vec{r}$  whose nonzero entries are coloured by  $\Gamma_{ab}$  in the same connected component of the McKay quiver.

### 4 Tetrahedron instantons in cohomological field theory

Tetrahedron instantons were introduced in [1] as a generalization of non-commutative instantons on  $M_3 = \mathbb{C}^3$ . Roughly speaking, they correspond to configurations of instantons on the codimension one coordinate hyperplanes  $\mathbb{C}^3$  inside the affine Calabi–Yau fourfold  $M_4 = \mathbb{C}^4$ . In this section, we elaborate on the analysis of tetrahedron instantons and their generalized ADHM parametrization.

**Notation 4.1** The set of coordinate labels of the four complex lines  $\mathbb{C}_a \subset \mathbb{C}^4$  is denoted by

$$a \in \underline{4} := \{1, 2, 3, 4\} . \tag{4.2}$$

There are four complex codimension one hyperplanes

$$\mathbb{C}_A^3 = \prod_{a \in A} \mathbb{C}_a \subset \mathbb{C}^4 \quad \text{with } A \in \underline{4}^\perp := \{(123), (124), (134), (234)\} , \tag{4.3}$$

and for any  $A \in \underline{4}^\perp$  we define  $\bar{A} \in \underline{4}$  to be its complement

$$\bar{A} = \underline{4} \setminus A . \tag{4.4}$$

The lexicographically ordered sets  $\underline{4}$  and  $\underline{4}^\perp$ , respectively, label the vertices and faces of a tetrahedron. We will denote by  $A_1 \cap A_2 = (a_1 a_2)$  the unique pair of vertices  $a_1, a_2 \in \underline{4}$  joined by the common edge of two distinct faces  $A_1, A_2 \in \underline{4}^\perp$ ; note that  $\bar{A}_1 \in A_2$  and  $\bar{A}_2 \in A_1$ .

We introduce the following vector spaces:

- $Q_4$ : a four-dimensional Hermitian vector space which forms the fundamental representation of the group  $SU(4)$ .
- $Q_A$ : a three-dimensional Hermitian vector space which forms the fundamental representation of the subgroup  $U(3)_A \subset SU(4)$  acting on the hyperplane  $\mathbb{C}_A^3 \subset \mathbb{C}^4$  for  $A \in \underline{4}^\perp$ .
- $Q_{A_1, A_2}$ : a two-dimensional Hermitian vector space which forms the fundamental representation of the subgroup  $U(2)_{A_1, A_2} \subset SU(4)$  acting on the intersections  $\mathbb{C}_{A_1, A_2}^2 := \mathbb{C}_{A_1}^3 \cap \mathbb{C}_{A_2}^3 \subset \mathbb{C}^4$  for distinct  $A_1, A_2 \in \underline{4}^\perp$ .
- $Q_{(a)}$ : the one-dimensional representation of  $U(1)_{(a)} \subset SU(4)$  acting on the line  $\mathbb{C}_a \subset \mathbb{C}^4$  for  $a \in \underline{4}$ .

### 4.1 SU(4)-Instanton equations

Tetrahedron instantons are solutions of BRST fixed point equations for a cohomological gauge theory with two supercharges on a Kähler fourfold  $(M_4, \omega)$  with  $SU(4)$  holonomy, which can also be obtained through dimensional reduction of  $\mathcal{N} = 1$  supersymmetric Yang–Mills theory with gauge group  $U(r)$  in ten dimensions [18].

Let  $\Omega$  be the nowhere-vanishing holomorphic four-form associated with the  $SU(4)$ -structure. Define the involution

$$\star_\Omega : \wedge^{0,2} \mathbb{C}^4 \longrightarrow \wedge^{0,2} \mathbb{C}^4 , \quad \alpha \longmapsto \star_\Omega \alpha := \overline{*(\alpha \wedge \Omega)} \tag{4.5}$$

for  $\alpha \in \wedge^{0,2} \mathbb{C}^4$ , where  $*$  is the Hodge duality operator compatible with the Kähler form  $\omega$ . It gives an orthogonal decomposition of the space of  $(0, 2)$ -forms

$$\wedge^{0,2} \mathbb{C}^4 = \wedge_+^{0,2} \mathbb{C}^4 \oplus \wedge_-^{0,2} \mathbb{C}^4 \tag{4.6}$$

into real  $\pm 1$ -eigenspaces  $\wedge_{\pm}^{0,2} \mathbb{C}^4$  of  $\star_{\Omega}$ . This induces a decomposition of the  $(0, 2)$ -form part of the field strength tensor  $\mathcal{F}^{0,2} = \mathcal{F}_+^{0,2} + \mathcal{F}_-^{0,2}$  into eigencurvatures as

$$\mathcal{F}_{\pm}^{0,2} = \frac{1}{2} (\mathcal{F}^{0,2} \pm \star_{\Omega} \mathcal{F}^{0,2}) \quad \text{with} \quad \star_{\Omega} \mathcal{F}_{\pm}^{0,2} = \pm \mathcal{F}_{\pm}^{0,2}. \tag{4.7}$$

The BRST symmetry localizes the path integral of the gauge theory onto the space of solutions of the generalized instanton equations

$$\begin{aligned} \mathcal{F}_-^{0,2} &= 0, \\ \omega \wedge \omega \wedge \omega \wedge \mathcal{F}^{1,1} &= 0, \\ \nabla_{\mathcal{A}} \Phi &= 0, \end{aligned} \tag{4.8}$$

where again we assume the first Chern class vanishes.

Tetrahedron instantons correspond to particular solutions of (4.8) on a singular threefold  $M_{\Delta}$  embedded in a local Calabi–Yau fourfold  $M_4$  as a stratification

$$M_{\Delta} = \bigcup_{A \in \underline{4}^{\perp}} M_A \subset M_4. \tag{4.9}$$

For instance,  $M_{\Delta} = \varpi^{-1}(0)$  may arise as the central fibre of a toric degeneration  $\varpi : M_4 \rightarrow \mathbb{C}$  with gluing data along intersections of strata  $M_A$  prescribed by a polyhedral complex which forms a tetrahedron [3]. The  $U(r)$  gauge connection  $\mathcal{A}$  and complex Higgs field  $\Phi$  are constrained to assume the forms

$$\mathcal{A} = \bigoplus_{A \in \underline{4}^{\perp}} \mathcal{A}_A \quad \text{and} \quad \Phi = \bigoplus_{A \in \underline{4}^{\perp}} \Phi_A, \tag{4.10}$$

where  $\mathcal{A}_A$  and  $\Phi_A$  are supported on the smooth stratum  $M_A \subset M_{\Delta}$  with values in the adjoint representation of  $U(r_A)$  for  $A \in \underline{4}^{\perp}$ . The restrictions of the fields to the codimension two transverse intersections  $M_{A_1, A_2} := M_{A_1} \cap M_{A_2} \subset M_{\Delta}$  yield bifundamental multiplets of the product group  $U(r_{A_1}) \times U(r_{A_2})$ .

The solution (4.10) is labelled by an array of ranks

$$\mathbf{r} := (r_A)_{A \in \underline{4}^{\perp}} = (r_{123}, r_{124}, r_{134}, r_{234}), \tag{4.11}$$

which partitions the rank  $r$  of the cohomological gauge theory:

$$r = |\mathbf{r}| := \sum_{A \in \underline{4}^{\perp}} r_A = r_{123} + r_{124} + r_{134} + r_{234}. \tag{4.12}$$

It breaks to the  $U(\mathbf{r})$  gauge symmetry to the subgroup

$$U(\mathbf{r}) := \prod_{A \in \underline{4}^\perp} U(r_A). \tag{4.13}$$

The nonzero entries of the dimension vector  $\mathbf{r}$  also determine the unbroken holonomy group of the solution (4.10) preserving the codimension one defects as

$$H_{\mathbf{r}} = \bigcap_{r_A \neq 0} U(3)_A \subset SU(4). \tag{4.14}$$

We can further group the solutions according to their instanton number (fourth Chern class)

$$k = \frac{1}{384\pi^4} \int_{M_4} \text{Tr}_{u(r)} \mathcal{F} \wedge \mathcal{F} \wedge \mathcal{F} \wedge \mathcal{F}, \tag{4.15}$$

which is again a topological charge of the theory. The moduli space of solutions of (4.8) with charge  $k \in \mathbb{Z}_{\geq 0}$  is called the *moduli space of tetrahedron  $k$ -instantons of type  $\mathbf{r}$* , denoted  $\mathfrak{M}_{\mathbf{r},k}$ . The group  $PU(\mathbf{r}) = U(\mathbf{r})/U(1)$  remains a global symmetry of the moduli space, where  $U(1)$  is the diagonal subgroup of  $\prod_{r_A \neq 0} U(1)$  corresponding to the common centre of the groups  $U(r_A)$  for  $A \in \underline{4}^\perp$ .

### 4.2 ADHM data

Similarly to non-commutative instantons on  $\mathbb{C}^3$ , tetrahedron instantons appear in the context of type IIB string theory [1], where the ten-dimensional spacetime  $\mathbb{R}^{1,9}$  is identified with  $\mathbb{R}^{1,1} \times \mathbb{C}^4$  through a choice of complex structure. When  $M_4 = \mathbb{C}^4$ , the singular divisor

$$\mathbb{C}_\Delta^3 = \bigcup_{A \in \underline{4}^\perp} \mathbb{C}_A^3 \subset \mathbb{C}^4 \tag{4.16}$$

has strata corresponding to the codimension one coordinate hyperplanes  $\mathbb{C}_A^3 \subset \mathbb{C}^4$ .

In this case, tetrahedron instantons describe bound states of  $k$  D1-branes along  $\mathbb{R}^{1,1}$  which probe intersecting stacks of  $r$  D7-branes located in the four different spatial orientations labelled by  $A \in \underline{4}^\perp$ , with  $r_A$  D7<sub>A</sub>-branes wrapping the stratum  $\mathbb{C}_A^3 \subset \mathbb{C}_\Delta^3$ . The worldvolume  $\mathbb{R}^{1,1} \times \mathbb{C}_A^3$  of the  $r_A$  D7<sub>A</sub>-branes for fixed  $A \in \underline{4}^\perp$  supports  $k$  D1-branes. It is intersected by  $r_{A^\circ}$  D7<sub>A<sup>◦</sup></sub>-branes, labelled by  $A^\circ \in \underline{4}^\perp \setminus A$ , in hyperplanes which produce defects in  $\mathbb{C}_A^3$  of codimension one or two.

In the low-energy two-dimensional  $\mathcal{N} = (0, 2)$  field theory on the D1-branes, the Higgs branch is described by generalized ADHM equations, deformed again by a Fayet–Iliopoulos parameter  $\zeta \in \mathbb{R}_{>0}$  related to an appropriate large constant Neveu–Schwarz  $B$ -field. This breaks supersymmetry, while the D1–D7-brane states decay to a supersymmetric string theory vacuum via tachyon condensation [48].



### Generalized ADHM equations

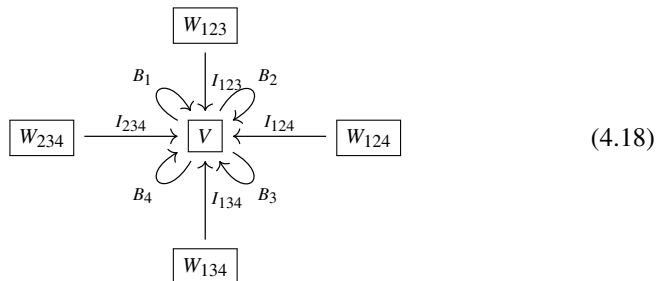
Let  $V$  and  $W_A$  be Hermitian vector spaces of dimensions  $k$  and  $r_A$ , respectively; from the perspective of the D1-branes,  $V$  is the Chan–Paton space and  $W_A$  are flavour representations. Then, the ADHM equations are [1]

$$\begin{aligned}
 \mu_{ab}^{\mathbb{C}} &:= [B_a, B_b] - \frac{1}{2} \varepsilon_{abcd} [B_c^\dagger, B_d^\dagger] = 0, \\
 \mu^{\mathbb{R}} &:= \sum_{a \in \underline{4}} [B_a, B_a^\dagger] + \sum_{A \in \underline{4}^\perp} I_A I_A^\dagger = \zeta \mathbb{1}_V, \\
 \sigma_A &:= (B_{\bar{A}} I_A)^\dagger = 0,
 \end{aligned}
 \tag{4.17}$$

where  $a, b \in \underline{4}$  and  $A \in \underline{4}^\perp$ , while  $B_a \in \text{End}_{\mathbb{C}}(V)$  and  $I_A \in \text{Hom}_{\mathbb{C}}(W_A, V)$ . Here,  $\varepsilon_{abcd}$  is the Levi–Civita symbol in four dimensions with  $\varepsilon_{1234} = +1$ . Note that only three of the first set of equations are independent and we may restrict them to  $(a, b) \in \underline{3}^\perp$ , or equivalently any other triple of distinct pairs of vertices from  $\underline{4}$ .

If there is only a single nonzero rank  $r_A = r$ , for some  $A \in \underline{4}^\perp$ , then upon setting  $Y := B_A^\dagger$  and  $I = I_A$  the equations (4.17) reduce to the ADHM equations (2.4) for instantons on  $\mathbb{C}_A^3$  with holonomy  $U(3)_A$ . On the other hand, by neglecting the last equation in (4.17) and combining the linear maps  $I_A$  into a single map  $I = \bigoplus_{A \in \underline{4}^\perp} I_A \in \text{Hom}_{\mathbb{C}}(W, V)$  with  $W := \bigoplus_{A \in \underline{4}^\perp} W_A$ , we recover the ADHM equations for the magnificent four model, i.e. the Donaldson–Thomas theory of the affine Calabi–Yau fourfold  $\mathbb{C}^4$  with  $SU(4)$ -holonomy [13, 15, 16, 18].

The ADHM data provide a framed linear representation of the four-loop quiver  $L_4$  with one vertex and four edge loops:



This generalizes the enhanced framed representation of  $L_3$  from (3.34) as well as the framed representation of  $L_4$  from [18, eq. (2.45)].

### Stability and Quot schemes

As pointed out by [13], the complex ADHM equations  $\mu_{ab}^{\mathbb{C}} = 0$  are equivalent to the EJ-term equations

$$[B_a, B_b] = 0 \tag{4.19}$$

for all  $a, b \in \underline{4}$ , through the identity

$$\sum_{1 \leq a < b \leq 4} \|\mu_{ab}^{\mathbb{C}}\|_F^2 = \sum_{1 \leq a < b \leq 4} \|[B_a, B_b]\|_F^2, \tag{4.20}$$

where  $\|\cdot\|_F$  is the Frobenius norm on  $\text{End}_{\mathbb{C}}(V)$ .

Instead, the D-term equation  $\mu^{\mathbb{R}} = \zeta \mathbb{1}_V$  of (4.17) is equivalent to a stability condition similar to the stability condition of Sect. 2.2: there is no proper subspace  $S \subset V$  such that

$$B_a(S) \subset S \quad \text{and} \quad I_A(W_A) \subset S \tag{4.21}$$

for all  $a \in \underline{4}$  and  $A \in \underline{4}^{\perp}$ .

It follows that the moduli space of tetrahedron instantons  $\mathfrak{M}_{r,k}$  is given by the non-commutative Quot scheme

$$\mathfrak{M}_{r,k} \simeq \mu^{\mathbb{C}^{-1}}(0)^{\text{stable}} / \text{GL}(V), \tag{4.22}$$

where  $\mu^{\mathbb{C}} := (\mu_{ab}^{\mathbb{C}}, \sigma_A)_{(a,b) \in \underline{3}^{\perp}, A \in \underline{4}^{\perp}}$ , the superscript  $\text{stable}$  indicates the stable solutions of (4.19) and the third equation in (4.17), while  $g \in \text{GL}(V) \simeq \text{GL}(k, \mathbb{C})$  acts on the ADHM data as

$$g \cdot (B_a, I_A)_{a \in \underline{4}, A \in \underline{4}^{\perp}} = (g B_a g^{-1}, g I_A)_{a \in \underline{4}, A \in \underline{4}^{\perp}}. \tag{4.23}$$

**Remark 4.24** The stability condition is equivalent to the statement

$$V = \sum_{A \in \underline{4}^{\perp}} V_A := \sum_{A=(abc) \in \underline{4}^{\perp}} \mathbb{C}[B_a, B_b, B_c] I_A(W_A). \tag{4.25}$$

The vector space  $V_A$  is the smallest subspace of  $V$  containing  $\text{im}(I_A)$  which is invariant under the actions of  $B_a, B_b$  and  $B_c$ ; its complex dimension  $k_A := \dim V_A$  is the instanton number on the stratum  $\mathbb{C}_A^3 \subset \mathbb{C}_{\Delta}^3$ . The equation  $\sigma_A = 0$  in (4.17) together with (4.19) then imply

$$B_{\bar{A}}(V_A) = 0, \tag{4.26}$$

for all  $A \in \underline{4}^{\perp}$ .

Let  $\iota_A : \mathbb{C}_A^3 \hookrightarrow \mathbb{C}_\Delta^3$  be the inclusion of the irreducible codimension one strata in the singular threefold (4.16) for each  $A \in \underline{4}^\perp$ . Let  $\mathcal{E}_r$  be the torsion sheaf on  $\mathbb{C}_\Delta^3$  defined by

$$\mathcal{E}_r = \bigoplus_{A \in \underline{4}^\perp} \iota_{A*} \mathcal{O}_{\mathbb{C}_A^3}^{\oplus r_A}. \tag{4.27}$$

As shown by [3], the description (4.22) implies that the moduli space of tetrahedron instantons  $\mathfrak{M}_{r,k}$  is isomorphic to the Quot scheme  $\text{Quot}_r^k(\mathbb{C}_\Delta^3)$  of zero-dimensional quotients of  $\mathcal{E}_r$  with length  $k$ :

$$\mathfrak{M}_{r,k} \simeq \text{Quot}_r^k(\mathbb{C}_\Delta^3). \tag{4.28}$$

There are natural closed immersions among Quot schemes

$$\mathfrak{M}_{r,k} \hookrightarrow \text{Quot}_r^k(\mathbb{C}_\Delta^3) \hookrightarrow \text{Quot}_r^k(\mathbb{C}^4), \tag{4.29}$$

where  $r = |r|$  and  $\text{Quot}_r^k(\mathbb{C}^4)$  is isomorphic to the moduli space of  $U(r)$  instantons on  $\mathbb{C}^4$  with charge  $k$  [10, 18].

**Remark 4.30** (Instantons on  $\mathbb{C}_A^3$ ) In the sector where only  $r_A = r$  is nonzero, for some  $A \in \underline{4}^\perp$ , the Quot scheme (4.28) coincides with the Quot scheme

$$\text{Quot}_r^k(\mathbb{C}_\Delta^3) \simeq \text{Quot}_r^k(\mathbb{C}_A^3), \tag{4.31}$$

which is isomorphic to the moduli space  $\mathfrak{M}_{r,k}$  of  $U(r)$  instantons on  $\mathbb{C}_A^3$  with charge  $k$  (cf. (2.13)).

### 4.3 Tangent-obstruction theory

The local geometry of the tetrahedron instanton moduli space  $\mathfrak{M}_{r,k}$  is captured by the instanton deformation complex [46]

$$\wedge^0 T^* M_4 \otimes \mathfrak{g} \xrightarrow{\bar{\partial}_\mathcal{A}} \wedge^{0,1} T^* M_4 \otimes \mathfrak{g} \xrightarrow{\bar{\partial}_\mathcal{A}^-} \wedge^{0,2} T^* M_4 \otimes \mathfrak{g}. \tag{4.32}$$

The first differential is a linearized complex gauge transformation, while the second differential is the linearization of the first equation in (4.8), where  $\bar{\partial}_\mathcal{A}^- := P_\Omega^- \circ \bar{\partial}_\mathcal{A}$  and

$$P_\Omega^- = \frac{1}{2} (\mathbb{1} - \star_\Omega) : \wedge^{0,2} \mathbb{C}^4 \longrightarrow \wedge_{-}^{0,2} \mathbb{C}^4 \tag{4.33}$$

is the projection onto the real  $-1$ -eigenspace of the involution  $\star_\Omega$ .

The degree one cohomology  $\ker(\bar{\partial}_\mathcal{A}^-)/\text{im}(\bar{\partial}_\mathcal{A})$  of the cochain complex (4.32) describes the complex tangent bundle  $T\mathfrak{M}_{r,k} \longrightarrow \mathfrak{M}_{r,k}$  over a fixed holomorphic connection  $\mathcal{A}$  of the form (4.10). The second cohomology  $\text{coker}(\bar{\partial}_\mathcal{A}^-)$  defines the real

self-dual obstruction bundle  $\text{Ob}_{r,k}^- \longrightarrow \mathfrak{M}_{r,k}$ , which is orientable. We assume the degree zero cohomology vanishes, i.e.  $\ker(\partial_{\mathcal{A}}) = 0$ , so that there are no infinitesimal automorphisms.

The *virtual tangent bundle*  $T^{\text{vir}}\mathfrak{M}_{r,k}$  is the two-term elliptic complex

$$T^{\text{vir}}\mathfrak{M}_{r,k} := [T\mathfrak{M}_{r,k} \longrightarrow \text{Ob}_{r,k}^-]. \tag{4.34}$$

When  $M_4 = \mathbb{C}^4$ , it is easy to show using the ADHM parametrization that the complex virtual dimension of the moduli space  $\mathfrak{M}_{r,k}$  vanishes:

$$\text{vdim } \mathfrak{M}_{r,k} = \left(4k^2 + \sum_{A \in \mathbb{4}^\perp} r_A k\right) - \left(3k^2 + \sum_{A \in \mathbb{4}^\perp} r_A k\right) - k^2 = 0. \tag{4.35}$$

The definition of the Euler class of  $T^{\text{vir}}\mathfrak{M}_{r,k}$  is a bit more involved now because the self-dual obstruction bundle  $\text{Ob}_{r,k}^-$  is a real vector bundle. As explained in [18], we identify its Euler class through a square root of the Euler class of the complexification  $\text{Ob}_{r,k} := \text{Ob}_{r,k}^- \otimes_{\mathbb{R}} \mathbb{C}$ :

$$e(\text{Ob}_{r,k}^-) = \sqrt{e}(\text{Ob}_{r,k}). \tag{4.36}$$

The square root is defined up to a sign determined by a choice of orientation of  $\text{Ob}_{r,k}^-$ ; the virtual fundamental class  $[\mathfrak{M}_{r,k}]^{\text{vir}}$  also depends on this choice, though it is customary not to indicate the dependence explicitly. In the cohomological gauge theory, the sign choice corresponds to a choice of lexicographic ordering of the real antighosts in the path integral measure. We now define the Euler class

$$\sqrt{e}(T^{\text{vir}}\mathfrak{M}_{r,k}) := \frac{e(T\mathfrak{M}_{r,k})}{\sqrt{e}(\text{Ob}_{r,k})}. \tag{4.37}$$

The tangent-obstruction theory can also be described in terms of ADHM data. For this, we introduce vector bundles

$$\mathcal{V} = \mu^{\mathbb{C}^{-1}}(0)^{\text{stable}} \times_{\text{GL}(V)} V \quad \text{and} \quad \mathcal{W}_A = \mathfrak{M}_{r,k} \times W_A \tag{4.38}$$

on the moduli space  $\mathfrak{M}_{r,k}$ , whose fibres over a gauge orbit  $[\mathcal{A}]$  are the complex vector spaces  $V$  and  $W_A$ , for  $A \in \mathbb{4}^\perp$ , which are part of the generalized ADHM parametrization of  $\mathfrak{M}_{r,k}$  discussed in Sect. 4.2.

Then there is a three-term cochain complex of vector bundles over  $\mathfrak{M}_{r,k}$  given by

$$\text{End}(\mathcal{V}) \xrightarrow{d_1} \begin{array}{c} \text{Hom}(\mathcal{V}, \mathcal{V} \otimes Q_4) \\ \oplus \\ \bigoplus_{A \in \mathbb{4}^\perp} \text{Hom}(\mathcal{W}_A, \mathcal{V}) \end{array} \xrightarrow{d_2} \begin{array}{c} \text{Hom}(\mathcal{V}, \mathcal{V} \otimes \wedge_-^2 Q_4) \\ \oplus \\ \bigoplus_{A \in \mathbb{4}^\perp} \text{Hom}(\mathcal{V}, \mathcal{W}_A \otimes \wedge^3 Q_A) \end{array}, \tag{4.39}$$

where the vector bundle homomorphisms  $d_1$  and  $d_2$  act fibrewise over a point  $[(B_a, I_A)_{a \in \underline{4}, A \in \underline{4}^\perp}]$  of  $\mathfrak{M}_{r,k}$  as

$$\begin{aligned}
 d_1(\phi) &= ([B_a, \phi], -\phi I_A)_{a \in \underline{4}, A \in \underline{4}^\perp}, \\
 d_2(b_a, i_A)_{a \in \underline{4}, A \in \underline{4}^\perp} &= ([b_a, B_b] + [B_a, b_b], I_A^\dagger b_A^\dagger + i_A^\dagger B_A^\dagger)_{(a,b) \in \underline{3}^\perp, A \in \underline{4}^\perp}.
 \end{aligned}
 \tag{4.40}$$

Again the stability condition implies  $\ker(d_1) = 0$ .

### 4.4 Equivariant generating functions

Since the virtual dimension is zero, the tetrahedron instanton partition function is given by

$$Z_{\mathbb{C}^4}^{r,k} = \int_{[\mathfrak{M}_{r,k}]^{\text{vir}}} 1, \tag{4.41}$$

which again we interpret as the T-equivariant volume of the moduli space  $\mathfrak{M}_{r,k}$  with respect to the action of some torus group T on  $\mathfrak{M}_{r,k}$ , i.e. as the pushforward of 1 to a point in T-equivariant cohomology. The T-action on the moduli space induces T-equivariant structures on the vector bundles  $\mathcal{V}$  and  $\mathcal{W}_A$  for  $A \in \underline{4}^\perp$ . Let  $\mathfrak{M}_{r,k}^T$  be the subscheme of T-fixed points of  $\mathfrak{M}_{r,k}$ . As a set, it stratifies into T-invariant connected components as

$$\mathfrak{M}_{r,k}^T = \bigsqcup_{F \in \pi_0(\mathfrak{M}_{r,k}^T)} \mathfrak{M}_F. \tag{4.42}$$

In Appendix B, we prove that the moduli schemes  $\mathfrak{M}_F$  for  $F \in \pi_0(\mathfrak{M}_{r,k}^T)$  are compact in the complex analytic topology induced by the Frobenius norm on the affine space of ADHM data  $(B_a, I_A)_{a \in \underline{4}, A \in \underline{4}^\perp}$ , for the torus actions considered in this paper. Since the only fixed point of our toric actions is  $0 \in \mathbb{C}^4$ , we believe that this is also true in the Zariski topology, i.e. that  $\mathfrak{M}_F$  are proper. This enables application of the virtual localization formula from [27, 49] to evaluate the partition function (4.41) as follows.

The T-fixed part of the pullback of the two-term complex (4.34) over  $\mathfrak{M}_F$  is the virtual tangent bundle  $T^{\text{vir}}\mathfrak{M}_F = T^{\text{vir}}\mathfrak{M}_{r,k}|_{\mathfrak{M}_F}^{\text{fix}}$ , which defines a virtual fundamental class  $[\mathfrak{M}_F]^{\text{vir}}$ . The equivariant virtual normal bundle to  $\mathfrak{M}_F \subset \mathfrak{M}_{r,k}$  is the T-moving part

$$\mathcal{N}_{\mathfrak{M}_F}^{\text{vir}} = T^{\text{vir}}\mathfrak{M}_{r,k}|_{\mathfrak{M}_F}^{\text{mov}} = [T\mathfrak{M}_{r,k} \longrightarrow \text{Ob}_{r,k}^-]_{\mathfrak{M}_F}^{\text{mov}} \tag{4.43}$$

of the pullback of the virtual tangent bundle  $T^{\text{vir}}\mathfrak{M}_{r,k}$  over  $\mathfrak{M}_F$ . The partition function (4.41) is then evaluated as a sum over the T-invariant connected components  $\mathfrak{M}_F$  of  $\mathfrak{M}_{r,k}$  given by [27, 49]

$$Z_{\mathbb{C}^4}^{r,k} = \sum_{\mathbb{F} \in \pi_0(\mathfrak{M}_{r,k}^{\mathbb{T}})} \int_{[\mathfrak{M}_{\mathbb{F}}]^{\text{vir}}} \frac{1}{\sqrt{e_{\mathbb{T}}(\mathcal{N}_{\mathfrak{M}_{\mathbb{F}}}^{\text{vir}})}} \tag{4.44}$$

The equivariant square root Euler class  $\sqrt{e_{\mathbb{T}}(\mathcal{N}_{\mathfrak{M}_{\mathbb{F}}}^{\text{vir}})}$  is defined up to a sign that depends explicitly on the orientation of the pullback of the obstruction bundle  $\text{Ob}_{r,k}^-$  to the connected component  $\mathfrak{M}_{\mathbb{F}}$ . It can be obtained from the square root of the equivariant Chern character of the virtual tangent bundle  $T^{\text{vir}}\mathfrak{M}_{r,k}$ , which is computed from the index of the complex of vector bundles (4.39), regarded as an element in the  $\mathbb{T}$ -equivariant K-theory of the moduli space  $\mathfrak{M}_{r,k}$ . This index bundle is given by

$$\text{Ind}_{r,k}^- = -\mathcal{V}^* \otimes \mathcal{V} \otimes (\mathbb{C} - Q_4 + \wedge_-^2 Q_4) + \sum_{A \in 4^+} \mathcal{W}_A^* \otimes \mathcal{V} - \mathcal{V}^* \otimes \mathcal{W}_A \otimes \wedge^3 Q_A, \tag{4.45}$$

where here  $\mathbb{C}$  denotes the trivial  $\mathbb{T}$ -representation.

The  $\mathbb{T}$ -equivariant Euler class is now extracted along the lines discussed in [42]. We expand the index bundle (4.45) as

$$\text{Ind}_{r,k}^- = \sum_{i_+ \in \mathbb{I}_+} \mathcal{E}_{i_+} - \sum_{i_- \in \mathbb{I}_-} \mathcal{E}_{i_-}, \tag{4.46}$$

where  $\mathcal{E}_{i_{\pm}}$  are  $\mathbb{T}$ -equivariant vector bundles on  $\mathfrak{M}_{r,k}$  labelled by two sets of indices  $\mathbb{I}_{\pm}$ . After a gauge transformation, the character of the pullback of (4.45) to  $\mathfrak{M}_{\mathbb{F}}$  can then be expressed in terms of ordinary Chern characters as

$$\sqrt{\text{ch}_{\mathbb{T}}(T^{\text{vir}}\mathfrak{M}_{r,k} |_{\mathfrak{M}_{\mathbb{F}}})} := \text{ch}_{\mathbb{T}}(\text{Ind}_{r,k}^- |_{\mathfrak{M}_{\mathbb{F}}}) = \sum_{i_+ \in \mathbb{I}_+} e^{w_{i_+}^{\mathbb{F}}} \text{ch}(\mathcal{E}_{i_+}^{\mathbb{F}}) - \sum_{i_- \in \mathbb{I}_-} e^{w_{i_-}^{\mathbb{F}}} \text{ch}(\mathcal{E}_{i_-}^{\mathbb{F}}), \tag{4.47}$$

where  $w_{i_{\pm}}^{\mathbb{F}}$  are the corresponding  $\mathbb{T}$ -weights of  $\mathcal{E}_{i_{\pm}}^{\mathbb{F}} := \mathcal{E}_{i_{\pm}} |_{\mathfrak{M}_{\mathbb{F}}}$ .

Since the virtual normal bundle  $\mathcal{N}_{\mathfrak{M}_{\mathbb{F}}}^{\text{vir}}$  involves only nonzero  $\mathbb{T}$ -weights, it follows by computing the  $\mathbb{T}$ -equivariant top Chern class from (4.47) that its  $\mathbb{T}$ -equivariant square root Euler class reads

$$\sqrt{e_{\mathbb{T}}(\mathcal{N}_{\mathfrak{M}_{\mathbb{F}}}^{\text{vir}})} = \prod_{\substack{i_{\pm} \in \mathbb{I}_{\pm} \\ w_{i_{\pm}}^{\mathbb{F}} \neq 0}} \frac{c(\mathcal{E}_{i_+}^{\mathbb{F}}; w_{i_+}^{\mathbb{F}})}{c(\mathcal{E}_{i_-}^{\mathbb{F}}; w_{i_-}^{\mathbb{F}})}, \tag{4.48}$$

where

$$c(\mathcal{E}; w) = \sum_{j=0}^{\text{rk}(\mathcal{E})} w^j c_{\text{rk}(\mathcal{E})-j}(\mathcal{E}) \tag{4.49}$$

is the usual Chern polynomial of the vector bundle  $\mathcal{E}$ , with  $c_j(\mathcal{E})$  its  $j$ -th Chern class.

The character (4.47) is a square root of the equivariant Chern character  $\text{ch}_T(T^{\text{vir}}\mathfrak{M}_{r,k})$  of the virtual tangent bundle

$$\begin{aligned} T^{\text{vir}}\mathfrak{M}_{r,k} &= \text{Ind}_{r,k}^- + \text{Ind}_{r,k}^+ = \text{Ind}_{r,k}^- + (\text{Ind}_{r,k}^-)^* \\ &= -\mathcal{V}^* \otimes \mathcal{V} \otimes \left( \mathbb{C} - \sum_{a \in 4} (-1)^{a-1} \wedge^{a-1} Q_4 \right) \\ &\quad + \sum_{A \in 4^\perp} \mathcal{W}_A^* \otimes \mathcal{V} \otimes (\mathbb{C} - Q_{(\bar{A})}) + \mathcal{V}^* \otimes \mathcal{W}_A \otimes (\mathbb{C} - \wedge^3 Q_A), \end{aligned} \tag{4.50}$$

regarded as an element of the T-equivariant K-theory of  $\mathfrak{M}_{r,k}$ , where we used triviality of the determinant representation  $\wedge^4 Q_4 \simeq \mathbb{C}$  to identify  $\wedge_-^2 Q_4^* \simeq \wedge_+^2 Q_4$  and  $\wedge^3 Q_A^* \simeq Q_{(\bar{A})}$ . Every sign choice for the square root is equivalent to a choice of local orientation on each T-invariant connected component  $\mathfrak{M}_F$  of the instanton moduli space, which produces a sign factor  $(-1)^{\text{O}_F}$ .

Finally, the partition function assumes the form

$$Z_{\mathbb{C}^4}^{r,k} = \sum_{F \in \pi_0(\mathfrak{M}_{r,k}^T)} (-1)^{\text{O}_F} \int_{[\mathfrak{M}_F]^{\text{vir}}} \prod_{\substack{i_\pm \in \mathbb{I}_\pm \\ \mathbf{w}_{i_\pm}^F \neq 0}} \frac{c(\mathcal{E}_{i_-}^F; \mathbf{w}_{i_-}^F)}{c(\mathcal{E}_{i_+}^F; \mathbf{w}_{i_+}^F)}. \tag{4.51}$$

The full instanton partition function is given by a weighted sum over the instanton number  $k$  as

$$Z_{\mathbb{C}^4}^r(\mathfrak{q}) = \sum_{k=0}^\infty \mathfrak{q}^k Z_{\mathbb{C}^4}^{r,k}. \tag{4.52}$$

### Ω-background

As in Sect. 2.4, the natural choice for the torus group T comes from defining the equivariant gauge theory on an Ω-deformation of the affine Calabi–Yau fourfold [50, 51]. The global symmetry group of the tetrahedron instanton moduli space is

$$G = \text{PU}(r) \times H_r. \tag{4.53}$$

It can be rotated to its maximal torus

$$T = T_{\bar{a}} \times T_{\bar{\epsilon}}, \tag{4.54}$$

where

$$T_{\vec{a}} = \prod_{A \in \underline{4}^\perp} T_{\vec{a}_A}. \tag{4.55}$$

The maximal torus of the unbroken holonomy group (4.14) is  $T_{\vec{e}}$ , which preserves the stratification  $\mathbb{C}^3_\Delta$  and whose coordinates  $\vec{e} = (\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4)$  are the equivariant parameters of  $SU(4)$  obeying

$$\epsilon_{1234} = \epsilon_1 + \epsilon_2 + \epsilon_3 + \epsilon_4 = 0. \tag{4.56}$$

The Coulomb moduli  $\vec{a} = (\vec{a}_A)_{A \in \underline{4}^\perp}$  with  $\vec{a}_A = (a_{A1}, \dots, a_{A r_A})$  are the vacuum expectation values of the complex Higgs field  $\Phi = \bigoplus_{A \in \underline{4}^\perp} \Phi_A$  parametrizing the positions of the  $r_A$   $D7_A$ -branes; they are defined modulo the overall shifts  $a_{AI} \mapsto a_{AI} + c$  for  $c \in \mathbb{C}$ .

The  $T$ -fixed points  $\vec{\pi}$  of the instanton moduli space  $\mathfrak{M}_{r,k}$  are all isolated and finite in number [1]; hence, the fixed point loci are compact in this instance. It follows that  $\mathcal{N}_{\vec{\pi}}^{\text{vir}} = T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{r,k}$  and the formula (4.48) for the equivariant square root Euler class agrees with the top form operation (2.32). The localization formula for the instanton partition function (4.51) then simplifies to

$$Z_{\mathbb{C}^4}^{r,k}(\vec{a}, \vec{e}) = \sum_{\vec{\pi} \in \mathfrak{M}_{r,k}^T} (-1)^{0_{\vec{\pi}}} \prod_{\substack{i_\pm \in \mathbb{I}_\pm \\ w_{i_\pm}^{\vec{\pi}} \neq 0}} \frac{(w_{i_-}^{\vec{\pi}})^{\dim(\mathcal{E}_{i_-}^{\vec{\pi}})}}{(w_{i_+}^{\vec{\pi}})^{\dim(\mathcal{E}_{i_+}^{\vec{\pi}})}}. \tag{4.57}$$

The sign  $(-1)^{0_{\vec{\pi}}}$  depends on the local orientation of the obstruction bundle  $\text{Ob}_{r,k}^-$  at the fixed point  $\vec{\pi}$ , as in the case of non-commutative instantons on  $\mathbb{C}^4$  [18, 35]. The explicit form of the sign factor is evaluated in [3] for any choice of  $r$ . We discuss this sign factor within our approach in Sect. 4.6, as well as the explicit evaluation of (4.57).

### 4.5 Quiver matrix model

The maximal torus (4.54) acts on the ADHM data as

$$(\vec{t}, \mathbf{h}) \cdot (B_a, I_A)_{a \in \underline{4}, A \in \underline{4}^\perp} = (t_a^{-1} B_a, I_A h_A^{-1})_{a \in \underline{4}, A \in \underline{4}^\perp}, \tag{4.58}$$

where  $\vec{t} = (t_a)_{a \in \underline{4}} \in T_{\vec{e}}$  with  $t_a = e^{i\epsilon_a}$ , and  $\mathbf{h} = (h_A)_{A \in \underline{4}^\perp} \in T_{\vec{a}}$ . The cohomological field theory on the  $\Omega$ -background is equivariant with respect to this torus action and is constructed using the BRST formalism, which produces a quiver matrix model based on the framed quiver representation (4.18).



The BRST transformations are analogous to the ones for Donaldson–Thomas theory on  $\mathbb{C}^4$  [13, 18]. They read as

$$\begin{aligned}
 \mathcal{Q}B_a &= \psi_a \quad , \quad \mathcal{Q}\psi_a = [\phi, B_a] - \epsilon_a B_a \quad , \\
 \mathcal{Q}I_A &= \varpi_A \quad , \quad \mathcal{Q}\varpi_A = \phi I_A - I_A \underline{a}_A \quad , \\
 \mathcal{Q}\chi_{ab}^{\mathbb{C}} &= H_{ab}^{\mathbb{C}} \quad , \quad \mathcal{Q}H_{ab}^{\mathbb{C}} = [\phi, \chi_{ab}^{\mathbb{C}}] - \epsilon_{ab} \chi_{ab}^{\mathbb{C}} \quad , \\
 \mathcal{Q}\chi^{\mathbb{R}} &= H^{\mathbb{R}} \quad , \quad \mathcal{Q}H^{\mathbb{R}} = [\phi, \chi^{\mathbb{R}}] \quad , \\
 \mathcal{Q}\phi &= 0 \quad , \quad \mathcal{Q}\bar{\phi} = \eta \quad , \quad \mathcal{Q}\eta = [\bar{\phi}, \phi] \quad ,
 \end{aligned}
 \tag{4.59}$$

for  $a \in \underline{4}$ ,  $(a, b) \in \underline{3}^\perp$  and  $A \in \underline{4}^\perp$ . Here  $\phi$  is the generator of  $U(k)$  gauge transformations and  $\underline{a}_A = \text{diag}(a_{A1}, \dots, a_{Ar_A})$  is a background field which parametrizes an element of the (complex) Cartan subalgebra of  $U(r_A)$ . The Fermi multiplets  $(\vec{\chi}, \vec{H})$  implement the equations  $\mu_{ab}^{\mathbb{C}} = 0$  and  $\mu^{\mathbb{R}} = \zeta \mathbb{1}_V$ , where  $\vec{\chi} = (\chi_{ab}^{\mathbb{C}}, \chi^{\mathbb{R}})_{(a,b) \in \underline{3}^\perp}$  are antighost fields in  $\text{End}_{\mathbb{C}}(V)$  and  $\vec{H} = (H_{ab}^{\mathbb{C}}, H^{\mathbb{R}})_{(a,b) \in \underline{3}^\perp}$  are the auxiliary fields. The scalar multiplet  $(\phi, \bar{\phi}, \eta)$  is needed to close the BRST algebra.

In addition to the BRST transformations (4.59), the equations  $\sigma_A = 0$  for  $A \in \underline{4}^\perp$  are included by adding Fermi multiplets  $(\Upsilon_A, \xi_A)_{A \in \underline{4}^\perp}$ , with  $\Upsilon_A \in \text{Hom}_{\mathbb{C}}(V, W_A)$ . These fields transform as

$$\mathcal{Q}\Upsilon_A = \xi_A \quad \text{and} \quad \mathcal{Q}\xi_A = \underline{a}_A \Upsilon_A - \Upsilon_A \phi + \epsilon_{\bar{A}} \Upsilon_A \quad , \tag{4.60}$$

for  $A \in \underline{4}^\perp$ .

The action functional corresponding to this system of symmetries, fields and equations is

$$\begin{aligned}
 S = \mathcal{Q} \text{Tr}_V \left( \sum_{(a,b) \in \underline{3}^\perp} \chi_{ab}^{\mathbb{C}\dagger} (H_{ab}^{\mathbb{C}} - \mu_{ab}^{\mathbb{C}}) + \chi^{\mathbb{R}} (H^{\mathbb{R}} - \mu^{\mathbb{R}} - \zeta \mathbb{1}_V) + \sum_{A \in \underline{4}^\perp} \Upsilon_A^\dagger (\xi_A - \sigma_A) \right. \\
 \left. + \sum_{a \in \underline{4}} \psi_a [\bar{\phi}, B_a] + \sum_{A \in \underline{4}^\perp} \bar{\phi} I_A \varpi_A^\dagger + \eta [\phi, \bar{\phi}] + \text{c.c.} \right) \quad ,
 \end{aligned}
 \tag{4.61}$$

where c.c. means complex conjugate. The evaluation of the corresponding path integral is now a routine computation which follows by combining the calculations from [12, 67] (for the field theory on  $\mathbb{C}^3$ ) with those of [18, Sect. 2.5] (for the field theory on  $\mathbb{C}^4$ ).

The matrix model representation of the sum over equivariant Euler classes in (4.57) is given by

$$\begin{aligned}
 Z_{\mathbb{C}^4}^{r,k}(\vec{a}, \vec{\epsilon}) &= \frac{(-1)^k}{k!} \left( \frac{\epsilon_{12} \epsilon_{13} \epsilon_{23}}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_{123}} \right)^k \oint_{\Gamma_{r,k}} \prod_{i=1}^k \frac{d\phi_i}{2\pi i} \prod_{A \in \underline{4}^\perp} \frac{P_{r_A}(-\phi_i - \epsilon_{\bar{A}} | -\vec{a}_A)}{P_{r_A}(\phi_i | \vec{a}_A)} \\
 &\quad \times \prod_{\substack{i,j=1 \\ i \neq j}}^k R_-(\phi_i - \phi_j | \vec{\epsilon}),
 \end{aligned}
 \tag{4.62}$$

where  $\phi_i$  for  $i = 1, \dots, k$  are the components of  $\phi$  in a Cartan subalgebra of  $U(k)$ , and  $R_-(x|\vec{\epsilon})$  is the rational function from (2.34) evaluated with the opposite sign of  $\epsilon_4 = -\epsilon_{123}$ . Similarly to [18, 43], the ADHM matrix model (4.62) is interpreted as a contour integral. The closed contour  $\Gamma_{r,k} \subset \mathbb{C}^k$  encircles all poles of the integrand, which are located along the hyperplanes

$$\phi_i - \phi_j - \epsilon_a = 0 \quad \text{and} \quad \phi_i - a_{Al} = 0 \tag{4.63}$$

in  $\mathbb{R}^k$ , for  $i, j = 1, \dots, k, a \in \underline{4}, A \in \underline{4}^\perp$  and  $l = 1, \dots, r$ .

### Fixed points and plane partitions

The intersections of the hyperplanes (4.63) are precisely the BRST fixed points of the cohomological field theory, which by construction are the T-fixed points of the ADHM moduli space. The residue formula then reproduces the sum over T-fixed points in (4.57). The full instanton partition function  $Z_{\mathbb{C}^4}^r(\alpha; \vec{a}, \vec{\epsilon})$  is given by the sum (4.52) over all instanton numbers  $k$ .

The fixed points (4.63) are in one-to-one correspondence with collections of plane partitions [1, 12]

$$\vec{\pi} = (\vec{\pi}_A)_{A \in \underline{4}^\perp} = (\pi_{A1}, \dots, \pi_{Ar_A})_{A \in \underline{4}^\perp}, \tag{4.64}$$

where the total size of  $\vec{\pi}$  is the instanton number

$$k = |\vec{\pi}| = \sum_{A \in \underline{4}^\perp} |\vec{\pi}_A| = \sum_{A \in \underline{4}^\perp} \sum_{l=1}^{r_A} |\pi_{Al}|. \tag{4.65}$$

As we will see in Sect. 4.6, the total number of boxes  $k_A := |\vec{\pi}_A|$  for each  $A \in \underline{4}^\perp$  is the complex dimension of the vector space  $V_A$  introduced in Remark 4.24 at the fixed point  $\vec{\pi}$ .

### Dimensional reduction

The structure of (4.62) is very similar to that of the matrix integral  $\mathcal{Z}_{\mathbb{C}^4}^{r,k}(\vec{a}, \vec{\epsilon}, \vec{m})$  for the rank  $r$  Donaldson–Thomas invariants of  $\mathbb{C}^4$  that was obtained in [18, eq. (2.68)], where  $\vec{a} = (a_1, \dots, a_r)$  are the Coulomb moduli and  $\vec{m} = (m_1, \dots, m_r)$  are the masses of  $r$  fundamental matter fields. This similarity is made precise through

**Proposition 4.66** *There exist Coulomb parameter and mass specializations such that the equivariant instanton partition functions of the  $U(r)$  cohomological field theory with a massive fundamental hypermultiplet on  $\mathbb{C}^4$  and the cohomological field theory for tetrahedron instantons of rank  $|\mathbf{r}| = r$  are related as*

$$\mathcal{Z}_{\mathbb{C}^4}^r(\mathfrak{q}; \vec{a}, \vec{\epsilon}, \vec{m}) = Z_{\mathbb{C}^4}^r((-1)^r \mathfrak{q}; \vec{a}, \vec{\epsilon}). \tag{4.67}$$

**Proof** Choose a partition of the set of colour labels  $\{1, \dots, r\} = \bigsqcup_{A \in \underline{4}^\perp} \zeta_A$  into disjoint subsets  $\zeta_A$  of cardinalities  $\#\zeta_A = r_A$  for  $A \in \underline{4}^\perp$ . In  $\mathcal{Z}_{\mathbb{C}^4}^{r,k}(\vec{a}, \vec{\epsilon}, \vec{m})$  we substitute

$$(a_l, m_l) = (a_{Al}, a_{Al} + \epsilon_{\bar{A}}) \quad \text{for } l \in \zeta_A. \tag{4.68}$$

Using the Calabi–Yau condition  $\epsilon_4 = -\epsilon_{123}$  on  $\mathbb{C}^4$  one then finds that the matrix integral from [18, eq. (2.68)] is exactly the integral (4.62), up to an overall sign  $(-1)^{r \cdot k}$ :

$$\mathcal{Z}_{\mathbb{C}^4}^{r,k}(\vec{a}, \vec{\epsilon}, \vec{m}) = (-1)^{r \cdot k} Z_{\mathbb{C}^4}^{r,k}(\vec{a}, \vec{\epsilon}), \tag{4.69}$$

and the result follows by taking the weighted sum over  $k \in \mathbb{Z}_{\geq 0}$  of (4.69). □

**Remark 4.70** From the matrix model representation (4.62) we deduce that the partition function  $Z_{\mathbb{C}^4}^r(\mathfrak{q}; \vec{a}, \vec{\epsilon})$  for tetrahedron instantons is invariant under permutations of the entries  $r_A$  in  $\mathbf{r}$ . It follows that the result of Proposition 4.66 is independent of the choice of partition  $\{\zeta_A\}_{A \in \underline{4}^\perp}$ .

Proposition 4.66 generalizes [18, Proposition 2.71]. In the T-dual type IIA picture [2], the specializations can be interpreted as particular configurations of D8-branes and anti-D8-branes which decay via tachyon condensation into intersecting D6<sub>A</sub>-branes for  $A \in \underline{4}^\perp$ , whose bound states with D0-branes correspond to tetrahedron instantons.

### 4.6 Tetrahedron instanton partition function

We explicitly evaluate the equivariant partition function in the  $\Omega$ -background from the formula (4.57), elaborating on the calculation that appears in [1], which in particular does not incorporate the sign dependence on the choice of orientations. For this, we regard the vector space  $Q_4$  as the four-dimensional  $T_{\vec{\epsilon}}$ -module with weight decomposition

$$Q_4 = t_1^{-1} + t_2^{-1} + t_3^{-1} + t_4^{-1}. \tag{4.71}$$

The weights  $t_a = e^{i\epsilon_a}$  for  $a \in \underline{4}$  satisfy the Calabi–Yau condition

$$t_1 t_2 t_3 t_4 = 1 . \tag{4.72}$$

The fibre of the index bundle (4.45) over the fixed point  $\vec{\pi} \in \mathfrak{M}_{r,k}^T$  computes the square root of the T-equivariant Chern character of the virtual tangent bundle  $T^{\text{vir}}\mathfrak{M}_{r,k}$  at  $\vec{\pi}$ . It is given by

$$\begin{aligned} \sqrt{\text{ch}_T(T_{\vec{\pi}}^{\text{vir}}\mathfrak{M}_{r,k})} &= -V_{\vec{\pi}}^* \otimes V_{\vec{\pi}} \left( 1 - \sum_{a \in \underline{4}} t_a^{-1} + t_1^{-1} t_2^{-1} + t_1^{-1} t_3^{-1} + t_2^{-1} t_3^{-1} \right) \\ &\quad + \sum_{A \in \underline{4}^\perp} W_{A\vec{\pi}}^* \otimes V_{\vec{\pi}} - V_{\vec{\pi}}^* \otimes W_{A\vec{\pi}} t_{\bar{A}} , \end{aligned} \tag{4.73}$$

where

$$V_{\vec{\pi}} = \sum_{A=(abc) \in \underline{4}^\perp} \sum_{l=1}^{r_A} e_{Al} \sum_{\vec{p}_A \in \pi_{Al}} t_a^{p_a-1} t_b^{p_b-1} t_c^{p_c-1} \quad \text{and} \quad W_{A\vec{\pi}} = \sum_{l=1}^{r_A} e_{Al} \tag{4.74}$$

as elements of the representation ring of T, with  $e_{Al} = e^{i\alpha_{Al}}$  for  $A \in \underline{4}^\perp$  and  $l = 1, \dots, r$ . We used the stability condition, see Remark 4.24, along with a suitable gauge transformation.

**Proposition 4.75** *For the choice of square root (4.73), the sign factor  $(-1)^{O_{\vec{\pi}}}$  is given by*

$$O_{\vec{\pi}} = \text{rk} \left( V_{\vec{\pi}}^* \otimes V_{\vec{\pi}} t_4^{-1} \right)^{\text{fix}} \pmod 2 . \tag{4.76}$$

**Proof** By Proposition 4.66, the Donaldson–Thomas partition function on  $\mathbb{C}^4$  reduces to the tetrahedron instanton partition function though specializations of the parameters  $(\vec{a}, \vec{m})$ ; by Remark 4.70 the reduction is independent of the choice of partitioning of the index set  $\{1, \dots, r\}$ . By regarding the plane partitions  $\pi_{Al}$  as solid partitions via the natural embedding  $\mathbb{Z}_{\geq 0}^3 \hookrightarrow \mathbb{Z}_{\geq 0}^4$ , the sign factor is [18, Remark 2.103]

$$O_{\vec{\pi}} = \sum_{A \in \underline{4}^\perp} \sum_{l=1}^{r_A} \#\{(p, p, p, p') \in \pi_{Al} \mid p < p'\} - \text{rk} \left( V_{\vec{\pi}}^* \otimes V_{\vec{\pi}} t_4^{-1} \right)^{\text{fix}} \pmod 2 , \tag{4.77}$$

where the summands in the first term correspond to the Nekrasov–Piazzalunga sign prescription [13, 15]. Since  $\pi_{Al}$  are true plane partitions,  $p' = 0$  and each summand in the first term of (4.77) is zero modulo 2. □

**Remark 4.78** The sign factor (4.76) is consistent with the sign factor evaluated by Fasola and Monavari in [3]. It can be evaluated explicitly from (4.74) by counting the zeroes of the combination  $a_{Al} - a_{A'l'} + (\vec{p}_{Al} - \vec{p}'_{A'l'}) \cdot \vec{\epsilon}$  for  $A, A' \in \underline{4}^\perp$ ,  $l \in \{1, \dots, r_A\}$ ,  $l' \in \{1, \dots, r_{A'}\}$ ,  $\vec{p}_{Al} \in \pi_{Al}$  and  $\vec{p}'_{A'l'} \in \pi_{A'l'}$ , where  $\vec{p}_A \cdot \vec{\epsilon} := \sum_{a \in A} p_a \epsilon_a$ . For generic equivariant parameters, the result is given by the sum of cardinalities

$$O_{\vec{\pi}} = \sum_{A \in \underline{4}^\perp} \sum_{l=1}^{r_A} \#\{(\vec{p}_{Al}, \vec{p}'_{Al}) \in \pi_{Al} \times \pi_{Al} \mid p_{al} = p'_{al} + 1, a \in A\}. \tag{4.79}$$

Finally, the equivariant partition function for tetrahedron instantons can be easily evaluated from the character (4.73). It is given by the combinatorial expression

$$Z^r_{\mathbb{C}^4}(\mathfrak{q}; \vec{a}, \vec{\epsilon}) = \sum_{k=0}^\infty \sum_{\vec{\pi} \in \mathfrak{M}^T_{r,k}} (-1)^{O_{\vec{\pi}}} \mathfrak{q}^{|\vec{\pi}|} \widehat{\mathfrak{e}}\left[-\sqrt{\text{ch}_T}(T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{r,k})\right], \tag{4.80}$$

where

$$\begin{aligned} \widehat{\mathfrak{e}}\left[-\sqrt{\text{ch}_T}(T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{r,k})\right] &= \prod_{A, A' \in \underline{4}^\perp} \prod_{l=1}^{r_A} \prod_{\substack{\neq 0 \\ \vec{p}_{Al} \in \pi_{Al}}} \frac{P_{r_{A'}}(-a_{Al} - \vec{p}_{Al} \cdot \vec{\epsilon} + \epsilon_{\vec{A}} | -\vec{a}_{A'})}{P_{r_{A'}}(a_{Al} + \vec{p}_{Al} \cdot \vec{\epsilon} | \vec{a}_{A'})} \\ &\times \prod_{l'=1}^{r_{A'}} \prod_{\substack{\neq 0 \\ \vec{p}'_{A'l'} \in \pi_{A'l'}}} R_-(a_{Al} - a_{A'l'} + (\vec{p}_{Al} - \vec{p}'_{A'l'}) \cdot \vec{\epsilon} | \vec{\epsilon}). \end{aligned} \tag{4.81}$$

**Refined partition function**

The structure (4.64) of the fixed points  $\vec{\pi}$  suggests a refinement of the counting parameter  $\mathfrak{q}$  in (4.80) with four independent fugacities  $\mathfrak{q}_A$  weighing the contributions from  $\vec{\pi}_A$  for each face  $A \in \underline{4}^\perp$ . We set  $\mathbf{q} = (\mathfrak{q}_A)_{A \in \underline{4}^\perp}$  and define

$$\mathbf{q}^{\vec{\pi}} := \prod_{A \in \underline{4}^\perp} \mathfrak{q}_A^{|\vec{\pi}_A|}. \tag{4.82}$$

The *refined* partition function for tetrahedron instantons enumerates instantons on each of the codimension one strata  $\mathbb{C}^3_A \subset \mathbb{C}^3_\Delta$  for  $A \in \underline{4}^\perp$  and is defined by

$$Z^r_{\mathbb{C}^4}(\mathbf{q}; \vec{a}, \vec{\epsilon}) = \sum_{k=0}^\infty \sum_{\vec{\pi} \in \mathfrak{M}^T_{r,k}} (-1)^{O_{\vec{\pi}}} \mathbf{q}^{\vec{\pi}} \widehat{\mathfrak{e}}\left[-\sqrt{\text{ch}_T}(T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{r,k})\right]. \tag{4.83}$$

The generating function (4.80), in which  $\mathfrak{q}_A = \mathfrak{q}$  for all  $A \in \underline{4}^\perp$ , will sometimes be referred to as the *unrefined* partition function.

### Instantons on $\mathbb{C}_A^3$

If only  $r_A = r$  is nonzero for some  $A = (a\ b\ c) \in \underline{4}^\perp$ , we write  $r_A$  for the rank vector and  $\vec{\pi}_A$  for the fixed points. The character (4.73) is given by

$$\begin{aligned} \sqrt{\text{chT}}(T_{\vec{\pi}_A}^{\text{vir}} \mathfrak{M}_{r_A, k}) &= W_{\vec{\pi}_A}^* \otimes V_{\vec{\pi}_A} - \frac{V_{\vec{\pi}_A}^* \otimes W_{A\vec{\pi}_A}}{t_a t_b t_c} + V_{\vec{\pi}_A}^* \otimes V_{\vec{\pi}_A} \frac{(1-t_a)(1-t_b)(1-t_c)}{t_a t_b t_c} \\ &\quad + C_{\vec{\pi}_A} - C_{\vec{\pi}_A}^* \end{aligned} \tag{4.84}$$

where

$$C_{\vec{\pi}_A} := V_{\vec{\pi}_A}^* \otimes V_{\vec{\pi}_A} t_a t_b t_c \tag{4.85}$$

The only contribution of the term  $C_{\vec{\pi}_A} - C_{\vec{\pi}_A}^*$  to the partition function (4.57) is by the sign factor  $(-1)^{\text{rk } C_{\vec{\pi}_A}}$ , where

$$\text{rk } C_{\vec{\pi}_A} = |\vec{\pi}_A| + \text{rk} \left( V_{\vec{\pi}_A}^* \otimes V_{\vec{\pi}_A} t_a^{-1} \right)^{\text{fix}} \pmod{2} \tag{4.86}$$

Note that the second term of (4.86) coincides with the sign factor (4.76). Instead, the remaining terms of (4.84) form the equivariant character of the instanton deformation complex (2.28) for non-commutative instantons on  $\mathbb{C}_A^3$ .

By Theorem 2.36, it follows that the refined tetrahedron instanton partition function sums to

$$Z_{\mathbb{C}^4}^{r_A}(\mathfrak{Q}_A; \vec{\epsilon}) = M((-1)^{r+1} \mathfrak{Q}_A)^{-r} \frac{\epsilon_{ab} \epsilon_{ac} \epsilon_{bc}}{\epsilon_a \epsilon_b \epsilon_c} \tag{4.87}$$

which after redefinition  $\mathfrak{Q}_A = -\mathfrak{Q}$  coincides with the partition function for non-commutative instantons on  $\mathbb{C}_A^3$  with holonomy group  $H_{r_A} = U(3)_A$ . This agrees with the discussion of Sect. 4.5, and suggests that the partition function for tetrahedron instantons is related to the partition functions for instantons on  $\mathbb{C}^3$  and  $\mathbb{C}^4$ . These expectations are borne out below.

### Generic $r$

The previous considerations generalize to

**Proposition 4.88** *The unrefined equivariant partition function  $Z_{\mathbb{C}^4}^r(\mathfrak{Q}; \vec{a}, \vec{\epsilon})$  for tetrahedron instantons is independent of the Coulomb moduli  $\vec{a}$  and can be expressed as*

$$Z_{\mathbb{C}^4}^r(\mathfrak{Q}; \vec{\epsilon}) = M((-1)^{|r|+1} \mathfrak{Q})^{-\sum_{A \in \underline{4}^\perp} r_A \epsilon_A} \frac{\epsilon_{12} \epsilon_{13} \epsilon_{23}}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} \tag{4.89}$$

**Proof** The equivariant instanton partition function of the cohomological gauge theory with a massive fundamental hypermultiplet on  $\mathbb{C}^4$  is given by [15, 18, 28]

$$\mathcal{Z}_{\mathbb{C}^4}^r(\mathfrak{q}; \vec{a}, \vec{\epsilon}, \vec{m}) = M(-\mathfrak{q})^{-r m} \frac{\epsilon_{12} \epsilon_{13} \epsilon_{23}}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} \quad \text{with} \quad m = \frac{1}{r} \sum_{l=1}^r (m_l - a_l). \quad (4.90)$$

The result now follows immediately from Proposition 4.66. □

## 5 Tetrahedron instantons on local Calabi–Yau four-orbifolds

In this section, we extend our considerations of tetrahedron instantons from Sect. 4 to twisted Calabi–Yau orbifold resolutions of quotient singularities  $\mathbb{C}^4/\Gamma^\tau$ , where  $\tau : \Gamma \rightarrow H_r$  is a homomorphism from a finite group  $\Gamma$  to the unbroken holonomy group (4.14) fixing the smooth strata  $\mathbb{C}_A^3 \subset \mathbb{C}_\Delta^3$  of the singular threefold (4.16) supporting the instanton type  $r$ . That is, as opposed to generic  $SU(4)$ -instantons on  $\mathbb{C}^4$ , for tetrahedron instantons we consider only defect-preserving orbifold group actions, which generally restricts the allowed dimension vectors  $\mathbf{r} = (r_A)_{A \in 4^\perp}$  in order to allow for non-trivial groups  $\Gamma^\tau$  inside  $H_r$ . In this construction both the singular threefold  $\mathbb{C}_\Delta^3 \subset \mathbb{C}^4$  and its normal bundle may be subjected to the orbifold projection. We handle separately the cases where  $\Gamma$  is an abelian and a non-abelian group, expanding the analysis and results of Sect. 3.

### 5.1 Tetrahedron instantons on Abelian orbifolds

Let  $\Gamma_{\text{ab}}$  be a finite abelian group. It is straightforward to define (non-effective) actions of  $\Gamma_{\text{ab}}$  on  $\mathbb{C}^4$  analogously to what we did in Sect. 3.2, and compute orbifold instanton partition functions similarly to Sect. 3.3. However, for clarity and to streamline notation a bit, we will restrict our considerations of abelian orbifolds to the cases where  $\tau$  is a monomorphism. The McKay quivers in these instances have been described in detail in [18].

Let  $\Gamma_{\text{ab}}$  be a finite abelian subgroup of  $H_r \subset SU(4)$  which commutes with the maximal torus  $T_\epsilon$ ; it is of the form  $\Gamma_{\text{ab}} = \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2} \times \mathbb{Z}_{n_3}$  with order  $n = n_1 n_2 n_3$  and is diagonally embedded in  $SU(4)$ . Then  $\mathbb{C}^4/\Gamma_{\text{ab}}$  is a toric Calabi–Yau four-orbifold. Let  $\rho_s$  denote the irreducible representation of  $\Gamma_{\text{ab}}$  with weight  $s$ ; the trivial representation is  $\rho_0$ . The restriction to  $\Gamma_{\text{ab}}$  of the fundamental representation  $Q_4$  of  $SU(4)$  branches into irreducible  $\Gamma_{\text{ab}}$ -modules as

$$Q_4 \simeq \rho_{s_1} \oplus \rho_{s_2} \oplus \rho_{s_3} \oplus \rho_{s_4}. \quad (5.1)$$

By the Calabi–Yau condition,  $\rho_{s_1} \otimes \dots \otimes \rho_{s_4} \simeq \rho_0$ . Under the group isomorphism  $\widehat{\Gamma}_{\text{ab}} \simeq \Gamma_{\text{ab}}$ , this induces a corresponding colouring  $\mathbb{Z}_{\geq 0}^{\oplus 4} \rightarrow \Gamma_{\text{ab}}$  given by

$$(n_1, n_2, n_3, n_4) \mapsto \rho_{s_1}^{\otimes n_1} \otimes \rho_{s_2}^{\otimes n_2} \otimes \rho_{s_3}^{\otimes n_3} \otimes \rho_{s_4}^{\otimes n_4}. \quad (5.2)$$

The choice of an abelian orbifold group  $\Gamma_{ab}$  leaves unbroken the maximal torus  $T$  of the theory in the  $\Omega$ -background, because we assume  $\Gamma_{ab}$  commutes with  $T_{\bar{c}} \subset SU(4)$ . In this case, there is no restriction on the type  $r$  labelling the solutions of (4.10). Since the irreducible representations of  $\Gamma_{ab}$  are all one-dimensional, the  $T$ -fixed points of the tetrahedron instanton moduli space are all isolated and are in one-to-one correspondence with plane partitions coloured via the map (5.2).

**ADHM data**

The  $\Gamma_{ab}$ -action on  $\mathbb{C}^4$  induces an equivariant decomposition of the vector spaces

$$V = \bigoplus_{s \in \widehat{\Gamma}_{ab}} V_s \otimes \rho_s^* \quad \text{and} \quad W_A = \bigoplus_{s \in \widehat{\Gamma}_{ab}} W_{As} \otimes \rho_s^* \tag{5.3}$$

for  $A \in \underline{4}^\perp$ , where  $V_s$  and  $W_{As}$  are Hermitian vector spaces of complex dimensions  $k_s$  and  $r_{As}$ , respectively, each carrying a trivial  $\Gamma_{ab}$ -action. We define dimension vectors  $\vec{k} = (k_s)_{s \in \widehat{\Gamma}_{ab}}$  and  $\vec{r} = (\vec{r}_A)_{A \in \underline{4}^\perp} = (r_{As})_{A \in \underline{4}^\perp, s \in \widehat{\Gamma}_{ab}}$ , with

$$k = |\vec{k}| := \sum_{s \in \widehat{\Gamma}_{ab}} k_s \quad \text{and} \quad r = \sum_{A \in \underline{4}^\perp} r_A = |\vec{r}| := \sum_{A \in \underline{4}^\perp} |\vec{r}_A| = \sum_{A \in \underline{4}^\perp} \sum_{s \in \widehat{\Gamma}_{ab}} r_{As} . \tag{5.4}$$

By Schur’s Lemma, the decompositions (5.3) induce equivariant decompositions of the ADHM variables as

$$B = \bigoplus_{s \in \widehat{\Gamma}_{ab}} (B_a^s)_{a \in \underline{4}} \in \text{Hom}_{\Gamma_{ab}}(V, V \otimes Q_4) \quad \text{with} \quad B_a^s : V_s \longrightarrow V_{s+s_a} , \tag{5.5}$$

$$I_A = \bigoplus_{s \in \widehat{\Gamma}_{ab}} I_A^s \in \text{Hom}_{\Gamma_{ab}}(W_A, V) \quad \text{with} \quad I_A^s : W_{As} \longrightarrow V_s .$$

Consequently, the ADHM equations (4.17) for tetrahedron instantons decompose into

$$\mu_{ab}^{\mathbb{C}^s} = B_a^{s+s_b} B_b^s - B_b^{s+s_a} B_a^s - \frac{1}{2} \varepsilon_{abcd} (B_c^{s-s_{cd}^\dagger} B_d^{s-s_d^\dagger} - B_d^{s-s_{cd}^\dagger} B_c^{s-s_c^\dagger}) = 0 ,$$

$$\mu^{\mathbb{R}^s} = \sum_{a \in \underline{4}} (B_a^{s-s_a} B_a^{s-s_a^\dagger} - B_a^{s^\dagger} B_a^s) + \sum_{A \in \underline{4}^\perp} I_A^s I_A^{s^\dagger} = \zeta_s \mathbb{1}_{V_s} ,$$

$$\sigma_A^s = (B_A^s I_A^s)^\dagger = 0 , \tag{5.6}$$

for  $s \in \widehat{\Gamma}_{ab}$ ,  $a, b \in \underline{4}$  and  $A \in \underline{4}^\perp$ .



The symmetry group of the system of equations (5.6) is

$$U(\vec{k}) \times U(\vec{r}) := \prod_{s \in \widehat{\Gamma}_{ab}} U(k_s) \times \prod_{s \in \widehat{\Gamma}_{ab}} \prod_{A \in \underline{4}^\perp} U(r_{As}), \tag{5.7}$$

which acts on the ADHM variables as

$$(g_s, h_A^s)_{\substack{s \in \widehat{\Gamma}_{ab} \\ A \in \underline{4}^\perp}} \cdot (B_a^s, I_A^s)_{\substack{s \in \widehat{\Gamma}_{ab} \\ a \in \underline{4}, A \in \underline{4}^\perp}} = (g_{s+s_a} B_a^s g_s^{-1}, g_s I_A^s h_A^s)_{\substack{s \in \widehat{\Gamma}_{ab} \\ a \in \underline{4}, A \in \underline{4}^\perp}}, \tag{5.8}$$

for  $g_s \in U(k_s)$  and  $h_A^s \in U(r_{As})$ . There is an additional  $H_r$  symmetry which acts in the fundamental representation  $\mathcal{Q}_4$  on  $(B_a^s)_{a \in \underline{4}}$  for all  $s \in \widehat{\Gamma}_{ab}$  and trivially on all  $I_A^s$ .

### 5.2 Abelian orbifold partition functions

The equivariant generating functions for tetrahedron instantons on abelian orbifolds of  $\mathbb{C}^4$  can be evaluated by equivariant decompositions of the theory in the  $\Omega$ -background from Sect. 4.

#### Cohomological field theory on $[\mathbb{C}^4 / \Gamma_{ab}]$

By decomposing all fields as equivariant maps, the  $\Gamma_{ab}$ -module structure splits the BRST transformations (4.59) and (4.60) into irreducible representations labelled by  $s \in \widehat{\Gamma}_{ab}$ . They read as

$$\begin{aligned} \mathcal{Q}_{\Gamma_{ab}} B_a^s &= \psi_a^s, & \mathcal{Q}_{\Gamma_{ab}} \psi_a^s &= \phi^{s+s_a} B_a^s - B_a^s \phi^s - \epsilon_a B_a^s, \\ \mathcal{Q}_{\Gamma_{ab}} I_A^s &= \varpi_A^s, & \mathcal{Q}_{\Gamma_{ab}} \varpi_A^s &= \phi^s I_A^s - I_A^s \underline{a}_A^s, \\ \mathcal{Q}_{\Gamma_{ab}} \chi_{ab}^{\mathbb{C}s} &= H_{ab}^{\mathbb{C}s}, & \mathcal{Q}_{\Gamma_{ab}} H_{ab}^{\mathbb{C}s} &= \phi^{s+s_{ab}} \chi_{ab}^{\mathbb{C}s} - \chi^{\mathbb{C}s} \phi^s - \epsilon_{ab} \chi_{ab}^{\mathbb{C}s}, \\ \mathcal{Q}_{\Gamma_{ab}} \chi^{\mathbb{R}s} &= H^{\mathbb{R}s}, & \mathcal{Q}_{\Gamma_{ab}} H^{\mathbb{R}s} &= [\phi^s, \chi^{\mathbb{R}s}], \\ \mathcal{Q}_{\Gamma_{ab}} \Upsilon_A^s &= \xi_A^s, & \mathcal{Q}_{\Gamma_{ab}} \xi_A^s &= \underline{a}_A^s \xi_A^s - \Upsilon_A^s \phi^s + \epsilon_{\bar{A}} \Upsilon_A^s, \\ \mathcal{Q}_{\Gamma_{ab}} \phi^s &= 0, & \mathcal{Q}_{\Gamma_{ab}} \bar{\phi}^s &= \eta^s, & \mathcal{Q}_{\Gamma_{ab}} \eta^s &= [\bar{\phi}^s, \phi^s], \end{aligned} \tag{5.9}$$

for  $a \in \underline{4}$ ,  $(a, b) \in \underline{3}^\perp$ ,  $A \in \underline{4}^\perp$  and  $s \in \widehat{\Gamma}_{ab}$ . Here  $\phi^s$  parametrizes infinitesimal  $U(k_s)$  gauge transformations, while  $\underline{a}_A^s$  collects the  $r_{As}$  Coulomb moduli  $a_{Al}$  all associated with the irreducible representation  $\rho_s$ . This defines a map

$$l \longmapsto s_A(l) \in \widehat{\Gamma}_{ab} \quad \text{for } l \in \{1, \dots, r_A\}. \tag{5.10}$$

The BRST transformations (5.9) can be used to construct the abelian orbifold matrix model with standard techniques, as we did in Sect. 4.5. This results in the partition function

$$\begin{aligned}
 Z_{[\mathbb{C}^4/\Gamma_{ab}]}^{\vec{r}, \vec{k}}(\vec{a}, \vec{\epsilon}) &= \oint_{\Gamma_{\vec{r}, \vec{k}}} \prod_{s \in \widehat{\Gamma}_{ab}} \frac{1}{k_s!} \prod_{i=1}^{k_s} \frac{d\phi_i^s}{2\pi i} \prod_{A \in \underline{4}^\perp} \frac{P_{rAs}(-\phi_i^s - \epsilon_{\bar{A}} - \bar{a}_A^{s+s_{\bar{A}}})}{P_{rAs}(\phi_i^s | \bar{a}_A^s)} \prod_{\substack{i,j=1 \\ i \neq j}}^{k_s} (\phi_i^s - \phi_j^s) \\
 &\times \prod_{i,j=1}^{k_s} \frac{\prod_{(a,b) \in \underline{3}^\perp} (\phi_i^{s+s_{ab}} - \phi_j^s - \epsilon_{ab})}{\prod_{a \in \underline{4}} (\phi_i^{s+s_a} - \phi_j^s - \epsilon_a)}.
 \end{aligned}
 \tag{5.11}$$

The contour  $\Gamma_{\vec{r}, \vec{k}} \subset \mathbb{C}^k$  encloses the poles of the integrand, which are situated along the hyperplanes

$$\phi_i^{s+s_a} - \phi_j^s - \epsilon_a = 0 \quad \text{and} \quad \phi_i^s - a_{Al}^s = 0
 \tag{5.12}$$

in  $\mathbb{R}^k$ , for  $i, j = 1, \dots, k, s \in \widehat{\Gamma}_{ab}, a \in \underline{4}, A \in \underline{4}^\perp$  and  $l = 1, \dots, r$ . Similarly to the matrix model of Sect. 4.5, as well as that of [18], these are the fixed points of the orbifold ADHM data  $(B_a^s, I_A^s)_{a \in \underline{4}, A \in \underline{4}^\perp, s \in \widehat{\Gamma}_{ab}}$  under the equivariant action of the symmetry group  $U(\vec{k}) \times U(\vec{r}) \times H_r$ . They reside on the locus of fixed points of the BRST charge  $Q_{\Gamma_{ab}}$  of the cohomological gauge theory on  $[\mathbb{C}^4/\Gamma_{ab}]$ , and correspond to  $\Gamma_{ab}$ -coloured plane partitions  $\vec{\pi}$  as defined in Sect. 3.3.

In the notation of Sect. 3.3, the full partition function for orbifold tetrahedron instantons is

$$Z_{[\mathbb{C}^4/\Gamma_{ab}]}^{\vec{r}}(\vec{q}; \vec{a}, \vec{\epsilon}) = \sum_{\vec{k} \in \mathbb{Z}_{\geq 0}^{\#\Gamma_{ab}}} \vec{q}^{\vec{k}} Z_{[\mathbb{C}^4/\Gamma_{ab}]}^{\vec{r}, \vec{k}}(\vec{a}, \vec{\epsilon}),
 \tag{5.13}$$

where

$$\vec{q}^{\vec{k}} = \prod_{s \in \widehat{\Gamma}_{ab}} q_s^{k_s}.
 \tag{5.14}$$

**Remark 5.15** (Broken Permutation Symmetry) From the matrix model representation (5.11) we deduce that, in contrast to the cases of Sect. 4, the partition functions for tetrahedron instantons on abelian orbifolds  $\mathbb{C}^4/\Gamma_{ab}$  are not invariant under all permutations of the entries of the dimension vectors  $\vec{r} = (\vec{r}_A)_{A \in \underline{4}^\perp}$ . In fact, such a permutation generically generates a permutation of the Coulomb moduli  $\vec{a} = (\vec{a}_A^s)_{A \in \underline{4}^\perp, s \in \widehat{\Gamma}_{ab}}$  which is associated with different irreducible representations of  $\Gamma_{ab}$  for different faces  $A \in \underline{4}^\perp$ .

**Dimensional reduction**

Similarly to Sect. 4.5, we can compare (5.11) with the matrix integral  $Z_{[\mathbb{C}^4/\Gamma_{ab}]}^{\vec{r}, \vec{k}}(\vec{a}, \vec{\epsilon}, \vec{m})$  for the rank  $r$  orbifold Donaldson–Thomas invariants of  $[\mathbb{C}^4/\Gamma_{ab}]$  of type  $\vec{r}$  which was obtained in [18, eq. (3.62)]. In particular, the analogue of Proposition 4.66 is given in the following way by restricting to solutions with at most two

intersecting stacks of D7-branes. Prior to gauging, these types of tetrahedron instantons generalize the folded instantons of [6] and are called *generalized folded instantons* by [1].

For distinct fixed  $A_1, A_2 \in \underline{4}^\perp$ , let  $\mathbb{C}_{A_1, A_2}^2 := \mathbb{C}_{A_1}^3 \cap \mathbb{C}_{A_2}^3$  denote the intersection of the corresponding codimension one strata in  $\mathbb{C}_\Delta^3 \subset \mathbb{C}^4$ ; we write  $\mathbb{C}^2 = \mathbb{C}^4 \setminus \mathbb{C}_{A_1, A_2}^2 = \mathbb{C}_{\bar{A}_1} \times \mathbb{C}_{\bar{A}_2}$  for the remaining affine plane. We take as rank vector  $\mathbf{r} = \mathbf{r}_{A_1, A_2} := (r_{A_1}, r_{A_2}, 0, 0)$ ; then the unbroken holonomy group is

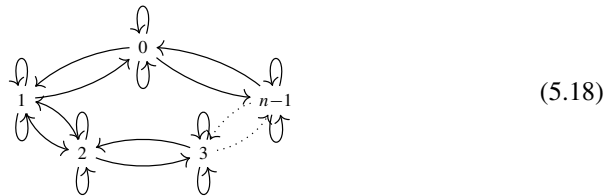
$$H_{\mathbf{r}_{A_1, A_2}} = U(2)_{A_1, A_2} \times U(1). \tag{5.16}$$

We restrict the holonomy to  $SU(2)_{A_1, A_2} \subset H_{\mathbf{r}_{A_1, A_2}}$ . Its only finite abelian subgroups are the cyclic groups  $\Gamma_{\text{ab}} = \mathbb{Z}_n$  of order  $n$ , with generator

$$g = \begin{pmatrix} \xi_n & 0 \\ 0 & \xi_n^{-1} \end{pmatrix}, \tag{5.17}$$

where  $\xi_n = e^{2\pi i/n}$  is a primitive  $n$ -th root of unity. If  $A_1 \cap A_2 = (a_1 a_2)$  with  $a_1, a_2 \in \underline{4}$ , then the weights of the fundamental representation  $\mathcal{Q}_4$  are  $s_{a_1} = 1$ ,  $s_{a_2} = n - 1$  and  $s_{\bar{A}_1} = s_{\bar{A}_2} = 0$ .

The McKay quiver assumes the form [18]



This is obtained from any orientation for the affine Dynkin diagram of type  $A_{n-1}$ , and adding a pair of edge loops at each node (cf. Example 3.19).

**Proposition 5.19** *There exist Coulomb parameter and mass specializations such that the equivariant instanton partition functions for the cohomological field theory with a massive fundamental hypermultiplet on  $[\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_n] \times \mathbb{C}^2$  of type  $\vec{r} = \vec{r}_{A_1} + \vec{r}_{A_2}$  and the cohomological field theory for orbifold tetrahedron instantons of type*

$$\vec{r}_{A_1, A_2} = (r_{A_1, s}, r_{A_2, s}, 0, \dots, 0)_{s=0, 1, \dots, n-1} \tag{5.20}$$

are related as

$$\mathcal{Z}_{[\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_n] \times \mathbb{C}^2}^{\vec{r}_{A_1} + \vec{r}_{A_2}}(\vec{q}; \vec{a}, \vec{\epsilon}, \vec{m}) = \mathcal{Z}_{[\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_n] \times \mathbb{C}^2}^{\vec{r}_{A_1, A_2}}(\vec{q}'; \vec{a}, \vec{\epsilon}), \tag{5.21}$$

where  $\vec{q}' = ((-1)^{r_{A_1, s} + r_{A_2, s}} q_s)_{s=0, 1, \dots, n-1}$ .

**Proof** In  $\mathcal{Z}_{[\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_n] \times \mathbb{C}^2}^{\vec{r}_{A_1} + \vec{r}_{A_2}, \vec{k}}(\vec{a}, \vec{\epsilon}, \vec{m})$  we specialize the substitution (4.68) to

$$(a_l^s, m_l^s) = \begin{cases} (\bar{a}_{A_1, l}^s, \bar{a}_{A_1, l}^s + \epsilon_{\bar{A}_1}) & \text{for } l = 1, \dots, r_{A_1}, \\ (\bar{a}_{A_2, l}^s, \bar{a}_{A_2, l}^s + \epsilon_{\bar{A}_2}) & \text{for } l = r_{A_1} + 1, \dots, r_{A_1} + r_{A_2}. \end{cases} \tag{5.22}$$

Using again  $\epsilon_4 = -\epsilon_{123}$ , together with  $s_{\bar{A}_1} = s_{\bar{A}_2} = 0$ , the matrix integral from [18, eq. (3.62)] then coincides with the matrix integral (5.11), up to a sign factor  $\prod_{s=0}^{n-1} (-1)^{(r_{A_1, s} + r_{A_2, s})k_s}$ :

$$\mathcal{Z}_{[\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_n] \times \mathbb{C}^2}^{\vec{r}_{A_1} + \vec{r}_{A_2}, \vec{k}}(\vec{a}, \vec{\epsilon}, \vec{m}) = e^{\sum_{s=0}^{n-1} (r_{A_1, s} + r_{A_2, s})k_s} \mathcal{Z}_{[\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_n] \times \mathbb{C}^2}^{\vec{r}_{A_1, A_2}, \vec{k}}(\vec{a}, \vec{\epsilon}). \tag{5.23}$$

The result now follows by taking the weighted sum over  $\vec{k} \in \mathbb{Z}_{\geq 0}^n$ . □

**Remark 5.24** (Instantons on  $[\mathbb{C}_A^3 / \Gamma_{\mathbf{ab}}]$ ) For fixed  $A = (a \ b \ c) \in \underline{4}^\perp$  with rank vector taken to be  $\mathbf{r} = \mathbf{r}_A := (r_A, 0, 0, 0)$ , the unbroken holonomy group is

$$\mathbf{H}_{\mathbf{r}_A} = \mathbf{U}(3)_A. \tag{5.25}$$

Proposition 5.19 can then be extended to orbifolds  $\mathbb{C}_A^3 / \Gamma_{\mathbf{ab}} \times \mathbb{C}$ , where  $\Gamma_{\mathbf{ab}}$  is a finite subgroup of  $\mathbf{SU}(3)_A \subset \mathbf{H}_{\mathbf{r}_A}$  and  $\mathbb{C} = \mathbb{C}^4 \setminus \mathbb{C}_A^3 = \widehat{\mathbb{C}}_{\bar{A}}$ . This recovers the partition function for orbifold tetrahedron instantons of type  $\vec{r}_A = (r_{A_s}, 0, \dots, 0)_{s \in \widehat{\Gamma}_{\mathbf{ab}}}$ , which by [18, Proposition 3.63] reduces to the generating function for non-commutative Donaldson–Thomas invariants of type  $\vec{r}_A$  on the toric Calabi–Yau three-orbifold  $\mathbb{C}_A^3 / \Gamma_{\mathbf{ab}}$  with  $\mathbf{U}(3)_A$  holonomy:

$$\mathcal{Z}_{[\mathbb{C}_A^3 / \Gamma_{\mathbf{ab}}] \times \mathbb{C}}^{\vec{r}_A}(\vec{q}; \vec{a}, \vec{\epsilon}, \vec{m}) = \mathcal{Z}_{[\mathbb{C}_A^3 / \Gamma_{\mathbf{ab}}] \times \mathbb{C}}^{\vec{r}_A}(\vec{q}'; \vec{a}, \vec{\epsilon}) = \mathcal{Z}_{[\mathbb{C}_A^3 / \Gamma_{\mathbf{ab}}]}^{\vec{r}_A}(\vec{q}'; \vec{a}, \epsilon_a, \epsilon_b, \epsilon_c), \tag{5.26}$$

where  $\vec{q}' = ((-1)^{r_{A_s}} q_s)_{s \in \widehat{\Gamma}_{\mathbf{ab}}}$ . The restriction of this orbifold theory to  $\mathbf{SU}(3)_A$  holonomy is thoroughly discussed in [43].

### Instanton partition functions

The partition function for orbifold tetrahedron instantons can be evaluated by considering the  $\Gamma_{\mathbf{ab}}$ -invariant part of the index (4.73). The natural inclusion  $\Gamma_{\mathbf{ab}} \hookrightarrow \mathbf{T}_{\vec{\epsilon}}$  defines the irreducible representations of  $\Gamma_{\mathbf{ab}}$  associated with the toric generators  $t_a$  for  $a \in \underline{4}$ . Consequently, after a gauge transformation the vector spaces  $V$  and  $W_A$  at the fixed point  $\vec{\pi} \in \mathfrak{M}_{\vec{r}, \vec{k}}^{\mathbf{T}}$  decompose into

$$V_{\vec{\pi}} = \sum_{A=(a \ b \ c) \in \underline{4}^\perp} \sum_{l=1}^{r_A} e_{Al} \sum_{\vec{p}_A \in \pi_{Al}} t_a^{p_a-1} t_b^{p_b-1} t_c^{p_c-1} \otimes \rho_{l; \vec{p}_A}^* \tag{5.27}$$

and

$$W_{A\vec{\pi}} = \sum_{l=1}^{r_A} e_{Al} \otimes \rho_{S_A(l)}^* \tag{5.28}$$

as elements of the representation ring of  $T \times \Gamma_{ab}$ , where

$$\rho_l; \vec{p}_A := \rho_{S_A(l)} \otimes \rho_{S_a}^{\otimes(p_a-1)} \otimes \rho_{S_b}^{\otimes(p_b-1)} \otimes \rho_{S_c}^{\otimes(p_c-1)} \quad \text{for } A = (abc). \tag{5.29}$$

Proceeding as in Sect. 3.3, we obtain the combinatorial formula

$$Z_{[\mathbb{C}^4/\Gamma_{ab}]}^{\vec{r}}(\vec{q}; \vec{a}, \vec{\epsilon}) = \sum_{\vec{k} \in \mathbb{Z}_{\geq 0}^{\#\Gamma_{ab}}} \vec{q}^{\vec{k}} \sum_{\vec{\pi} \in \mathfrak{M}_{\vec{r}, \vec{k}}^{\Gamma_{ab}}} (-1)^{O_{\vec{\pi}}^{\Gamma_{ab}}} \widehat{\text{e}} \left[ -\sqrt{\text{ch}_{\Gamma_{ab}}} (T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{\vec{r}, \vec{k}}) \right], \tag{5.30}$$

where the superscript  $\Gamma_{ab}$  stands for the  $\Gamma_{ab}$ -invariant part and

$$\begin{aligned} \widehat{\text{e}} \left[ -\sqrt{\text{ch}_{\Gamma_{ab}}} (T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{\vec{r}, \vec{k}}) \right] &= \prod_{A, A' \in \underline{4}^{\perp}} \prod_{l=1}^{r_A} \prod_{\vec{p}_{Al} \in \pi_{Al}}^{\neq 0} \frac{P_{r_{A'}} \circ \delta_0^{\Gamma_{ab}}(-\mathfrak{a}_{Al} - \vec{p}_{Al} \cdot \vec{\epsilon} + \epsilon_{\vec{A}'} | -\vec{a}_{A'})}{P_{r_A} \circ \delta_0^{\Gamma_{ab}}(\mathfrak{a}_{Al} + \vec{p}_{Al} \cdot \vec{\epsilon} | \vec{a}_A)} \\ &\times \prod_{l'=1}^{r_{A'}} \prod_{\vec{p}'_{A'l'} \in \pi_{A'l'}}^{\neq 0} R_- \circ \delta_0^{\Gamma_{ab}}(\mathfrak{a}_{A'l'} - \vec{p}'_{A'l'} \cdot \vec{\epsilon} | \vec{\epsilon}). \end{aligned} \tag{5.31}$$

As in Sect. 4.6, we may also introduce refined fugacities  $\vec{q} = (q_{As})_{A \in \underline{4}^{\perp}, s \in \widehat{\Gamma}_{ab}}$  and reorganize the dimension vector  $\vec{k}$  as  $\vec{k} = (\vec{k}_A)_{A \in \underline{4}^{\perp}} = (k_{As})_{A \in \underline{4}^{\perp}, s \in \widehat{\Gamma}_{ab}}$ , where  $k_{As}$  is the total number of boxes of  $\vec{\pi}_A$  of colour  $s$ , or equivalently the complex dimension of the isotypical component of the vector space  $V_A$  from Remark 4.24 labelled by  $s \in \widehat{\Gamma}_{ab}$ . A refined partition function, enumerating fractional instantons on each of the strata  $\mathbb{C}_A^3 \subset \mathbb{C}_{\Delta}^3$  for  $A \in \underline{4}$ , may then be defined by replacing the counting weights  $\vec{q}^{\vec{k}}$  in (5.30) with

$$\vec{q}^{\vec{k}} := \prod_{A \in \underline{4}^{\perp}} \prod_{s \in \widehat{\Gamma}_{ab}} q_{As}^{k_{As}}, \tag{5.32}$$

and writing

$$Z_{[\mathbb{C}^4/\Gamma_{ab}]}^{\vec{r}}(\vec{q}; \vec{a}, \vec{\epsilon}) = \sum_{\vec{k} \in \mathbb{Z}_{\geq 0}^{\#\Gamma_{ab}}} \vec{q}^{\vec{k}} \sum_{\vec{\pi} \in \mathfrak{M}_{\vec{r}, \vec{k}}^{\Gamma_{ab}}} (-1)^{O_{\vec{\pi}}^{\Gamma_{ab}}} \widehat{\text{e}} \left[ -\sqrt{\text{ch}_{\Gamma_{ab}}} (T_{\vec{\pi}}^{\text{vir}} \mathfrak{M}_{\vec{r}, \vec{k}}) \right]. \tag{5.33}$$

**Remark 5.34** (Sign Factors) Since the character  $\sqrt{\text{ch}_{\Gamma_{\text{ab}}}^{\text{vir}}(\mathfrak{M}_{\vec{r}, \vec{k}})}$  is evaluated by projecting onto the  $\Gamma_{\text{ab}}$ -invariant part of the character (4.73), it seems reasonable to assume that the sign factor does not depend on the  $\Gamma_{\text{ab}}$ -colouring, i.e.  $O_{\vec{\pi}}^{\text{ab}} = O_{\vec{\pi}}$  is also given by (4.79). This is the same assertion made in [18, 35] for instantons on toric Calabi–Yau four-orbifolds.

**Remark 5.35** (Permutation Symmetry) Looking at the combinatorial formula (5.30), it is easy to see that given a framing vector  $\mathbf{r}_s = (r_{As}, 0, \dots, 0)_{A \in 4^+}$  for a fixed weight  $s \in \widehat{\Gamma}_{\text{ab}}$ , any other framing vector obtained from  $\mathbf{r}_s$  by varying  $s \in \widehat{\Gamma}_{\text{ab}}$  yields an equivalent partition function. The same result naturally descends from Proposition 5.19 for the orbifolds of type  $\mathbb{C}^2/\mathbb{Z}_n \times \mathbb{C}^2$ . Indeed, the partition function of type  $\vec{r}$  for the cohomological field theory with a massive fundamental hypermultiplet on  $[\mathbb{C}^4/\Gamma_{\text{ab}}]$  is invariant under permutations of the entries of the dimension vector  $\vec{r} = (r, 0, \dots, 0)$  [18, Remark 4.15].

**Example 5.36** Consider the rank two cohomological gauge theory on the orbifold resolution  $[\mathbb{C}^2/\mathbb{Z}_2] \times \mathbb{C}^2$  where  $\mathbb{Z}_2$  acts on  $\mathbb{C}^4$  with weights

$$s_1 = s_2 = 1 \quad \text{and} \quad s_3 = s_4 = 0. \tag{5.37}$$

For the framing vector  $\vec{r}$ , we take

$$\mathbf{r}_0 = (r_{1230}, r_{1240}, 0, 0, 0, 0, 0) = (1, 1, 0, 0, 0, 0, 0). \tag{5.38}$$

By Remark 5.35, this framing yields the same theory as the framing

$$\mathbf{r}_1 = (0, 0, r_{1231}, r_{1241}, 0, 0, 0) = (0, 0, 1, 1, 0, 0, 0). \tag{5.39}$$

The leading terms of the instanton partition function (5.30) are given by

$$\begin{aligned} Z_{[\mathbb{C}^2/\mathbb{Z}_2] \times \mathbb{C}^2}^{\mathbf{r}_0}(\vec{q}; \vec{a}, \vec{\epsilon}) &= \frac{\epsilon_{12} \epsilon_{34}}{\epsilon_3 \epsilon_4} q_0 + \frac{\epsilon_{12} \epsilon_{34} (\epsilon_{12} \epsilon_{34} - \epsilon_3 \epsilon_4)}{2 \epsilon_3^2 \epsilon_4^2} q_0^2 \\ &\quad - \frac{\epsilon_{12} \epsilon_{34} (4 \epsilon_1 \epsilon_2 - \epsilon_3 \epsilon_4)}{2 \epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} q_0 q_1 + \dots, \end{aligned} \tag{5.40}$$

independently of the Coulomb moduli  $\vec{a}$ .

On the other hand, after substituting (5.32), the refined partition function (5.33) takes the more complicated form

$$\begin{aligned} Z_{[\mathbb{C}^2/\mathbb{Z}_2] \times \mathbb{C}^2}^{\mathbf{r}_0}(\vec{q}; \vec{a}, \vec{\epsilon}) &= \frac{\epsilon_{12} (a - \epsilon_3)}{\epsilon_3 a} q_{1230} + \frac{\epsilon_{12} (a + \epsilon_4)}{\epsilon_4 a} q_{1240} \\ &\quad + \frac{\epsilon_{12} (\epsilon_{12} - \epsilon_3) (a - \epsilon_3)}{2 \epsilon_3^2 (a + \epsilon_3)} q_{1230}^2 + \frac{\epsilon_{12} (\epsilon_{12} - \epsilon_4) (a + \epsilon_4)}{2 \epsilon_4^2 (a - \epsilon_4)} q_{1240}^2 \\ &\quad + \frac{\epsilon_{12} (4 \epsilon_1 \epsilon_2 - \epsilon_3 \epsilon_4)}{2 \epsilon_1 \epsilon_2 a} \left( \frac{\epsilon_3 - a}{\epsilon_3} q_{1230} q_{1231} - \frac{\epsilon_4 + a}{\epsilon_4} q_{1240} q_{1241} \right) \\ &\quad + \frac{\epsilon_{12}^2}{\epsilon_3 \epsilon_4} \frac{(a - \epsilon_{12}) (a + \epsilon_{12})}{(a - \epsilon_4) (a + \epsilon_3)} q_{1230} q_{1240} + \dots \end{aligned} \tag{5.41}$$

In particular, it depends explicitly on the Coulomb moduli  $\vec{a} = (a_1, a_2)$  through the combination  $a = a_1 - a_2$ .

### 5.3 The orbifolds $\mathbb{C}^2_{A_1, A_2}/\mathbb{Z}_n \times \mathbb{C}^2$ and $\mathbb{C}^3_A/(\mathbb{Z}_2 \times \mathbb{Z}_2) \times \mathbb{C}$

The finite subgroups of  $SU(2) \subset SU(3)$  and  $SO(3) \subset SU(3)$  play a special role in the Donaldson–Thomas theory of Calabi–Yau orbifolds [35, 68]: these are the only orbifold groups whose elements all have age  $\leq 1$  and for which the theory can be subjected to a crepant resolution correspondence; we will return to this point in Sect. 5.7. Of these the only abelian groups  $\Gamma_{ab}$  are the cyclic groups  $\mathbb{Z}_n$  and the Klein four-group  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . Proposition 5.19 and Remark 5.24 allow us to compute the orbifold partition functions for tetrahedron instantons based on the partition functions for the cohomological gauge theory with a massive fundamental hypermultiplet on  $[\mathbb{C}^4/\Gamma_{ab}]$ . Utilizing the explicit results for the latter presented in [18, Sects. 4 and 5], we can immediately infer corresponding closed formulas for the unrefined partition functions for tetrahedron instantons on the orbifolds  $\mathbb{C}^2_{A_1, A_2}/\mathbb{Z}_n \times \mathbb{C}^2$  and  $\mathbb{C}^3_A/(\mathbb{Z}_2 \times \mathbb{Z}_2) \times \mathbb{C}$ .

#### Tetrahedron instantons on $\mathbb{C}^2_{A_1, A_2}/\mathbb{Z}_n \times \mathbb{C}^2$

Consider the quotient singularity  $\mathbb{C}^2_{A_1, A_2}/\mathbb{Z}_n \times \mathbb{C}^2$  for distinct  $A_1, A_2 \in \mathbf{4}^\perp$  in the notation of Proposition 5.19. Again we write  $A_1 \cap A_2 = (a_1 a_2)$  with  $a_1, a_2 \in \underline{4}$ .

**Proposition 5.42** *Assume [18, Conjecture 4.11] is true. Then the unrefined partition function for tetrahedron instantons of type  $\vec{r}_{A_1, A_2 0} = (r_{A_1 0}, r_{A_2 0}, 0, \dots, 0)$  on the orbifold  $\mathbb{C}^2_{A_1, A_2}/\mathbb{Z}_n \times \mathbb{C}^2$  with  $H_{r_{A_1, A_2}}$  holonomy is given by*

$$\begin{aligned}
 & Z_{[\mathbb{C}^2_{A_1, A_2}/\mathbb{Z}_n] \times \mathbb{C}^2}(\vec{q}; \vec{\epsilon}) \\
 &= M((-1)^{n+r} Q)^{-n} \frac{\epsilon^{12} \epsilon^{13} \epsilon^{23} (r_{A_1 0} \epsilon_{\vec{A}_1} + r_{A_2 0} \epsilon_{\vec{A}_2})}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} - \frac{n-1}{n} \frac{\epsilon^{a_1 a_2} (r_{A_1 0} \epsilon_{\vec{A}_1} + r_{A_2 0} \epsilon_{\vec{A}_2})}{\epsilon_{a_1} \epsilon_{a_2}} \quad (5.43) \\
 &\times \prod_{0 < p \leq s < n} \tilde{M}((-1)^{p-s+1} q_{[p, s]}, (-1)^{n+r} Q)^{\frac{\epsilon^{a_1 a_2} (r_{A_1 0} \epsilon_{\vec{A}_1} + r_{A_2 0} \epsilon_{\vec{A}_2})}{\epsilon_{\vec{A}_1} \epsilon_{\vec{A}_2}}},
 \end{aligned}$$

where

$$Q = q_0 q_1 \cdots q_{n-1} \quad \text{and} \quad q_{[p, s]} = q_p q_{p+1} \cdots q_{s-1} q_s, \quad (5.44)$$

while  $r = r_{A_1 0} + r_{A_2 0}$ .

**Proof** This follows straightforwardly from [18, Conjecture 4.11] and Proposition 5.19. □

**Remark 5.45** (Refined Partition Functions) The expansion of the formula (5.43) for  $n = 2, A_1 = (123), A_2 = (124)$  and  $r_{A_1 0} = r_{A_2 0} = 1$  reproduces the explicit expansion (5.40) from Example 5.36. On the other hand, it is not clear if the refined partition functions can also be expressed by a closed formula, see (5.41).

**Proposition 5.46** *Assume [18, Conjecture 4.21] is true. Then the unrefined partition function for tetrahedron instantons of type  $\vec{r}_{A_1, A_2} = (r_{A_1 0}, r_{A_2 0}, r_{A_1 1}, r_{A_2 1}, 0, 0, 0, 0)$  on the orbifold  $\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_2 \times \mathbb{C}^2$  with  $H_{r_{A_1, A_2}}$  holonomy is given by*

$$\begin{aligned} Z_{[\mathbb{C}_{A_1, A_2}^2 / \mathbb{Z}_2] \times \mathbb{C}^2}^{\vec{r}_{A_1, A_2}}(\vec{q}; \vec{\epsilon}) &= M((-1)^r q_0 q_1)^{-2} \frac{\epsilon^{12} \epsilon^{13} \epsilon^{23} (r_{A_1} \epsilon_{\bar{A}_1} + r_{A_2} \epsilon_{\bar{A}_2})}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4} - \frac{3}{2} \frac{\epsilon^{a_1 a_2} (r_{A_1} \epsilon_{\bar{A}_1} + r_{A_2} \epsilon_{\bar{A}_2})}{\epsilon_{a_1} \epsilon_{a_2}} \\ &\times \tilde{M}(-q_1, (-1)^r q_0 q_1) \frac{\epsilon^{a_1 a_2} (r_{A_1 0} \epsilon_{\bar{A}_1} + r_{A_2 0} \epsilon_{\bar{A}_2})}{\epsilon_{\bar{A}_1} \epsilon_{\bar{A}_2}} \\ &\times \tilde{M}(-q_0, (-1)^r q_0 q_1) \frac{\epsilon^{a_1 a_2} (r_{A_1 1} \epsilon_{\bar{A}_1} + r_{A_2 1} \epsilon_{\bar{A}_2})}{\epsilon_{\bar{A}_1} \epsilon_{\bar{A}_2}}, \end{aligned} \tag{5.47}$$

where  $r_A = r_{A 0} + r_{A 1}$  for  $A \in \{A_1, A_2\}$  and  $r = r_{A_1} + r_{A_2}$ .

**Proof** This follows straightforwardly from [18, Conjecture 4.21] and Proposition 5.19. □

### Tetrahedron instantons on $\mathbb{C}_A^3 / (\mathbb{Z}_2 \times \mathbb{Z}_2) \times \mathbb{C}$

Consider the action of  $\mathbb{Z}_2 \times \mathbb{Z}_2$  on  $\mathbb{C}_A^3$  for fixed  $A = (abc) \in 4^\perp$  given as in Example 3.111. Using Remark 5.24 we can recover the unrefined partition function for tetrahedron instantons of type  $\vec{r}_A = (r, 0, \dots, 0)$  on the orbifold  $\mathbb{C}_A^3 / (\mathbb{Z}_2 \times \mathbb{Z}_2) \times \mathbb{C}$  with holonomy group  $H_{r_A} = U(3)_A$ . It coincides, up to signs after taking the  $r$ -th power, with the closed formula (3.113):

$$\begin{aligned} Z_{[\mathbb{C}_A^3 / \mathbb{Z}_2 \times \mathbb{Z}_2] \times \mathbb{C}}^{\vec{r}_A = (r, 0, \dots, 0)}(\vec{q}; \vec{\epsilon}) &= \frac{M((-1)^r Q)^r \frac{\epsilon_a \epsilon_b \epsilon_c - \epsilon_a^2 \epsilon_b - \epsilon_a^2 \epsilon_c - \epsilon_b^2 \epsilon_c - \epsilon_b^2 \epsilon_a - \epsilon_c^2 \epsilon_a - \epsilon_c^2 \epsilon_b - \epsilon_c^2}{\epsilon_a \epsilon_b \epsilon_c}}{\tilde{M}(-q_1, (-1)^r Q)^r \tilde{M}(-q_2, (-1)^r Q)^r \tilde{M}(-q_3, (-1)^r Q)^r \tilde{M}(-q_1 q_2 q_3, (-1)^r Q)^r} \\ &\times \prod_{\substack{p, s \in A \\ p < s}} \tilde{M}(q_p q_s, (-1)^r Q)^r \frac{\epsilon^{(ps)^-} - \epsilon^{ps}}{2 \epsilon^{(ps)^-}}, \end{aligned} \tag{5.48}$$

where  $(ps)^- = A \setminus \{p, s\}$ .

### 5.4 Tetrahedron instantons on non-Abelian orbifolds

We now turn to the case where the orbifold group  $\Gamma \subset H_r \subset SU(4)$  is a finite non-abelian group. In analogy with the discussion of Sect. 3.1, we can associate a McKay quiver  $Q^\Gamma = (Q_0^\Gamma, Q_1^\Gamma)$  to the action of a finite subgroup  $\Gamma$  of the unbroken holonomy group (4.14) on  $\mathbb{C}^4$  in the fundamental representation  $Q_4$  of  $SU(4)$ . Each vertex  $i \in Q_0^\Gamma$  corresponds to an irreducible representation  $\lambda_i \in \widehat{\Gamma}$ , while the number  $d_{ij}$  of arrows



from vertex  $i$  to vertex  $i'$  is given by the decomposition of  $\Gamma$ -modules

$$Q_4 \otimes \lambda_i = \bigoplus_{i' \in Q_0^\Gamma} a_{ii'} \lambda_{i'} = \bigoplus_{e \in S^{-1}(i)} \lambda_{t(e)} \oplus \bigoplus_{e \in T^{-1}(i)} \lambda_{s(e)}. \tag{5.49}$$

The ADHM parametrization is constructed as a stable framed linear representation of the bounded McKay quiver. To each vertex  $i \in Q_0^\Gamma$  we assign vector spaces  $V_i$  and  $W_{Ai}$ , together with linear maps  $I_{Ai} \in \text{Hom}_{\mathbb{C}}(W_{Ai}, V_i)$  for  $A \in \underline{4}^\perp$ . We introduce dimension vectors  $\vec{k} = (k_i)_{i \in Q_0^\Gamma}$  and  $\vec{r} = (\vec{r}_A)_{A \in \underline{4}^\perp} = (r_{Ai})_{A \in \underline{4}^\perp, i \in Q_0^\Gamma}$ , with  $k_i = \dim V_i$  and  $r_{Ai} = \dim W_{Ai}$ . With  $d_i = \dim \lambda_i$ , we define  $r_A = \sum_{i \in Q_0^\Gamma} d_i r_{Ai}$  for any  $A \in \underline{4}^\perp$ , and set

$$k = |\vec{k}| := \sum_{i \in Q_0^\Gamma} d_i k_i \quad \text{and} \quad r = \sum_{A \in \underline{4}^\perp} r_A = |\vec{r}| := \sum_{A \in \underline{4}^\perp} |\vec{r}_A| = \sum_{A \in \underline{4}^\perp} \sum_{i \in Q_0^\Gamma} d_i r_{Ai}. \tag{5.50}$$

Finally, to each arrow  $e \in Q_1^\Gamma$  we assign a linear map  $B_e \in \text{Hom}_{\mathbb{C}}(V_{s(e)}, V_{t(e)})$ . The linear maps  $(B_e, I_{Ai})_{i \in Q_0^\Gamma, e \in Q_1^\Gamma, A \in \underline{4}^\perp}$  are required to satisfy relations for the

McKay quiver given by the orbifold ADHM equations, obtained as  $\Gamma$ -equivariant decomposition of the tetrahedron instanton equations (4.17), similarly to Sect. 3.1.

Similarly to Sect. 3.2, we can also consider arbitrary finite non-abelian groups  $\Gamma$  and define their actions on  $\mathbb{C}^4$  via a homomorphism

$$\tau : \Gamma \longrightarrow H_r. \tag{5.51}$$

Generically, this leads to non-effective orbifolds of  $\mathbb{C}^4$ . In particular, for the choice of framing vector  $r = r_A$ , the cohomological gauge theory is BRST-localized on non-commutative instantons in the twisted orbifold resolution  $[\mathbb{C}_A^3 / \Gamma] \times \text{BK}^\tau$  of the quotient singularity  $\mathbb{C}_A^3 / \Gamma^\tau$ , with holonomy  $H_{r_A} = \text{U}(3)_A$ , that we discussed in Sect. 3.2. Generally, the constraint that the image  $\tau(\Gamma)$  lands in the defect-preserving subgroup  $H_r \subset \text{SU}(4)$  of the holonomy group ensures that the strata  $\mathbb{C}_A^3 \subset \mathbb{C}_\Delta^3$  for  $A \in \underline{4}^\perp$  are invariant under the  $\Gamma$ -action and restricts our considerations to only two admissible classes. We follow the terminology and notation of Sect. 3.2 throughout, and call these  $\text{SU}(m) \times$  abelian orbifolds for  $m = 2, 3$ .

### SU(2) × Abelian orbifolds

For fixed distinct face labels  $A_1, A_2 \in \underline{4}^\perp$ , we write  $A_1 \cap A_2 = (a_1 a_2)$  for  $a_1, a_2 \in \underline{4}$ . We take as framing vector  $\vec{r}_{A_1, A_2} = (\vec{r}_{A_1}, \vec{r}_{A_2}, \vec{0}, \vec{0})$ ; then the unbroken holonomy group (4.14) is given by  $H_{r_{A_1, A_2}} = \text{U}(2)_{A_1, A_2} \times \text{U}(1)$ . Let  $\Gamma_2 = \Upsilon_2 \times \Gamma_{\text{ab}}$ , where  $\Upsilon_2$  is a finite non-abelian subgroup of  $\text{SU}(2)$  acting on  $\mathbb{C}_{A_1, A_2}^2$  in the fundamental representation  $Q_2$ .

Let  $\Gamma_2$  act on  $\mathbb{C}^4$  via the homomorphism  $\tau_{\vec{s}} : \Gamma_2 \longrightarrow \mathbb{H}_{r_{A_1, A_2}}$  defined by

$$\tau_{\vec{s}}(\Gamma_2) = (\Upsilon_2 \times \rho_{s_1}(\Gamma_{\text{ab}})) \times \rho_{-s_1+s_2}(\Gamma_{\text{ab}}) \times \rho_{-s_{12}}(\Gamma_{\text{ab}}) \subset \text{U}(2)_{A_1, A_2} \times \text{U}(1) \subset \text{SU}(4), \tag{5.52}$$

where  $\vec{s} = (s_1, s_2)$  and  $\rho_s : \Gamma_{\text{ab}} \longrightarrow \text{U}(1)$  is the unitary irreducible representation of  $\Gamma_{\text{ab}}$  with weight  $s$ . This defines the action of  $\Gamma_2$  on  $\mathbb{C}^4 = \mathbb{C}^2_{A_1, A_2} \times \mathbb{C}_{\bar{A}_1} \times \mathbb{C}_{\bar{A}_2}$  as the four-dimensional  $\Gamma_2$ -module

$$Q_4^{\vec{s}} = (Q_2 \otimes \rho_{s_1}) \oplus (\lambda_0 \otimes \rho_{-s_1+s_2}) \oplus (\lambda_0 \otimes \rho_{-s_{12}}). \tag{5.53}$$

The kernel of  $\tau_{\vec{s}}$  is the normal subgroup

$$K^{\vec{s}} := \ker(\tau_{\vec{s}}) = \{(g, \xi) \in \Upsilon_2 \times \Gamma_{\text{ab}} \mid g = \rho_{-s_1}(\xi) \mathbb{1}_2 \in \Upsilon_2, \xi \in \ker(\rho_{-s_1+s_2}) \cap \ker(\rho_{s_{12}})\} \tag{5.54}$$

of  $\Gamma_2$ .

The centralizer of  $\tau_{\vec{s}}(\Gamma_2)$  in  $\mathbb{T}_{\vec{\epsilon}}$  is

$$C^{\vec{s}} = \text{U}(1)_{\vec{\epsilon}}^{\times 2} \subset \mathbb{T}_{\vec{\epsilon}}, \tag{5.55}$$

where  $\vec{\epsilon} = (\epsilon_1, \epsilon_2)$  are the equivariant parameters. Thus, the unbroken maximal torus of the equivariant gauge theory is

$$\mathbb{T}_{A_1, A_2} := \mathbb{T}_{\bar{a}_{A_1}} \times \mathbb{T}_{\bar{a}_{A_2}} \times \text{U}(1)_{\vec{\epsilon}}^{\times 2}. \tag{5.56}$$

Let  $\text{Dynk}\gamma_2$  be the oriented affine Dynkin diagram associated with  $\Upsilon_2$ , with adjacency matrix  $\hat{A}_{\gamma_2} = (a_{i' i})^{\uparrow 2}$ . Each vertex of the McKay quiver  $Q^{\tau_{\vec{s}}}(\Gamma_2)$  is labelled by a pair  $(i, s)$ , where  $i$  is a vertex of  $\text{Dynk}\gamma_2$  and  $s \in \hat{\Gamma}_{\text{ab}}$ . Then, the number of arrows  $a_{(i, s)(i', s')}$  from vertex  $(i, s)$  to vertex  $(i', s')$  is given by

$$a_{(i, s)(i', s')} = a_{i' i}^{\uparrow 2} \delta_{s', s+s_1} + \delta_{i', i} (\delta_{s', s-s_1+s_2} + \delta_{s', s-s_{12}}). \tag{5.57}$$

In the notation of Example 3.19, with  $r_{A_i, s} = 0$  unless  $A \in \{A_1, A_2\}$ , the ADHM variables  $(B, I_A) \in \text{Hom}_{\Gamma_2}(V, V \otimes Q_4^{\vec{s}}) \times \text{Hom}_{\Gamma_2}(W_A, V)$  decompose into linear maps

$$\begin{aligned} B_e^s &\in \text{Hom}_{\mathbb{C}}(V_{s(e), s}, V_{t(e), s+s_1}), & \bar{B}_e^s &\in \text{Hom}_{\mathbb{C}}(V_{t(e), s}, V_{s(e), s+s_1}), \\ L_{A_1 i}^s &\in \text{Hom}_{\mathbb{C}}(V_{i, s}, V_{i, s-s_{12}}), & L_{A_2 i}^s &\in \text{Hom}_{\mathbb{C}}(V_{i, s}, V_{i, s-s_1+s_2}), & I_{A i}^s &\in \text{Hom}_{\mathbb{C}}(W_{A i, s}, V_{i, s}) \end{aligned} \tag{5.58}$$

for each arrow  $e$  and vertex  $i$  of  $\text{Dynk}\gamma_2$ ,  $s \in \hat{\Gamma}_{\text{ab}}$ , and  $A \in \{A_1, A_2\}$ .

The field content is required to satisfy the orbifold ADHM equations

$$\begin{aligned}
 \mu_i^{\mathbb{C}S} &= \sum_{e \in s^{-1}(i)} \bar{B}_e^{s+s_1} B_e^s - \sum_{e \in t^{-1}(i)} B_e^{s+s_1} \bar{B}_e^s \\
 &\quad + L_{\bar{A}_2 i}^{s+2s_1 \dagger} L_{\bar{A}_1 i}^{s+s_1 2 \dagger} - L_{\bar{A}_1 i}^{s+2s_1 \dagger} L_{\bar{A}_2 i}^{s+s_1 -s_2 \dagger} = 0, \\
 \mu_e^{\mathbb{C}S} &= L_{\bar{A}_2 t(e)}^{s+s_1} B_e^s - B_e^{s-s_1+s_2} L_{\bar{A}_2 s(e)}^s + \bar{B}_e^{s+s_2 \dagger} L_{\bar{A}_1 s(e)}^{s+s_1 2 \dagger} - L_{\bar{A}_1 t(e)}^{s+s_2 \dagger} \bar{B}_e^{s-s_1 \dagger} = 0, \\
 \bar{\mu}_e^{\mathbb{C}S} &= L_{\bar{A}_2 s(e)}^{s+s_1} \bar{B}_e^s - \bar{B}_e^{s-s_1+s_2} L_{\bar{A}_2 t(e)}^s - B_e^{s+s_2 \dagger} L_{\bar{A}_1 t(e)}^{s+s_1 2 \dagger} + L_{\bar{A}_1 s(e)}^{s+s_2 \dagger} B_e^{s-s_1 \dagger} = 0, \\
 [4pt]\mu_i^{\mathbb{R}S} &= \sum_{e \in t^{-1}(i)} (B_e^{s-s_1} B_e^{s-s_1 \dagger} - \bar{B}_e^{s \dagger} \bar{B}_e^s) - \sum_{e \in s^{-1}(i)} (B_e^{s \dagger} B_e^s - \bar{B}_e^{s-s_1} \bar{B}_e^{s-s_1 \dagger}) \\
 &\quad + L_{\bar{A}_2 i}^{s+s_1-s_2} L_{\bar{A}_2 i}^{s+s_1-s_2 \dagger} - L_{\bar{A}_2 i}^{s \dagger} L_{\bar{A}_2 i}^s + L_{\bar{A}_1 i}^{s+s_1 2} L_{\bar{A}_1 i}^{s+s_1 2 \dagger} - L_{\bar{A}_1 i}^{s \dagger} L_{\bar{A}_1 i}^s \\
 &\quad + I_{\bar{A}_1 i}^s I_{\bar{A}_1 i}^{s \dagger} + I_{\bar{A}_2 i}^s I_{\bar{A}_2 i}^{s \dagger} = \zeta_{i,s} \mathbb{1}_{V_{i,s}}, \\
 \sigma_{\bar{A}_1 i}^s &= I_{\bar{A}_1 i}^{s+s_1 2 \dagger} L_{\bar{A}_1 i}^{s \dagger} = 0, \quad \sigma_{\bar{A}_2 i}^s = I_{\bar{A}_2 i}^{s+s_1-s_2 \dagger} L_{\bar{A}_2 i}^{s \dagger} = 0,
 \end{aligned} \tag{5.59}$$

where  $\zeta_{i,s} \in \mathbb{R}_{>0}$ .

The action of the torus  $\mathbb{C}^{\vec{s}} = \text{U}(1)_{\vec{c}}^{\times 2}$  from (5.56) on the ADHM data is given by

$$(B, \bar{B}, L_{\bar{A}_1}, L_{\bar{A}_2}, I_{A_1}, I_{A_2}) \mapsto (t_1^{-1} B, t_1^{-1} \bar{B}, t_1^2 t_2 L_{\bar{A}_1}, t_2^{-1} L_{\bar{A}_2}, I_{A_1}, I_{A_2}), \tag{5.60}$$

where  $t_a = e^{i\epsilon_a}$ .

### SU(3) × Abelian orbifolds

For a fixed face label  $A = (abc) \in \underline{4}^\perp$ , we take as framing vector  $\vec{r}_A = (\vec{r}_A, \vec{0}, \vec{0}, \vec{0})$ ; then the unbroken holonomy group (4.14) is  $H_{r_A} = \text{U}(3)_A$ . Let  $\Gamma_3 = \Upsilon_3 \times \Gamma_{\text{ab}}$ , where  $\Upsilon_3$  is a finite non-abelian subgroup of  $\text{SU}(3)$  acting on  $\mathbb{C}_A^3$  in the fundamental representation  $Q_3$ .

Let  $\Gamma_3$  act on  $\mathbb{C}^4$  via the homomorphism  $\tau_{\vec{s}} : \Gamma_3 \rightarrow H_{r_A}$  defined by

$$\tau_{\vec{s}}(\Gamma_3) = (\Upsilon_3 \times \rho_{\vec{s}}(\Gamma_{\text{ab}})) \times \rho_{-3\vec{s}}(\Gamma_{\text{ab}}) \subset \text{U}(3)_A \subset \text{SU}(4). \tag{5.61}$$

This defines the action of  $\Gamma_3$  on  $\mathbb{C}^4 = \mathbb{C}_A^3 \times \mathbb{C}_{\bar{A}}$  as the four-dimensional  $\Gamma_3$ -module

$$Q_4^{\vec{s}} = (Q_3 \otimes \rho_{\vec{s}}) \oplus (\lambda_0 \otimes \rho_{-3\vec{s}}). \tag{5.62}$$

The kernel of  $\tau_{\vec{s}}$  is the normal subgroup

$$\bar{K}^{\vec{s}} := \ker(\tau_{\vec{s}}) = \{(g, \xi) \in \Upsilon_3 \times \Gamma_{\text{ab}} \mid g = \rho_{-\vec{s}}(\xi) \mathbb{1}_3, \xi \in \ker(\rho_{3\vec{s}})\} \tag{5.63}$$

of  $\Gamma_3$ .

The centralizer of  $\tau_{\tilde{s}}(\Gamma_3)$  in  $T_{\tilde{e}}$  is

$$C^{\tilde{s}} = U(1)_{\epsilon} \subset T_{\tilde{e}}, \tag{5.64}$$

where  $\epsilon$  is the equivariant parameter, and the unbroken maximal torus of the equivariant gauge theory is

$$T_A := T_{\tilde{a}_A} \times U(1)_{\epsilon}. \tag{5.65}$$

The adjacency matrix of the McKay quiver  $Q^{\tau_{\tilde{s}}(\Gamma_3)}$  is given by

$$a_{(i,s)(i',s')} = a_{i'i'}^{\Upsilon_3} \delta_{s',s+\tilde{s}} + \delta_{i,i'} \delta_{s',s-3\tilde{s}}, \tag{5.66}$$

where  $(i, s), (i', s') \in Q_0^{\Upsilon_3} \times \widehat{\Gamma}_{\text{ab}}$ .

The ADHM field content  $(B, I_A) \in \text{Hom}_{\Gamma_3}(V, V \otimes Q_4^{\tilde{s}}) \times \text{Hom}_{\Gamma_3}(W_A, V)$  decomposes into linear maps

$$B_e^s \in \text{Hom}_{\mathbb{C}}(V_{s(e),s}, V_{t(e),s+\tilde{s}}), \quad L_{\tilde{A}i}^s \in \text{Hom}_{\mathbb{C}}(V_{i,s}, V_{i,s-3\tilde{s}}), \quad I_{Ai}^s \in \text{Hom}_{\mathbb{C}}(W_{Ai,s}, V_{i,s}), \tag{5.67}$$

for  $e \in Q_1^{\Upsilon_3}, s \in \widehat{\Gamma}_{\text{ab}}$ , and  $i \in Q_0^{\Upsilon_3}$ . They satisfy the orbifold ADHM equations

$$\begin{aligned} \mu^{\mathbb{C}} &= B \wedge B - \star_{\Omega}(B \wedge B) = 0, \\ \mu_i^{\mathbb{R}s} &= \sum_{e \in t^{-1}(i)} B_e^{s-\tilde{s}} B_e^{s-\tilde{s}\dagger} - \sum_{e \in s^{-1}(i)} B_e^{s\dagger} B_e^s \\ &\quad + L_{\tilde{A}i}^{s+3\tilde{s}} L_{\tilde{A}i}^{s+3\tilde{s}\dagger} - L_{\tilde{A}i}^{s\dagger} L_{\tilde{A}i}^s + I_{Ai}^s I_{Ai}^{s\dagger} = \zeta_{i,s} \mathbb{1}_{V_{i,s}}, \\ \sigma_{Ai,s} &= I_{Ai}^{s+3\tilde{s}\dagger} L_{\tilde{A}i}^{s\dagger} = 0, \end{aligned} \tag{5.68}$$

where  $\zeta_{i,s} \in \mathbb{R}_{>0}$ , and the complex equation  $\mu^{\mathbb{C}} \in \text{Hom}_{\Gamma_3}(V, V \otimes \wedge_{-}^2 Q_4^{\tilde{s}})$  is written using the involution  $\star_{\Omega}$  from (4.5).

The torus  $C^{\tilde{s}} = U(1)_{\epsilon}$  from (5.64) transforms the ADHM data as

$$(B, L_{\tilde{A}}, I_A) \longmapsto (t^{-1} B, t^3 L_{\tilde{A}}, I_A), \tag{5.69}$$

where  $t = e^{i\epsilon}$ .

### Stability and Quot schemes

As discussed in [42, 58] for the case of Nakajima quiver varieties, the D-term equations  $\mu_i^{\mathbb{R}} = \zeta_i \mathbb{1}_{V_i}$  in (5.59) and (5.68), for  $i \in Q_0^{\tau(\Gamma_m)}$ , are equivalent to the following

stability condition: if there is a collection of subspaces  $S_i \subset V_i$  for  $i \in Q_0^{\tau(\Gamma_m)}$  such that

$$I_{Ai}(W_{Ai}) \subset S_i \quad \text{and} \quad B_e(S_{s(e)}) \subset S_{t(e)}, \tag{5.70}$$

for all  $i \in Q_0^{\tau(\Gamma_m)}$ ,  $A \in \underline{4}^\perp$  and  $e \in Q_1^{\tau(\Gamma_m)}$ , then  $S_i = V_i$  for all  $i \in Q_0^{\tau(\Gamma_m)}$ . In the present case, the proof is similar to the stability proof for spiked instantons given in [5, Sect. 8].

Similarly to [42], let  $\mathcal{P}_i^j[Q^{\tau(\Gamma_m)}]$  denote the set of all paths along the McKay quiver  $Q^{\tau(\Gamma_m)}$  starting at vertex  $i \in Q_0^{\tau(\Gamma_m)}$  and ending at vertex  $j \in Q_0^{\tau(\Gamma_m)}$ . A path  $\gamma = (e_{\gamma_1}, \dots, e_{\gamma_n}) \in \mathcal{P}_i^j[Q^{\tau(\Gamma_m)}]$  of length  $l(\gamma) = n$  is described by a sequence of  $n$  arrows  $e_{\gamma_i} \in Q_1^{\tau(\Gamma_m)}$ , with  $s(e_{\gamma_1}) = i$ ,  $t(e_{\gamma_n}) = j$  and  $s(e_{\gamma_i}) = t(e_{\gamma_{i-1}})$  for  $2 \leq i \leq n$ . We indicate by  $\mathcal{B}_\gamma$  the composition of linear maps  $B_e$  defined by the path  $\gamma$ :

$$\mathcal{B}_\gamma = B_{e_{\gamma_n}} B_{e_{\gamma_{n-1}}} \cdots B_{e_{\gamma_1}}. \tag{5.71}$$

Then, the stability condition implies that

$$V_j = \sum_{A \in \underline{4}^\perp} V_{Aj} := \sum_{A \in \underline{4}^\perp} \sum_{i \in Q_0^{\tau(\Gamma_m)}} \sum_{\gamma \in \mathcal{P}_i^j[Q^{\tau(\Gamma_m)}]} \mathcal{B}_\gamma I_{Ai}(W_{Ai}), \tag{5.72}$$

for all  $j \in Q_0^{\tau(\Gamma_m)}$ .

The same argument used in Sect. 3.1 shows that the equations  $\mu^{\mathbb{C}} = 0$  in (5.59) and (5.68) are equivalent to the EJ-term relations

$$\begin{aligned} \mu_i^{\mathbb{C}s} &= \sum_{e \in s^{-1}(i)} \bar{B}_e^{s+s_1} B_e^s - \sum_{e \in t^{-1}(i)} B_e^{s+s_1} \bar{B}_e^s = 0, \\ \mu_e^{\mathbb{C}s} &= L_{\bar{A}_2 t(e)}^{s+s_1} B_e^s - B_e^{s-s_1+s_2} L_{\bar{A}_2 s(e)}^s = 0, \\ \bar{\mu}_e^{\mathbb{C}s} &= L_{\bar{A}_2 s(e)}^{s+s_1} \bar{B}_e^s - \bar{B}_e^{s-s_1+s_2} L_{\bar{A}_2 t(e)}^s = 0, \end{aligned} \tag{5.73}$$

for  $SU(2) \times$  abelian orbifolds, and

$$\mu^{\mathbb{C}} = B \wedge B = 0, \tag{5.74}$$

for  $SU(3) \times$  abelian orbifolds.

Using the stability condition, we can now express the instanton moduli space  $\mathfrak{M}_{\vec{r}, \vec{k}}$ , regarded as a quiver variety in the ADHM parametrization, as a non-commutative  $\Gamma_m$ -Quot scheme

$$\mathfrak{M}_{\vec{r}, \vec{k}} \simeq \bar{\mu}_m^{\mathbb{C}-1}(0)^{\text{stable}} / G_{\vec{k}}, \tag{5.75}$$

for the  $SU(m) \times$  abelian orbifolds, where

$$\vec{\mu}_m^{\mathbb{C}} = \begin{cases} (\mu_i^{\mathbb{C}^s}, \mu_e^{\mathbb{C}^s}, \bar{\mu}_e^{\mathbb{C}^s}, \sigma_{A_1 i}^s, \sigma_{A_2 i}^s)_{i \in Q_0^{\tau}, e \in Q_1^{\tau_2}, s \in \widehat{\Gamma}_{ab}} & \text{for } m = 2, \\ (\mu^{\mathbb{C}}, \sigma_{A_i}^s)_{i \in Q_0^{\tau_3}, s \in \widehat{\Gamma}_{ab}} & \text{for } m = 3. \end{cases} \tag{5.76}$$

The complex gauge group

$$G_{\vec{k}} = \prod_{i \in Q_0^{\tau}(\Gamma_m)} GL(k_i, \mathbb{C}) \tag{5.77}$$

acts on the ADHM data as

$$g \cdot (B_e, I_{A_i}) = (g_{t(e)} B_e g_{S(e)}^{-1}, g_i I_{A_i}), \tag{5.78}$$

with  $g_i \in GL(k_i, \mathbb{C})$ .

### 5.5 Equivariant fixed points on quiver varieties

The quiver variety  $\mathfrak{M}_{\vec{r}, \vec{k}}$  has a symmetry group

$$U(\vec{r}) = \prod_{A \in \underline{4}^{\perp}} \prod_{i \in Q_0^{\tau}(\Gamma_m)} U(r_{A_i}), \tag{5.79}$$

acting by framing rotations  $I_{A_i} \mapsto I_{A_i} h_{A_i}^{-1}$  with  $h_{A_i} \in U(r_{A_i})$ . Its maximal torus can be expressed as

$$T_{\vec{a}} = \prod_{A \in \underline{4}^{\perp}} T_{\vec{a}_{A_i}} = \prod_{A \in \underline{4}^{\perp}} \prod_{i \in Q_0^{\tau}(\Gamma_m)} T_{\vec{a}_{A_i}} \subset T^{\tau}, \tag{5.80}$$

where  $\vec{a}_{A_i} = (a_{A_i 1}, \dots, a_{A_i r_{A_i}})$  are the equivariant parameters of the maximal torus  $T_{\vec{a}_{A_i}} \subset U(r_{A_i})$ .

With respect to the action of the maximal torus (5.80) on the moduli space, a connected component of the fixed point locus labelled by  $F \in \pi_0(\mathfrak{M}_{\vec{r}, \vec{k}}^{\tau})$  corresponds to a set

$$F = (F_{A_i l})_{\substack{A \in \underline{4}^{\perp}, i \in Q_0^{\tau}(\Gamma_m) \\ l=1, \dots, r_{A_i}}}. \tag{5.81}$$

The fixed point locus for the action of the maximal tours  $T^\tau = T_{\bar{a}} \times C^\tau$  is the disjoint union

$$\mathfrak{M}_{\vec{r}, \vec{k}}^{T^\tau} = \bigsqcup_{F \in \pi_0(\mathfrak{M}_{\vec{r}, \vec{k}}^{T^\tau})} \times_{A \in \underline{4}^\perp} \times_{i \in Q_0^\tau(\Gamma_m)} \times_{l=1}^{r_{Ai}} \mathfrak{M}_{F_{Ail}}. \tag{5.82}$$

We describe these component sets explicitly below.

**SU(2) x Abelian orbifolds: linear partitions**

Consider the setup of the orbifold group  $\Gamma_2 = \Upsilon_2 \times \Gamma_{ab}$  and the equivariant gauge theory with maximal torus  $T_{A_1, A_2} = T_{\bar{a}_{A_1}} \times T_{\bar{a}_{A_2}} \times U(1)_{\epsilon}^{\times 2}$ . We may characterize the equivariant fixed points of the torus action on the quiver variety by considering first the action of  $T_{A_1, A_2}$  on the ADHM data  $(B_a, I_A)_{a \in \underline{4}, A \in \underline{4}^\perp}$  of Sect. 4.2 with  $r = r_{A_1, A_2} = (r_{A_1}, r_{A_2}, 0, 0)$ . The action of  $\Gamma_2$  decomposes the ADHM data into its irreducible representations labelled by the vertices of the McKay quiver  $Q_0^{\tau(\Gamma_2)}$ . Since the actions of the groups  $\Gamma_2$  and  $T_{A_1, A_2}$  commute by construction, the degeneracy structure of the  $T_{A_1, A_2}$ -fixed point loci, whether they be isolated points or admit continuous deformations, remain unchanged in the orbifold theory and are parametrized by the same combinatorial data. For the same reason, the compactness results of Appendix B descend to the orbifold projections.

The torus action is given by

$$(B_a, I_A)_{a \in \underline{4}, A \in \{A_1, A_2\}} \mapsto (t_1^{-1} B_a, t_2^{-1} B_{\bar{A}_2}, t_1^2 t_2 B_{\bar{A}_1}, I_A h_A^{-1})_{a \in \{a_1, a_2\}, A \in \{A_1, A_2\}}, \tag{5.83}$$

for  $h_A \in T_{\bar{a}_A}$ . The equivariant  $T_{A_1, A_2}$ -fixed point equations are

$$g B_a g^{-1} = t_1^{-1} B_a, \quad g B_{\bar{A}_2} g^{-1} = t_2^{-1} B_{\bar{A}_2}, \quad g B_{\bar{A}_1} g^{-1} = t_1^2 t_2 B_{\bar{A}_1}, \quad g I_A = I_A \underline{e}_A, \tag{5.84}$$

for  $a \in \{a_1, a_2\}$  and  $A \in \{A_1, A_2\}$ , where  $g$  is the image of a homomorphism  $T_{A_1, A_2} \rightarrow GL(k, \mathbb{C})$  and  $\underline{e}_A = \text{diag}(e_{A1}, \dots, e_{A r_A})$  with  $e_{Al} = e^{i a_{Al}}$ .

We use the complex version of the ADHM parametrization to determine the general structure of the connected components of the tetrahedron instanton moduli space labelled by  $F \in \pi_0(\mathfrak{M}_{r_{A_1, A_2}, k}^{T_{A_1, A_2}})$ . For this, we use Remark 4.24 to decompose  $V = V_{A_1} + V_{A_2}$ , where  $V_A = \mathbb{C}[B_a, B_b, B_c] I_A(W_A)$  and  $B_{\bar{A}}(V_A) = 0$ . For each  $A \in \{A_1, A_2\}$  there are weight decompositions for the  $T_{\bar{a}_A}$ -action given by

$$V_A = \bigoplus_{l=1}^{r_A} V_{Al} \quad \text{and} \quad W_A = \bigoplus_{l=1}^{r_A} W_{Al}, \tag{5.85}$$

where  $W_{Al}$  are one-dimensional  $T_{\bar{a}_A}$ -modules. We momentarily focus on the rank one submodules  $V_{Al}$  and  $W_{Al}$  for fixed  $l \in \{1, \dots, r_A\}$ .

By the fixed point equations (5.84), the  $GL(k, \mathbb{C})$ -transformation  $g$  is unique and it induces weight decompositions of the rank one  $T_{\bar{a}_A}$ -modules

$$V_{A_l} = \bigoplus_{i,j \in \mathbb{Z}} V_{A_l}(i, j) \quad \text{with} \quad V_{A_l}(i, j) = \{v \in V_{A_l} \mid g(v) = t_1^i t_2^j e_{A_l} v\}. \tag{5.86}$$

From (5.84) it follows that  $B_a(V_{A_l}(i, j)) \subset V_{A_l}(i - 1, j)$ ,  $B_{\bar{A}_2}(V_{A_l}(i, j)) \subset V_{A_l}(i, j - 1)$  and  $B_{\bar{A}_1}(V_{A_2 l}(i, j)) \subset V_{A_2 l}(i + 2, j + 1)$ , for  $a \in \{a_1, a_2\}$  and  $A \in \{A_1, A_2\}$ , along with the vanishing images  $B_{\bar{A}_1}(V_{A_1 l}(i, j)) = B_{\bar{A}_2}(V_{A_2 l}(i, j)) = 0$ . The images

$$I_A(W_{A_l}) \subset V_{A_l}(0, 0) \tag{5.87}$$

are all one-dimensional subspaces.

For each  $l \in \{1, \dots, r\}$ ,  $a \in \{a_1, a_2\}$  and  $i, j \in \mathbb{Z}$ , we can summarize this weight data in a pair of diagrams: the  $A_1$ -diagram

$$\begin{array}{ccc}
 V_{A_1 l}(i - 1, j) & \xleftarrow{B_a} & V_{A_1 l}(i, j) \\
 \downarrow B_{\bar{A}_2} & & \downarrow B_{\bar{A}_2} \\
 V_{A_1 l}(i - 1, j - 1) & \xleftarrow{B_a} & V_{A_1 l}(i, j - 1)
 \end{array} \tag{5.88}$$

and the  $A_2$ -diagram

$$\begin{array}{ccccc}
 & & V_{A_2 l}(i + 1, j + 1) & & \\
 & \nearrow B_{\bar{A}_1} & & \nwarrow B_a & \\
 V_{A_2 l}(i - 1, j) & & & & V_{A_2 l}(i + 2, j + 1) \\
 & \nwarrow B_a & & \nearrow B_{\bar{A}_1} & \\
 & & V_{A_2 l}(i, j) & & 
 \end{array} \tag{5.89}$$

Both are commutative diagrams by the EJ-term relations  $[B_a, B_b] = 0$ .

For the  $A_1$ -diagrams, we argue exactly as in [12, 69]. Since  $V_{A_1 l}$  is spanned by the one-dimensional subspaces  $B_{a_1}^p B_{a_2}^m B_{A_2}^n I_{A_1}(W_{A_1 l})$  with  $p, m, n \in \mathbb{Z}_{\geq 0}$ , it follows from (5.87) that  $V_{A_1 l}(i, j) = 0$  if either  $i > 0$  or  $j > 0$ , while each non-trivial weight space is one-dimensional. The commutativity of the  $A_1$ -diagrams implies that  $V_{A_1 l}(i, j) \simeq \mathbb{C}$  is possible in only three instances:  $i = 0$  and  $V_{A_1 l}(i, j + 1) \simeq \mathbb{C}$ , or  $j = 0$  and  $V_{A_1 l}(i + 1, j) \simeq \mathbb{C}$ , or both  $V_{A_1 l}(i + 1, j) \simeq \mathbb{C}$  and  $V_{A_1 l}(i, j + 1) \simeq \mathbb{C}$ .



This yields the box stacking description of a Young diagram  $\lambda_{A_1 l}$ : we identify each pair  $(i, j)$  for which  $V_{A_1 l}(i, j) \simeq \mathbb{C}$  with a box at the corresponding location  $(i, j) \in \mathbb{Z}_{\leq 0}^2$ .

By reading off the numbers of boxes in each row, a Young diagram may be identified with a linear partition, that is, a sequence  $\lambda = (\lambda_i)_{i \geq 1}$  of non-negative integers  $\lambda_i \in \mathbb{Z}_{\geq 0}$  satisfying

$$\lambda_i \geq \lambda_{i+1} . \tag{5.90}$$

The total number of boxes in the Young diagram is the size  $|\lambda| = \sum_{i \geq 1} \lambda_i$  of the linear partition. By considering the totality of Young diagrams for  $l \in \{1, \dots, r_{A_1}\}$ , we obtain an array  $\vec{\lambda}_{A_1} = (\lambda_{A_1 1}, \dots, \lambda_{A_1 r_{A_1}})$  of linear partitions of size

$$|\vec{\lambda}_{A_1}| = \sum_{l=1}^{r_{A_1}} |\lambda_{A_1 l}| = k_{A_1} := \dim V_{A_1} . \tag{5.91}$$

The argument for the  $A_2$ -diagrams is analogous. In this case it follows from (5.87) that  $V_{A_2 l}(i, j) = 0$  if either  $j < 0$  or  $i > 2j$ , while commutativity of the  $A_2$ -diagrams implies that  $V_{A_2 l}(i, j) \simeq \mathbb{C}$  is only possible when either  $j = 0$  and  $V_{A_2 l}(i - 2, j - 1) \simeq \mathbb{C}$ , or  $i = 2j$  and  $V_{A_2 l}(i + 1, j) \simeq \mathbb{C}$ , or both  $V_{A_2 l}(i - 2, j - 1) \simeq \mathbb{C}$  and  $V_{A_2 l}(i + 1, j) \simeq \mathbb{C}$ . By identifying each pair  $(i, j)$  for which  $V_{A_2 l}(i, j) \simeq \mathbb{C}$  with a box at the location  $(2j - i, j) \in \mathbb{Z}_{\geq 0}^2$ , we obtain an array of linear partitions  $\vec{\lambda}_{A_2}$  of size  $|\vec{\lambda}_{A_2}| = k_{A_2} := \dim V_{A_2}$ .

For generic values of  $t_1, t_2$  and  $e_{A_l}$ , the sets of weights for the actions of  $g$  on  $V_{A_1}$  and  $V_{A_2}$  are disjoint and therefore  $V_{A_1} \cap V_{A_2} = 0$  at the fixed points, i.e.  $V = V_{A_1} \oplus V_{A_2}$ . Altogether we have shown that a fixed point labelled by  $F \in \pi_0(\mathfrak{M}_{r_{A_1}, A_2, k}^{\mathbb{T}_{A_1, A_2}})$  corresponds to an array of linear partitions  $\vec{\lambda} = (\vec{\lambda}_{A_1}, \vec{\lambda}_{A_2})$  whose total size is the instanton number

$$k = |\vec{\lambda}| = |\vec{\lambda}_{A_1}| + |\vec{\lambda}_{A_2}| = \sum_{A \in \{A_1, A_2\}} \sum_{l=1}^{r_A} |\lambda_{A l}| . \tag{5.92}$$

However, the correspondence is not bijective: the associations of the same Young diagrams  $\lambda_{A l}$  can be reached through different combinations of the actions of the linear maps  $B_{a_1}$  and  $B_{a_2}$ . Put differently, the virtual tangent space  $T_{\vec{\lambda}}^{\text{vir}} \mathfrak{M}_{r_{A_1}, A_2, k}$  is not movable, i.e. it contains the trivial  $\mathbb{T}_{A_1, A_2}$ -representation. This generally allows for continuous deformations, and the fixed points  $\vec{\lambda}$  are not isolated.

**Example 5.93** Consider  $U(1)$  gauge theory with  $r_{A_1} = 1$  and  $r_{A_2} = 0$  in the sector of instanton charge  $k = 2$ . A solution of the complex ADHM equations from (4.17) is obtained by taking  $B_{\bar{A}_1} = B_{\bar{A}_2} = 0$  and

$$B_{a_1} = \begin{pmatrix} 0 & 0 \\ b_1 & 0 \end{pmatrix} , \quad B_{a_2} = \begin{pmatrix} 0 & 0 \\ b_2 & 0 \end{pmatrix} , \quad I_{A_1} = \begin{pmatrix} I \\ 0 \end{pmatrix} , \tag{5.94}$$

with  $b_1, b_2, I \in \mathbb{C}$ . Up to a  $U(1)$  phase rotation, and using scaling symmetry to set  $\zeta = 1$ , the D-term equation in (4.17) is then uniquely solved by taking  $I = \sqrt{2}$  and  $(b_1, b_2) \in \mathbb{C}^2$  to parametrize the three-sphere  $|b_1|^2 + |b_2|^2 = 1$ , which after quotienting by the  $U(1)$  phase leaves the complex projective line  $\mathbb{P}^1$ .

For these ADHM data, the fixed point equations (5.84) are uniquely solved by the complex gauge transformation

$$g = \begin{pmatrix} e_{A_1} & 0 \\ 0 & t_1^{-1} e_{A_1} \end{pmatrix}, \tag{5.95}$$

for all  $(b_1, b_2) \in \mathbb{C}^2$ . The fixed point locus  $\mathfrak{M}_{\mathbb{F}} \simeq \mathbb{P}^1$  is thus compact and consists of non-isolated points, parametrizing the centre of the  $T_{A_1, A_2}$ -invariant two-instanton solution in  $\mathbb{C}_{A_1, A_2}^2 \subset \mathbb{C}_{A_1}^3$ . It corresponds to the Young diagram

$$\lambda = \square \square \tag{5.96}$$

**SU(3) x Abelian orbifolds: integer points**

We can similarly treat the setup of the orbifold group  $\Gamma_3 = \Upsilon_3 \times \Gamma_{\text{ab}}$  and the equivariant gauge theory with maximal torus  $T_A = T_{\bar{a}_A} \times U(1)_\epsilon$ . Let  $(B_a, I_A)_{a \in \underline{4}}$  be the ADHM data of Sect. 4.2 with  $r = r_A = (r_A, 0, 0, 0)$ . The torus action is given by

$$(B_a, I_A)_{a \in \underline{4}} \mapsto (t^{-1} B_a, , t^3 B_{\bar{A}}, I_A h_A^{-1})_{a \in A}, \tag{5.97}$$

for  $h_A \in T_{\bar{a}_A}$ . The same argument as given in Sect. 2.2 shows that  $B_{\bar{A}} = 0$ . Then the equivariant  $T_A$ -fixed point equations are

$$g B_a g^{-1} = t^{-1} B_a \quad \text{and} \quad g I_A = I_A e_A, \tag{5.98}$$

for  $a \in A$ , where  $g$  denotes the image of a homomorphism  $T_A \rightarrow GL(k, \mathbb{C})$ .

By decomposing the vector spaces  $V = V_A$  and  $W_A$  into rank one  $T_{\bar{a}_A}$  modules as in (5.85), the  $GL(k, \mathbb{C})$ -transformation  $g$  from (5.98) induces weight decompositions

$$V_l = \bigoplus_{n \in \mathbb{Z}} V_l(n) \quad \text{with} \quad V_l(n) = \{v \in V \mid g(v) = t^n e_{A_l} v\}, \tag{5.99}$$

such that  $B_a(V_l(n)) \subset V_l(n - 1)$  for  $a \in A$ , while  $I_A(W_{A_l}) \subset V_l(0)$  are all one-dimensional subspaces. For each  $l \in \{1, \dots, r_A\}$ ,  $a \in A$  and  $n \in \mathbb{Z}$ , these data are summarized by the diagram

$$V_l(n - 1) \xleftarrow{B_a} V_l(n) \tag{5.100}$$

Since  $V_l$  is spanned by the one-dimensional subspaces  $B_a^i B_b^j B_c^p I_A(W_A)$  with  $i, j, p \in \mathbb{Z}_{\geq 0}$ , it follows that  $V_l(n) = 0$  if  $n > 0$  and each non-trivial weight space is one-dimensional. There, being no other conditions and no structure, we simply count

the number of nonzero subspaces  $V_l(n) \simeq \mathbb{C}$  for  $n \in \mathbb{Z}_{\leq 0}$  to obtain the non-negative integer  $\eta_l = \dim V_l$ .

The totality of integer points defines an array  $\vec{\eta} = (\eta_1, \dots, \eta_{r_A})$  of non-negative integers  $\eta_l \in \mathbb{Z}_{\geq 0}$  partitioning the instanton number

$$k = |\vec{\eta}| = \sum_{l=1}^{r_A} \eta_l, \tag{5.101}$$

and corresponding to a fixed point labelled by  $F \in \pi_0(\mathfrak{M}_{r_A, k}^{\mathbb{T}^A})$ . As previously, one can show that the correspondence is not bijective and the  $\mathbb{T}^A$ -fixed points are generally not isolated, as the associations of the same integer points  $\eta_l$  can be reached by different combinations of the actions of the linear maps  $B_a$  for  $a \in A$ .

### 5.6 Non-Abelian orbifold partition functions

We now focus on evaluating the equivariant partition functions for tetrahedron instantons on non-abelian orbifolds. We have seen in Sect. 5.5 that, for the  $SU(m) \times$  abelian orbifolds, the torus-fixed points of the instanton moduli space are not isolated. Moreover, unlike the case of abelian orbifolds, the four-dimensional representation of  $\Gamma_m$  defined by the homomorphism  $\tau$  does not induce a  $\widehat{\Gamma}_m$ -colouring of the combinatorial data parametrizing the fixed points. Consequently, we do not refine the counting variable  $\vec{q}$  with respect to the irreducible representations of  $\Gamma_m$  when defining the partition functions for  $SU(m) \times$  abelian orbifolds.

#### SU(2) × Abelian orbifolds

We use the stability condition from Sect. 5.4 together with the parametrization of the fixed point locus in terms of arrays  $\vec{\lambda}$  of linear partitions from Sect. 5.5 to decompose the  $\Gamma_2$ -module  $V$ , following the analogous treatment for spiked instantons from [42]. For each  $(i, s), (i', s') \in Q_0^{\tau_s}(\Gamma_2)$  and  $\vec{n} = (n_1, n_2) \in \mathbb{Z}_{\geq 0}^2$ , we define the vector spaces

$$V_{A i', s'}^{i, s}(\vec{n}) = \sum_{\substack{\gamma \in \mathcal{P}_{(i, s)}^{(i', s')} [Q^{\tau_s}(\Gamma_2)]_{n_2}^A \\ 1(\gamma) = n_1 + n_2}} B_\gamma I_{A i}^s(W_{A i, s}) \tag{5.102}$$

for  $A \in \{A_1, A_2\}$ , where  $\mathcal{P}_{(i, s)}^{(i', s')} [Q^{\tau_s}(\Gamma_2)]_{n_2}^A$  indicates the set of paths along the quiver  $Q^{\tau_s}(\Gamma_2)$  from  $(i, s)$  to  $(i', s')$  formed by  $n_2$  applications of  $L_{\bar{A}}$  with respect to the notation of (5.60). The complex gauge group  $G_{\vec{k}}$  acts on  $V_{A i, s}^{i', s'}(\vec{n})$  as  $GL(k_{i, s}, \mathbb{C})$ .

Next we introduce corresponding  $\Gamma_2$ -equivariant vector bundles

$$\begin{aligned} \mathcal{V} &= \bigoplus_{(i,s) \in Q_0^{\tau_2^{\bar{s}}}(\Gamma_2)} \mathcal{V}_{i,s} \otimes \mathcal{R}_{(i,s)}^* \\ [4pt] &:= \bigoplus_{(i,s) \in Q_0^{\tau_2^{\bar{s}}}(\Gamma_2)} \left( \bigoplus_{\vec{n} \in \mathbb{Z}_{\geq 0}^2} \sum_{A \in \{A_1, A_2\}} \sum_{(i',s') \in Q_0^{\tau_2^{\bar{s}}}(\Gamma_2)} \mathcal{V}_{A i',s'}^{i',s'}(\vec{n}) \right) \otimes \mathcal{R}_{(i,s)}^* , \end{aligned} \tag{5.103}$$

where  $\mathcal{R}_{(i,s)} = \lambda_i \otimes \rho_s$  for  $i \in Q_0^{\Gamma_2}$  and  $s \in \widehat{\Gamma}_{\text{ab}}$  while

$$\mathcal{V}_{A i,s}^{i',s'}(\vec{n}) = \bar{\mu}_2^{\mathbb{C}-1}(0)^{\text{stable}} \times_{G_{\vec{k}}} V_{A i,s}^{i',s'}(\vec{n}) , \tag{5.104}$$

together with

$$\mathcal{W}_A = \bigoplus_{(i,s) \in Q_0^{\tau_2^{\bar{s}}}(\Gamma_2)} \mathcal{W}_{A i,s} \otimes \mathcal{R}_{(i,s)}^* \quad \text{with} \quad \mathcal{W}_{A i,s} = \mathfrak{M}_{\vec{r}_{A_1, A_2, \vec{k}}} \times W_{A i,s} , \tag{5.105}$$

for  $A \in \{A_1, A_2\}$ . The  $\mathbb{T}_{A_1, A_2}$ -action on the moduli space  $\mathfrak{M}_{\vec{r}_{A_1, A_2, \vec{k}}}$  lifts to  $\mathbb{T}_{A_1, A_2}$ -equivariant structures on the bundles  $\mathcal{V}$  and  $\mathcal{W}_A$ .

Similarly to the case of abelian orbifolds, we need to consider the equivariant version of the cochain complex of vector bundles (4.39). Since the subgroups  $\Gamma_2^{\tau_2^{\bar{s}}}$  and  $\mathbb{C}^{\bar{s}}$  commute, we can consider the equivariant index bundle as the  $\Gamma_2$ -invariant part of the index bundle (4.45), regarded as an element of the equivariant K-theory of the moduli space  $\mathfrak{M}_{\vec{r}_{A_1, A_2, \vec{k}}}$ , by replacing the vector space  $Q_4$  with

$$Q_4^{\bar{s}} = t_1^{-1}(Q_2 \otimes \rho_{s_1}) + t_2^{-1}(\lambda_0 \otimes \rho_{-s_1+s_2}) + t_1^2 t_2(\lambda_0 \otimes \rho_{-s_{12}}) , \tag{5.106}$$

as an element of the representation ring of the group  $\mathbb{T}_{A_1, A_2} \times \Upsilon_2 \times \Gamma_{\text{ab}}$ , where  $t_a = e^{i\epsilon_a}$  for  $a = 1, 2$ .

We express the pullback of the index to the connected component parametrized by the array of Young diagrams  $\vec{\lambda}$  as

$$\begin{aligned} &\sqrt{\text{ch}_{\mathbb{T}_{A_1, A_2}}^{\Upsilon_2 \times \Gamma_{\text{ab}}}(T^{\text{vir}} \mathfrak{M}_{\vec{r}_{A_1, A_2, \vec{k}}} | \mathfrak{M}_{\vec{\lambda}})} \\ &:= \text{ch}_{\mathbb{T}_{A_1, A_2}} \left[ \mathcal{W}_{A_1 \vec{\lambda}}^* \otimes \mathcal{V}_{\vec{\lambda}} + \mathcal{W}_{A_2 \vec{\lambda}}^* \otimes \mathcal{V}_{\vec{\lambda}} - \mathcal{V}_{\vec{\lambda}}^* \otimes \mathcal{V}_{\vec{\lambda}} \right. \\ &\quad + \mathcal{V}_{\vec{\lambda}}^* \otimes \mathcal{V}_{\vec{\lambda}} (t_1^{-1}(Q_2 \otimes \rho_{s_1}) + t_2^{-1}(\lambda_0 \otimes \rho_{s_2-s_1}) + t_1^2 t_2(\lambda_0 \otimes \rho_{-s_{12}})) \\ &\quad - \mathcal{V}_{\vec{\lambda}}^* \otimes \mathcal{V}_{\vec{\lambda}} (t_1^{-2}(\lambda_0 \otimes \rho_{2s_1}) + t_1^{-1} t_2^{-1}(Q_2 \otimes \rho_{s_2})) \\ &\quad \left. - \mathcal{V}_{\vec{\lambda}}^* \otimes \mathcal{W}_{A_1 \vec{\lambda}} t_1^{-2} t_2^{-1}(\lambda_0 \otimes \rho_{s_{12}}) - \mathcal{V}_{\vec{\lambda}}^* \otimes \mathcal{W}_{A_2 \vec{\lambda}} t_2(\lambda_0 \otimes \rho_{s_1-s_2}) \right] \Upsilon_2 \times \Gamma_{\text{ab}} , \end{aligned} \tag{5.107}$$

where  $\mathcal{V}_{\tilde{\lambda}} := \mathcal{V}|_{\mathfrak{M}_{\tilde{\lambda}}}$  and  $\mathcal{W}_{A\tilde{\lambda}} := \mathcal{W}_A|_{\mathfrak{M}_{\tilde{\lambda}}}$ . From (5.49) it follows that

$$\begin{aligned} \text{ch}_{\Gamma_{A_1, A_2}}[\mathcal{V}^* \otimes \mathcal{V}(Q_2 \otimes \rho_{s'})]_{\Upsilon_2 \times \Gamma_{\text{ab}}} &= \sum_{e \in Q_1} \sum_{s \in \Gamma_{\text{ab}}} \left( \text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{V}_{t(e), s+s'}^*) \text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{V}_{s(e), s}) \right. \\ &\quad \left. + \text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{V}_{s(e), s+s'}^*) \text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{V}_{t(e), s}) \right), \end{aligned} \tag{5.108}$$

and similarly for the other types of contributions to (5.107).

The pullbacks of the equivariant characteristic classes  $\text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{V})$  and  $\text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{W})$  to the connected component  $\mathfrak{M}_{\tilde{\lambda}}$  decompose into

$$\begin{aligned} \text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{V}_{\tilde{\lambda}}) &= \sum_{(i, s), (i', s') \in Q_0^{\tau_2}(\Gamma_2)} \left( \sum_{l=1}^{r_{A_1 i', s'}} e_{A_1 i', s' l} \sum_{\vec{p} \in \lambda_{A_1 i', s' l}} t_1^{p_1-1} t_2^{p_2-1} \right. \\ &\quad \times \text{ch}(\mathcal{V}_{A_1 i, s}^{i', s'}(p_1 - 1, p_2 - 1)|_{\mathfrak{M}_{\lambda_{A_1 i', s' l}}}) \otimes \mathcal{R}_{(i, s)}^* \tag{5.109} \\ &\quad + \sum_{l'=1}^{r_{A_2 i', s'}} e_{A_2 i', s' l'} \sum_{\vec{p}' \in \lambda_{A_2 i', s' l'}} t_1^{p'_1-2p'_2-3} t_2^{1-p'_2} \\ &\quad \left. \times \text{ch}(\mathcal{V}_{A_2 i, s}^{i', s'}(p'_1 - 1, p'_2 - 1)|_{\mathfrak{M}_{\lambda_{A_2 i', s' l'}}}) \otimes \mathcal{R}_{(i, s)}^* \right) \end{aligned}$$

and

$$\text{ch}_{\Gamma_{A_1, A_2}}(\mathcal{W}_{A\tilde{\lambda}}) = \sum_{(i, s) \in Q_0^{\tau_2}(\Gamma_2)} \sum_{l=1}^{r_{A i, s}} e_{A i, s l} \otimes \mathcal{R}_{(i, s)}^*. \tag{5.110}$$

From these formulas one may now extract the equivariant top Chern classes and compute the equivariant square root Euler class  $\sqrt{e_{\Gamma_{A_1, A_2}}^{\Gamma_2}(\mathcal{N}_{\mathfrak{M}_{\tilde{\lambda}}}^{\text{vir}})}$  of the virtual normal bundle, as described in (4.48).

Then, the full twisted partition function for tetrahedron instantons on  $\mathbb{C}^4/\Gamma_2^{\tau_2}$ , for  $\Gamma_2 = \Upsilon_2 \times \Gamma_{\text{ab}}$  with  $\Upsilon_2$  a finite non-abelian subgroup of  $\text{SU}(2)$  and  $\vec{r}_{A_1, A_2} = (\vec{r}_{A_1}, \vec{r}_{A_2}, \vec{0}, \vec{0})$ , is given by

$$Z_{[\mathbb{C}^4/\Gamma_2] \times \text{BK}^3}(\vec{q}; \vec{a}, \epsilon_1, \epsilon_2) = \sum_{\vec{k} \in \mathbb{Z}_{\geq 0}^{\#\hat{\Gamma}_2}} \vec{q}^{\vec{k}} \sum_{\vec{\lambda} \in \pi_0(\mathfrak{M}_{r_{A_1, A_2}}^{\vec{k}})} (-1)^{O_{\vec{\lambda}}^{\Gamma_2}} \int_{[\mathfrak{M}_{\vec{\lambda}}^{\text{vir}}]} \frac{1}{\sqrt{e_{T_{A_1, A_2}}^{\Gamma_2}(\mathcal{N}_{\mathfrak{M}_{\vec{\lambda}}^{\text{vir}}})}} , \tag{5.111}$$

where

$$\vec{q}^{\vec{k}} = \prod_{i \in Q_0} \prod_{s \in \hat{\Gamma}_{ab}} q_{i,s}^{k_{i,s}} . \tag{5.112}$$

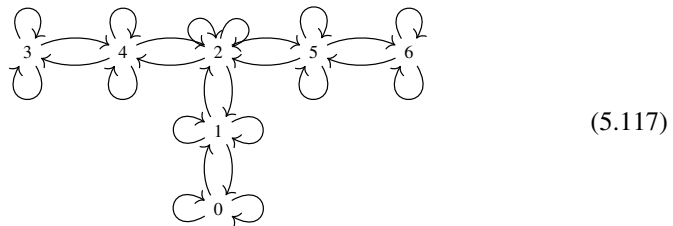
**Remark 5.113** (Sign Factors) Comparing the actions of the tori  $U(1)_{\vec{\epsilon}}^{\times 2}$  and  $T_{\vec{\epsilon}}$  on  $\mathbb{C}^4$ , we see they are related through

$$\epsilon_1 = \epsilon_1 \quad , \quad \epsilon_2 = \epsilon_1 \quad , \quad \epsilon_3 = \epsilon_2 \quad , \quad \epsilon_4 = -\epsilon_1 - \epsilon_2 - \epsilon_3 = -2\epsilon_1 - \epsilon_2 \quad , \tag{5.114}$$

where  $\vec{\epsilon} = (\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4)$  are the generators of the maximal torus  $T_{\vec{\epsilon}}$  of  $SU(4)$  and  $\vec{\epsilon} = (\epsilon_1, \epsilon_2)$  are the generators of the centralizer  $U(1)_{\vec{\epsilon}}^{\times 2}$  of  $\tau_{\vec{s}}(\Gamma_2)$ . From this relation we believe that the sign factor can be evaluated by generalizing the sign factor in (4.76) as

$$O_{\vec{\lambda}}^{\Gamma_2} = \text{rk}(\mathcal{Y}_{\vec{\lambda}}^* \otimes \mathcal{Y}_{\vec{\lambda}} t_1^{-2} t_2^{-1})^{\text{fix}} \pmod{2} . \tag{5.115}$$

**Example 5.116** Consider the orbifold  $\mathbb{C}^2/\Upsilon_2 \times \mathbb{C}^2$  where  $\Upsilon_2 = \mathbb{T}^*$  is the binary tetrahedral group of order 24. It has three one-dimensional irreducible representations,  $\lambda_0, \lambda_3$  and  $\lambda_6$ , three two-dimensional irreducible representations,  $\lambda_1 = \mathcal{Q}_2, \lambda_4$  and  $\lambda_5$ , and one three-dimensional irreducible representation  $\lambda_3$ . Given an orientation for the affine Dynkin diagram of type  $E_6$ , the McKay quiver  $Q^{\mathbb{T}^*}$  is



Let  $\vec{r}_{A_1, A_2}^0 = (r_{Ai}^0)_{A \in \{A_1, A_2\}, i \in Q_0^{\mathbb{T}^*}}$  and  $\vec{r}_{A_1, A_2}^1 = (r_{Ai}^1)_{A \in \{A_1, A_2\}, i \in Q_0^{\mathbb{T}^*}}$  be two choices of the framing vector  $\vec{r}_{A_1, A_2}$  whose only nonzero entries are  $r_{Ai}^i = r_{Ai}^i = 1$  for  $i = 0, 1$ . For these framing vectors the ADHM equations (5.59) are different and inequivalent for any  $\vec{k} \in \mathbb{Z}_{\geq 0}^7$ . This implies

$$\mathfrak{M}_{\vec{r}_{A_1, A_2}^0, \vec{k}} \neq \mathfrak{M}_{\vec{r}_{A_1, A_2}^1, \vec{k}} . \tag{5.118}$$

This example serves to illustrate that, unlike the cases of  $SU(4)$ -instantons on orbifolds studied in [18], the partition functions for tetrahedron instantons on non-abelian orbifolds of type  $\vec{r} = (r_{Ai})_{A \in \underline{4}^\perp, i \in Q_0^\Gamma}$  are, in general, not invariant under permutations of the quiver vertices  $i \in Q_0^\Gamma$ .

**SU(3) × Abelian orbifolds**

Following our stability analysis from Sect. 5.4 and the parametrization of the fixed point subschemes in terms of arrays of integer points  $\vec{\eta}$  from Sect. 5.5, let us introduce vector spaces

$$V_{Ai',s'}^{i,s}(n) = \sum_{\substack{\gamma \in \mathcal{P}_{(i,s)}^{(i',s')}[Q^{\tau_{\vec{s}}(\Gamma_3)}] \\ l(\gamma)=n}} \mathcal{B}_\gamma I_{Ai,s}(W_{Ai,s}) \tag{5.119}$$

for  $(i, s), (i', s') \in Q_0^{\tau_{\vec{s}}(\Gamma_3)}$  and  $n \in \mathbb{Z}_{\geq 0}$ , where  $\mathcal{P}_{(i,s)}^{(i',s')}[Q^{\tau_{\vec{s}}(\Gamma_3)}]$  is the set of paths along the quiver  $Q^{\tau_{\vec{s}}(\Gamma_3)}$  from  $(i, s)$  to  $(i', s')$ . Again the complex gauge group  $G_{\vec{k}}$  acts on  $V_{Ai,s}^{i',s'}(n)$  as  $GL(k_{i,s}, \mathbb{C})$ .

We define the  $\Gamma_3$ -equivariant vector bundles

$$\mathcal{V} = \bigoplus_{(i,s) \in Q_0^{\tau_{\vec{s}}(\Gamma_3)}} \mathcal{V}_{i,s} \otimes \mathcal{R}_{(i,s)}^* := \bigoplus_{(i,s) \in Q_0^{\tau_{\vec{s}}(\Gamma_3)}} \left( \bigoplus_{n \in \mathbb{Z}_{\geq 0}} \sum_{(i',s') \in Q_0^{\tau_{\vec{s}}(\Gamma_3)}} \mathcal{V}_{i,s}^{i',s'}(n) \right) \otimes \mathcal{R}_{(i,s)}^* , \tag{5.120}$$

where  $\mathcal{R}_{(i,s)} = \lambda_i \otimes \rho_s$  for  $i \in Q_0^{\Gamma_3}$  and  $s \in \widehat{\Gamma}_{ab}$  while

$$\mathcal{V}_{i,s}^{i',s'}(n) = \vec{\mu}_3^{\mathbb{C}-1}(0)^{\text{stable}} \times_{G_{\vec{k}}} V_{Ai,s}^{i',s'}(n) , \tag{5.121}$$

along with

$$\mathcal{W} = \bigoplus_{(i,s) \in Q_0^{\tau_{\vec{s}}(\Gamma_3)}} \mathcal{W}_{i,s} \otimes \mathcal{R}_{(i,s)}^* \quad \text{with} \quad \mathcal{W}_{i,s} = \mathfrak{M}_{\vec{r}_A, \vec{k}} \times W_{Ai,s} . \tag{5.122}$$

Similarly to the  $SU(2) \times$  abelian orbifolds, the  $T_A$ -action on the moduli space  $\mathfrak{M}_{\vec{r}_A, \vec{k}}$  lifts to  $T_A$ -equivariant structures on the bundles  $\mathcal{V}$  and  $\mathcal{W}$ .

Since  $\Gamma_3^{\tau_{\vec{s}}}$  and  $\mathbb{C}^{\vec{s}}$  commute, the equivariant index bundle is given by the  $\Gamma_3$ -invariant part of the index (4.45) of the cochain complex of vector bundles (4.39), by replacing the vector space  $Q_4$  with

$$Q_4^{\vec{s}} = t^{-1}(Q_3 \otimes \rho_{\vec{s}}) + t^3(\lambda_0 \otimes \rho_{-3\vec{s}}) , \tag{5.123}$$

as an element in the representation ring of  $T_A \times \Upsilon_3 \times \Gamma_{ab}$ , where  $t = e^{i\epsilon}$ .

The pullback of the index to the connected component parametrized by the array of integer points  $\vec{\eta}$  reads

$$\begin{aligned} & \sqrt{\text{ch}_{T_A}^{\Upsilon_3 \times \Gamma_{ab}}(T^{\text{vir}}_{\mathfrak{M}_{r_A, \vec{k}}|\mathfrak{M}_{\vec{\eta}}})} \\ &= \text{ch}_{T_A} \left[ \mathcal{V}_{\vec{\eta}}^* \otimes \mathcal{V}_{\vec{\eta}} - \mathcal{V}_{\vec{\eta}}^* \otimes \mathcal{V}_{\vec{\eta}} + \mathcal{V}_{\vec{\eta}}^* \otimes \mathcal{V}_{\vec{\eta}} t^{-1} (Q_3 \otimes \rho_{\vec{s}}) + \mathcal{V}_{\vec{\eta}}^* \otimes \mathcal{V}_{\vec{\eta}} t^3 (\lambda_0 \otimes \rho_{-3\vec{s}}) \right. \\ & \quad \left. - \mathcal{V}_{\vec{\eta}}^* \otimes \mathcal{V}_{\vec{\eta}} t^{-2} (Q_3^* \otimes \rho_{2\vec{s}}) - \mathcal{V}_{\vec{\eta}}^* \otimes \mathcal{V}_{\vec{\eta}} t^{-3} (\lambda_0 \otimes \rho_{3\vec{s}}) \right]^{\Upsilon_3 \times \Gamma_{ab}}, \end{aligned} \tag{5.124}$$

where  $\mathcal{V}_{\vec{\eta}} := \mathcal{V}|_{\mathfrak{M}_{\vec{\eta}}}$  and  $\mathcal{W}_{\vec{\eta}} := \mathcal{W}|_{\mathfrak{M}_{\vec{\eta}}}$ . From (5.49) it follows that

$$\text{ch}_{T_A} [\mathcal{V}^* \otimes \mathcal{V} (Q_3 \otimes \rho_{s'})]^{\Upsilon_3 \times \Gamma_{ab}} = \sum_{e \in Q_1^{\Upsilon_3}} \sum_{s \in \widehat{\Gamma}_{ab}} \text{ch}_{T_A} (\mathcal{V}_{t(e), s+s'}^*) \text{ch}_{T_A} (\mathcal{V}_{s(e), s}), \tag{5.125}$$

and similarly for the other types of contributions to (5.124).

The pullbacks of the equivariant Chern characters  $\text{ch}_{T_A}(\mathcal{V})$  and  $\text{ch}_{T_A}(\mathcal{W})$  to the connected component  $\mathfrak{M}_{\vec{\eta}}$  decompose into

$$\text{ch}_{T_A}(\mathcal{V}_{\vec{\eta}}) = \sum_{(i, s), (i', s') \in Q_0^{\Upsilon_3}(\Gamma_3)} \sum_{l=1}^{r_{Ai, s}} e_{Ai', s'l} \sum_{p=1}^{\eta_{i', s'l}} t^{p-1} \text{ch}(\mathcal{V}_{i, s}^{i', s'}(p-1)|_{\mathfrak{M}_{\eta_{Ai', s'l}}}) \otimes \mathcal{R}_{(i, s)}^* \tag{5.126}$$

and

$$\text{ch}_{T_A}(\mathcal{W}_{\vec{\eta}}) = \sum_{(i, s) \in Q_0^{\Upsilon_3}(\Gamma_3)} \sum_{l=1}^{r_{Ai, s}} e_{Ai, sl} \otimes \mathcal{R}_{(i, s)}^*. \tag{5.127}$$

These formulas may be used to extract the equivariant square root Euler class  $\sqrt{e_{T_A}^{\Upsilon_3}(\mathcal{N}_{\mathfrak{M}_{\vec{\eta}}})^{\text{vir}}}$  of the virtual normal bundle using (4.48), and the full twisted instanton partition function is

$$Z_{[\mathbb{C}^4/\Gamma_3] \times \text{BK}^{\vec{s}}}^{\vec{r}_A}(\vec{q}; \vec{a}_A, \epsilon) = \sum_{\vec{k} \in \mathbb{Z}_{\geq 0}^{\Upsilon_3}} \vec{q}^{\vec{k}} \sum_{\vec{\eta} \in \pi_0(\mathfrak{M}_{r_A, \vec{k}}^{\Upsilon_3})} (-1)^{O_{\vec{\eta}}^{\Upsilon_3}} \int_{[\mathfrak{M}_{\vec{\eta}}]^{\text{vir}}} \frac{1}{\sqrt{e_{T_A}^{\Upsilon_3}(\mathcal{N}_{\mathfrak{M}_{\vec{\eta}}})^{\text{vir}}}}, \tag{5.128}$$



where

$$\bar{q}^k = \prod_{i \in Q_0} \prod_{s \in \hat{\Gamma}_{ab}} \alpha_{i,s}^{k_{i,s}}. \tag{5.129}$$

**Remark 5.130** (Sign Factors) The orbifold by the group  $\Gamma_3 = \Upsilon_3 \times \Gamma_{ab}$  is equivalent to the description of instantons on the generally non-effective orbifold  $\mathbb{C}^3/\Gamma_3$  from Sect. 3.2. By comparing the index in that case with the index (5.124), we find that the sign factor is given by

$$O_{\tilde{\eta}}^{\Gamma_3} = \text{rk}(\gamma_{\tilde{\eta}}^* \otimes \gamma_{\tilde{\eta}} t^{-3})^{\text{fix}} \pmod{2}. \tag{5.131}$$

### 5.7 Orbifold partition functions from geometric crepant resolutions

While our constructions from Sect. 5.6 formally solve the problem of computing the partition functions for tetrahedron instantons on non-abelian orbifolds, in practice making the formulas (5.111) and (5.128) more explicit like the abelian case is generally still a complicated task due to the remaining integrals over  $[\mathfrak{M}_{\mathbb{F}}]^{\text{vir}}$  required. We conclude by discussing some classes of non-abelian orbifolds whereby closed formulas for the instanton partition functions can be obtained.

Although our construction of orbifold partition functions for tetrahedron instantons holds generally for any Calabi–Yau four-orbifold of the types we have discussed, a special role is played by orbifolds admitting a geometric crepant resolution, which provides a regularization of the orbifold singularities [70]. For a finite group  $\Gamma$  acting linearly on  $\mathbb{C}^d$ , recall that a proper algebraic map  $\pi_{\Gamma} : X_{\Gamma} \rightarrow \mathbb{C}^d/\Gamma$  is a crepant resolution if  $X_{\Gamma}$  is smooth and  $\pi_{\Gamma}$  is a birational morphism which preserves the canonical bundles. A necessary but not sufficient condition for the existence of a crepant resolution is that  $\Gamma$  is a proper subgroup of  $\text{SL}(d, \mathbb{C})$ . Crepant resolutions appear in the stringy Kähler moduli space of supersymmetric Calabi–Yau orbifolds which have marginal operators that can be used to resolve the singularity.

Resolutions of non-effective orbifolds are discussed in [63]. While these theories lead to richer BPS spectra at the quotient singularity  $\mathbb{C}^d/\Gamma^{\tau}$ , it is not possible to smoothly resolve or deform all singularities within the moduli space of supersymmetric vacua. Henceforth we restrict our considerations to effectively acting orbifold groups, i.e. to subgroups where  $\Gamma = \Gamma^{\tau} \subset \text{SL}(d, \mathbb{C})$ . However, it should be stressed that the absence of a geometric crepant resolution is not a deficiency of the theory: both the twisted orbifold and non-commutative resolutions always exist, and are ‘desingularizations’ in their own contexts.

For  $d = 2, 3$ , a crepant resolution is provided by the Hilbert–Chow morphism  $\pi_{\Gamma}$  from the Nakamura  $\Gamma$ -Hilbert scheme  $X_{\Gamma} = \text{Hilb}^{\Gamma}(\mathbb{C}^d) \subset \text{Hilb}^{\#\Gamma}(\mathbb{C}^d)$  of  $\Gamma$ -invariant zero-dimensional subschemes  $Z \subset \mathbb{C}^d$  of length  $\#\Gamma$  whose global sections  $H^0(Z, \mathcal{O}_Z)$  form the regular representation  $\mathbb{C}[\Gamma]$  of  $\Gamma$ ; this is the moduli space of regular instantons of the rank one orbifold gauge theory. For  $d = 2$ , this crepant resolution is unique and

related to an ALE space of type ADE. For  $d = 4$ , the existence of crepant resolutions for orbifolds of the types  $\mathbb{C}^2/\Gamma \times \mathbb{C}^2$  and  $\mathbb{C}^3/\Gamma \times \mathbb{C}$  is discussed in [18, 35, 71].

Given a geometric crepant resolution  $\pi_\Gamma : X_\Gamma \rightarrow \mathbb{C}^4/\Gamma$ , we now consider its interaction with the orbifold crepant resolution

$$\begin{array}{ccc}
 [\mathbb{C}^4/\Gamma] & & X_\Gamma \\
 \searrow \pi_{\text{orb}} & & \swarrow \pi_\Gamma \\
 & \mathbb{C}^4/\Gamma &
 \end{array}
 \tag{5.132}$$

We are interested in those orbifold theories whose partition function on the quotient stack  $[\mathbb{C}^4/\Gamma]$  is equivalent to the partition function of the cohomological gauge theory on the crepant resolution  $X_\Gamma$  through changes of variables and wall-crossing formulas. This amounts to associating  $SU(4)$ -instantons on  $\mathbb{C}^4/\Gamma$  to torsion free sheaves on  $X_\Gamma$  along the lines of [43], or equivalently fractional D-branes at the orbifold singularity to D-branes wrapping cycles of the exceptional locus of  $X_\Gamma$ , which underlies an equivalence between the derived categories of coherent sheaves on  $[\mathbb{C}^4/\Gamma]$  and  $X_\Gamma$ . This further restricts the allowed orbifold groups  $\Gamma$ , and is the physical incarnation of the Donaldson–Thomas crepant resolution correspondence in algebraic geometry [72].

### Tetrahedron instantons on $\mathbb{C}^2_{A_1, A_2}/\Gamma \times \mathbb{C}^2$

We start by pointing out that one can always construct a crepant resolution for tetrahedron instantons on orbifolds of the type  $\mathbb{C}^4/\Gamma \simeq \mathbb{C}^2_{A_1, A_2}/\Gamma \times \mathbb{C}^2$ , where  $\Gamma$  is a finite subgroup of  $SL(2, \mathbb{C})$ . As previously, this choice of orbifold forces us to consider tetrahedron instantons of type

$$\vec{r} = \vec{r}_{A_1, A_2} = (\vec{r}_{A_1 i}, \vec{r}_{A_2 i}, \vec{0}, \vec{0})_{i \in \hat{\Gamma}}.
 \tag{5.133}$$

The construction is simple. The ADE singularity  $\mathbb{C}^2_{A_1, A_2}/\Gamma$  has a unique minimal crepant resolution given by the Nakamura  $\Gamma$ -Hilbert scheme [73]

$$\pi_{A_1, A_2} : X_{A_1, A_2} := \text{Hilb}^\Gamma(\mathbb{C}^2_{A_1, A_2}) \rightarrow \mathbb{C}^2_{A_1, A_2}/\Gamma.
 \tag{5.134}$$

By regarding  $\Gamma$  as a subgroup of  $SL(3, \mathbb{C})$  through the natural embedding  $SL(2, \mathbb{C}) \subset SL(3, \mathbb{C})$ , for each stratum  $\mathbb{C}^3_A \subset \mathbb{C}^3_\Delta$  with  $A \in \{A_1, A_2\}$  a crepant resolution of the quotient singularity  $\mathbb{C}^3_A/\Gamma \simeq \mathbb{C}^2_{A_1, A_2}/\Gamma \times \mathbb{C}^1_{\bar{A}}$  is given by letting  $X_A = X_{A_1, A_2} \times \mathbb{C}^1_{\bar{A}} \simeq \text{Hilb}^\Gamma(\mathbb{C}^3_A)$  and defining the two crepant resolutions

$$\pi_A := \pi_{A_1, A_2} \times \text{id}_{\mathbb{C}^1_{\bar{A}}} : X_A \rightarrow \mathbb{C}^3_A/\Gamma,
 \tag{5.135}$$

for  $A \in \{A_1, A_2\}$ . Note that  $X_{A_1, A_2} \simeq X_{A_1} \cap X_{A_2}$ .

Finally, letting  $X_\Gamma = X_{A_1, A_2} \times \mathbb{C}_{\bar{A}_1} \times \mathbb{C}_{\bar{A}_2} \simeq \text{Hilb}^\Gamma(\mathbb{C}^4)$ , we define

$$\pi_\Gamma := \pi_{A_1, A_2} \times \text{id}_{\mathbb{C}_{\bar{A}_1} \times \mathbb{C}_{\bar{A}_2}} : X_\Gamma \longrightarrow \mathbb{C}^4 / \Gamma, \tag{5.136}$$

which by construction is a crepant resolution. The cohomological gauge theory for tetrahedron instantons on the smooth Calabi–Yau fourfold  $X_\Gamma$  is now defined by solutions (4.10) of the  $SU(4)$ -instanton equations (4.8) on the singular Calabi–Yau threefold

$$X_\Delta = X_{A_1} \cup X_{A_2} \subset X_\Gamma. \tag{5.137}$$

On general grounds, the tetrahedron instanton partition function  $Z_{X_\Gamma}^{\vec{r}}$  should follow from a dimensional reduction of the Donaldson–Thomas partition function  $\mathcal{Z}_{X_\Gamma}^r$ , similarly to Proposition 4.66, though we do not yet have available a computation of the latter. For the  $A_{n-1}$  singularity  $\mathbb{C}^4 / \mathbb{Z}_n$ , the crepant resolution correspondence of [35, Conjecture 5.16] relates the  $U(1)$  orbifold Donaldson–Thomas partition function to  $\mathcal{Z}_{X_{\mathbb{Z}_n}}^{r=1}$ , where the latter can be computed from the vertex formalism of [20]. Extending this correspondence to higher rank and to generic ADE singularities would then enable explicit computation of (5.111) for any finite subgroup  $\Gamma \subset SU(2)$ . These tasks are beyond the scope of the present paper.

### Tetrahedron instantons on $\mathbb{C}_A^3 / \Gamma \times \mathbb{C}$

A similar construction is available for tetrahedron instantons on orbifolds of the type  $\mathbb{C}^4 / \Gamma \simeq \mathbb{C}_A^3 / \Gamma \times \mathbb{C}$ , where  $\Gamma$  is a finite subgroup of  $SL(3, \mathbb{C})$ . This restricts to tetrahedron instantons of type

$$\vec{r} = \vec{r}_A = (\vec{r}_{A_i}, \vec{0}, \vec{0}, \vec{0})_{i \in \hat{\Gamma}}. \tag{5.138}$$

When  $\Gamma$  is a finite subgroup of  $SO(3) \subset SU(3)$ , the polyhedral singularity  $\mathbb{C}_A^3 / \Gamma$  has an irreducible crepant resolution realized by the Nakamura  $\Gamma$ -Hilbert scheme [74]

$$\pi_A : X_A := \text{Hilb}^\Gamma(\mathbb{C}_A^3) \longrightarrow \mathbb{C}_A^3 / \Gamma, \tag{5.139}$$

which contracts curves of the exceptional locus of  $X_A$  to points in the singular locus of  $\mathbb{C}_A^3 / \Gamma$ . The Calabi–Yau fourfold  $X_\Gamma := X_A \times \mathbb{C}_{\bar{A}} \simeq \text{Hilb}^\Gamma(\mathbb{C}^4)$  then defines a crepant resolution

$$\pi_\Gamma := \pi_A \times \text{id}_{\mathbb{C}_{\bar{A}}} : X_\Gamma \longrightarrow \mathbb{C}^4 / \Gamma. \tag{5.140}$$

We use the crepant resolution (5.139) to derive a closed formula for the rank one Donaldson–Thomas partition function of the polyhedral singularity in the following

way. Let  $\Gamma^* \subset \text{SU}(2)$  be the binary polyhedral group which is the pullback of  $\Gamma \subset \text{SO}(3)$  under the double covering

$$\begin{array}{ccc}
 \Gamma^* & \hookrightarrow & \text{SU}(2) \\
 \downarrow & & \downarrow \\
 \Gamma & \hookrightarrow & \text{SO}(3)
 \end{array} \tag{5.141}$$

A representation of  $\Gamma^*$  which does not descend to a representation of  $\Gamma$  is called a *binary representation* [75]. Removing the vertices corresponding to binary irreducible representations from the McKay quiver  $Q^{\Gamma^*}$  leaves the McKay quiver  $Q^\Gamma$ .

In addition to the semi-small crepant resolution (5.139), for any complex plane  $\mathbb{C}^2 \subset \mathbb{C}^3_A$  there is the minimal resolution of the ADE singularity

$$\pi_{\Gamma^*} : X_{\Gamma^*} := \text{Hilb}^{\Gamma^*}(\mathbb{C}^2) \longrightarrow \mathbb{C}^2 / \Gamma^* . \tag{5.142}$$

By the classical McKay correspondence, there are bijections between the nodes  $i \neq 0$  of the McKay quiver  $Q^{\Gamma^*}$ , the simple roots of the simply laced Lie algebra  $\mathfrak{g}_{\Gamma^*}$  associated with  $\Gamma^*$ , and the smooth rational curves of the exceptional divisor of  $X_{\Gamma^*}$ . In particular, denoting by  $R^+$  the set of positive roots of  $\mathfrak{g}_{\Gamma^*}$ , each  $\alpha \in R^+$  can be associated with a curve class in  $X_{\Gamma^*}$  and there is an injective map

$$\vec{c}_{\Gamma^*} : R^+ \longrightarrow H_2(X_{\Gamma^*}, \mathbb{Z}) \simeq \mathbb{Z}^{\#\hat{\Gamma}^* - 1} . \tag{5.143}$$

The node  $i = 0$  of  $Q^{\Gamma^*}$  corresponds to classes in  $H_0(X_{\Gamma^*}, \mathbb{Z}) \simeq \mathbb{Z}$ .

We now construct the map

$$\vec{c}_\Gamma := f_* \circ \vec{c}_{\Gamma^*} : R^+ \longrightarrow H_2(X_A, \mathbb{Z}) \simeq \mathbb{Z}^{\#\hat{\Gamma} - 1} , \tag{5.144}$$

where the morphism  $f : X_{\Gamma^*} \longrightarrow X_A$  contracts the curves corresponding to binary irreducible representations of  $\Gamma^*$ , leaving the exceptional curves of  $X_A$  [75]. The binary irreducible representations of  $\Gamma^*$  correspond to the simple roots in  $\ker(\vec{c}_\Gamma)$ , and we obtain

**Proposition 5.145** *For any finite subgroup  $\Gamma \subset \text{SO}(3)$ , the partition function for tetrahedron instantons of type  $\vec{r}_A = (1, 0, \dots, 0)$  on the orbifold  $\mathbb{C}^3_A / \Gamma \times \mathbb{C}$  with holonomy group  $\text{SU}(3)_A$  is given by*

$$Z_{[\mathbb{C}^3_A / \Gamma] \times \mathbb{C}}^{\vec{r}_A = (1, 0, \dots, 0)}(\vec{q}) = M(-Q)^{\#\hat{\Gamma}} \prod_{\substack{\alpha \in R^+ \\ \vec{c}_\Gamma(\alpha) \neq \vec{0}}} \tilde{M}(\vec{q}^{\vec{c}_\Gamma(\alpha)}, -Q)^{-1/2} , \tag{5.146}$$

where

$$\vec{q}^{\vec{c}\Gamma(\alpha)} = \prod_{i=1}^{\#\widehat{\Gamma}-1} q_i^{c\Gamma(\alpha)_i} \quad \text{and} \quad Q = q_0 q_1 \cdots q_{\#\widehat{\Gamma}-1}. \tag{5.147}$$

**Proof** The reduced A-model closed topological string partition function on  $X_A = \text{Hilb}^\Gamma(\mathbb{C}_A^3)$  is evaluated by Bryan and Gholampour in [76] using a localization formula similar to (5.128) and calculating the integrals over the connected components  $[\mathfrak{M}_F]^{\text{vir}}$  by decomposition. The all-genus result is given by

$$Z_{X_A}^{\text{top}}(g_s, \vec{v}) = \prod_{\substack{\alpha \in \mathbb{R}^+ \\ \vec{c}\Gamma(\alpha) \neq \vec{0}}} \prod_{n=1}^{\infty} (1 - \vec{v}^{\vec{c}\Gamma(\alpha)} (-e^{-g_s})^n)^{n/2}, \tag{5.148}$$

where  $g_s$  is the string coupling constant and  $\vec{v} = (v_i)_{i=1, \dots, \#\widehat{\Gamma}-1}$  are the exponentiated Kähler parameters of  $X_A$ .

By the Gromov–Witten/Donaldson–Thomas correspondence for Calabi–Yau threefolds [77], this is related to the instanton partition function of the  $U(1)$  cohomological gauge theory on  $X_A$  with  $SU(3)_A$  holonomy through

$$M(-q)^{-\chi(X_A)} Z_{X_A}^{r=1}(q, \vec{v}) \Big|_{q=e^{-g_s}} = Z_{X_A}^{\text{top}}(g_s, \vec{v}). \tag{5.149}$$

Here the variables  $\vec{v}$  correspond to the basis of curve classes in  $X_A$  and  $q$  to the topological Euler characteristic  $\chi(X_A) = 1 + (\#\widehat{\Gamma} - 1) = \#\widehat{\Gamma}$  of  $X_A$ . The former enumerates fractional instantons or D0–D2–D6 states in the type IIA setting, while the latter counts regular instantons or pure D0–D6 states. There are no compact four-cycles, and hence no D0–D2–D4–D6 states, because  $X_A$  is a semi-small resolution, consistently with our assumption of vanishing first Chern class in the cohomological gauge theory on  $X_A$ .

Finally, the Donaldson–Thomas crepant resolution conjecture for Calabi–Yau three-orbifolds of [68, 78] relates the rank one instanton partition functions of  $X_A$  and  $[\mathbb{C}_A^3/\Gamma]$  through the wall-crossing formula

$$Z_{[\mathbb{C}_A^3/\Gamma]}^{\vec{r}=(1,0,\dots,0)}(\vec{q}) = M(-Q)^{-\chi(X_A)} Z_{X_A}^{r=1}(Q, \vec{v}) Z_{X_A}^{r=1}(Q, \vec{v}^{-1}), \tag{5.150}$$

with the changes of variables  $v_i = q_i$  for  $i = 1, \dots, \#\widehat{\Gamma} - 1$  and  $Q = q_0 q_1 \cdots q_{\#\widehat{\Gamma}-1}$ , where we defined  $\vec{v}^{-1} = (v_i^{-1})_{i=1, \dots, \#\widehat{\Gamma}-1}$ . Putting everything together we arrive at the formula (5.146). □

**Example 5.151** Let  $\Gamma = \mathbb{S}_3 \subset SO(3)$  be the group of permutations of three elements (cf. Example 3.73). The  $D_5$  root system has 20 positive roots (described in [76]) and the  $U(1)$  tetrahedron instanton partition function (5.146) on the Calabi–Yau four-orbifold  $\mathbb{C}_A^3/\mathbb{S}_3 \times \mathbb{C}$  is given by

$$Z_{[\mathbb{C}_\lambda^3/\mathbb{S}_3] \times \mathbb{C}}^{\vec{r}, A=(1,0,\dots,0)}(\vec{q}) = \frac{M(-Q)^3}{\tilde{M}(q_1, -Q) \tilde{M}(q_1 q_2, -Q)^2 \tilde{M}(q_2, -Q)^4 \tilde{M}(q_2^2, -Q)^{\frac{1}{2}} \tilde{M}(q_1 q_2^2, -Q)}, \quad (5.152)$$

where  $Q = q_0 q_1 q_2$ .

## 6 Discussion

In this paper, we generalized the construction of tetrahedron instantons on flat space  $\mathbb{C}^4$  to backgrounds which are Calabi–Yau orbifolds by a (possibly non-effective) action of a finite group  $\Gamma$  on  $\mathbb{C}^4$ . Tetrahedron instantons arise as bound states of D1-branes probing stacks of intersecting D7-branes in the presence of a  $B$ -field in the low energy limit of type IIB string theory. They can be regarded as a generalization of non-commutative instantons on  $\mathbb{C}^3$ , with which they coincide in the rank one case.

To this end we started in Sect. 3 by defining and developing a theory on threefolds that we interpreted it as the orbifold Donaldson–Thomas theory twisted by a flat gerbe. This leads to a new class of Donaldson–Thomas invariants for both abelian and non-abelian three-orbifolds, even beyond the standard Calabi–Yau case, i.e. for general holonomy  $U(3)$ . As far as we are aware, these more general invariants have not yet been discussed in the algebraic geometry literature, and it would be interesting to confirm our results through rigorous mathematical constructions, which could shed light on novel geometric structures underpinning virtual cycle constructions in these instances.

More generally, it would be interesting to rigorously derive our constructions of orbifold tetrahedron instanton partition functions from Sect. 5, by combining the considerations of [3, 35]. As a first step, this should be possible for the abelian orbifolds considered in Sect. 5.3, for which we have obtained closed form expressions for the corresponding partition functions. This would nicely extend the harmonious agreement between instanton computations in physics and algebraic geometry considerations, elaborated previously for orbifolds of the magnificent four model in [18] and [35], respectively.

In Sect. 5.7, we explicitly calculated rank one partition functions for tetrahedron instantons on local polyhedral singularities  $\mathbb{C}^3/\Gamma \times \mathbb{C}$ . This was done by calculating the A-model closed topological string partition on the Calabi–Yau threefold  $\text{Hilb}^\Gamma(\mathbb{C}^3)$ , applying the Gromov–Witten/Donaldson–Thomas correspondence, and finally linking the result at large radius to the one on the singularity by a wall-crossing formula. The generalizations of this procedure for general rank, as well as establishing a wall-crossing formula for such configurations, are open questions worthy of future investigation.

From a physics perspective, our results can be used to enlarge the dictionary of the BPS/CFT correspondence [5], whereby the gauge origami partition function of tetrahedron instantons is reproduced by  $qq$ -characters associated with D6-branes wrapping  $\mathbb{C}^3 \subset \mathbb{C}^4$  [4]. The considerations of this paper allow for a concrete investigation, for the first time, of the correspondence beyond the case of the flat Calabi–Yau four-fold  $\mathbb{C}^4$  to Calabi–Yau orbifolds of  $\mathbb{C}^4$ . This generalizes the gauge origami partition

function of spiked instantons [42], whereby the orbifold version of the theory defines  $qq$ -character operators with and without defects in quiver gauge theories of affine ADE-type.

It would be interesting to understand the quantum algebraic structures underlying the orbifold theories we have constructed in this paper. As a first step one could derive the free field representations of the abelian orbifold tetrahedron instanton partition functions, expressing our contour integral formula (5.11) as a vertex operator correlation function after analytic continuation, and thereby generalizing the representations of [1, 4] in the case of flat space. Particularly our abelian orbifold results of Sect. 5.3, wherein we have obtained closed formulas for the partition functions, should be useful for elucidating aspects of this correspondence.

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**Data availability** No additional research data beyond the data presented and cited in this work are needed to validate the research findings in this work.

## Declarations

**Conflict of interest** All authors have no conflict of interest.

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## A Finite subgroups of SU(3)

The classification of the finite subgroups of SU(3) began with the work of Blichfeldt over a century ago [79]. These groups can be divided into five classes, which we describe in this appendix following [80].

**Notation A.1** We write  $\#g$  for the multiplicative order of an element  $g$  of a finite group  $\Gamma$ , that is, the smallest positive integer  $k$  such that  $g^k = 1$ . The order of  $\Gamma$  is defined to be its cardinality, denoted  $\#\Gamma$ . Then  $\#g = \#\langle g \rangle$ , where  $\langle g \rangle \subset \Gamma$  is the cyclic subgroup generated by  $g \in \Gamma$ .

Let  $\xi_n = e^{2\pi i/n}$  be a primitive  $n$ -th root unity, which generates the cyclic group  $\mathbb{Z}_n$  of order  $n$ .

We write  $\mathbb{S}_n$  for the symmetric group of degree  $n$  with order  $n!$ , and  $\mathbb{A}_n \subset \mathbb{S}_n$  for the alternating group of degree  $n$  with order  $\frac{1}{2} n!$ .

### Abelian groups

The possible structures of the finite abelian subgroups of  $SU(3)$  are strongly constrained by the simple and powerful

**Theorem A.2** *Every finite abelian subgroup  $\Gamma_{ab}$  of  $SU(3)$  is isomorphic to a direct product of cyclic groups,*

$$\Gamma_{ab} \simeq \mathbb{Z}_m \times \mathbb{Z}_n, \tag{A.3}$$

where

$$m = \max_{g \in \Gamma_{ab}} \#g \tag{A.4}$$

and  $n$  is a divisor of  $m$ .

Similarly to the generator (5.17) of  $\mathbb{Z}_n \subset SU(2)$ , the generators of  $\mathbb{Z}_m \times \mathbb{Z}_n \subset SU(3)$  are

$$g_1 = \begin{pmatrix} \xi_m & 0 & 0 \\ 0 & \xi_m^{-1} & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{and} \quad g_2 = \begin{pmatrix} \xi_n & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \xi_n^{-1} \end{pmatrix}. \tag{A.5}$$

### Groups with two-dimensional faithful representations

For every finite subgroup of  $SU(2)$  there is an isomorphic finite subgroup of  $SU(3)$  given by the embedding  $SU(2) \hookrightarrow SU(3)$  defined as

$$g \in SU(2) \mapsto \begin{pmatrix} g & 0 \\ 0 & 1 \end{pmatrix} \in SU(3). \tag{A.6}$$

The finite subgroups of  $SU(2)$  admit an ADE classification and are preimages of the finite subgroups of  $SO(3) \subset SU(3)$  under the double covering

$$SU(2) \longrightarrow SO(3), \tag{A.7}$$

corresponding to the cyclic groups, the dihedral groups, and the platonic groups. The cyclic groups  $\mathbb{Z}_n$  (which correspond to  $A_{n-1}$  in the ADE classification) and the Klein four-group  $\mathbb{D}_2 = \mathbb{Z}_2 \times \mathbb{Z}_2$  (which corresponds to  $D_4$  in the ADE classification) have already appeared in the first class. Of the non-abelian finite  $SO(3)$ -subgroups, only the dihedral groups  $\mathbb{D}_n = \mathbb{Z}_n \rtimes \mathbb{Z}_2$  of a regular  $n$ -gon (which corresponds to  $D_{n+2}$  in the ADE classification) possess a two-dimensional faithful representation.

More generally, for every finite subgroup of  $U(2)$  there corresponds a finite subgroup of  $SU(3)$  under the faithful embedding  $U(2) \hookrightarrow SU(3)$  given by

$$g \in U(2) \mapsto \begin{pmatrix} g & 0 \\ 0 & (\det g)^* \end{pmatrix} \in SU(3). \tag{A.8}$$



Under the isomorphism

$$U(2) \simeq (SU(2) \times U(1)) / \mathbb{Z}_2, \tag{A.9}$$

the finite subgroups of  $U(2)$  are given by the  $\mathbb{Z}_2$ -invariant finite subgroups of the direct product  $SU(2) \times U(1)$ . The complete list can be found in [81, Theorem 2.2].

**Groups of type C**

The groups  $C_n(a, b)$  of type C are generated by the matrices

$$C := \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \quad \text{and} \quad C_{a,b} := \begin{pmatrix} \xi_n^a & 0 & 0 \\ 0 & \xi_n^b & 0 \\ 0 & 0 & \xi_n^{-a-b} \end{pmatrix}, \tag{A.10}$$

where  $a, b \in \{0, 1, \dots, n - 1\}$ . If we define

$$\check{C}_{a,b} := C_{b,-a-b} \tag{A.11}$$

then any element of  $C_n(a, b)$  can be written uniquely as

$$C^i C_{a,b}^j \check{C}_{a,b}^k, \tag{A.12}$$

for some  $i, j, k \in \mathbb{Z}_{\geq 0}$ .

It follows from Theorem A.2 that

$$C_n(a, b) \simeq (\mathbb{Z}_m \times \mathbb{Z}_p) \rtimes \mathbb{Z}_3, \tag{A.13}$$

where the  $\mathbb{Z}_3$ -subgroup is generated by the permutation matrix  $C$ , while

$$m = \text{lcm}(\#\xi_n^a, \#\xi_n^b) \quad \text{and} \quad p = \min \{k \in \{1, \dots, m\} \mid \check{C}_{a,b}^k \in \langle C_{a,b} \rangle\}. \tag{A.14}$$

This class contains the tetrahedral group  $\mathbb{T}$  (which corresponds to  $E_6$  in the ADE classification) isomorphic to  $\mathbb{A}_4 \simeq C_2(0, 1)$ . The dimension of an irreducible representation of a group of type C is either one or three [82].

**Groups of type D**

The groups  $D_{n,d}(a, b; r, s)$  of type D are generated by the matrices (A.10) together with

$$D_{r,s} := \begin{pmatrix} \xi_d^r & 0 & 0 \\ 0 & 0 & \xi_d^s \\ 0 & -\xi_d^{-r-s} & 0 \end{pmatrix}, \tag{A.15}$$

where  $a, b \in \{0, 1, \dots, n-1\}$  and  $r, s \in \{0, 1, \dots, d-1\}$ . A different set of generators consists of three diagonal matrices, the matrix  $C$  from (A.10), and the matrix

$$D = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & -1 & 0 \end{pmatrix}. \tag{A.16}$$

Theorem A.2 in this case implies that the groups of type D have the structure [82]

$$D_{n,d}(a, b; r, s) \simeq (\mathbb{Z}_m \times \mathbb{Z}_p) \rtimes \mathbb{S}_3, \tag{A.17}$$

where  $m$  and  $p$  are functions of  $(n, d)$  as well as of  $(a, b; r, s)$ , while  $\mathbb{S}_3 \subset SO(3)$  is generated by  $C$  and  $D$ . This class contains the octahedral group  $\mathbb{O}$  (which corresponds to  $E_7$  in the ADE classification) isomorphic to  $\mathbb{S}_4 \simeq D_{2,2}(0, 1; 1, 1)$ . The dimension of an irreducible representation of a group of type D is either one, two, three or six.

### Exceptional groups

They are eight exceptional finite subgroups of  $SU(3)$  which do not fit into any of the four previous classes:

$$\begin{aligned} &\Sigma(60) \quad , \quad \Sigma(60) \times \mathbb{Z}_3 \quad , \quad \Sigma(168) \quad , \quad \Sigma(168) \times \mathbb{Z}_3 \quad , \\ &\Sigma(36 \times 3) \quad , \quad \Sigma(72 \times 3) \quad , \quad \Sigma(216 \times 3) \quad , \quad \Sigma(360 \times 3) \quad . \end{aligned} \tag{A.18}$$

The groups  $\Sigma(n)$  in the first line have order  $n$  and contain the two simple groups: the icosahedral group  $\mathbb{I}$  (which corresponds to  $E_8$  in the ADE classification) isomorphic to  $\mathbb{A}_5 \simeq \Sigma(60)$ , and the Klein group  $PSL(2, 7) \simeq \Sigma(168)$ . The groups  $\Sigma(n \times 3)$  in the second line have order  $3n$  and contain the centre  $\mathbb{Z}_3$  of  $SU(3)$  (generated by  $\xi_3 \mathbb{1}_3$ ), whereas the factor groups  $\Sigma(n) = \Sigma(n \times 3) / \mathbb{Z}_3$  for  $n \in \{36, 72, 216, 360\}$  are *not* subgroups of  $SU(3)$ .

To write the groups in terms of generators, we introduce the matrices

$$\begin{aligned} E_1 &:= \begin{pmatrix} 1 & 0 & 0 \\ 0 & \xi_3 & 0 \\ 0 & 0 & \xi_3^2 \end{pmatrix} \quad , \quad E_2 := \begin{pmatrix} \xi_9^2 & 0 & 0 \\ 0 & \xi_9^2 & 0 \\ 0 & 0 & \xi_9^2 \xi_3 \end{pmatrix} \quad , \quad E_3 := \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & -\xi_3 \\ 0 & -\xi_3^2 & 0 \end{pmatrix} \quad , \\ E_4 &:= \frac{1}{\sqrt{3}i} \begin{pmatrix} 1 & 1 & 1 \\ 1 & \xi_3 & \xi_3^2 \\ 1 & \xi_3^2 & \xi_3 \end{pmatrix} \quad , \quad E_5 := \frac{1}{2} \begin{pmatrix} -1 & \mu_- & \mu_+ \\ \mu_- & \mu_+ & -1 \\ \mu_+ & -1 & \mu_- \end{pmatrix} \quad , \quad E_6 := \frac{1}{\sqrt{3}i} \begin{pmatrix} 1 & 1 & \xi_3^2 \\ 1 & \xi_3 & \xi_3 \\ \xi_3 & 1 & \xi_3 \end{pmatrix} \quad , \\ E_7 &:= \begin{pmatrix} \xi_7 & 0 & 0 \\ 0 & \xi_7^2 & 0 \\ 0 & 0 & \xi_7^4 \end{pmatrix} \quad , \quad \check{E}_7 := \frac{i}{\sqrt{7}} \begin{pmatrix} \xi_7^4 - \xi_7^3 \xi_7^2 - \xi_7^5 \xi_7 - \xi_7^6 \\ \xi_7^2 - \xi_7^5 \xi_7 - \xi_7^6 \xi_7^4 - \xi_7^3 \\ \xi_7 - \xi_7^6 \xi_7^4 - \xi_7^3 \xi_7^2 - \xi_7^5 \end{pmatrix} \quad , \end{aligned} \tag{A.19}$$

where  $\mu_{\pm}$  are the roots of the quadratic equation  $\mu^2 + \mu + 1 = 0$ . Using the generators (A.10) of the group  $C_2(0, 1) \simeq \mathbb{A}_4$ , they are then generated as

$$\begin{aligned} \Sigma(60) &= \langle C_{0,1}, C, E_5 \rangle, \quad \Sigma(168) = \langle E_7, C, \check{E}_7 \rangle, \quad \Sigma(36 \times 3) = \langle E_1, C, E_4 \rangle, \\ \Sigma(72 \times 3) &= \langle E_1, C, E_4, E_6 \rangle, \quad \Sigma(216 \times 3) = \langle E_1, C, E_4, E_2 \rangle, \quad \Sigma(360 \times 3) = \langle C_{0,1}, C, E_5, E_3 \rangle. \end{aligned} \tag{A.20}$$

We recommend [83, 84] for exhaustive discussions of their properties, group structures and representations.

### B Moduli spaces of torus-invariant instantons are compact

In this appendix, we adapt the proof given in [6, Sect. 8] to show that, for the non-maximal torus actions appearing in this paper, the T-fixed components of the moduli spaces  $\mathfrak{M}_{r,k}^T$  are compact with respect to the complex analytic topology inherited from the Frobenius norm on the affine space of ADHM data  $(B_a, I_A)_{a \in \underline{4}, A \in \underline{4}^\perp}$  for tetrahedron instantons. We use the real description of the ADHM parametrization for this purpose.

#### Instantons on $\mathbb{C}_A^3$

Consider tetrahedron instantons of type  $r_A = (r_A, 0, 0, 0)$ , for some fixed  $A \in \underline{4}^\perp$ . Let

$$T_A = U(1)^{r_A} \times U(1) \tag{B.1}$$

be the torus group whose action on the ADHM data for instantons on  $\mathbb{C}_A^3$  is given by

$$(B_a, I_A)_{a \in \underline{4}} \longmapsto (t^{-1} B_a, t^3 B_{\bar{A}}, I_A \exp i \underline{a}_A)_{a \in A}, \tag{B.2}$$

where  $\underline{a}_A = \text{diag}(a_{A1}, \dots, a_{Ar_A})$  is the generator of  $U(1)^{r_A} \subset U(r_A)$ , and  $t = e^{i\epsilon}$  where  $\epsilon$  is the generator of  $U(1) \subset SU(4)$ . The infinitesimal equivariant  $T_A$ -fixed point equations are

$$[B_a, \phi] = \epsilon B_a, \quad [B_{\bar{A}}, \phi] = -3 \epsilon B_{\bar{A}} \quad \text{and} \quad \phi I_A = I_A \underline{a}_A, \tag{B.3}$$

for  $a \in A$ , where  $\phi$  generates a  $U(k)$  gauge transformation.

Similarly to Sect. 5.5, under this torus action the vector space  $V = V_A$  decomposes into weight spaces

$$V_A = \bigoplus_{n \in \mathbb{Z}} V_A^n = \bigoplus_{n \in \mathbb{Z}} \bigoplus_{l=1}^{r_A} V_{Al}^n \tag{B.4}$$

for the action of  $\phi \in U(V_A)$ , whose eigenvalues are given by

$$\phi|_{V_A^n} = (n \epsilon + \mathfrak{a}_{AI}) \mathbb{1}_{V_A^n}. \tag{B.5}$$

By (B.3) the operators  $B_a$  raise or lower the  $U(1)$  charge  $n \in \mathbb{Z}$  according to

$$B_a : V_A^n \longrightarrow V_A^{n-1} \quad \text{and} \quad B_{\bar{a}} : V_A^n \longrightarrow V_A^{n+3}, \tag{B.6}$$

for  $a \in A$ .  
We write

$$k = \dim V_A = \sum_{n \in \mathbb{Z}} k_n := \sum_{n \in \mathbb{Z}} \dim V_A^n. \tag{B.7}$$

Since  $V_A$  has finite dimension  $k$ , there exists  $N \in \mathbb{N}$  such that  $k_n = 0$  for all  $|n| > N$ .

**Proposition B.8** *The closure of the moduli space  $\mathfrak{M}_{r_A, k}^{\mathbb{T}_A}$  of  $\mathbb{T}_A$ -invariant non-commutative instantons of rank  $r_A$  and charge  $k$  on  $\mathbb{C}^3_A$  is compact.*

**Proof** We prove that the ADHM data  $(B_a, I_A)_{a \in \underline{4}}$ , obeying the ADHM equations (4.17) and the  $\mathbb{T}_A$ -fixed point equations (B.3), are bounded in the Frobenius norm. From the D-term equation in (4.17), it is easy to see that the norm of the operator  $I_A$  is fixed to

$$\|I_A\|_F^2 = \text{Tr}_{V_A}(I_A I_A^\dagger) = \zeta k. \tag{B.9}$$

Let us move on to bound  $\sum_{a \in \underline{4}} \|B_a\|_F^2$ . By using the decomposition (B.4), together with (4.26) and cyclicity of the trace, we find

$$\sum_{a \in \underline{4}} \text{Tr}_{V_A^n}(B_a^\dagger B_a) = \sum_{a \in A} \text{Tr}_{V_A^n}(B_a^\dagger B_a), \tag{B.10}$$

and

$$\begin{aligned} \sum_{a \in \underline{4}} \text{Tr}_{V_A^n}(B_a B_a^\dagger) &= \sum_{a \in A} \text{Tr}_{V_A^{n+1}}(B_a^\dagger B_a) + \text{Tr}_{V_A^{n-3}}(B_{\bar{a}}^\dagger B_{\bar{a}}) \\ &= \sum_{a \in A} \text{Tr}_{V_A^{n+1}}(B_a^\dagger B_a) = \sum_{a \in A} \text{Tr}_{V_A^n}(B_a B_a^\dagger). \end{aligned} \tag{B.11}$$

Then the D-term equation in (4.17) and the decomposition (B.4) imply

$$\begin{aligned} \sum_{a \in A} \text{Tr}_{V_A^n}(B_a B_a^\dagger) + \text{Tr}_{V_A^n}(I_A I_A^\dagger) &= \zeta k_n + \sum_{a \in A} \text{Tr}_{V_A^n}(B_a^\dagger B_a) \\ &= \zeta k_n + \sum_{a \in A} \text{Tr}_{V_A^{n-1}}(B_a B_a^\dagger), \end{aligned} \tag{B.12}$$

where in the last equality we used cyclicity of the trace.

We now introduce

$$\Delta_n := \frac{1}{\zeta} \text{Tr}_{V_A^n} \left( \sum_{a \in A} B_a B_a^\dagger + I_A I_A^\dagger \right) \tag{B.13}$$

and

$$\Delta := \frac{1}{\zeta} \text{Tr}_{V_A} \left( \sum_{a \in A} B_a B_a^\dagger + I_A I_A^\dagger \right) = \sum_{n \in \mathbb{Z}} \Delta_n . \tag{B.14}$$

Using (B.12) we can write

$$\Delta_n = k_n + \frac{1}{\zeta} \sum_{a \in A} \text{Tr}_{V_A^{n-1}} (B_a B_a^\dagger) \leq k_n + \Delta_{n-1} . \tag{B.15}$$

By iteration we get

$$\Delta_n \leq k_n + k_{n-1} + \dots + k_{-N} \leq k^2 , \tag{B.16}$$

where we used  $N \leq \frac{k-1}{2}$  and  $k_n \leq k$  for any  $n \in \mathbb{Z}$ .

Therefore, since  $\Delta$  is a sum of at most  $2N + 1 \leq k$  terms, we arrive at the bound

$$\sum_{a \in \underline{4}} \|B_a\|_F^2 = \sum_{a \in \underline{4}} \text{Tr}_{V_A} (B_a B_a^\dagger) = \sum_{a \in \underline{4}} \text{Tr}_{V_A} (B_a B_a^\dagger) \leq \zeta \Delta \leq \zeta k^3 , \tag{B.17}$$

hence  $B_a$  for  $a \in \underline{4}$  are also bounded in the Frobenius norm. □

**Remark B.18** To prove that  $\mathfrak{M}_{r_A, k}^{\text{T}_A}$  is closed, and hence is itself compact, one would need to find sharper bounds than those given in the proof of Proposition B.8 which are saturated by the ADHM variables. While we believe this is possible to do, we do not pursue it in the present paper.

### Generalized folded instantons

We now turn our attention to tetrahedron instantons of type  $r_{A_1, A_2} = (r_{A_1}, r_{A_2}, 0, 0)$ , for fixed distinct  $A_1, A_2 \in \underline{4}^\perp$ . We write  $A_1 \cap A_2 = (a_1 a_2)$ , with  $a_1, a_2 \in \underline{4}$ . With notation as above, consider the action of the torus group

$$\text{T}_{A_1, A_2} = \text{U}(1)^{r_{A_1}} \times \text{U}(1)^{r_{A_2}} \times \text{U}(1)^{\times 2} \tag{B.19}$$

on the ADHM data  $(B_a, I_{A_1}, I_{A_2})_{a \in \underline{4}}$  given by

$$(B_a, I_{A_1}, I_{A_2})_{a \in \underline{4}} \longmapsto (t_1^{-1} B_{a_1}, t_1^{-1} B_{a_2}, t_2^{-1} B_{\bar{a}_2}, t_1^2 t_2 B_{\bar{a}_1}, I_{A_1} \exp i \underline{a}_{A_1}, I_{A_2} \exp i \underline{a}_{A_2}), \tag{B.20}$$

where  $(\underline{a}_{A_1}, \underline{a}_{A_2})$  are the generators of  $U(1)^{r_{A_1}} \times U(1)^{r_{A_2}} \subset U(\mathbf{r}_{A_1, A_2})$ , and  $(t_1, t_2) = (e^{i\epsilon_1}, e^{i\epsilon_2})$  where  $(\epsilon_1, \epsilon_2)$  are the generators of  $U(1)^{\times 2} \subset SU(4)$ . The infinitesimal equivariant  $\mathbb{T}_{A_1, A_2}$ -fixed point equations are

$$\begin{aligned}
 [B_{a_1}, \phi] &= \epsilon_1 B_{a_1} \quad , \quad [B_{a_2}, \phi] = \epsilon_1 B_{a_2} \quad , \quad [B_{\bar{a}_2}, \phi] = \epsilon_2 B_{\bar{a}_2} \quad , \\
 [B_{\bar{a}_1}, \phi] &= -(2\epsilon_1 + \epsilon_2) B_{\bar{a}_1} \quad , \quad \phi I_{A_1} = I_{A_1} \underline{a}_{A_1} \quad , \quad \phi I_{A_2} = I_{A_2} \underline{a}_{A_2} \quad ,
 \end{aligned}
 \tag{B.21}$$

where  $\phi$  generates a  $U(k)$  gauge transformation.

With  $V = V_{A_1} + V_{A_2}$ , from (B.21) it follows that  $\phi(V_{A_1}) \subseteq V_{A_1}$  and  $\phi(V_{A_2}) \subseteq V_{A_2}$ . Hence the vector spaces  $V_{A_1}$  and  $V_{A_2}$  decompose under this torus action into weight spaces as

$$V_A = \bigoplus_{i, j \in \mathbb{Z}} V_A^{i, j} = \bigoplus_{i, j \in \mathbb{Z}} \bigoplus_{l=1}^{r_A} V_{A_l}^{i, j} \quad \text{for } A \in \{A_1, A_2\} \quad ,
 \tag{B.22}$$

with respective eigenvalues of  $\phi$  given by

$$\phi|_{V_{A_l}^{i, j}} = (i\epsilon_1 + j\epsilon_2 + \mathfrak{a}_{A_l}) \mathbb{1}_{V_{A_l}^{i, j}} \quad .
 \tag{B.23}$$

By (B.21) the operators  $B_a$  raise/lower the  $U(1)$  charges  $i$  and  $j$  according to

$$B_{a_1}, B_{a_2} : V_A^{i, j} \longrightarrow V_A^{i-1, j} \quad , \quad B_{\bar{a}_2} : V_A^{i, j} \longrightarrow V_A^{i, j-1} \quad , \quad B_{\bar{a}_1} : V_A^{i, j} \longrightarrow V_A^{i+2, j+1} \quad .
 \tag{B.24}$$

For generic values of the equivariant parameters  $\epsilon_1, \epsilon_2$  and  $\mathfrak{a}_{A_l}$ , the sets of eigenvalues of  $\phi$  on  $V_{A_1}$  and  $V_{A_2}$  are disjoint, so the spaces  $V_{A_1}$  and  $V_{A_2}$  have trivial intersection and  $V = V_{A_1} \oplus V_{A_2}$  at the fixed points. We write

$$k_A = \dim V_A = \sum_{i, j \in \mathbb{Z}} k_{A_i, j} := \sum_{i, j \in \mathbb{Z}} \dim V_A^{i, j} \quad .
 \tag{B.25}$$

Then,

$$k = \dim V = k_{A_1} + k_{A_2} \quad .
 \tag{B.26}$$

As before, since  $V_A$  is finite-dimensional, there exists  $N_A \in \mathbb{N}$  such that  $k_{A_i, j} = 0$  for all  $i, j \in \mathbb{Z}$  satisfying  $|i| + |j| > N_A$ .

**Proposition B.27** *The closure of the moduli space  $\mathfrak{M}_{\mathbf{r}_{A_1, A_2}, k}^{\mathbb{T}_{A_1, A_2}}$  of  $\mathbb{T}_{A_1, A_2}$ -invariant tetrahedron instantons of type  $\mathbf{r}_{A_1, A_2}$  and charge  $k$  is compact.*

**Proof** The proof is similar to the proof of Proposition B.8, so we will be relatively brief. From the D-term equation in (4.17) it follows that

$$\|I_{A_1}\|_F^2 + \|I_{A_2}\|_F^2 = \text{Tr}_V(I_{A_1} I_{A_1}^\dagger) + \text{Tr}_V(I_{A_2} I_{A_2}^\dagger) = \zeta k, \tag{B.28}$$

hence  $I_A$  for  $A \in \{A_1, A_2\}$  are bounded.

From (4.26), we obtain

$$\begin{aligned} \sum_{a \in \underline{4}} \text{Tr}_{V_A^{i,j}}(B_a B_a^\dagger) &= \text{Tr}_{V_A^{i+1,j}}(B_{a_1}^\dagger B_{a_1}) + \text{Tr}_{V_A^{i+1,j}}(B_{a_2}^\dagger B_{a_2}) \\ &\quad + \text{Tr}_{V_A^{i,j+1}}(B_{\bar{A}_2}^\dagger B_{\bar{A}_2}) + \text{Tr}_{V_A^{i-2,j-1}}(B_{\bar{A}_1}^\dagger B_{\bar{A}_1}) \tag{B.29} \\ &= \sum_{a \in A} \text{Tr}_{V_A^{i,j}}(B_a B_a^\dagger), \end{aligned}$$

and

$$\sum_{a \in \underline{4}} \text{Tr}_{V_A^{i,j}}(B_a^\dagger B_a) = \sum_{a \in A} \text{Tr}_{V_A^{i,j}}(B_a^\dagger B_a), \tag{B.30}$$

for  $A \in \{A_1, A_2\}$ . We can then use the D-term equation of (4.17) to write

$$\sum_{a \in A} \text{Tr}_{V_A^{i,j}}(B_a B_a^\dagger) + \text{Tr}_{V_A^{i,j}}(I_{A_1} I_{A_1}^\dagger) + \text{Tr}_{V_A^{i,j}}(I_{A_2} I_{A_2}^\dagger) = \zeta k_{A,i,j} + \sum_{a \in A} \text{Tr}_{V_A^{i,j}}(B_a^\dagger B_a). \tag{B.31}$$

We now introduce

$$\begin{aligned} \Delta_{A_1,n} &:= \frac{1}{\zeta} \sum_{i-3j=n} \text{Tr}_{V_{A_1}^{i,j}} \left( \sum_{a \in A_1} B_a B_a^\dagger + I_{A_1} I_{A_1}^\dagger + I_{A_2} I_{A_2}^\dagger \right), \\ \Delta_{A_2,n} &:= \frac{1}{\zeta} \sum_{i+j=n} \text{Tr}_{V_{A_2}^{i,j}} \left( \sum_{a \in A_2} B_a B_a^\dagger + I_{A_1} I_{A_1}^\dagger + I_{A_2} I_{A_2}^\dagger \right), \end{aligned} \tag{B.32}$$

along with

$$\Delta_A := \frac{1}{\zeta} \text{Tr}_{V_A} \left( \sum_{a \in A} B_a B_a^\dagger + I_{A_1} I_{A_1}^\dagger + I_{A_2} I_{A_2}^\dagger \right) = \sum_{n \in \mathbb{Z}} \Delta_{A,n} \tag{B.33}$$

for  $A \in \{A_1, A_2\}$ .

Using (B.31) and cyclicity of the trace we generate the inequalities

$$\begin{aligned} \Delta_{A_1,n} &= \sum_{i-3j=n} k_{A_1,i,j} + \frac{1}{\zeta} \sum_{i-3j=n} \sum_{a \in A_1} \text{Tr}_{V_{A_1}^{i,j}}(B_a^\dagger B_a) \\ &= \sum_{i-3j=n} k_{A_1,i,j} + \frac{1}{\zeta} \sum_{i-3j=n-1} \sum_{a \in A_1} \text{Tr}_{V_{A_1}^{i,j}}(B_a B_a^\dagger) \tag{B.34} \\ &\leq \sum_{i-3j=n} k_{A_1,i,j} + \Delta_{A_1,n-1}, \end{aligned}$$

and

$$\begin{aligned} \Delta_{A_2,n} &= \sum_{i+j=n} k_{A_2,i,j} + \frac{1}{\zeta} \sum_{i+j=n} \sum_{a \in A_2} \text{Tr}_{V_{A_2}^{i,j}}(B_a^\dagger B_a) \\ &= \sum_{i+j=n} k_{A_2,i,j} + \frac{1}{\zeta} \sum_{i+j=n-1} \sum_{a \in A_2} \text{Tr}_{V_{A_2}^{i,j}}(B_a B_a^\dagger) \tag{B.35} \\ &\leq \sum_{i+j=n} k_{A_2,i,j} + \Delta_{A_2,n-1}. \end{aligned}$$

By iterating these inequalities and using the bounds

$$\sum_{i-3j=n} k_{A_1,i,j} \leq k_{A_1} \quad \text{and} \quad \sum_{i+j=n} k_{A_2,i,j} \leq k_{A_2}, \tag{B.36}$$

we get

$$\Delta_{A n} \leq k_A^2 \tag{B.37}$$

for  $A \in \{A_1, A_2\}$ . Therefore

$$\begin{aligned} \sum_{a \in \underline{4}} \|B_a\|_F^2 &= \sum_{a \in \underline{4}} \text{Tr}_V(B_a B_a^\dagger) \\ &= \sum_{A \in \{A_1, A_2\}} \sum_{a \in A} \text{Tr}_{V_A}(B_a B_a^\dagger) \leq \zeta (\Delta_{A_1} + \Delta_{A_2}) \leq \zeta (k_{A_1}^3 + k_{A_2}^3), \tag{B.38} \end{aligned}$$

which establishes the required boundedness of the ADHM variables. □

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