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Citation for published version:

Digital Object Identifier (DOI):
10.3390/catal9110924

Link:
Link to publication record in Heriot-Watt Research Portal

Document Version:
Publisher's PDF, also known as Version of record

Published In:
Catalysts

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Article

Gas Phase Catalytic Hydrogenation of C4 Alkynols over Pd/Al2O3

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Received: 28 September 2019; Accepted: 1 November 2019; Published: 6 November 2019

Abstract: Alkenols are commercially important chemicals employed in the pharmaceutical and agro-food industries. The conventional production route via liquid phase (batch) alkynol hydrogenation suffers from the requirement for separation/purification unit operations to extract the target product. We have examined, for the first time, the continuous gas phase hydrogenation (P = 1 atm; T = 373 K) of primary (3-butyn-1-ol), secondary (3-butyn-2-ol) and tertiary (2-methyl-3-butyn-2-ol) C4 alkynols using a 1.2% wt. Pd/Al2O3 catalyst. Post-TPR, the catalyst exhibited a narrow distribution of Pdδ- (based on XPS) nanoparticles in the size range 1-6 nm (mean size = 3 nm from STEM). Hydrogenation of the primary and secondary alkynols was observed to occur in a stepwise fashion (-C≡C- → -C=C- → -C-C-) while alkanol formation via direct -C≡C- bond transformation was in evidence in the conversion of 2-methyl-3-butyn-2-ol. Ketone formation via double bond migration was promoted to a greater extent in the transformation of secondary (vs. primary) alkynol. Hydrogenation rate increased in the order primary < secondary < tertiary. The selectivity and reactivity trends are accounted for in terms of electronic effects.

Keywords: gas phase hydrogenation; alkynols; 3-butyn-1-ol; 3-butyn-2-ol; 2-methyl-3-butyn-2-ol; alkenols; triple bond electron charge; Pd/Al2O3

1. Introduction

The bulk of research on -C≡C- bond hydrogenation has been focused on the transformation of acetylene (to ethylene) over Pd catalysts where the main challenge is to selectively promote semi-hydrogenation with -C≡C- formation [1]. Product distribution is influenced by alkyne adsorption/activation mode [2]. Associative adsorption (through a π/σ double bond) on Pd planes [2] follows the Horiuti-Polanyi model, consistent with a stepwise alkyne → alkene → alkane transformation [3,4]. Alternatively, dissociative adsorption via H + three point σ bond [3] or H + π-allyl specie [5] on electron deficient edges/corners of palladium nanoparticles [6] can lead to direct alkyne → alkane hydrogenation [7] or double bond migration [8]. The electronic properties of the palladium phase and the electron density of the -C≡C- bond functionality can influence the alkyne adsorption/activation which, in turn, impact on olefin selectivity. Taking an overview of the published literature, unwanted over-hydrogenation and double migration are prevalent over electron deficient (Pdδ+) nanoparticles that promote strong complexation with the (electron-rich) -C≡C- bond [9,10]. The triple bond charge has also a direct role to play and can be affected by inductive effects (i.e., electron transfer from/to additional groups in poly-functional alkynes). The literature dealing with -C≡C- bond polarisation effects in hydrogenation of multifunctional alkynes is limited. It is, however, worth noting published work that shows increasing activity (over Pd(II) complexes [11] and Pd-Ru catalysts [12]) for hydrogenation of substituted acetylenes with electron donating (e.g., -R=H, -C6H5, -CH3) functional groups [12]). Terasawa and co-workers [11], investigated the catalytic response for
a series of functionalised alkynes over polymer bounded Pd(II) complexes catalyst and concluded that \(-\text{C}=\text{C}\)- selectivity is sensitive to the nature of the substituent (i.e., increased olefin selectivity in the presence of electron withdrawing substituents (-Cl, -OH) vs. electron donating (-C6H6) functional groups [12]).

Alkenols have found widespread applications in the manufacture of pharmaceutical (e.g., intermediates for vitamins E, A, K [13] and anti-cancer additives [14]) and agro-food (e.g., dimethyloctenol and citral [13,14]) products. Industrial synthesis involves selective hydrogenation of the correspondent substituted alkynol [15]. Alkynols can be categorised with respect to the number of carbons bonded to the carbon bearing the -OH group (C-α in Figure 1), i.e., primary (one C directly attached; labelled C-β1), secondary (C-β1 and C-β2) and tertiary (C-β1, C-β2 and C-β3).

![Figure 1.](image)

Figure 1. Classification of (A) primary, (B) secondary and (C) tertiary C4 alkynols. Note: Arrows represent associated charge transfer effect.

Work to date has focused on batch liquid mode hydrogenation of saturated (tertiary) alkynols (e.g., 3-methyl-1-pentyn-3-ol [13]) using pressurised (up to 10 atm) reactors [16] with limited research on the selective hydrogenation of primary [17,18] and secondary alkynols [19]. Gas phase continuous operation facilitates control over contact time, which can influence product selectivity [20,21]. We were unable to find any study in the open literature on gas phase hydrogenation of primary or secondary alkynols and only one published paper in the transformation of tertiary alkynols [22]. In this work, we set out to gain an understanding of the mechanism involved in the production of primary alkenols, considering continuous gas phase hydrogenation of 3-butyn-1-ol over a commercial Pd/Al2O3 catalyst, as a model system. We extend the catalyst testing to consider secondary and tertiary butynols and prove possible contributions to catalytic performance (i.e., hydrogenation rate and selectivity) due to the position of the hydroxyl group.
2. Results and Discussion

2.1. Catalyst Characterisation

The Pd/Al\textsubscript{2}O\textsubscript{3} catalyst used in this study bore, \textit{post}-H\textsubscript{2}-temperature programmed reduction (H\textsubscript{2}-TPR) to 573 K, metal nanoparticles with diameters ranging from \(\leq 1\) nm up to 6 nm (see representative scanning transmission electron microscopy (STEM) image (A) and histogram derived from microscopy analysis (B) in Figure 2) and a number weighted mean diameter of 3 nm. An enhanced intrinsic alkenol selectivity for palladium nanoparticles of 3 nm has been reported elsewhere in the liquid (dehydroisophytol over Pd colloids [23]) and gas phase (2-methyl-3-butyn-2-ol using Pd/SiO\textsubscript{2} [22]) hydrogenation of alkynols. The STEM images reveal a pseudo-spherical morphology, the most thermodynamically stable configuration [6], indicative of a small area of contact at the interface between the Pd nanocrystals and the Al\textsubscript{2}O\textsubscript{3} support.

![Representative STEM image and histogram](image1)

\textbf{Figure 2.} (A) Representative scanning transmission electron microscopy (STEM) image with (B) associated Pd particle size distribution and (C) X-ray photoelectron spectroscopy (XPS) spectrum over the Pd 3d region for Pd/Al\textsubscript{2}O\textsubscript{3}. \textit{Note}: Raw data is shown as symbols (○) while curve fitted (residual standard deviation = 0.14) and envelope is represented by dashed and solid lines, respectively.

X-ray photoelectron spectroscopy (XPS) measurements were carried out to provide insight into the electronic character of the supported Pd phase. The resulting spectra over the Pd 3d binding energy (BE) region is shown in Figure 2C. The XPS profile exhibits a doublet with a main Pd 3d\textsubscript{5/2} signal at...
334.9 eV, that is 0.3 eV lower than that characteristic of metallic Pd (335.2 eV, [24]), a result that suggests partial electron transfer from OH– groups on the alumina support [25]. This is consistent with reported (electron-rich) Pd$^{5+}$ (4–5 nm) on Al$_2$O$_3$ [26]. High (94–97%) butene selectivity has been observed in the hydrogenation of butyne over palladium nanoparticles with a partial negative charge [3]. In contrast, the formation of butane and 2-hexene through undesired over-hydrogenation and double bond migration, respectively, has been reported in the hydrogenation of 1-butyne [8] and 1-hexyne [9] ascribed to the presence of (electron-deficient) Pd$^{5+}$ nanocrystals. In addition, the profile shows a weak doublet (12%) with curve-fitted values at higher BE (Pd 3d$_{3/2}$ = 337.0 eV; Pd 3d$_{5/2}$ = 342.2 eV) that can be ascribed to Pd$^{2+}$ as a result of passivation for ex situ characterisation analyses [27]. A similar (10–12%) percentage value was reported by Weissman et al. [28] attributed to oxygen chemisorption on Pd (111) following a passivation step.

2.2. Reaction Thermodynamics

The calculated change in Gibbs free energy of formation at 373 K for each reaction step ($\Delta G_{(I-VII)}$) are included in Figure 3.

\begin{align*}
\text{(III)} & + 2 \text{H}_2 \\
\text{3-Butyn-1-ol} & \xrightarrow{\Delta G_{(III)} = -205 \text{kJ mol}^{-1}} \text{3-Buten-1-ol} \\
\text{OH} & + \text{H}_2 \xrightarrow{\Delta G_{(III)} = -78 \text{kJ mol}^{-1}} \text{1-Butanol} \\
\text{OH} & + \text{H}_2 \\
\text{Butyraldehyde} & \xrightarrow{\Delta G_{(IV)} = -7 \text{kJ mol}^{-1}} \text{Crotyl alcohol} \\
\text{OH} & + \text{H}/\text{H} \\
\text{Butyraldehyde} & \xrightarrow{\Delta G_{(V)} = -154 \text{kJ mol}^{-1}} \text{Butyraldehyde} \\
\text{OH} & + \text{H}/\text{H} \\
\text{Butyraldehyde} & \xrightarrow{\Delta G_{(VI)} = -5 \text{kJ mol}^{-1}} \text{Butyraldehyde} \\
\text{OH} & + \text{H}_2 \\
\text{Butyraldehyde} & \xrightarrow{\Delta G_{(VII)} = -28 \text{kJ mol}^{-1}} \text{Butyraldehyde}
\end{align*}

Figure 3. Reaction scheme with Gibbs free energies ($\Delta G_{(I-VII)}$) for each step in the hydrogenation of primary (3-butyne-1-ol) alkyol: Reaction conditions: $T = 373$ K, $P = 1$ atm.

The $\Delta G_{(I-VII)}$ values serve as criteria in the evaluation of thermodynamic feasibility, where reactions can occur spontaneously when $\Delta G < 0$. Each reaction step exhibits negative $\Delta G$ indicating that all products considered are thermodynamically favourable. Under our reaction conditions, a thermodynamic analysis of 3-butyne-1-ol hydrogenation established full conversion predominantly to 1-butanol ($S_{1\text{-butanol}} > 99\%$) with trace amounts of butyraldehyde. Formation of alkanol can result from -C=C- reduction in 3-buten-1-ol (step (II) in Figure 3) or direct alkyol hydrogenation via step (III). Hydrogenation of the intermediates, that result from alkenol double bond migration (crotyl alcohol (step (IV)) and keto-enol tautomerisation (butyraldehyde (step (V)), also generates 1-butanol (steps (VI–VII)).
2.3. Gas Phase Hydrogenation of 3-Butyn-1-ol

Dependence of hydrogenation path can be effectively proved from a consideration of selectivity as a function of 3-butyn-1-ol conversion; the corresponding data for reaction over Pd/Al₂O₃ is presented in Figure 4.

![Figure 4](image-url) Variation of selectivity \( S_j(\%) \), \( j = \) 3-buten-1-ol (■), 1-butanol (●), crotyl alcohol + butyraldehyde (●) with conversion \( X(\%) \) in hydrogenation of 3-butyn-1-ol over Pd/Al₂O₃. Note: solid lines provide a guide to aid visual assessment. Reaction conditions: \( T = 373 \text{ K}, \ p = 1 \text{ atm.} \)

At low conversions (<25%), product composition deviates from predominant 1-butanol generation under thermodynamic equilibrium, indicative of operation under catalytic control. 3-Buten-1-ol and 1-butanol were the predominant products of partial and full hydrogenation, respectively, but double bond migration (to crotyl alcohol and butyraldehyde) was also detected with a selectivity \( \leq 10\% \). Formation of 3-buten-1-ol and 1-butanol has been previously reported in the liquid phase (\( T = 300–348 \text{ K} \)) hydrogenation of 3-butyn-1-ol over MCM-41 [29], Fe₃O₄ [30] and Fe₃O₄ coated SiO₂ [18] supported Pd catalysts. Production of crotyl alcohol and butyraldehyde observed in this work can be linked to reaction temperature (373 K), where \( T < 353 \text{ K} \) serve to avoid double bond migration [31]. A decrease in 3-buten-1-ol selectivity was accompanied by increased formation of 1-butanol at high conversions, indicative of a sequential hydrogenation route (i.e., Horiuti-Polanyi mechanism) from 1,2-C≡C- → 1=C=C- → -C-C-, typical for gas phase alkyne hydrogenations [32].

2.4. Gas Phase Hydrogenation of 3-Butyn-2-ol and 2-Methyl-3-butyn-2-ol

Reaction pathways in the hydrogenation of secondary (3-butyn-2-ol) and tertiary (2-methyl-3-butyn-2-ol) \( C_4 \) alkynols are shown in Figure 5.

Both alkynols can undergo sequential (alkynol → alkenol → alkanol, steps (I-II)) and direct (alkynol → alkanol, step (III)) hydrogenation. Alkenol double bond migration in the transformation of 3-butyn-2-ol generates 2-butane, (step (IV) in Figure 5A) but this step is not possible in the conversion of 2-methyl-3-butyn-2-ol as the C-α (Figure 1) is fully substituted. Alkenol consumption rate at the same degree of conversion \( X(\%) = 25\% \) for the three alkynols is presented in Figure 6A where activity decreases in the order: tertiary > secondary > primary. This sequence matches that of decreasing the number of methyl substituents bonded to the C-α (Figure 1), i.e., 2-methyl-3-butyn-2-ol (C-β₁, Cβ₂ and C-β₃) > 3-butyn-2-ol (C-β₁ and C-β₂) > 3-butyn-1-ol (C-β₁). Alkyn hydrogenation has been proposed to proceed via an electrophilic mechanism [12,33]. Reactive hydrogen is provided by dissociative chemisorption of \( H_2 \) on Pd [34]. The hydroxyl function can serve to deactivate the triple bond for electrophilic attack through inductive effects by decreasing the overall electron density due to
-C≡C- → -OH electron transfer [35,36]. The presence of (electron donating [37]) methyl substituent(s) bonded to the C-α serves to decrease the “electron-release” from the triple bond (see charge transfer in Figure 1) which favours the electrophilic attack. Our results are in line with the work of Karavanov and Gryaznov [12] who studied the liquid phase hydrogenation of functionalised tertiary alkynols over a Pd-Ru alloy membrane catalyst reported a (40%) enhanced activity as the electron donating character of the substituent increased (i.e., -CH2OH < -H < -CH3).

The results of product selectivity as a function of alkynol conversion for the three C4 alkynols under consideration are presented in Figure 6B,C. We observe 100% selectivity in terms of -C≡C- → -C=C- bond reduction in the transformation of 3-butyn-1-ol and 3-butyn-2-ol at low conversions where the alkenol selectivity vs. conversion profiles (Figure 6B) for secondary and tertiary alkynols follow a linear decrease of the intermediate concentration as conversion increases, suggesting that they follow the same consecutive hydrogenation route as the primary (steps (1-II) in Figures 3 and 5A,B). In each case, regardless of the degree of conversion, greater alkenol selectivity was recorded in the transformation of the primary ~ secondary > tertiary. The lower alkenol selectivity recorded for the tertiary alkynol can be ascribed to direct formation of 2-methyl-butan-2-ol (3-butyn-2-ol, Figure 1). Alternatively, double bond migration [8] with aldehyde/ketone formation in 3-butyn-1-ol, Figure 1). Alkynol dissociative adsorption on (low coordination) Pd sites [39] can lead to direct -C≡C- bond reduction in the transformation of 3-butyn-1-ol and 3-butyn-2-ol (e.g., C-δ in 3-butyn-1-ol, Figure 1). This intermediate is generated by H abstraction at the “external” carbon in the -C≡C- bond (e.g., C-δ in 3-butyn-1-ol, Figure 1). Alternatively, double bond migration [8] with

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**Figure 5.** Reaction schemes for the hydrogenation of (A) secondary (3-butyn-2-ol) and (B) tertiary (2-methyl-3-butyn-2-ol) alkynols.
We examined crotyl alcohol and butyraldehyde reactivity in order to assess 1-butanol formation via butyraldehyde (vs. crotyl alcohol) reaction. Conversion of crotyl alcohol generated 1-butanol as the sole product but at an appreciable higher (by a factor of 2) rate when compared with that recorded for the 3-butyn-1-ol reaction. The lower double bond migration in terms of crotyl alcohol vs. 3-butyn-2-ol, i.e., higher selectivity to 2-butanone relative to crotyl alcohol + butyraldehyde at all conversions (Figure 6C). Likewise, Bianchini et al. [43] reported a lower isomerisation yield in the conversion of 3-butyn-1-ol (relative to 3-buten-2-ol) over a Rh complex catalyst. Under similar reaction conditions, we recorded no conversion of butyraldehyde, a response that is consistent with the low capacity of -C=O group (e.g., methyl vinyl ketone and benzalacetone [44]) hydrogenation by Pd [45]. Conversion of crotyl alcohol generated 1-butanol as the sole product but at an appreciable higher (by a factor of 2) rate when compared with that recorded for the 3-butyn-1-ol reaction. The lower double bond migration in terms of crotyl alcohol + butyraldehyde (vs. 2-butanone) generation must result from a more facile transformation of the crotyl alcohol intermediate. Indeed, lack of activity was observed for the conversion of 2-butanone over Pd/Al₂O₃. We acknowledge that catalytic response may not governed by inductive effect alone and the dynamics of surface interactions by the hydrogen reactant can have a major bearing. Future work will be carried out to evaluate the effect of H₂ content in the feed (i.e., Alkynol: H₂ molar ratio) on catalytic performance.

Figure 6. Cont.
3. Materials and Methods

3.1. Catalyst Characterisation

A commercial 1.2% wt. Pd/Al₂O₃ (Sigma-Aldrich, Saint Louis, MO, USA) served as model catalyst. Before use, the catalysts were sieved (ATM fine test sieves) to mean particle diameter = 75 µm and thermally treated in 60 cm³ min⁻¹ H₂ (BOC, Beijing, China, ≥99.99%) at 2 K min⁻¹ to 573 K to ensure reduction to Pd⁰ [46]. Post-activation, the sample was cooled to ambient temperature and passivated in 1% v/v O₂/He (30 cm³ min⁻¹) for 1 h for ex situ characterisation. Metal particle size and shape was examined by scanning transmission electron microscopy (STEM) using a JEOL 2200FS operated at an accelerating voltage of 200 kV and employing Gatan Digital Micrograph 1.82 for data acquisition/manipulation. The sample was deposited on a holey Cu grid (300 mesh) after dispersion in acetone. The number weighted mean Pd diameter (d) was determined as described elsewhere [47] from a count of 800 particles. X-ray photoelectron spectroscopy (XPS) analyses were conducted on an Axis Ultra instrument (Kratos Analytical, Manchester, UK) under ultra-high vacuum conditions (<10⁻⁸ Torr) employing a monochromatic Al Kα X-ray source (1486.6 eV). The emitted photoelectrons (source power = 150 W) were sampled from a 750 × 350 µm² area at a take-off angle = 90°. The survey (0–1000 eV) and high-resolution spectra (Pd 3d₅/₂ and 3d₃/₂) were collected with analyser pass energies of 80 and 40 eV, respectively. Charging effects were compensated using the adventitious carbon 1s peak calibrated at 284.5 eV as an internal standard. Curve-fitting served to identify/quantify Pd species with modified electronic properties using CasaXPS software in which the Pd 3d₅/₂ peak was fitted with the Shirley background using the Gaussian–Lorentzian function with a fixed full width at half maximum (FWHM) of 2.4 and Pd 3d₃/₂ intensity of +1.5-fold with respect to Pd 3d₅/₂ peak [48]. The goodness of data fitting was based on residual standard deviation; acceptable value ≤ 0.71 [49].

3.2. Catalytic Procedure

All reactions were carried out at T = 373 K at P = 1 atm in situ after activation (in H₂) in a continuous flow fixed bed vertical tubular glass reactor (15 mm i.d.). The operating conditions and catalytic reactor were selected to ensure negligible heat/mass transport limitations. A layer of borosilicate glass beads (1 mm diameter) served as a pre-heating zone. A butanolic solution of...
the alkynol (3-butyn-1-ol+2-butanol; 3-butyn-2-ol+1-butanol; 2-methyl-3-butyn-2-ol+1-butanol) was vaporised and reached 373 K before contacting the catalyst. In order to maintain isothermal conditions (±1 K) the catalyst bed was diluted with ground glass (75 µm diameter). Reaction temperature was monitored continuously using a thermocouple inserted in a thermowell within the catalyst bed. The reactor effluent was condensed in an ice-bath trap for analysis on a Perkin-Elmer Auto System XL gas chromatograph equipped with a programmed split/splitless injector and a flame ionisation detector using a Stabilwax (fused silica) 30 m × 0.32 mm i.d., 0.25 µm film thickness capillary column (RESTEK, Bellefonte, PA, USA). Data acquisition and manipulation was performed using the TotalChrom Workstation Version 6.1.2 (for Windows) chromatography data system. The solvents (2-butanol (Alpha Aesar, Haverhill, MA, USA, 99%) and 1-butanol (Fisher, The Hamptons, NH, USA, 99.4%)), reactants (3-butyn-1-ol (Aldrich, Beijing, China, 97%), 3-butyn-2-ol (Aldrich, 97%) and 2-methyl-3-butyn-2-ol (Aldrich, 98%)) and products (3-buten-1-ol (Aldrich, 96%), 1-butanol (Aldrich, 99%), crotyl alcohol (Aldrich, 96%), butyraldehyde (Aldrich, 96%), 3-buten-2-ol (Aldrich, 97%), 2-butanol (Aldrich, 99.5%), 2-butanone (Aldrich, 99%), 2-methyl-3-buten-2-ol (Aldrich, 98%), 2-methyl-butan-2-ol (Aldrich, 99%)) were used without further purification. Reactant and product molar fractions (\(x_i\)) were obtained using detailed calibration plots (not shown). Catalytic performance is considered in terms of conversion (\(X\)) at steady state after 3 h on-stream:

\[
X(\%) = \left(\frac{[\text{Alkynol}]_{\text{in}} - [\text{Alkynol}]_{\text{out}}}{[\text{Alkynol}]_{\text{in}}}\right) \times 100 \tag{1}
\]

while selectivity to product \(j\) (\(S_j\)) was obtained from:

\[
S_j(\%) = \left(\frac{[\text{Product}_j]_{\text{out}}}{[\text{Alkynol}]_{\text{in}} - [\text{Alkynol}]_{\text{out}}}\right) \times 100 \tag{2}
\]

where the subscripts “in” and “out” represent the inlet and outlet streams. Catalytic activity is also quantified in terms of alkynol consumption rate (\(R\), mol\(\text{Alkynol} g_{\text{Pd}}^{-1} h^{-1}\)) according to the procedure described elsewhere [50]. Reactions were repeated with the same batch of catalyst delivering a carbon mass balance and raw data reproducibility within ±6%.

3.3. Thermodynamic Analysis

The thermodynamic analysis of catalytic processes provides critical information in terms of highest conversion/selectivity possible under specific operating conditions. All the reactant and products involved in the hydrogenation of 3-butyn-1-ol (as representative) were considered (3-butyn-1-ol, 3-buten-1-ol, 1-butanol, crotyl alcohol, butyraldehyde and \(H_2\)). The inlet 3-butyn-1-ol was set at 1 mol and product distribution determined at equilibrium where \(T = 373\) K, \(P = 1\) atm and \(H_2\): Alkynol molar ratio = 2 to mimic catalytic reaction conditions. Aspen Plus was used to make the equilibrium calculations [51] in order to extract product distribution in a system with minimised Gibbs free energy using the method of group contribution [52].

4. Conclusions

We have examined the effect of -OH group position on catalytic gas phase hydrogenation of \(C_4\) alkynols over \(\text{Pd/Al}_2\text{O}_3\) (Pd\(^{\delta^+}\) nanoparticles with mean (number weighted) size = 3 nm).
correlation between the number of electron-donating (-CH$_3$) groups and catalytic activity has been established consistent with the following decreasing activity sequence: tertiary (2-methyl-3-butyn-2-ol) > secondary (3-butyn-2-ol) > primary (3-butyn-1-ol). The conversion of primary and secondary alkynols follows a stepwise (alkynol $\rightarrow$ alkenol $\rightarrow$ alkanol) reaction mechanism while direct alkynol $\rightarrow$ alkanol transformation was a feature of 2-methyl-3-butyn-2-ol hydrogenation. Double bond migration is promoted to a greater extent in the transformation of 3-butyn-2-ol relative to 3-butyn-1-ol consistent with crotyl alcohol hydrogenation. The results in this work establish the role of -C≡C- polarity in determining the activity/selectivity pattern for the synthesis of valuable alkenols.

**Author Contributions:** F.C.-L. conceived the idea and was in charge of overall direction and planning of the project; A.G.-F. carried out the experiments; A.G.-F. and C.P. wrote the manuscript with input from F.C.-L.

**Funding:** This research was funded by the Engineering and Physical Sciences Research Council EPRSC grant number EP/L016419/1 [studentship to Alberto González-Fernández and Chiara Pischetola, CRITICAT program].

**Acknowledgments:** Open Access Funding by Heriot-Watt University.

**Conflicts of Interest:** The authors declare no conflict of interest.

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